

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO
JUDGE KATHRYN H. VRATIL

Courtroom Deputy: LaDonne Bush
Court Reporter: Tracy Weir

Date: May 5, 2011

Civil Action No. 09-cv-00497-KHV-BNB

Counsel:

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION,

Herbert Semler
Kenneth Guido
Dean Conway

Plaintiff,

v.

THE REGENCY GROUP, LLC, et al,

David Zisser
John Schlie

Defendants.

COURTROOM MINUTES

Trial Preparation Conference

10:01 am. Court in session in chambers.

Counsel summarize the facts and theories of the case.

Discussion regarding the exhibits and Defendants' objections to Plaintiff's summary exhibits.

Discussion regarding the Motion to Amend Supplemental Pretrial Order [144], deposition designations, editing videos, and live testimony.

ORDERED: Motion to Amend Supplemental Pretrial Order [144] is denied.

Court instructs counsel to confer on their objections to deposition testimony to obviate the necessity of the Court ruling on the objections.

Discussion regarding the possibility of settlement. Counsel do not object to setting a settlement conference with Magistrate Judge Boland.

Opening statements are limited to 30 minutes and closing arguments to 45 minutes.

No time limit is set for voir dire.

Discussion regarding the process for settling this case and the time necessary for the five member Commission to approve a settlement. Court may set a backup trial date.

Court instructs counsel to set a settlement conference with Magistrate Judge Boland's chambers.

10:50 a.m. Court in recess.

Time: 00:49

Hearing concluded.