AO 120 (Rev. 3/04)

TO:

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been x Trademarks: filed in the U.S. District Court District of Connecticut on the following ☐ Patents or DOCKET NO. DATE FILED U.S. DISTRICT COURT 3:10cv1523 (SRU) 9/27/2010 450 Main Street; Hartford, CT. 06103 PLAINTIFF DEFENDANT Rolls-Royce PLC United Technologies Corp. DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK See attached Complaint 2 3 5 In the above—entitled case, the following patent(s)/ trademark(s) have been included: DATE INCLUDED INCLUDED BY ☐ Amendment ☐ Answer Cross Bill ☐ Other Pleading DATE OF PATENT PATENT OR HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 2 3 In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT CLERK (BY) DEPUTY CLERK DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

ROBIN D. TABORA

9/28/2010