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8 UNITED STATES DISTRICT COURT
9 SOUTHERN DISTRICT OF CALIFORNIA

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11 EDWARD R. GALLAGHER,

12 Plaintiff,

13 v.

14 DAVID PHILIPPS and KENNETH JOHN
15 BRAITHWAITE II, in his capacity as
16 Secretary of the Navy,

17 Defendants.

Case No.: 20cv993-JLS(BLM)

**ORDER GRANTING JOINT MOTION
FOR PROTECTIVE ORDER REGARDING
CONFIDENTIAL INFORMATION**

[ECF No. 53]

18 On November 20, 2020, the parties filed a joint motion for entry of a protective order.
19 ECF No. 53. Though the parties largely agreed on the language in their proposed protective
20 order, they could not agree on a provision discussing the categories of people that may receive
21 confidential information in connection with the case. Id. at 2. Specifically, the parties disagree
22 on the language of Section E.3.i which states:

23 "In-house counsel for The New York Times, and staff and supporting personnel of
24 such attorneys assisting with respect to this action."

25 Id. Defendant Philipps argues that he is a reporter employed by The New York Times and that
26 Plaintiff's claims against him arise from the articles he wrote for, and that were published in,
27 The Times. Id. at 4. Additionally, Defendant Philipps explains that counsel for The Times has
28 provided him with legal guidance before and throughout his litigation with Plaintiff. Id.

1 Therefore, Defendant Philipps asserts that “[i]t is paramount that Mr. Philipps’s longstanding
2 counsel through The Times be able to fully participate in his legal defense.” Id. at 4-5.

3 Plaintiff opposes Defendant Philipps’s proposed language and argues that Plaintiff
4 intentionally did not sue The Times as he has no reason to believe that The Times was
5 intentionally publishing untrue defamatory material. Id. at 3. Plaintiff notes that his complaint
6 alleges that Defendant Philipps lied to his editors at The Times in order to push a false narrative
7 against Plaintiff. Id. at 2. Plaintiff contends that the fact that The Times is not a defendant in
8 the instant action “highlights the potentially adverse interests of the Times and Mr. Philipps,
9 making disclosure of privileged information to in-house counsel potentially inappropriate.” Id.
10 at 3. Plaintiff further asserts that Defendant Philipps’s counsel, Davis Wright Tremaine LLP, is
11 engaged in dual representation of both The Times and Defendant Philipps with the newspaper
12 “paying the bill” which creates a potential conflict of interest, particularly in light of the potentially
13 adverse interests of Defendant Philipps and The Times. Id. Other than again highlighting this
14 potential conflict, Plaintiff does not identify any prejudice that could arise from the disclosure of
15 documents to counsel for The Times.

16 Federal Defendant notes that he understands Defendant Philipps’s interest in
17 communicating with counsel for the New York Times and has negotiated with Defendant
18 Philipps’s counsel regarding the scope of the provision at issue. Id. at 5. Federal Defendant
19 further states that, “because excluding the provision would not implicate any Government
20 interests, Federal Defendant takes no position on whether it should be included.” Id.

21 After reviewing the parties’ Proposed Protective Order [see Attachment A] and
22 considering the parties’ arguments, the Court **GRANTS** the parties’ joint motion and includes
23 Defendant Philipps’s proposed language in Section E.3.i.

24 **IT IS SO ORDERED.**

25 Dated: 11/25/2020


26 Hon. Barbara L. Major
27 United States Magistrate Judge
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ATTACHMENT A

1 With the agreement of the parties, the Court having determined that there is good cause
2 for issuance of a protective order pursuant to Federal Rule of Civil Procedure 26(c) to govern
3 the disclosure, use, and handling by the parties and their respective agents, successors, personal
4 representatives and assignees of certain information and items produced and received in
5 discovery in the above-captioned action, IT IS HEREBY ORDERED as follows:

6 A. Definitions

7 1. "Action" shall mean the above-captioned case.

8 2. "Confidential Information" shall mean information that, at the time of its
9 production in discovery in the action, is designated confidential by the Producing Party because
10 of a good faith belief that the information: is not in the public domain, or if in the public domain,
11 is improperly in the public domain; and (a) is a trade secret or other confidential research,
12 development, or commercial information as such terms are used in Federal Rule of Civil
13 Procedure 26(c)(1)(G); (b) personal financial, medical or other private information relating to
14 an individual that would properly be redacted from any public court filing pursuant to Federal
15 Rule of Civil Procedure 5.2.; (c) is information protected by the provisions of the Privacy Act of
16 1974, 5 U.S.C § 552a; (d) is law enforcement sensitive information, such as information about
17 law enforcement operations, investigations, equipment, personnel, sources, techniques,
18 procedures, or guidelines that would be protected from public disclosure under the Freedom of
19 Information Act, 5 U.S.C. § 552(b)(7); or (e) is proprietary or competitively sensitive business,
20 commercial, research, development, or financial information.

21 3. "Disclose" (or forms thereof) shall mean to distribute, provide, or otherwise make
22 available for access, viewing, or copying. "Disclose" shall include the actual covered document
23 or item as well as the contents or information contained therein, such that disclosing a copy,
24 summary, paraphrasing, or characterization would be considered a disclosure of the document
25 itself for purposes of this Protective Order.

26 4. "Document" shall mean all items listed in Federal Rule of Civil Procedure
27 34(a)(1)(A) & B.

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1 5. “Challenging Party” shall mean any party who challenges the designation of
2 information as Confidential Information under this Protective Order.

3 6. “Designating Party” shall mean the party or other person producing in discovery
4 in the Action any information that the Producing Party seeks to designate and have treated as
5 Confidential Information pursuant to this Protective Order.

6 7. “Producing Party” shall mean the person or party producing in discovery in the
7 Action.

8 8. “Receiving Party” shall mean any party who receives information that has been
9 designated as Confidential Information.

10 B. Purpose, Scope, and Limitations of Protective Order

11 1. This Protective Order applies to discovery, pre-trial and (to the extent approved
12 by the Court) trial and post-trial proceedings in this action, whether the Documents are produced
13 by a party or a person or entity who is not a party to this action (a “non-party”). This Order
14 binds the Parties and their respective agents, successors, personal representatives, and
15 assignees.

16 2. Nothing in this Protective Order supersedes existing independent statutory, law
17 enforcement, national security, or regulatory obligations imposed on a Party, and this Protective
18 Order does not prohibit or absolve the Parties from complying with such other obligations.

19 3. This Protective Order shall not prejudice in any way any party’s ability to challenge
20 the use or disclosure of information other than information designated as Confidential
21 Information under this Protective Order in this Action. A party’s compliance with the terms of
22 this Protective Order shall not operate as an admission that any particular material is or is not
23 (a) confidential, (b) privileged, or (c) admissible in evidence at trial. The designation of
24 documents as Confidential shall not affect any party’s burden of proof imposed by relevant
25 substantive law.

26 4. The protections conferred by this Protective Order do not cover any information
27 (i) properly in the public domain; (ii) becomes part of the public domain after its disclosure to a
28 Receiving Party as a result of publication not involving a violation of this Protective Order,

1 including becoming part of the public record in this Action through trial or otherwise; or (iii)
2 known to the Receiving Party prior to the disclosure or obtained by the Receiving Party after the
3 disclosure from a source who obtained the information lawfully and under no obligation of
4 confidentiality to the Producing Party.

5 5. This Protective Order does not govern the use by the Parties of Confidential
6 Information in open court at any hearing or trial, but the Parties reserve the right to seek relief
7 from the Court in connection with the intended use of Confidential Information in any such
8 hearing or trial.

9 6. This Protective Order governs the disclosure, use, and handling of all Confidential
10 Information, regardless of the format or medium in which such Confidential Information is
11 generated, stored, or maintained.

12 7. Any Confidential Information referenced in any pleading or contained in any
13 Document filed with the Court in this Action by the Producing Party shall at the time of filing
14 cease to be Confidential Information unless the Producing Party files the un-redacted pleading
15 or Document under seal.

16 8. Nothing in this Protective Order shall restrict the right of any Producing Party to
17 use its own Confidential Information for any purpose whatsoever, but if any such use results in
18 a disclosure that causes the Confidential Information to lose its designation as Confidential
19 Information, then it shall no longer be subject to any protection under this Protective Order.

20 9. This Protective Order applies only to disclosures, uses, and handling of Confidential
21 Information occurring after the entry of this Protective Order.

22 10. Neither the termination of this Action nor the termination of employment of any
23 person who has had access to any Confidential Information shall relieve such person of his or
24 her obligations under this Protective Order, which shall survive.

25 11. Any party may at any time seek modification of this Order by agreement or, failing
26 agreement, by motion to the Court.

27 C. Method for Designating Confidential Information

28 1. Designations of Confidential Information shall be made by the Producing Party,

1 prior to or at the time of production, except as otherwise provided by this Protective Order.

2 2. The Designation of Confidential Information should be limited only to those
3 Documents or portions of Documents that qualify under the appropriate standards or under the
4 definition of “Confidential Information” in Section A(2) of this Protective Order.

5 3. Documents produced in discovery in this Action may be designated as containing
6 “Confidential Information.” For documents produced in paper or an electronic form that allows
7 endorsements or similar designation on the image, the designation shall appear by the inclusion
8 of the marking of “Confidential, Subject to Protective Order Dkt. No [X]” on each page of the
9 document asserted to contain Confidential Information. For electronic information that is
10 provided in native form or a format that is not amenable to visible endorsement on the image,
11 the file name(s) shall begin with “Confidential.”¹ The media on which the Confidential
12 Information is provided (e.g., CD, DVD, external hard drive), if any, also must be and remain
13 plainly labeled with “Confidential, Subject to Protective Order Dkt. No [X]” unless and until the
14 protection of the data within the media is removed. Any copying or transferring of electronic
15 files that are designated as Confidential Material must be done in a manner that maintains and
16 the protection for all copies, including, but not limited to, in the filename(s) and the location
17 where the copies are stored and users’ access thereto.

18 4. For interrogatory answers and responses to requests for admissions, designation
19 of Confidential Information shall be made by placing within each interrogatory answer or
20 response to requests for admission asserted to contain Confidential Information the following:
21 “The following response is CONFIDENTIAL pursuant to the Court’s Protective Order dated
22 MM/DD/YYYY (Dkt. No. X).”

23 5. For depositions, designation of Confidential Information shall be made during the
24 deposition on the record that should include reasons for the assertion, or by letter from counsel
25 within twenty-one (21) days of receipt of the official deposition transcript or copy thereof (or
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28 ¹ If required by agreement or court order, the original metadata of the native files should be retained.

1 written notification that the transcript is available), listing the specific pages and lines of the
2 transcript and/or any exhibits that should be treated as Confidential Information. The entire
3 deposition transcript (including any exhibits not previously produced in discovery in this Action)
4 shall be treated as Confidential Information under this Protective Order until the expiration of
5 the above-referenced 21-day period for designation, except that the deponent (and his or her
6 counsel, if any) may review the transcript of his or her own deposition during the 21-day period
7 subject to this Protective Order and the requirement of executing the certification attached as
8 Exhibit A. After designation of Confidential Material is made, the following shall be placed on
9 the front of the original and each copy of a deposition transcript containing Confidential
10 Information: "Contains Confidential Information Subject to Protective Order Dkt. No [X]". If the
11 deposition was filmed, both the recording storage medium (i.e. CD or DVD) and its container, if
12 any, shall be "Contains Confidential Information Subject to Protective Order Dkt. No [X]", as
13 relevant.

14 6. For any other Document or item produced in discovery in this Action not falling
15 within subparagraphs C(3), (4) or (5) above, designation of Confidential Information shall be
16 made by labeling the item or the item's container with "Confidential, Subject to Protective Order
17 Dkt. No [X]". If only a portion or portions of the information contained in the item warrant
18 protection as Confidential Information, it shall be accompanied by a cover letter identifying the
19 specific portion or portions so designated.

20 7. If it comes to a Producing Party's attention that information designated as
21 Confidential Information does not qualify or no longer qualifies for protection, the Producing
22 Party must promptly notify all Parties that it is withdrawing the designation for the applicable
23 information. [*See* paragraph (D)(7) below (providing for re-production of information after
24 designation is removed or withdrawn).]

25 D. Challenging Confidential Designations

26 1. A Challenging Party shall not be obliged to challenge the propriety of a Confidential
27 Information designation at the time made, and a failure to do so shall not preclude a subsequent
28 challenge thereto.

1 2. The Challenging Party shall initiate a challenge to the designation of any
2 Confidential Information under this Protective Order by providing to the Designating Party (a)
3 written notice of each designation it is challenging and (b) a description of the basis of each
4 challenge.

5 3. The Challenging Party and the Designating Party shall attempt to resolve each
6 challenge in good faith and must begin a meet and confer process within seven (7) calendar
7 days after the Designating Party receives notice from the Challenging Party. During the
8 conferring process, the Challenging Party must convey its basis for the challenge and the
9 Designating Party must have an opportunity to review the applicable documents and either keep
10 or change the designation. If the challenged designation(s) total 100 pages or less, the
11 Designating Party must communicate its decision to the Receiving Party within twenty-one (21)
12 calendar days after receipt of notice of the challenge, or within a reasonable time agreed to by
13 the Parties. For designation(s) totaling more than 100 pages, the parties, acting in good faith,
14 shall agree on a reasonable time for the Designating Party to advise the Challenging Party of its
15 decision.

16 4. If the Designating Party decides to withdraw its designation, it shall give notice of
17 this change to all parties. [(See paragraph 7 below (providing for re-production of information).]

18 5. If the Challenging and Designating Parties cannot come to a resolution within the
19 time set forth in paragraph 3 above, or as otherwise agreed, the Challenging Party may seek a
20 determination from the Court by motion.

21 6. Any information designated as Confidential Information pursuant to and after the
22 entry by the Court of this Protective Order shall be treated as Confidential Information until such
23 time as (a) the Designating Party agrees that it shall no longer be treated as Confidential
24 Information or (b) the Court rules that such information should not be treated as Confidential
25 Information.

26 E. Disclosure, Use, and Handling of Confidential Information

27 1. A Receiving Party may use Confidential Information, in connection with this Action
28 only for prosecuting, defending, or attempting to settle this Action, and shall disclose such

1 Confidential Information only in accordance with the terms of this Protective Order.

2 2. Counsel of record are responsible for employing reasonable measures, consistent
3 with this Protective Order, to control access to and secure distribution of Confidential
4 Information.

5 3. Confidential Information shall only be disclosed, summarized, described,
6 characterized, or otherwise communicated or made available in whole or in part to the following
7 persons:

- 8 a. Counsel (including outside counsel) for the Parties, including associated personnel
9 necessary to assist counsel in this Action, such as litigation assistants, paralegals, and
10 litigation support, information technology, information or records management,
11 investigative, secretarial, or clerical personnel;
- 12 b. Current employees of the Parties who are assisting with respect to this Action;
- 13 c. Any person with prior authorized access to the Confidential Information;
- 14 d. Current employees of the Producing Party;
- 15 e. Witnesses, potential witnesses, and deponents, including their counsel;
- 16 f. Court reporters and other persons not employed by this Court, retained to record or
17 transcribe testimony or argument at interviews or depositions in connection with this
18 Action;
- 19 g. Photocopying, data processing, and other support services that are reasonably
20 necessary to litigation in this Action;
- 21 h. Retained expert witnesses and consultants;
- 22 i. In-house counsel for The New York Times, and staff and supporting personnel of such
23 attorneys assisting with respect to this Action;
- 24 j. Mediators or arbitrators; and
- 25 k. This Court (including any judicial officer to whom this Court may refer this matter for
26 settlement purposes), jurors, and Court personnel, including persons recording or
27 transcribing testimony or argument at a conference, hearing, trial, or appeal in this
28 Action.

1 4. Disclosure to the persons referenced in subsections (E)(3)(a)-(i) above may only
2 occur after the person to whom the disclosure is being made has been given a copy of this
3 Protective Order and has signed a declaration in the form attached hereto as "Exhibit A."

4 5. Persons receiving Confidential Information pursuant to the terms of this Protective
5 Order are prohibited from disclosing it to any person except in conformance with this Protective
6 Order.

7 6. Unless the Designating Party gives written permission, all Confidential Information
8 that is filed with the Court must be (1) filed under seal or *in camera* in accordance with the
9 Court's Local Rules and procedures, and/or (2) redacted from any filing that is publicly available.

10 7. Filing Under Seal. Before any materials produced in discovery, answers to
11 interrogatories, responses to requests for admissions, deposition transcripts, or other documents
12 which are designated as Confidential Information are filed with the Court for any purpose, the
13 party seeking to file such material must seek permission of the Court to file the material under
14 seal. No document may be filed under seal, i.e., closed to inspection by the public except
15 pursuant to a Court order that authorizes the sealing of the particular document, or portions of
16 it. A sealing order may issue only upon a showing that the information is privileged or
17 protectable under the law. The request must be narrowly tailored to seek sealing only of
18 confidential or privileged material. To file a document under seal, the parties must comply with
19 the procedures explained in Section 2.j of the Electronic Case Filing Administrative Policies and
20 Procedures Manual for the United States District Court for the Southern District of California and
21 Civil Local Rule 79.2. In addition, in accordance with Judge Major's preferences, a party must
22 file a 'public' version of any document that it seeks to file under seal. In the public version, the
23 party may redact only that information that is deemed 'Confidential.' The party should file the
24 redacted document(s) simultaneously with a joint motion or ex parte application requesting that
25 the confidential portions of the document(s) be filed under seal and setting forth good cause for
26 the request.

27 8. If a Receiving Party or anyone subject to this Protective Order receives a subpoena
28 under Fed. R. Civ. P. 45 (or an equivalent mechanism under state law) seeking Confidential

1 Information as designated in this Action, the Receiving Party or such individual shall promptly
2 notify the Designating Party and shall not disclose any Confidential Information until the
3 Designating Party has had a reasonable opportunity to inform the subpoenaed person either (a)
4 the Designating Party does not object to the production of the Confidential Information or (b)
5 that that the Designating Party will seek appropriate relief or protection from the proper Court
6 to prevent the production. The Designating Party shall bear the burden and expense of seeking
7 protection of its designated Confidential Information, and nothing in this Protective Order should
8 be construed as authorizing or encouraging a subpoenaed person to disobey a lawful directive
9 from this or another court.

10 F. Inadvertent Production of Confidential Information

11 1. Nothing herein shall be deemed or construed as a waiver of any applicable
12 privilege, right of privacy, or proprietary interest with respect to any information or item. The
13 Parties agree to follow Fed. R. Civ. P. 26(b)(5)(B) with respect to any inadvertently or
14 unintentionally produced or disclosed Confidential Information.

15 2. If a Receiving Party learns that, by inadvertence or otherwise, it, or a person to
16 whom it has disclosed Confidential Information in accordance with this Protective Order, has
17 disclosed Confidential Information to any person or in any circumstance not authorized under
18 this Protective Order, the Receiving Party shall, upon learning of the unauthorized disclosure:
19 (a) promptly notify the person(s) to whom the unauthorized disclosure was made that the
20 unauthorized disclosure contains Confidential Information subject to this Protective Order; (b)
21 promptly make all reasonable efforts to obtain the return of the Confidential Information and to
22 prevent further unauthorized disclosures of the Confidential Information, including requesting
23 the person who received the unauthorized disclosure to agree to be bound by the terms of this
24 Protective Order by executing a declaration in the form attached as "Exhibit A"; and (c) within
25 five (5) calendar days notify the Producing Party and all other Parties of the identity of the
26 person(s) to whom the unauthorized disclosure was made, the circumstances surrounding the
27 disclosure, and the steps taken to prevent any use or further disclosure of the Confidential
28 Information that was the subject of the unauthorized disclosure.

1 G. Disposition of Documents Containing Confidential Information

2 1. Except as provided in this Protective Order, within 90 days of the final termination
3 of this Action, whether by settlement, judgment, or other disposition or conclusion and all
4 appeals or opportunities to appeal therefrom, a Receiving Party shall take reasonable steps
5 either to (a) destroy or delete all items designated as Confidential Information or (b) return
6 them to the Designating Party, depending upon the Designating Party's stated reasonable
7 preference, except materials that exist on back-up tapes or similar systems. Materials that exist
8 on back-up tapes, systems, or similar storage need not be immediately deleted or destroyed,
9 and, instead, such materials overwritten and destroyed in the normal course of business. Until
10 they are overwritten in the normal course of business, the Receiving Party will take reasonable
11 steps to limit access, if any, to the persons necessary to conduct routine IT and cybersecurity
12 functions. In the course of disposing of information in its possession under this paragraph,
13 Receiving Party also will take reasonable steps to notify persons to whom it distributed
14 Confidential Information pursuant to this Order that such information should be returned to
15 Receiving Party or destroyed by the person possessing the information with written confirmation
16 to Receiving Party.

17 a. For material that contains or reflects Confidential Information, but that
18 constitutes or reflects counsel's work product, or that of retained consultants
19 and experts, counsel of record for the Parties shall be entitled to retain such
20 work product in their files in accordance with the provisions of this Protective
21 Order, so long as it is and remains clearly marked to reflect that it contains
22 Confidential Information subject to this Protective Order.

23 b. Counsel of record for the Parties shall also be entitled to retain an archival copy
24 of all pleadings; affidavits; motion papers; trial, deposition, and hearing
25 transcripts; legal memoranda; correspondence; deposition and trial exhibits;
26 expert reports; briefs; other papers filed with the Court; and any other parts of
27 the trial record, even if such material contains Confidential Information, so long
28 as such material is and remains clearly marked to reflect that it contains

1 Confidential Information. Even after the final disposition of this Action, the
2 terms of this Protective Order shall continue to govern the disclosure, use, and
3 handling of any Confidential Information unless and until its Designating Party
4 agrees otherwise in writing or a court order directs.

- 5 c. In particular, attorneys for the United States may maintain copies of any
6 documents designated Confidential in their case file for this case, and may
7 maintain copies of any notes or summaries containing such Confidential
8 material in their case file for this case, subject to 44 U.S.C. § 3101, *et seq.*,
9 and 5 U.S.C. § 552, *et seq.*

10 H. Modification of the Protective Order by the Court.

11 The Court may modify the terms and conditions of the Order for good cause, or in the
12 interest of justice, or on its own order at any time during these proceedings.