IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLORADO Senior Judge John L. Kane

Date: January 24, 2012 Deputy Clerk: Bernique Abiakam Court Reporter: Tamara Hoffschildt Civil Action No.: 11-cv-00313-JLK-MEH SECURITIES AND EXCHANGE COMMISSION, Stephen C. McKenna

Plaintiff,

v.

NEAL R. GREENBERG,

Defendant.

Frank Visciano

COURTROOM MINUTES

Motions Hearing

3:02 p.m. Court in session.

Court calls case. Counsel present.

Court's preliminary comments.

Discussion regarding retained counsel for Danica Chen.

3:04 p.m. Argument by Mr. Feder.

- 3:13 p.m. Argument by Mr. McKenna.
- 3:19 p.m. Further argument by Mr. Fedder.
- 3:20 p.m. Comments and Rulings by the Court.

ORDERED: Motion to Quash Subpoena (Filed 1/10/12; Doc. No. 23) is DENIED.

ORDERED: Motion For Order Pursuant To Customer Challenge Provisions Of The right To Financial Privacy Act Of 1978 (Filed 1/11/12; Doc. No. 25) is DENIED. 11-cv-00313-JLK Motions Hearing January 24, 2012

ORDERED: Motion To Quash Additional Subpoenas (Filed 1/18/12; Doc. No. 26) is DENIED.

3:21 p.m. Court in recess. Hearing concluded. Time in court - 00:19 minutes.