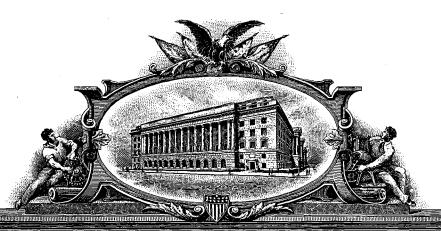
Exhibit 5



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THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THIS OFFICE OF:

U.S. PATENT: 8,054,992

ISSUE DATE: November 08, 2011

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Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

M. TARVER
Certifying Officer



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(54) HIGH FREQUENCY COMPENSATING

Inventor: Roman Sapiejewski, Boston, MA (US)

Bose Corporation, Framingham, MA Assignee:

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patent is extended or adjusted under 35 U.S.C. 154(b) by 1440 days.

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U.S. Cl. **381/93**; 381/95; 381/96; 381/97;

381/98; 381/71.6

(58)Field of Classification Search 381/71.6, 381/93, 95, 96, 318, 97, 98; 330/109, 294 See application file for complete search history.

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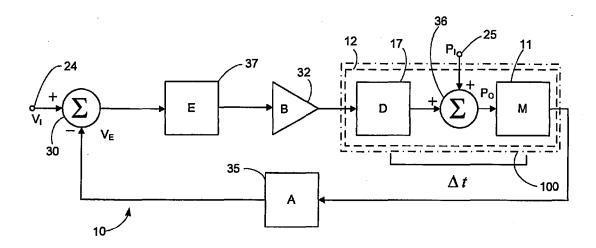
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Primary Examiner — Devona Faulk Assistant Examiner - George Monikang

ABSTRACT

A method and apparatus for increasing phase margin in a feedback circuit of an active noise reduction headphone. The method includes providing an acoustic block comprising an acoustic driver comprising a voice coil mechanically coupled along an attachment line to an acoustic energy radiating diaphragm, the acoustic block further comprising a microphone positioned along a line parallel to an intended direction of vibration of the acoustic diaphragm and intersecting the attachment line, the acoustic block characterized by a magnitude frequency response compensating the magnitude frequency response by a compensation pattern that has a positive slope over at least one spectral range above 10 kHz.

23 Claims, 7 Drawing Sheets



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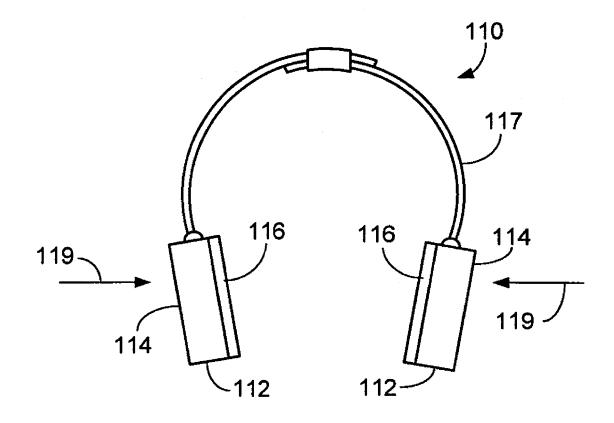
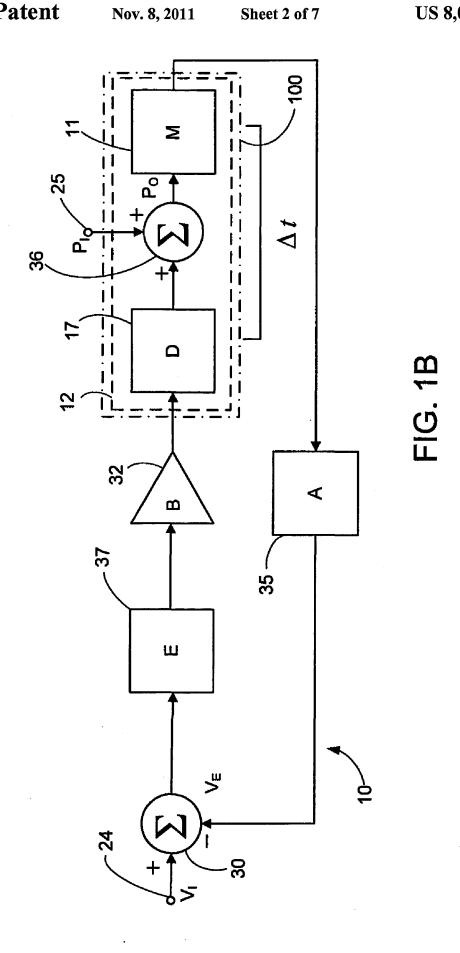


FIG. 1A



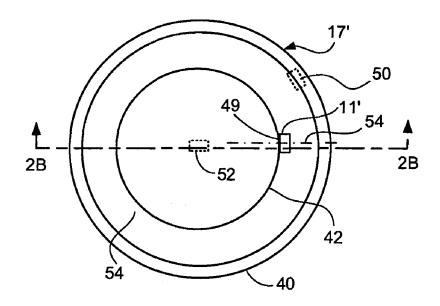
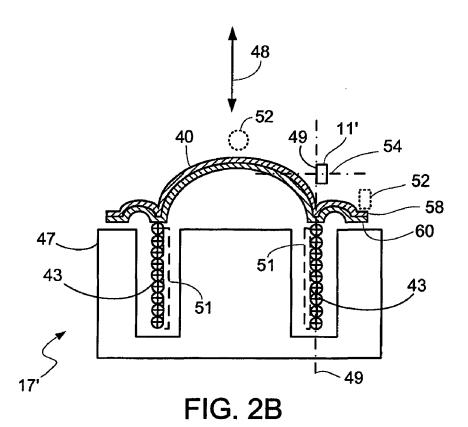
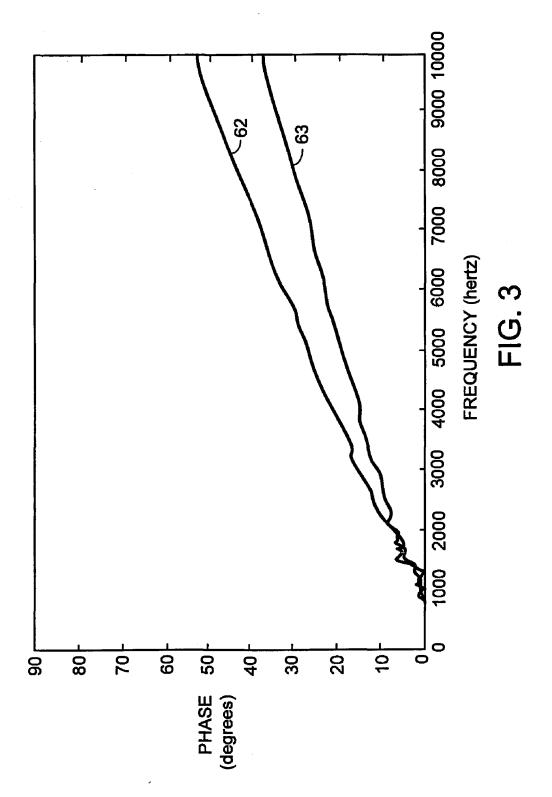
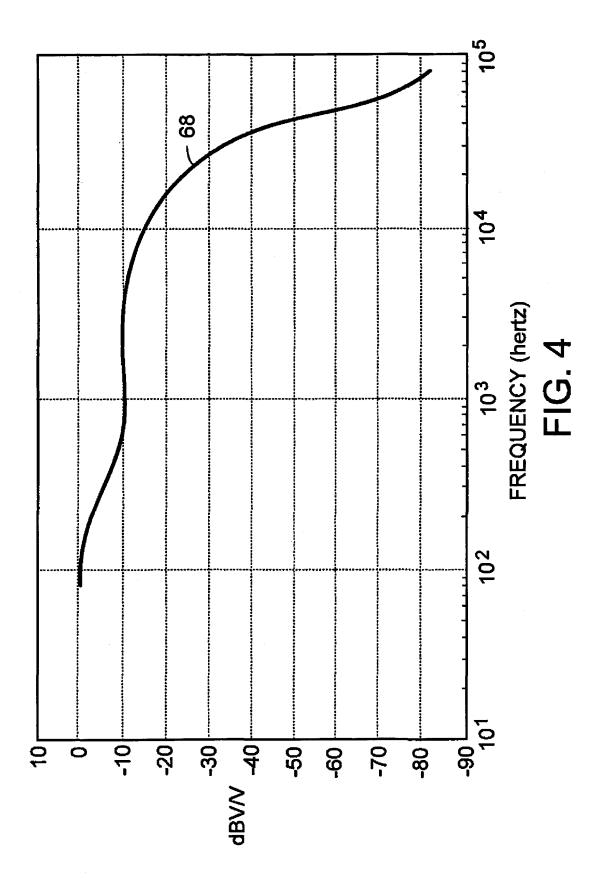


FIG. 2A

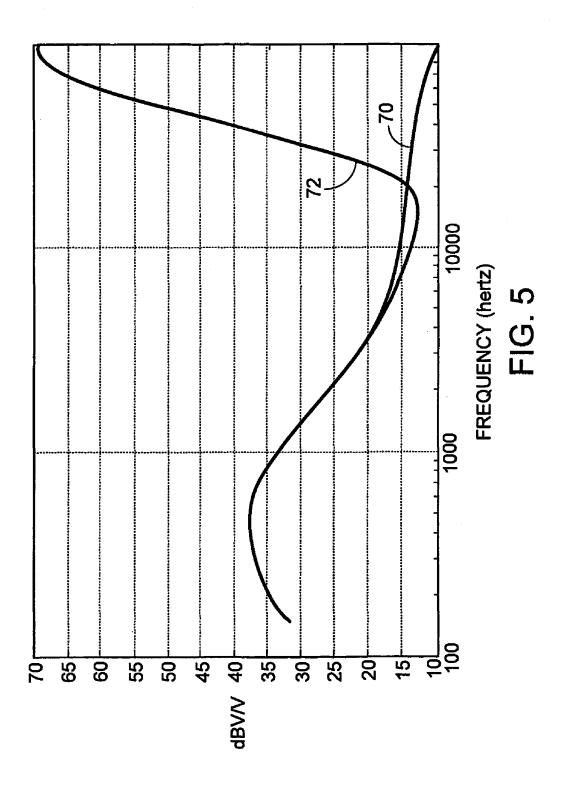


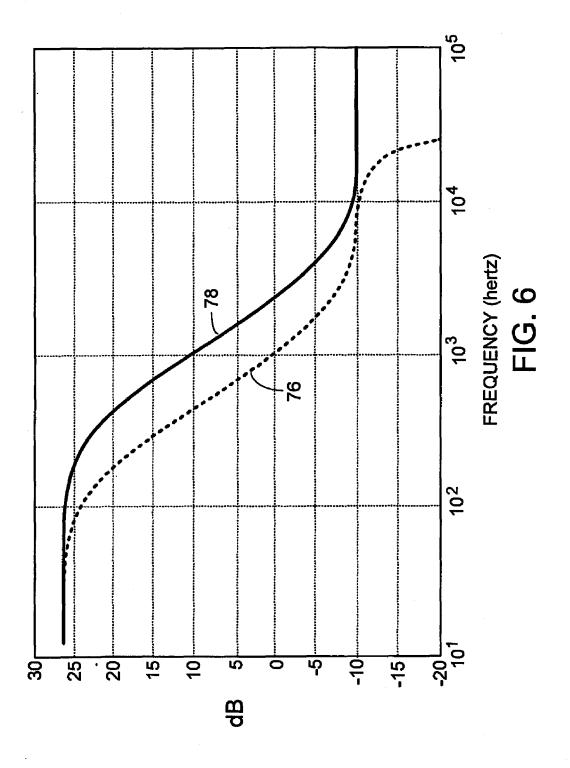


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HIGH FREQUENCY COMPENSATING

BACKGROUND

This specification relates to feedback control in an active 5 noise reduction headphone. Reference is made to U.S. Pat. No. 4,494,074, Bose, "Feedback Control."

SUMMARY

In one aspect of the invention a feedback circuit for an active noise reduction headphone includes acoustic elements characterized by a first magnitude frequency response; a compensator characterized by a second magnitude frequency response to combine the second magnitude frequency 15 response with the first magnitude frequency response to provide a combined magnitude frequency response, wherein the second magnitude frequency response is characterized by a pattern that has a positive slope at a frequency interval in the spectral portion above 10 kHz. The feedback circuit may have a positive slope between 20 kHz and 50 kHz. The pattern may have a positive slope between 20 kHz and 100 kHz. The compensator may include a digital filter. The compensator may include an analog filter.

In another aspect, a method includes, in an active noise 25 reduction headphone characterized by a magnitude frequency response, compensating the magnitude frequency response by a pattern that has a positive slope between 20 KHz and 50 kHz. The compensating may include compensating the magnitude frequency response by a pattern that has a positive 30 slope between 20 kHz and 100 kHz.

In another aspect, a compensation pattern for an active noise reduction headphone is characterized by a positive slope in the frequency range between 20 KHz and 50 kHz. The compensation pattern may be characterized by a positive 35 slope in the frequency range between 20 KHz and 100 kHz. The compensation pattern may be characterized by a greater than 2^{nd} order positive slope between 20 kHz and 100 kHz.

In another aspect, a compensation pattern for an active noise reduction headphone is characterized by a positive 40 slope above 10 kHz for a range of at least one octave. The compensation may be characterized by a positive slope for a range of at least two octaves. The compensation pattern may be characterized by a positive slope for a range of at least three octaves.

In another aspect, a method includes providing an active noise reduction headphone characterized by a magnitude frequency response and compensating the magnitude frequency response by a pattern that has a positive slope in at least a portion of the spectral range above 10 kHz for at least one 50 octave. The compensating may include compensating the magnitude frequency response by a pattern that has a positive slope above 10 kHz for at least two octaves. The compensating may include compensating the magnitude frequency response by a pattern that has a positive slope above 10 kHz 55 for at least three octaves.

In another aspect of the invention, a method for increasing phase margin in a feedback circuit of an active noise reduction headphone includes providing an acoustic block that includes an acoustic driver. The acoustic driver includes a voice coil 60 mechanically coupled along an attachment line to an acoustic energy radiating diaphragm. The acoustic block further includes a microphone positioned along a line parallel to an intended direction of vibration of the acoustic diaphragm and intersecting the attachment line. The acoustic block is characterized by a magnitude frequency response. The method includes compensating the magnitude frequency response by

a compensation pattern that has a positive slope over at least one spectral range above 10 kHz.

In another aspect, an active noise reduction apparatus includes an acoustic driver. The acoustic driver includes a diaphragm and a voice coil, for applying mechanical force to the diaphragm along a force application line; a microphone with a microphone opening positioned within 2 mm of a line parallel to an intended direction of motion of the diaphragm and intersecting the force application line; and structure for attenuating frequency response aberrations resulting from resonances of components of the acoustic driver. The apparatus also includes an acoustic block characterized by a first magnitude frequency response and a compensator characterized by a second magnitude frequency response to combine the second magnitude frequency response with the first magnitude frequency response to provide a combined magnitude frequency response. The second magnitude frequency response is characterized by a pattern that has a positive slope at a frequency interval in the spectral portion above 10 kHz.

Other features, objects, and advantages will become apparent from the following detailed description, when read in connection with the following drawing, in which:

DESCRIPTION

FIG. 1A is a view of noise reduction headphone;

FIG. 1B is a block diagram of a logical arrangement of a feedback loop for use in the headphone of FIG. 1A;

FIG. 2A is a diagrammatic top view of an arrangement that reduces time delay between the radiation of acoustic energy by an acoustic driver and arrival of the acoustic energy at a microphone associates with the noise reduction headphone;

FIG. 2B is as diagrammatic cross-sectional view of the arrangement of FIG. 2A;

FIG. 3 is a plot of non-minimum phase delay;

FIG. 4 is a plot of magnitude response as a function of frequency;

FIG. 5 is a plot of pattern of magnitude compensation as a function of frequency; and

FIG. 6 is a plot of improvement of open loop gain of an active noise reduction headphone employing the compensation pattern of FIG. 5

Though the elements of several views of the drawing may be shown and described as discrete elements in a block diagram and may be referred to as "circuitry", unless otherwise indicated, the elements may be implemented as one of, or a combination of, analog circuitry, digital circuitry, or one or more microprocessors executing software instructions. The software instructions may include digital signal processing (DSP) instructions. Some of the processing operations may be expressed in terms of the calculation and application of coefficients. The equivalent of calculating and applying coefficients can be performed by other analog or digital signal processing techniques and those techniques are included within the scope of this patent application.

Referring to FIG. 1A, there is shown an active noise reduction headphone 110. The headphone includes two earphones 112, connected by a headband. Each earphone 112 may include a cup shaped shell 114 and a cushion 116. The headband 117 exerts a force in an inward direction as represented by arrows 119 so that the cushion 116 is urged against the head of a user and surrounding the ear (typically referred to as circumaural) to enclose a cavity which may include the outer ear and ear canal; or urged against the ear of the user (typically referred to as supra-aural) to enclose a cavity, which may include the outer ear and ear canal; or urged into the ear canal (typically referred to as interaural) to define a cavity, which

may include the ear canal. Interaural headphones may be implemented without the headband, by inserting a portion of the earphone into the ear canal. In the cavity are noise reduction elements that will be described below in the discussion of FIG. 1B.

Referring to FIG. 1B, there is shown a block diagram illustrating the logical arrangement of a feedback loop in an active noise reduction headphone. A signal combiner 30 is combiningly coupled to a terminal 24 for an input audio signal V_I and to a feedback preamplifier 35 and is coupled to a compensator 37 which is in turn coupled to a power amplifier 32. Power amplifier 32 is coupled to acoustic driver 17 in a cavity represented by dotted line 12. Acoustic driver 17 is coupled to a combiner 36, as is terminal 25 which represents noise P_I that enters cavity 12. The acoustic output P_O of combiner 36 is applied to a microphone 11 coupled to output preamplifier 35, which is in turn differentially coupled to signal combiner 30.

Cavity 12 represents the cavity formed when an earphone of a noise reducing headphone is pressed in, against, or around a user's ear. Combiner 36 is not a physical element, but represents the acoustic summation of noise P_I entering cavity 12 from the external environment and acoustic output radiated into cavity 12 by acoustic driver 17, the summation resulting in acoustic elements of FIG. 1B, including the microphone 11, the acoustic driver 17, and the cavity 12 may be referred to as the "acoustic block" 100 which will be discussed later

In operation, an amplified error signal V_E is combined subtractively with input audio signal V_I at signal combiner 30. The summed signals are presented to compensator 37. Compensator 37 provides phase and gain margin to meet the Nyquist stability criterion. Increasing the phase margin can extend the bandwidth over which the system remains stable, can increase the magnitude of feedback applied over a frequency range to increase active noise reduction, or both. Aspects of compensator 37 will be discussed in more detail below. Compensation, which includes applying a pattern in which the magnitude varies with frequency, is similar to the process called "equalization" and for the purposes of this specification an equalization that is applied within feedback circuit 10 is equivalent to compensation. There may be other equalizations in the system; for example audio signal V₁ may be equalized prior to being applied to combiner 30. Power amplifier 32 amplifies the compensated signal presented to acoustic driver 17. Acoustic driver 17 transduces the amplified audio signal to acoustic energy, which combines with noise P, entering cavity 12 to form combined acoustic energy P_o. Microphone 11 transduces combined acoustic energy P_o to an audio signal, which is amplified by preamp 35 and presented subtractively as an error signal V_E to signal combiner 30.

The closed loop transfer function of the circuit of FIG. 1 is 55

$$\frac{P_O}{V} = \frac{EBD}{1 + EBDMA}$$

where E, B, D, M, and A represent the frequency dependent transfer functions of the compensator, the power amplifier, the acoustic driver, the microphone, and the preamp, respectively. If the EBDMA term of the denominator=1 (the equivalent of |EBDMA|=1 and a phase angle of -180°) the 65 circuit becomes unstable. It is therefore desirable to arrange the circuit so that the there is a phase margin (as described

below) so that the phase angle of EBDMA does not approach -180° for any frequency at which |EBDMA|≥1. For example, if the circuit is arranged so that at any frequency at which |EBDMA|≥1, the phase angle is not more negative than -135°, the phase margin is at least 180°-135° or 45°. Stated differently, to maintain a typical desirable phase margin of no less than 45°, the phase angle of EBDMA at the crossover frequency (the frequency at which the gain of EBDMA is unity or 0 dB) should be ≤-135°. Causing the phase of transfer function EBDMA to be less negative in the vicinity of the crossover frequency can allow an increase in the crossover frequency, thereby extending the effective bandwidth of the system.

Changes of phase angle as a function of frequency are a result of at least two causes: time delays and phase shifts associated with the magnitude of the transfer functions E, B, D, M, and A, which may be frequency dependent. Time delays (for example delay Δt of FIG. 1 representing the time delay between the radiation of acoustic energy by acoustic driver 17 and the arrival of the acoustic energy at microphone 11) act as a phase shift that is linear as a function of frequency. Other examples of time delays are delays in signal processing components, particularly digital DSP systems such as the components of FIG. 1. Phase shifts associated with transfer functions E, B, D, M, and A are typically variable with respect to frequency. It is desirable to reduce time delays and to reduce or compensate for phase shifts associated with transfer function EBDMA so that the phase angle of the circuit does not approach -180° and preferably does not exceed -135° for frequencies at which the magnitude of EBDMA exceeds unity, or zero if expressed in dB.

Referring to FIGS. 2A and 2B, there are shown a top view and a cross-sectional view taken along lines 2B-2B of FIG. 2A, respectively, of an arrangement that reduces the time delay Δt (of FIG. 1) between the radiation of acoustic energy by acoustic driver 17 and the arrival of the acoustic energy at microphone 11'. An acoustic driver 17' includes a voice coil 43 mechanically coupled along a line 42 to a diaphragm 40. The voice coil is typically tubular, and the attachment line 42 is typically circular, corresponding to one end of the tubular form. The voice coil coacts with a magnetic structure 47 to cause the voice coil to move linearly, in an intended direction of motion, indicated by arrow 48. The voice coil 43 exerts a force on diaphragm 40, causing diaphragm 40 to vibrate in the direction indicated by arrow 48 to radiate acoustic energy. Microphone 11 is positioned near diaphragm 40 along a line 49 intersecting attachment line 42 and parallel to the intended direction of motion indicated by arrow 48. In some embodiments, microphone 11 is oriented with the opening 53 perpendicular to the direction of motion 48 and facing radially inward relative to the diaphragm 40. Preferably, the microphone 11 is placed so that the opening is within 2 mm of line 49 and may be aligned up with line 49. In the direction indicated by arrow 48, microphone 11' is positioned as near as possible to diaphragm 40 to minimize the time delay between the radiation of acoustic energy from diaphragm 40, but not so close as to interfere with the vibration of diaphragm 40 or to negatively affect pressure gradient.

For purposes of illustration, microphone 11 is shown as thin cylindrical microphones. Other types of microphones are suitable.

An arrangement according to FIGS. 2A and 2B is advantageous because the time delay between the application of force by the voice coil to the diaphragm along line 42 and the radiation of acoustic energy (and therefore the time delay between the application of force by the voice coil and the arrival of acoustic energy at microphone 11') is less than the

time delay if the microphone were placed at a position not aligned with the attachment line 42 between the voice coil 43 and the diaphragm 40, for example at point 52 over the center of the diaphragm or point 50 over the edge of the diaphragm.

An arrangement according to FIGS. 2A and 2B may be 5 subject to frequency response aberrations such as peaks or dips due to resonances of voice coil 43. The aberrations may be reduced by a number of methods. One method is to provide a highly damped diaphragm, such as a diaphragm with laminar layers 58 and 60. In some implementations, top layer 58 is 10 polyurethane of average thickness 55 microns and lower layer 60 is polyetherimide of average thickness 20 microns. Another method is to use stiffer material for the voice coil 43 or provide stiffening structure 51 for the voice coil 43 to shift the resonant frequency out of the range of operation of the 15 acoustic driver.

FIG. 3 shows a plot (curve 62) of the non-minimum phase delay (resulting from the time delay) as a function of frequency of a microphone placed at a point 50 (of FIG. 2A) above the center of a diaphragm and a plot (curve 63) of a 20 microphone placed according to microphone 11' of FIG. 2A, In the plot of FIG. 3, the phase delay is expressed as positive degrees. The positive degrees of FIG. 3 are equivalent to negative degrees in other sections of this specification. For example, +40 degrees in FIG. 3 is equivalent to -40 degrees in 25 the discussion of FIG. 1.

FIG. 4 shows the magnitude response 68 as a function of frequency of a typical acoustic block including acoustic driver 17, microphone 11, and cavity 12 of FIG. 1. There is an approximately 2nd order rolloff between 10 kHz and 20 kHz 30 and a very substantial 5th or greater order rolloff above 20 kHz. Or characterized differently, the curve has a low pass shelving response shape between 10 kHz and 100 kHz. Conventionally, the frequency range between 10 kHz and 100 kHz is considered of little importance, because for the most part it is above the audible range of frequencies and because it is more than a decade above the typical high crossover frequency of active noise reduction headphone feedback loops. However, the phase change associated with the steep rolloff above 10 kHz may affect the phase angle of the feedback loop at frequencies in the audible range of frequencies.

FIG. 5 shows a pattern of magnitude compensation as a function of frequency that may be applied by compensator 37. Curve 70 represents a conventional compensation pattern, with a slight rolloff of compensation applied in the frequency 45 range between 10 kHz and 100 kHz. Curve 72 represents a compensation pattern with a steeply increasing amount of compensation applied in at least a portion of the frequency range between 10 kHz and 50 kHz and up to 100 kHz. In the range between 20 kHz and 50 kHz and up to 100 kHz, the 50 curve has a high positive slope (greater than 2nd order, for example, 5th order) on the same order as curve 68 rolls off. The slope remains positive for at least an octave; for example 20 kHz to 50 kHz is more than one octave and 20 kHz to 100 kHz is more than two octaves. An example of a design for 55 such active noise reduction apparatus is given in a co-pending patent application "Active Reduction Microphone Placing" of Roman Sapiejewski, filed on the same day as this application and incorporated here by reference.

FIG. 6 shows the improvement in open loop gain of an 60 active noise reducing headphone (curve 78) employing the compensation pattern of curve 72 of FIG. 5 over an active noise reducing headphone (curve 76) using a conventional compensation pattern, such as curve 70 of FIG. 5. The headphone employing the compensation pattern of curve 72 FIG. 65 5 provides more than an additional octave of bandwidth of open loop gain.

The compensation pattern of FIG. 5 may be implemented by an analog or digital circuit, but is most conveniently implemented as an analog filter including one or more operational amplifiers with sufficient gain-bandwidth product and appropriately arranged resistors and capacitors and a power source.

Other implementations are within the scope of the claims. What is claimed is:

- 1. A feedback circuit for an active noise reduction headphone comprising: an acoustic block characterized by a first magnitude frequency response; a compensator characterized by a second magnitude frequency response to combine the second magnitude frequency response with the first magnitude frequency response to provide a combined magnitude frequency response, wherein the second magnitude frequency response is characterized by a first pattern that has a positive slope at a frequency interval in the spectral portion above 10 kHz so that the phase shift of the combined magnitude frequency response of the feedback circuit at frequencies in the audible range of frequencies is less than the phase shift of the combined magnitude frequency response of the feedback circuit in the audible range of frequencies wherein the second magnitude frequency response characterized by a second pattern that does not have a positive slope in the spectral portion above 10 kHz.
- 2. A feedback circuit in accordance with claim 1, wherein the pattern has a positive slope between 20 kHz and 50 kHz.
- 3. A feedback circuit in accordance with claim 2, wherein the pattern has a positive slope between 20 kHz and 100 kHz.
- 4. A feedback circuit in accordance with claim 1, wherein the compensator comprises a digital filter.
- 5. A feedback circuit in accordance with claim 1, wherein the compensator comprises an analog filter.
- 6. A feedback circuit in accordance with claim 1, wherein the positive slope is second order or more.
- 7. A feedback circuit in accordance with claim 6, wherein the positive slope is fifth order.
- 8. A method comprising: in an active noise reduction headphone comprising a feedback loop characterized by a magnitude frequency response, compensating the magnitude frequency response by a first pattern that has a positive slope between 20 KHz and 50 kHz to provide a compensated magnitude frequency response so that the phase shift of the compensated magnitude frequency response at frequencies in the audible range of frequencies is less than the phase shift of the compensated magnitude frequency response in the audible range of frequencies wherein the compensating comprises compensating the magnitude frequency response by a second pattern that does not have a positive slope in the spectral portion above 10 kHz.
- 9. A method in accordance with claim 8, wherein the compensating comprises compensating the magnitude frequency response by a pattern that has a positive slope between 20 kHz and 100 kHz.
- 10. A method in accordance with claim 8, wherein the positive slope is second order or more.
- 11. A method in accordance with claim 10, wherein the positive slope is fifth order.
- 12. A compensation pattern for an active noise reduction headphone comprising a feedback loop characterized by a magnitude frequency response, compensating the magnitude frequency response by a first pattern that has a positive slope in the frequency range between 20 KHz and 50 kHz to provide a compensated magnitude frequency response so that the phase shift of the compensated magnitude frequency response at frequencies in the audible range of frequencies is less than the phase shift of the compensated magnitude frequency response in the audible range of frequencies wherein

the compensating comprises compensating the magnitude frequency response by a second pattern that does not have a positive slope in the spectral portion above 10 kHz.

- 13. A compensation pattern in accordance with claim 12, further characterized by a positive slope in the frequency 5 range between 20 KHz and 100 kHz.
- 14. A compensation pattern in accordance with claim 12, further characterized by a greater than 2^{nd} order positive slope between 20 kHz and 100 kHz.
- 15. A compensation pattern for an active noise reduction headphone comprising a feedback loop characterized by a magnitude frequency response compensating the magnitude frequency response by a first pattern that has a positive slope above 10 kHz for a range of at least one octave to provide a compensated magnitude frequency response, so that the phase shift of the compensated magnitude frequency response at frequencies in the audible range of frequencies is less than the phase shift of the compensated magnitude frequency response in the audible range of frequencies wherein the compensating comprises compensating the magnitude frequency response by a second pattern that does not have a positive slope in at least a portion of the spectral range above 10 kHz for at least one octave.
- 16. A compensation pattern in accordance with claim 15, characterized by a positive slope for a range of at least two octaves.
- 17. A compensation pattern in accordance with claim 16, characterized by a positive slope for a range of at least three octaves.
- 18. A method comprising: providing an active noise reduction headphone comprising a feedback loop characterized by a magnitude frequency response; and compensating the magnitude frequency response by a first pattern that has a positive slope in at least a portion of the spectral range above 10 kHz for at least one octave to provide a compensated magnitude frequency response, so that the phase shift of the compensated magnitude frequency response at frequencies in the audible range of frequencies is less than the phase shift of the

compensated magnitude frequency response in the audible range of frequencies wherein the compensating comprises compensating the magnitude frequency response by a second pattern that does not have a positive slope in at least a portion of the spectral range above 10 kHz for at least one octave.

- 19. A method in accordance with claim 18, wherein the compensating comprises compensating the magnitude frequency response by a pattern that has a positive slope above 10 kHz for at least two octaves.
- 20. A method in accordance with claim 18, wherein the compensation comprises compensating the magnitude frequency response by a pattern that has a positive slope above 10 kHz for at least three octaves.
- 21. A method for increasing phase margin in a feedback circuit of an active noise reduction headphone comprising: providing an acoustic block comprising an acoustic driver comprising a voice coil mechanically coupled along an attachment line to an acoustic energy radiating diaphragm, the acoustic block further comprising a microphone positioned along a line parallel to an intended direction of vibration of the acoustic diaphragm and intersecting the attachment line, the acoustic block characterized by a magnitude frequency response; compensating the magnitude frequency response by a first compensation pattern that has a positive 25 slope over at least one spectral range above 10 kHz so that the phase shift of the combined magnitude frequency response of the feedback circuit at frequencies in the audible range of frequencies is less than the phase shift of the combined magnitude frequency response of the feedback circuit in the audible range of frequencies wherein the second magnitude frequency response characterized by a second pattern that does not have a positive slope in the spectral portion above 10
 - 22. A method in accordance with claim 21, wherein the positive slope is second order or more.
 - 23. A method in accordance with claim 22, wherein the positive slope is fifth order.

* * * * *