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8 UNITED STATES DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA
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11 NEAL PATAKY, JESSICA CLEEK, and
12 LAUREN MICHELSON, individually,
13 and on behalf of others similarly situated,

14 Plaintiffs,

15 v.

16 THE BRIGANTINE, INC., a California
17 corporation,

18 Defendant.
19

Case No.: 3:17-cv-00352-GPC-AGS

**ORDER GRANTING PLAINTIFFS’
MOTION FOR CONDITIONAL
CERTIFICATION, DIRECTING
THE PARTIES TO SUBMIT A
JOINT PROPOSED NOTICE FORM,
AND DIRECTING LIMITED
PRODUCTION OF EMPLOYEE
INFORMATION**

[ECF No. 12.]

20 Before the Court is Plaintiffs Neal Pataky, Jessica Cleek, and Lauren Michelson’s
21 (individually, “Pataky,” “Cleek,” or “Michelson”; collectively, “Plaintiffs”) motion for an
22 order (1) conditionally certifying part of this case as a Fair Labor Standards Act
23 “collective action” and (2) providing notice to similarly situated employees. (Dkt. No.
24 12.) Defendant The Brigantine, Inc. (“Defendant” or “Brigantine”) opposed the motion.
25 (Dkt. No. 21.) Plaintiffs filed a reply. (Dkt. No. 23.) The Court deems this motion
26 suitable for disposition without oral argument pursuant to Civil Local Rule 7.1(d)(1).
27 Having considered the parties’ arguments and the applicable law, the Court **GRANTS**
28 Plaintiffs’ motion for conditional certification of a FLSA class; **DIRECTS** the parties to

1 submit a joint proposed Notice form in compliance with the Court’s Order; and
2 **DIRECTS** Defendant to produce to Plaintiffs the potential class members’ names,
3 addresses, employment dates, and employment identification numbers.

4 **BACKGROUND**

5 On February 22, 2017, Plaintiffs filed the instant putative class action against The
6 Brigantine, Inc., a California corporation which owns and operates multiple restaurants in
7 San Diego County, including restaurants named Brigantine Seafood and Miguel’s
8 Cocina. (Dkt. No. 1, Compl. ¶ 4; Dkt. No. 12-2 at 54, Pls.’ Ex. 4 at 52.) Since its
9 inception in 1969, Defendant has grown to over 1,000 team members in over a dozen
10 restaurants. (Dkt. No. 12-2 at 8–9, 11–13, Pls.’ Ex. 2 at 6–7, 9–11.) Defendant employs
11 individuals in the capacity of managers, servers, and kitchen staff in each of its
12 restaurants. (Dkt. No. 12-6, Pataky Decl. ¶¶ 2–5; Dkt. No. 12-4, Cleek Decl. ¶¶ 2–4; Dkt.
13 No. 12-5, Michelson Decl. ¶¶ 2–4; Pls.’ Ex. 2 at 9–11.)

14 Defendant employed Pataky between 2005 and November 2016. (Dkt. No. 12-6,
15 Pataky Decl. ¶¶ 2–4.) From 2005 through 2008, Pataky worked at Zocalo Grille,
16 Brigantine’s Old Town location. (*Id.*) From 2008 through November 2016, Pataky
17 worked at Brigantine Seafood in Coronado. (*Id.*) Pataky worked as a food server,
18 bartender, a fill-in manager, and a trainer during his employment. (*Id.*) Between 2013
19 through November 2016, Pataky was a server on the wait staff. (*Id.*) Defendant
20 employed Cleek between May 1, 2013 and June 1, 2016 at Brigantine Seafood in
21 Coronado. (Dkt. No. 12-4, Cleek Decl. ¶¶ 2–3.) Cleek was a cocktail server for her first
22 year of employment, and then became a food server on the wait staff for the remainder of
23 her employment. (*Id.*) Defendant employed Michelson between May 2013 and February
24 2016 at Brigantine Seafood in Coronado. (Dkt. No. 12-5, Michelson Decl. ¶¶ 2–3.)
25 Michelson was a cocktail server for her first year of employment, and then became a food
26 server on the wait staff for the remainder of her employment. (*Id.*)

27 Plaintiffs allege that Defendant maintained an unlawful “tip pooling” policy, under
28 which Plaintiffs, who were employed as servers at Defendant’s restaurants and who

1 earned and were paid tips from Defendant’s customers, had to “tip out” significant
2 portions of their earned tip income to other employees who do not provide direct table
3 service to customers, such as Defendant’s kitchen staff. (Compl. ¶¶ 8–9.) In their
4 Complaint, Plaintiffs assert three claims for relief based on Defendant’s alleged
5 violations of the Fair Labor Standards Act (“FLSA”), 29 U.S.C. §§ 203(m) and 206,
6 (Compl. ¶¶ 15–22); unfair business practices in violation of California’s Unfair
7 Competition Law (“UCL”), Cal. Bus. & Prof. Code § 17200 *et seq.*, (Compl. ¶¶ 23–28);
8 and unlawful business practices in violation of the UCL, Cal. Bus. & Prof. Code § 17200
9 *et seq.*, (Compl. ¶¶ 29–34).

10 On March 24, 2017, Plaintiffs filed the instant motion. (Dkt. No. 12.) Plaintiffs
11 seek an order conditionally certifying an FLSA collective action class under 29 U.S.C.
12 216(b) and providing notice to the FLSA collective action class. (Dkt. No. 12-1 at 6–7.)
13 Plaintiffs’ proposed FLSA collective action class includes: “All current or former
14 employees of The Brigantine, Inc. who have worked on and after February 22, 2014 as
15 ‘servers,’ including but not limited to under job titles of food servers, cocktail lounge
16 servers, dining room servers, and bartenders.” (Dkt. No. 12 at 2.) Plaintiffs’ motion
17 solely concerns Count One of their Complaint. (Dkt. No. 12-1 at 6–7.) At a later date,
18 Plaintiffs will move for certification of an opt-out class pursuant to Federal Rule of Civil
19 Procedure 23 for Counts Two and Three, which are brought under the UCL.

20 Defendant opposed the motion on April 14, 2017, (Dkt. No. 21), and Plaintiffs
21 filed a reply on April 28, 2017, (Dkt. No. 23).

22 LEGAL STANDARD

23 Section 16(b) of the FLSA, 29 U.S.C. § 216(b), gives employees the right to bring
24 a private cause of action on their own behalf and on behalf of “other employees similarly
25 situated” for specified violations of the FLSA. *Genesis Healthcare Corp. v. Symczyk*,
26 133 S. Ct. 1523, 1527 (2013). A FLSA suit brought on behalf of other employees is
27 known as a “collective action.” *See id.* (citing *Hoffmann-La Roche Inc. v. Sperling*, 493
28 U.S. 165, 169–170 (1989)). “No employee shall be a party plaintiff to any such action

1 unless he gives his consent in writing to become such a party and such consent is filed in
2 the court in which such action is brought.” 29 U.S.C. § 216(b). Unlike a class action
3 brought under Federal Rule of Civil Procedure 23, “a FLSA case cannot become a
4 collective action unless other plaintiffs affirmatively opt in by giving written and filed
5 consent.” *Smith v. T-Mobile USA Inc.*, 570 F.3d 1119, 1122–23 (9th Cir. 2009).

6 Determining whether a collective action is appropriate is within the discretion of
7 the district court. *Leuthold v. Destination Am., Inc.*, 224 F.R.D. 462, 466 (N.D. Cal.
8 2004). “To maintain an opt-in class under § 216(b), plaintiffs must demonstrate that they
9 are ‘similarly situated.’” *Hipp v. Liberty Nat. Life Ins. Co.*, 252 F.3d 1208, 1217 (11th
10 Cir. 2001); 29 U.S.C. § 216(b). Plaintiffs bear the burden of showing that they and the
11 proposed class are similarly situated. *Adams v. Inter-Con Sec. Sys., Inc.*, 242 F.R.D. 530,
12 535–36 (N.D. Cal. 2007).

13 Courts in the Ninth Circuit have used a two-tiered approach adopted by the Fifth,
14 Tenth, and Eleventh Circuits for determining when employees are “similarly situated.”
15 *See Stiller v. Costco*, No. 09-CV-2473-H BLM, 2010 WL 5597272, at *2–3 (S.D. Cal.
16 Dec. 13, 2010) (citing cases). During what is often referred to as a “notice stage”
17 determination, a court first determines, “on an *ad hoc* case-by-case basis, whether
18 plaintiffs are ‘similarly situated.’” *Thiessen v. Gen. Elec. Capital Corp.*, 267 F.3d 1095,
19 1102 (10th Cir. 2001) (internal citation omitted). At this first stage, a court requires
20 “nothing more than substantial allegations that the putative class members were together
21 the victims of a single decision, policy, or plan.” *Id.* at 1102–03 (internal citations and
22 quotation marks omitted). Under this lenient standard, courts require plaintiffs to “show
23 that there is some factual basis beyond the mere averments in their complaint for the class
24 allegations.” *Adams*, 242 F.R.D. at 536 (internal citation and quotation marks omitted).
25 “The standard for certification at this stage is a lenient one that typically results in
26 certification.” *Hill v. R+L Carriers, Inc.*, 690 F. Supp. 2d 1001, 1009 (N.D. Cal. 2010).
27 This leniency results in part from the fact that, unlike class actions under Rule 23, there
28 are no absent class members to protect, and there is limited evidence available at the

1 initial pleading stage. *See Hensley v. Eppendorf N. Am., Inc.*, No. 14-CV-419-BEN NLS,
2 2014 WL 2566144, at *2–3 (S.D. Cal. June 6, 2014).

3 The second determination is made at the conclusion of discovery, usually on a
4 motion for decertification by the defendant, utilizing a more stringent standard for
5 “similarly situated.” *Thiessen*, 267 F.3d at 1102. At the second stage, the court reviews
6 several factors, including the disparate factual and employment settings of the individual
7 plaintiffs; the various defenses available to the defendant which appear to be individual to
8 each plaintiff; fairness and procedural considerations; and whether the plaintiffs made
9 any required filings before instituting suit. *Id.* at 1103.

10 Employees must receive “accurate and timely notice concerning the pendency of
11 the class action, so they can make informed decisions about whether to participate.”
12 *Hoffman-LaRoche*, 493 U.S. at 170. Accordingly, under § 216(b), courts have “the
13 requisite procedural authority to manage the process of joining multiple parties in a
14 manner that is orderly, sensible, and not otherwise contrary to statutory commands or the
15 provisions of the Federal Rules of Civil Procedure.” *Id.* Courts are therefore empowered
16 to authorize notice and order the production of employee information. *See id.* at 169–74.

17 DISCUSSION

18 I. Conditional Certification of FLSA Collective Action

19 Plaintiffs’ proposed FLSA collective action class includes: “All current or former
20 employees of The Brigantine, Inc. who have worked on and after February 22, 2014 as
21 ‘servers,’ including, but not limited to, under job titles of food servers, cocktail lounge
22 servers, dining room servers, and bartenders.” (Dkt. No. 12 at 2.) Defendant does not
23 oppose Plaintiffs’ formulation of the class.

24 However, Defendant opposes Plaintiffs’ motion for conditional certification on
25 grounds that (1) there is no corporate or company-wide policy regarding tip pooling or tip
26 distributions; (2) the “Tipping Suggestions” sheet does not reflect a company-wide
27 policy, but rather reflects a suggestion created by two employees; and (3) Plaintiffs have
28 not proffered evidence regarding tip pooling at any of Defendant’s restaurants, other than

1 Brigantine Seafood in Coronado, during the relevant class period. (Dkt. No. 21 at 5–14.)
2 Defendant contends that if conditional certification is granted, the Court should narrowly
3 certify a class limited only to the Brigantine Seafood restaurant in Coronado. (*Id.* at 13–
4 14.)

5 Plaintiffs first assert that Defendant treats all servers across its restaurants
6 similarly. (Dkt. No. 12-1 at 8–9.) In support of this assertion, Plaintiffs point to
7 Brigantine’s Employee Handbook, dated August 2012 and revised in September 2014,
8 which provides policies, rules, and guidelines for employees across Brigantine’s
9 restaurants. (Dkt. No. 12-2 at 4–51, Pls.’ Ex. 2 at 1–49.) Plaintiffs also point to the
10 identical forms Brigantine distributed to employees, including the Employment At-Will
11 and Arbitration Agreement forms, Employee Handbook Acknowledgment forms, and
12 Anti-Harassment/Discrimination Policy acknowledgment forms. (Dkt. No. 9-2, Carl
13 Dec. ¶¶ 1–3, Exs. A–F.) The Court finds that at this initial stage, Plaintiffs have met their
14 burden to show that Defendant’s policies are generally not piecemeal, but uniform across
15 all restaurants. (*See also* Dkt. No. 21-5, Carl Decl. ¶ 4 (“[P]olicies are generally issued
16 from the Brigantine’s corporate offices.”).) While Defendant cites the “Tip Declaration
17 Policy” guidelines in the Employee Handbook as evidence that there is no corporate
18 policy regarding tip pooling, the “Tip Declaration Policy” guidelines, which instruct
19 employees to keep notes “on all amounts tipped out to other employees,” (Dkt. No. 12-2
20 at 34, Pls.’ Ex. 2 at 32), lends support to Plaintiffs’ assertion that any policy on tipping,
21 even if not documented in the Employee Handbook or in official corporate memoranda,
22 may likewise be uniform at all locations.

23 Plaintiffs also assert that the nature of the work performed by Brigantine servers is
24 similar among wait staff, dining room servers, cocktail servers, and bartenders, as all of
25 these employees serve customers food and beverages, for which they received customer
26 tips. (Dkt. No. 12-1 at 9; Dkt. No. 12-6, Pataky Decl. ¶¶ 4–5; Dkt. No. 12-4, Cleek Decl.
27 ¶¶ 4–5; Dkt. No. 12-5, Michelson Decl. ¶¶ 4–5.) Defendant does not dispute this
28 assertion. The Court finds that at this initial stage, Plaintiffs have carried their burden to

1 show that employees employed as “servers” are similarly situated in terms of the work
2 they perform and their receipt of tips from customers.

3 Plaintiffs further assert that Brigantine’s mandatory and enforced tip pooling
4 policy required servers to tip out portions of their earned tip income to kitchen staff who
5 do not provide direct table service to customers, and that the tip pooling policy for servers
6 was similar or identical across all of Brigantine’s restaurants. (Dkt. No. 12-1 at 9–10.) In
7 support of this assertion, Plaintiffs point to a “Tipping Suggestions” form, which features
8 a Brigantine Seafood logo on the top of the page and a table of percentage breakdowns
9 for “tipping out” to other staff. (Dkt. No. 12-2 at 3, Pls.’ Ex. 1.) Plaintiffs testify that this
10 form, or similar versions of it, were posted in the restaurant during their employment.
11 (Dkt. No. 12-6, Pataky Decl. ¶¶ 4, 7–9; Dkt. No. 12-4, Cleek Decl. ¶¶ 6–8; Dkt. No. 12-5,
12 Michelson Decl. ¶¶ 6–8.) Pataky specifically testifies that from approximately 2008 until
13 2012, he attended regular “training meetings” at Brigantine’s company headquarters on a
14 monthly or bimonthly basis. (Dkt. No. 12-6, Pataky Decl. ¶ 4.) Trainers from other
15 Brigantine restaurants, including Brigantine Seafood, Zocalo Grille, and Miguel’s
16 Cocina, attended these training meetings. (*Id.*) At these meetings, employees
17 occasionally discussed Brigantine’s tip pooling policy for servers, including the fact that
18 tip pooling was required in all of the restaurants and included kitchen staff. (*Id.*)

19 Pataky testifies that when he first began working at Brigantine, other employees
20 informed him that there was a mandatory tip pooling policy which required him to tip out
21 part of his earned income to other Brigantine employees in the restaurant, including
22 kitchen staff. (Dkt. No. 12-6, Pataky Decl. ¶¶ 4, 7–9.) Copies of a “Tipping Guidelines”
23 sheet and a “Tipping Suggestions” sheet were posted in various places in the restaurant.
24 (*Id.*) Managers regularly instructed Pataky and other servers to follow the tip pooling
25 policies; if he or other employees did not do so, managers would inquire, “Where are
26 your tips?” (*Id.*) On at least a dozen occasions, when Pataky did not automatically pay
27 out his tips to the kitchen staff at the end of a shift, a manager approached him and asked
28 him to tip out. (*Id.*) Based upon his observations, Pataky attests that other employees

1 also believed that tip pooling was required. (*Id.*) Brigantine kept records of his tips and
2 always collected tips from him to distribute to the kitchen staff. (*Id.*) Cleek and
3 Michelson corroborate these observations and testify similarly. (Dkt. No. 12-4, Cleek
4 Decl. ¶¶ 6–8; Dkt. No. 12-5, Michelson Decl. ¶¶ 6–8.)

5 In response, Defendant points out that Plaintiffs lack evidence regarding policies at
6 other restaurants owned and operated by Brigantine—all three Plaintiffs provided
7 evidence of managers requiring servers to contribute to a tip pool with kitchen staff at the
8 Coronado location only. (Dkt. No. 21 at 9.) Defendant discounts Pataky’s testimony by
9 observing that Pataky worked at a different location between 2005 and 2008, outside of
10 the relevant class period in this case, and that he stopped attending training meetings in
11 2012, also outside of the class period. (*Id.*)

12 Defendant also proffers a declaration from Deanne Carl, Brigantine’s Chief
13 Financial Officer, testifying that:

14 [P]olicies are generally issued from the Brigantine’s corporate offices. But, to my
15 recollection, we have never issued or distributed any policy or rule requiring
16 Servers to pool or share their tips with kitchen employees. The only policy we
17 have issued regarding tips is that tipped employees must report all tips for taxation
18 purposes. The only other messaging regarding tips, to my knowledge, is that
19 management cannot direct employees an how to disburse, pool, or distribute their
20 tips.

21 (Dkt. No. 21-5, Carl Decl. ¶¶ 3–4.) Defendant also proffers declarations from
22 Christina Gerena and Kayla Kuhn, two employees who worked at Defendant’s Coronado
23 and Del Mar locations. Gerena asserts that she has never seen anyone in management
24 require or suggest to employees how tips received by servers should be pooled or
25 distributed to anyone else. (Dkt. No. 21-3, Gerena Decl. ¶ 3.) Gerena testifies that she is
26 aware that the Coronado location has a tip suggestion sheet, but that to her understanding,
27 the sheet was created by a trainer, not by management, and that management has not
28 enforced the sheet. (*Id.* ¶ 4.) In 2016, Gerena and Kuhn adjusted the tip suggestion sheet
with the input of other servers—Gerena maintains that the sheet is a guideline, not a

1 requirement, and that servers have declined to tip out to other employees without
2 consequence. (*Id.* ¶¶ 5, 8.) The sheet Gerena created is the exhibit Plaintiffs attached to
3 their motion. (*Id.* ¶ 6.) Kuhn corroborates Gerena’s testimony and testifies similarly.
4 (Dkt. No. 21-4, Kuhn Decl. ¶¶ 2–9.)

5 While Defendant has proffered evidence disputing Plaintiffs’ allegations and
6 affidavits, Defendant’s argument goes to the merits of Plaintiffs’ claims. The Court
7 declines to visit the merits at this early stage. *See Adams*, 242 F.R.D. at 539. “All that
8 need be shown by the plaintiff is that some identifiable factual or legal nexus binds
9 together the various claims of the class members in a way that hearing the claims together
10 promotes judicial efficiency and comports with the broad remedial policies underlying
11 the FLSA.” *Hill*, 690 F. Supp. 2d at 1009 (internal citation and quotation marks omitted).
12 As Plaintiffs observe, Defendants’ evidence does not negate the evidence proffered by
13 Plaintiffs, particularly Plaintiffs’ testimony regarding personal experiences with
14 managers requiring Plaintiffs to tip out to kitchen staff. That the corporate office may not
15 have issued a formal company-wide policy on mandatory tipping pools does not negate
16 the possibility that such an undocumented policy exists. That Kuhn and Gerena, who
17 worked at two of Defendant’s restaurants, testified that they adjusted the tipping
18 suggestions sheet and believed that the suggestions were employee-initiated does not
19 shed light on the existence of similar policies at other restaurants or prove that the
20 suggestions were in fact not mandatory in practice. At this stage, the Court need not
21 resolve these questions, particularly where Plaintiffs’ allegations are not based on
22 conclusory allegations or mere speculative belief.

23 Plaintiffs have also provided an identifiable legal and factual nexus binding
24 together various claims of the proposed class—a uniform or substantially similar tipping
25 policy across Defendant’s restaurants that violates 29 U.S.C. §§ 203(m) and 206 by
26 including in the tipping pool employees who do not customarily and regularly receive
27 tips. While Pataky’s testimony regarding the existence of similar tipping policies across
28 Defendant’s restaurants stems from personal knowledge acquired between 2008 and

1 2012, the fact that such policies were allegedly maintained across all restaurants for four
2 years does not render implausible, but rather lends support to Plaintiffs' claim that such
3 policies have continued into the relevant class period. Moreover, hearing the collective
4 claims together promotes judicial efficiency. Indeed, if Defendant is correct that none of
5 the restaurants, outside of Brigantine's Coronado location, maintained illegal tip pooling
6 policies, Defendant may later rebut Plaintiffs' allegations of a company-wide policy
7 across the class by way of a motion for decertification, other dispositive motions, or trial.

8 At this stage, Plaintiffs have met their lenient burden to show that they are
9 similarly situated to potential class members. The Court, in its discretion, **GRANTS**
10 Plaintiffs' motion for concludes that conditional certification of a FLSA collective action
11 class.

12 **II. Notice**

13 Plaintiffs propose Notice and Consent forms to distribute to the FLSA opt-in class.
14 (Dkt. No. 12-2 at 55–56, Pls.' Exs. 5–6.) Defendant objects to certain content in the
15 proposed Notice. (Dkt. No. 21 at 15–17.)

16 Defendant objects to the following three statements, which describe tip pooling
17 without identifying the salient alleged violation of 29 U.S.C. §§ 203(m) and 206:

18 Plaintiffs' claim is that they were required to tip pool with other employees in
19 violation of federal law and California law, and all servers are entitled to
20 repayment of tips by Brigantine.

21 You are receiving this notice because Brigantine's records indicate that you have
22 worked as a server for Brigantine at some time since February 22, 2014 and may
23 have been a part of a tip pool with other employees, and your rights regarding the
24 federal laws in the case may be affected.

25 If you want to join the lawsuit and assert your rights under the federal law for tip
26 pooling to try and recover money that may be owed to you, you must: 1) return the
27 enclosed form to the Plaintiffs' lawyers so they can file it with the Court, or 2) file
28 a request to join by yourself or through your own attorney at your expense.

(Dkt. No. 12-2 at 55, Pls.' Ex. 5.)

1 The Court agrees that the language about tip pooling is overbroad. *See* 29 U.S.C. §
2 203(m) (“[T]his subsection shall not be construed to prohibit the pooling of tips among
3 employees who customarily and regularly receive tips.”); *Oregon Rest. & Lodging Ass’n*
4 *v. Perez*, 816 F.3d 1080, 1082 (9th Cir. 2016) (“Under section 203(m), a tip pool is valid
5 if it is comprised exclusively of employees who are ‘customarily and regularly’ tipped.”).
6 Plaintiffs should alter the language to include the distinction between employees who are
7 customarily and regularly tipped. For example: “Plaintiffs’ claim is that they were
8 required to tip pool with other employees who are not customarily and regularly tipped,
9 such as kitchen staff, in violation of federal law and California law, and all servers are
10 entitled to repayment of tips by Brigantine.”

11 Defendant also objects to the following statement: “The Court has made a
12 preliminary determination that the Plaintiffs’ federal law claim in the lawsuit may
13 proceed and include other servers who may want to join the case.” (Dkt. No. 12-2 at 55,
14 Pls.’ Ex. 5.) Defendant contends that the language used in the statement suggests that the
15 Court agrees with Plaintiffs’ claims.

16 The Supreme Court has cautioned that “[i]n exercising the discretionary authority
17 to oversee the notice-giving process, courts must be scrupulous to respect judicial
18 neutrality. To that end, trial courts must take care to avoid even the appearance of
19 judicial endorsement of the merits of the action.” *Hoffmann-La Roche*, 493 U.S. at 174.
20 Out of an abundance of caution, the Court proposes the following changes:

21 The Court is allowing other servers to opt in to join the Plaintiffs’ federal law
22 claim. Brigantine may later challenge whether the Plaintiffs’ federal law claim
23 may continue to proceed as a collective action. The Court has not yet made a
24 determination about whether the rest of the case will proceed as a class action to
25 include Plaintiffs’ state law claims. The Court has also not yet determined whether
26 Brigantine has violated any laws. Plaintiffs will be required to prove their case at a
27 later date.

28 The Court also observes that Plaintiffs have not included a deadline for potential
class members to opt in. “Where a district court authorizes the named plaintiffs in a

1 FLSA collective action to send notice to all potential plaintiffs, it may set a deadline for
2 plaintiffs to join the suit by filing opt-in consent forms.” *Stickle v. SCI W. Mkt. Support*
3 *Ctr., L.P.*, No. 08-083-PHX-MHM, 2009 WL 3241790, at *8 (D. Ariz. Sept. 30, 2009)
4 (citing *Hoffmann La Roche*, 493 U.S. at 172; *Does I thru XXIII v. Advanced Textile*
5 *Corp.*, 214 F.3d 1058, 1064 (9th Cir. 2000)). A 60-day deadline or other reasonable
6 timeframe agreeable to the parties would serve the interests of efficiency and judicial
7 economy by minimizing the potential for later collateral disputes over the timeliness of
8 opt-in attempts. *See id.*

9 While Plaintiffs insist that Defendant’s request to meet and confer about the
10 language of the proposed Notice form is purely dilatory, (Dkt. No. 23 at 10), the Court
11 disagrees. The Court directs the parties to meet and confer in good faith and file a joint
12 proposed final Notice form that addresses the above concerns no later than seven (7) days
13 after the entry of this Order.

14 **III. Production of Employee Information**

15 Plaintiffs request the Court to order Defendant to provide Plaintiffs’ counsel with
16 the names, current or last known address, telephone numbers, dates of employment, and
17 employee identification numbers for all servers who have been employed by Defendant
18 from February 22, 2014 through the date of entry of this Order. (Dkt. No. 12-1 at 15.)
19 Plaintiffs’ counsel maintain that they will use such information for this case only, and for
20 the purpose of contacting individual members to provide them with the Notice and
21 Consent forms, at Plaintiffs’ cost. (*Id.* at 15–16.) Plaintiffs seek an order requiring
22 Defendant to post the Notice within all of Brigantine’s restaurant locations in
23 conspicuous locations, in the same areas in which it is required to post other employee
24 notices and postings. (*Id.*)

25 Defendant opposes the method of effectuating Plaintiffs’ request, asserting that the
26 information sought should be provided to a third-party administrator or other neutral
27 third-party without an interest in the case. (Dkt. No. 21 at 14–15.) Plaintiffs respond that
28

1 use of a third-party administrator will delay the notice process and will involve additional
2 expense. (Dkt. No. 23 at 8–9.)

3 The Court begins by noting that courts may, under § 216(b), direct a defendant
4 employer to disclose the names and addresses of potential class members. *See Hoffmann-*
5 *La Roche*, 493 U.S. at 170 (holding that “[t]he District Court was correct to permit
6 discovery of the names and addresses of the discharged employees” for purposes of
7 notice under § 216(b)); *Tomassi v. City of Los Angeles*, No. CV 08-1851 DSF SSX, 2008
8 WL 4722393, at *3 (C.D. Cal. Oct. 24, 2008) (ordering defendant in an FLSA collective
9 action to produce to plaintiffs names and addresses of potential class members over
10 defendant’s privacy objections). While production of such information to a third-party
11 administrator is certainly permissible, Defendant cites cases wherein there was no dispute
12 over production of the requested information to a third-party administrator. *See Wren v.*
13 *Rgis Inventory Specialists*, No. C-06-05778JCS, 2007 WL 4532218, at *9 (N.D. Cal.
14 Dec. 19, 2007) (directing production of contact information to third-party administrator
15 where plaintiffs did not oppose defendant’s request to do so); *Kellgren v. Petco Animal*
16 *Supplies, Inc.*, No. 13CV644 L KSC, 2015 WL 5167144, at *6 (S.D. Cal. Sept. 3, 2015)
17 (same). Defendant has not established why such discoverable information may only be
18 produced by a third-party administrator.

19 The Court expresses concern regarding Plaintiffs’ request for production of
20 potential class members’ telephone numbers and the corresponding potential for
21 unwarranted intrusions of privacy. Plaintiffs have not provided any reason why such
22 information is necessary to effectuate notice, where mailing the Notice forms and posting
23 copies in Defendant’s restaurants would clearly otherwise suffice. *See Stickle v. SCI W.*
24 *Mkt. Support Ctr., L.P.*, No. 08-083-PHX-MHM, 2009 WL 3241790, at *7 (D. Ariz.
25 Sept. 30, 2009) (denying plaintiffs’ request for the telephone numbers and social security
26 numbers of proposed class members, where notice was to be accomplished via first class
27 mail).

1 The Court accordingly orders Defendant to produce the potential class members’
2 names, addresses, employment dates, and employment identification numbers to
3 Plaintiffs no later than fourteen (14) days after the entry of this Order. To the extent
4 Plaintiffs decide that they nonetheless need potential class members’ telephone numbers
5 to effectuate notice, Plaintiffs are directed to meet and confer with Defendant to address
6 Defendant’s concerns and formulate an actionable plan for how to proceed (*e.g.*,
7 proposing a protective order for use of such information). If the parties remain unable to
8 reach a conclusion within twenty-one (21) days of this Order, they are directed to submit
9 a joint statement of no more than ten pages to the Court with their respective positions,
10 plans, and substantiating authority.

11 Finally, Defendant does not oppose Plaintiffs’ request to post the Notice form in all
12 of its restaurant locations. The Court finds that this form of notice is proper and tailored
13 to serve its purpose. *See, e.g., Romero v. Producers Dairy Foods, Inc.*, 235 F.R.D. 474,
14 492 (E.D. Cal. 2006) (ordering defendant employer to post the notice in employees’
15 workplaces). Defendant is accordingly ordered to post the finalized Notice form in each
16 of its restaurants in the same areas in which it is required to post other employee notices
17 and postings.

18 CONCLUSION

19 For the foregoing reasons, the Court **GRANTS** Plaintiffs’ motion for conditional
20 certification of the following FLSA collective action class: “All current or former
21 employees of The Brigantine, Inc. who have worked on and after February 22, 2014 as
22 ‘servers,’ including, but not limited to, under job titles of food servers, cocktail lounge
23 servers, dining room servers, and bartenders.”

24 The Court **DIRECTS** the parties to meet and confer regarding the Notice form and
25 submit a joint proposed final Notice form to the Court within seven (7) days of entry of
26 this Order.

1 The Court **DIRECTS** Defendant to produce potential class members' names,
2 addresses, employment dates, and employment identification numbers to Plaintiffs no
3 later than fourteen (14) days after the entry of this Order.

4 **IT IS SO ORDERED.**

5 Dated: May 8, 2017

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7 Hon. Gonzalo P. Curiel
8 United States District Judge
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