

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO
Magistrate Judge Boyd N. Boland

Civil Action No. 08-cv-00756-LTB-BNB

RONALD SANDERSON,

Plaintiff,

v.

OFFICER HARROLD BRANT,
OFFICER DAVE KREIGER,
OFFICER CRAIG MORGAN,
OTHER UNKNOWN AURORA POLICE OFFICERS, and
POLICE S.W.A.T. MEMBERS,

Defendants.

RECOMMENDATION OF UNITED STATES MAGISTRATE JUDGE

This matter arises on the **Defendants' Motion to Dismiss for Plaintiff's Failure to Comply with Court's Order** [Doc. #74, filed 07/29/2009]. I respectfully RECOMMEND that the Motion be GRANTED and that the Complaint be DISMISSED WITH PREJUDICE.

Plaintiff was granted leave to proceed *in forma pauperis* pursuant to 28 U.S.C. § 1915, without payment of an initial partial filing fee. The order states, in part, the following:

It is FURTHER ORDERED that the Prisoner's Motion and Affidavit for Leave to Proceed Pursuant to 28 U.S.C. § 1915 is granted. Plaintiff shall be required to pay the full amount of the required \$350.00 filing fee pursuant to § 1915(b)(1) regardless of the outcome of this action. It is

FURTHER ORDERED that Plaintiff shall not be required to pay an initial partial filing fee. It is

FURTHER ORDERED that, until the \$350.00 filing fee is paid in full, Plaintiff is required to make monthly payments of twenty percent (20%) of the preceding month's income credited to his trust fund account or to show cause each month why he has no

assets and no means by which to make the monthly payment. In order to show cause, Plaintiff must file a current certified copy of his trust fund account statement. Plaintiff is directed to make the necessary arrangements to have each monthly payment identified by the civil action number on this order. It is

FURTHER ORDERED that if within the time allowed Plaintiff fails to have each monthly payment sent to the clerk of the court or to show cause as directed above why he has no assets and no means by which to make a monthly payment, the Prisoner Complaint will be dismissed without further notice.

Order Directing Clerk to Commence Civil Action and Granting Plaintiff Leave to Proceed

Pursuant to 28 U.S.C. § 1915 [Doc. #2], p. 2.

Section 1915(b)(2), 28 U.S.C., requires that a prisoner “shall make monthly payments of 20 percent of the preceding month’s income credited to the prisoner’s account,” provided the prisoner’s account exceeds \$10.00. This provision requires the prisoner to make the payment at the time his account is credited, before the prisoner engages in other, discretionary spending. Harris v. Colorado Dept. of Corrections, No. 00-N-1066, 2000 WL 33193816, at *1 (D. Colo. December 19, 2000).

The plaintiff did not make monthly payments to the court nor did he show cause why he could not make payments for the months of October, November, and December 2008, and January and February 2009. Consequently, on March 9, 2009, I ordered the plaintiff to either make the required monthly payments or show cause why he could not [Doc. #67].

I warned the plaintiff that it is not acceptable him to meet his monthly obligations only when specifically called upon by the court through an order to pay or show cause, and I ordered him to, by the **15th day** of **each** month and without any further notice from or order of the court, either to make the required monthly payment for each preceding month or to file a certified copy of his inmate trust fund account statement for the preceding month demonstrating that he has no

assets and no means by which to make the monthly payment. I further warned the plaintiff that if he “fails hereafter to comply with this requirement in any month prior to the date on which the filing fee is paid in full, I will recommend that the case be dismissed for failure to comply with this order and with the order allowing plaintiff to proceed *in forma pauperis* and without payment of his initial partial filing fee [Doc. #2].”

On April 6, 2009, the plaintiff submitted to the court payment in the amount of \$40.00 [Doc. #69]. The plaintiff did not submit a copy of his trust fund account statement with the payment. Therefore, it is unclear whether the payment constitutes full payment for the months of October, November, and December 2008, and January and February 2009.

Also on April 6, 2009, the plaintiff requested an extension of time to make his monthly filing fee payments or show cause why he cannot because he was waiting for his case manager to arrange direct payment from his account to the court [Doc. #70]. I denied the motion, stating the following:

Under the specific language of the Order granting leave to proceed under 28 U.S.C. § 1915 [Doc. #2, issued 2/27/07], the responsibility for ensuring that monthly filing payments are made, or demonstrating why such a payment cannot be made, remains with the plaintiff. This is the standard practice of this court in all prisoner cases. Accordingly, the plaintiff must monitor his own financial resources and work within the applicable rules and regulations existing at the facility where he is incarcerated to ensure that his monthly filing fee obligations are met. Plaintiff must make the required monthly payments or show cause **each** month why he has no assets and no means by which to make the monthly payment. Failure to comply with this requirement, under § 1915(b)(2), may result in dismissal of this action.

Order Issued May 22, 2009 [Doc. #72].

Despite my orders and warnings, the plaintiff has not made his monthly payments for the months of April, May, June, and July 2009, nor has he shown cause why he cannot. Rule 41(b), Fed.R.Civ.P. provides:

If the plaintiff fails to prosecute or to comply with these rules or a court order, a defendant may move to dismiss the action or any claim against it. Unless the dismissal order states otherwise, a dismissal under this subdivision (b) and any dismissal not under this rule - except one for lack of jurisdiction, improper venue, or failure to join a party under Rule 19 - operates as an adjudication on the merits.

Before recommending dismissal of a case under Rule 41(b), I must consider and address the factors set forth in Ehrenhaus v. Reynolds, 965 F.2d 916, 921 (10th Cir. 1992), which include (1) the amount of actual prejudice to the opposing party; (2) the degree of interference with the judicial process; (3) the litigant's culpability; (4) whether the litigant was warned in advance that dismissal was a likely sanction; and (5) whether a lesser sanction would be effective. See Cosby, 351 F.3d 1324, 1333 (10th Cir. 2003).

I find that any prejudice suffered by the defendants as a result of the plaintiff's failure to comply with the Court's orders is minimal and, without more, would be insufficient to warrant dismissal.¹ See Ehrenhaus, 965 F.2d at 921.

With regard to the second factor, the plaintiff's continued failure to comply with Court orders has caused disruption in my docket. Rather than attending to the merits of this case and other cases, I have been required to devote attention to this plaintiff's failure to comply with Court orders and §1915(b). In addition, as noted in the Cosby case, the plaintiff's failure to

¹ I note, however, that the purpose behind requiring prisoners to pay their filing fees is to deter frivolous prisoner litigation. Williams v. Roberts, 116 F.3d 1126, 1127-28 (5th Cir. 1997). Defendants, like the courts, are burdened by frivolous civil rights cases. Thus, civil rights defendants certainly are affected by noncompliance with filing rules that seek to decrease frivolous litigation.

comply with his obligation to make payments or show cause demonstrates a lack of respect for the judicial process and the law; it undermines the uniform application of the rules towards *in forma pauperis* litigants, many of whom comply with their obligations; and it substantially interferes with the ability of the Court to exercise its case administration authority. Where, as here, a party flaunts a court's orders or complies only when it is convenient, the fundamental mechanism by which justice is administered is harmed.

With regard to the issue of culpability, I find that the plaintiff alone is responsible for his noncompliance.

The plaintiff has had ample warning that his case would be dismissed for noncompliance with the payment and reporting requirements. In the order granting him leave to proceed *in forma pauperis* on April 15, 2008, he was warned that "if within the time allowed Plaintiff fails to have each monthly payment sent to the clerk of the court or to show cause as directed above why he has no assets and no means by which to make a monthly payment, the Prisoner Complaint will be dismissed without further notice" [Doc. #2]. In my order to show cause issued on March 9, 2009, he was given the following two warnings: "If plaintiff fails hereafter to comply with this requirement in any month prior to the date on which the filing fee is paid in full, I will recommend that the case be dismissed for failure to comply with this order and with the order allowing plaintiff to proceed in *forma pauperis* and without payment of his initial partial filing fee" and "IT IS FURTHER ORDERED that if plaintiff fails to comply with this order, the complaint and this civil action may be dismissed as a sanction" [Doc. #67]. Finally, in my order denying his motion for extension of time on May 22, 2009, I stated "Plaintiff must make the required monthly payments or show cause **each** month why he has no assets and no

means by which to make the monthly payment. Failure to comply with this requirement, under § 1915(b)(2), may result in dismissal of this action” [Doc. #72].

I conclude that no sanction less than dismissal would be effective. The plaintiff is proceeding *in forma pauperis*; consequently a monetary sanction would be ineffective. Because the injury from the plaintiff’s failures to comply principally is to the judicial system, and not the defendants, a sanction limiting the plaintiff’s ability to present evidence would bear no substantial relation to the misconduct. Under these circumstances, I am convinced that dismissal of this case without prejudice is an appropriate sanction.

I respectfully RECOMMEND that the plaintiff’s Complaint be DISMISSED WITH PREJUDICE pursuant to Rule 41, Fed. R. Civ. P., for his failure to make monthly payments of twenty percent of his preceding month’s income until his filing fee was paid in full, or show cause each month that he has no assets with which to make the monthly payment, all as directed by the Court’s orders of April 15, 2008; March 9, 2009; and May 22, 2009.

FURTHER, IT IS ORDERED that pursuant to 28 U.S.C. § 636(b)(1)(C) and Fed.R.Civ.P. 72(b), the parties have 10 days after service of this recommendation to serve and file specific, written objections. A party’s failure to serve and file specific, written objections waives *de novo* review of the recommendation by the district judge, Fed.R.Civ.P. 72(b); Thomas v. Arn, 474 U.S. 140, 147-48 (1985), and also waives appellate review of both factual and legal questions. In re Key Energy Resources Inc., 230 F.3d 1197, 1199-1200 (10th Cir. 2000). A party’s objections to this recommendation must be both timely and specific to preserve an issue for *de novo* review by the district court or for appellate review. United States v. One Parcel of Real Property, 73 F.3d 1057, 1060 (10th Cir. 1996).

Dated August 6, 2009.

BY THE COURT:

s/ Boyd N. Boland
United States Magistrate Judge