IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

PERSONALIZED USER MODEL, L.L.P.,)
Plaintiff,) C.A. No. 09-525-LPS
v.	JURY TRIAL DEMANDED
GOOGLE INC.,)
Defendant.	<i>)</i>
GOOGLE, INC.)
Counterclaimant,)
v.)
PERSONALIZED USER MODEL, LLP and YOCHAI KONIG)))
Counterdefendants.)

GOOGLE'S OBJECTION TO DR. PAZZANI'S DEMONSTRATIVES AND TESTIMONY COMPARING THE ACCUSED PRODUCTS TO THE FIGURE 2 EMBODIMENT FROM THE ASSERTED PATENTS' SPECIFICATION

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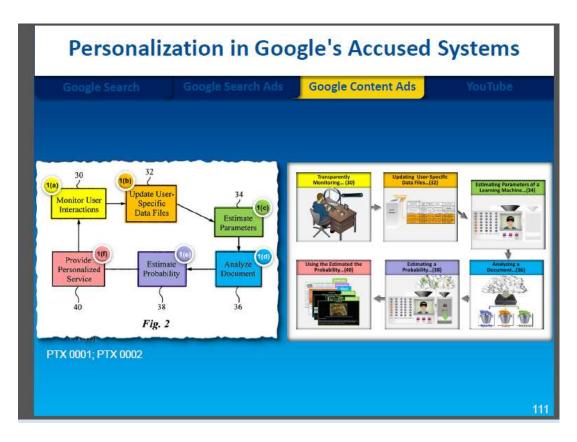
Dated: March 11, 2014

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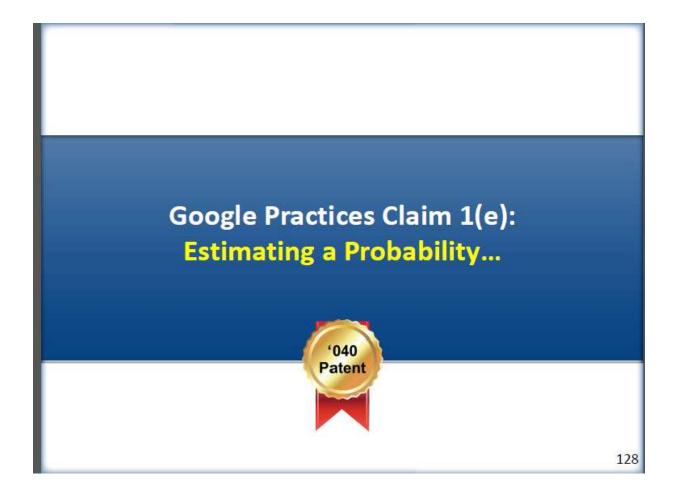
Attorneys for Defendant and Counterclaimant Google Inc.

Google respectfully objects to demonstratives and testimony from PUM's infringement expert, Dr. Pazzani, that compare the accused products to an embodiment in the Asserted Patents' specification. "Infringement, literal or by equivalence, is determined by comparing an accused product not with a preferred embodiment described in the specification, or with a commercialized embodiment of the patentee, but with the properly and previously construed claims in suit." *SRI Intern. v. Matsushita Elec. Corp. of Am.*, 775 F.2d 1107, 1121 (Fed. Cir. 1985) (en banc) (emphasis added). Dr. Pazzani repeatedly violates this rule, by comparing Google's accused products to Figure 2 from the Asserted Patents' specification. Figure 2 is the central roadmap for his infringement opinions, as he walks through the elements of Figure 2 to show why Google allegedly infringes. This product-to-specification analysis is improper under Federal Circuit law. It is thus irrelevant under Rule 402 (because it cannot actually show infringement) and highly prejudicial under Rule 403 (because it will mislead the jury as to what the infringement inquiry actually requires). It should be precluded.

For example, demonstrative 111 (below) summarizes Dr. Pazzani's comparison of Google Content Ads to the Figure 2 flowchart. Demonstratives 72 through 110 provide the discussion of Google's accused products that culminates in the above-shown Demonstrative 111. The actual claims are not discussed once in these thirty-nine demonstratives. Rather than comparing Google's products to the claims, Dr. Pazzani walks through the flowchart in Figure 2 and purports to show why Google meets each element in that flowchart.



Even in later demonstratives, when Dr. Pazzani does purport to compare the accused products to the claim elements, he often refers to the claim elements by the language in Figure 2 instead of the language in the claims themselves. For example, '040 claim 1(e) requires "estimating a probability P(u/d) that an unseen document d is of interest to the user u, wherein the probability P(u/d) is estimated by applying the identified properties of the document to the learning machine having the parameters defined by the User Model." As shown in the above demonstrative, Dr. Pazzani takes the "Estimate Probability" box from Figure 2, colors it purple, and labels it as "1(e)." Having thus reduced claim 1(e) to the mere element "Estimate Probability," Dr. Pazzani opines that the accused systems meet claim 1(e) because they "estimate a probability." This is aptly illustrated by the cover demonstrative for Dr. Pazzani's opinions on 1(e):



What follows this Demonstrative is fifty-five other demonstratives. The full language of claim 1(e) is mentioned just <u>once</u> in these fifty-five demonstratives. By contrast, the words "estimate a probability" are mentioned over and over, as Dr. Pazzani compares the accused products to this language to show that the accused products "estimate a probability."

As discussed above, the centerpiece of his infringement opinion is his comparison of Google's accused products to the Figure 2 embodiment from the Asserted Patents' specification. He sometimes does this directly, as in Demonstratives 72-111. He sometimes does this more indirectly, as in his claim 1(e) demonstratives that reduce this element to the Figure 2 soundbite of "estimate probability" and assert that Google meets this stripped-down element. But whether done directly or indirectly, Dr. Pazzani's comparison of the accused products to Figure 2 is

improper. It is also highly prejudicial, as the jury may be misled into thinking that a "match" between the accused products and Figure 2 is sufficient for infringement. Accordingly, Google respectfully requests that all testimony comparing the accused products to Figure 2 be precluded.

Respectfully submitted,

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Dated: March 11, 2014

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CERTIFICATE OF SERVICE

I, David E. Moore, hereby certify that on March 11, 2014, the attached document was electronically filed with the Clerk of the Court using CM/ECF which will send notification to the registered attorney(s) of record that the document has been filed and is available for viewing and downloading.

I further certify that on March 11, 2014, the attached document was Electronically

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