

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO
Senior District Judge Richard P. Matsch**

Date: May 30, 2013
Courtroom Deputy: J. Chris Smith
FTR Technician: Kathy Terasaki

Civil Action No. 12-cv-00859-RPM

FORREST DARYL TEMPLETON,

Francis V. Cristiano

Plaintiff,

v.

H. THOMAS FEHN, individually;
ORLY DAVIDI, individually;
GREGORY J. SHERWIN, individually;
FIELDS FEHN & SHERWIN, a California General Partnership; and
CATLIN SPECIALTY COMPANY, a Delaware Corporation,

No appearance

John R. Mann

Defendants,

And

FINANCIAL INDUSTRY REGULATORY AUTHORITY,
“FINRA”,

Robert C. Blume
Laura Sturges

Interested Party.

COURTROOM MINUTES

Hearing on Pending Motions

11:00 a.m. Court in session.

Court’s preliminary remarks.

Statement by Ms. Sturges.

Ms. Sturges states FINRA’s entire case filed has been produced.

Statement by Mr. Cristiano.

Ms. Sturges answers questions asked by the Court regarding documents produced.

Ms. Sturges states FINRA is willing to have a declaration prepared by Ms. Kronic regarding certifying documents produced.

Counsel agree to confer.

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Discussion between Court and counsel.

ORDERED: Non-Party FINRA's Motion to Quash Rule 45 Subpoena Of FINRA Employee Sophia Kronic, [47], is denied for lack of jurisdiction.

ORDERED: Plaintiff's Cross Motion for Determination of a Question of Law Re Immunity of FINRA for the Administrative Acts of its Employees [48], was discussed and no advisory ruling issued.
Court suggests arbitral immunity.

Discussion regarding scheduling.

Mr. Cristiano states he anticipates filing a motion to amend to add claims (exemplary damages and bad faith).

11:17 a.m. Court in recess.

Hearing concluded. Total time: 17 min.