EXHIBIT B

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of RITCHIE Serial No. 08/647,769 Filed: May 15, 1996 For: SERVING SIGNALS

Art Unit: 2307 Examiner

NOV 1 3 1996

INFORMATION DISCLOSURE STATEMENT

To the Commissioner of Patents and Trademarks Sir:

Pursuant to 37 C.F.R. 1.56, 1.97 and 1.98, applicant hereby brings to the attention of the Examiner the following references: Agosti et al., <u>Automatic Authoring and Construction of</u> <u>Hypermedia for Information Retrieval</u>, <u>Multimedia Systems</u>, vol. 3, no. 1, pages 15-24 (February 1995);

Handley et al., <u>The World-Wide Web: How Servers Work</u>, ConneXions, vol. 9, no. 2, pages 12-24 (February 1995);

Vetter et al., <u>Mosaic and the World-Wide Web</u>, **Computer**, vol. 27, no. 10, pages 49-57 (October 1994);

Francis Heylighen, <u>World-Wide Web: A Distributed Hypermedia</u> <u>Paradigm for Global Networking</u>, Proceedings of SHARE Europe Spring

Conference, pages 355-68 (Spring 1994);

Gee et al., <u>Novel Approaches to Automating the Gathering of</u> <u>Intelligence from the Online Community Through the Internet</u>, Proceedings of Eighteenth International Online Information Meeting, pages 501-11 (1994);

<u>A Medium in the Making</u>, EXE: The Software Developers' Magazine, vol. 9, issue 12 (May 1995);

What is Versatile Virtual Vending? article;

Mary Ann Pike, <u>How the World-Wide Web Works</u>, **The World-Wide** Web, Chapter 30, pages 677-690; and

In the Stores, In the Online Stores, Personal Computer Magazine, page 44 (July 1994).

The Agosti article describes the complete process and a tool for the automatic construction of a multimedia hypertext starting from a large collection of multimedia documents. Automatic authoring is discussed in the left hand column of page 16. The automatic authoring process is schematically represented on page 19.

The Handley article describes how servers for the World-Wide Web work. Beginning on page 16, the authors discuss proxy servers.

The Vetter article discusses the Mosaic browser. Page 51 of the article discusses writing HTML documents. On page 52, the article states that existing files can be converted to HTML automatically using special software tools. Figure 3 on page 56

shows a Mosaic extension model.

The Heylighen article reviews browsers, servers and editors for use on the World-Wide Web. Pages 362 and 363 discuss the interactive World-Wide Web.

The Gee article describes a server that automatically constructs and HTML document and captures responses.

The EXE article discusses tools for creating HTML pages.

The VVV article describes the VVV virtual store software system.

The Pike article discusses the history of the World-Wide Web and important World-Wide Web concepts and provides instruction on how to access the World-Wide Web.

The Personal Computer Magazine article discusses the projected future for interactive shopping.

Applicant has provided copies of the references in compliance with the Rules.

In addition, applicant has prepared an Information Disclosure Citation, Form PTO-1449, and has included this with the Information Disclosure Statement.

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Respectfully, James C. Wray, Reg. No. 22,693 Paul J. Riley, Reg. No. 38,596 Meera P. Narasimhan, Reg.No.40,252 1493 Chain Bridge Road Suite 300 McLean, Virginia 22101 Tel: (703) 442-4800 Fax: (703) 448-7397

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November 7, 1996

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1. 1. Computer-based questionnaires

Since the 60s, electronic surveys have changed the process of design and administration of Since the bus, electronic surveys have changed the process of design and administration of questionnaires (Ref 3). Using intelligent computer programs, complex context sensitive questionnaires can be produced. With direct-to-disk answers, transposition from paper to disk is not required, thus increasing the speed and accuracy of data capture. Shneiderman noted that online surveys remove printing costs and reduce administration (Ref 4). Other writers found that responses to these computerised methods are comparable to the tradi-tional paper surveys (Ref 7). With the increasing pervasion of information technology, it is possible to extend data capture and perform analysis instantly. This instantaneous response can be used as a motivator for respondents to answer the questionnaire. It is also found that when users are asked to complete a questionnaire increasingly their ernectation is that the when users are asked to complete a questionnaire, increasingly their expectation is that the questionnaire will be provided in the form of an interactive program. A wide range of users with a diverse range of skills and cultures is now observed to complete a computer-based

with a diverse range of skills and cultures is now observer to complete a computer-pased questionnaire naturally, accurately and enthusiastically (Ref 6). Response rates are enhanced by producing online questionnaires. Sproull used elec-tronic mail for data collection (Ref 5). This raised many issues on the appropriateness of this media for confidential surveys. The Quest system (Ref 2) was developed to address these issues by presenting the respondent with an online questionnaire-based system. The aim was to increase confidence in confidentiality and also to produce an interface which looks as close as possible to a conventional paper questionnaire. The Quest systems used many clever interface components such as sliding range bars and check boxes. The major failing of this system was its reliance on respondents to have particular computer hard ware and the acceler factors are further to form the system of the system was its reliance on respondents to have particular computer hard ware and the acceler Conceler Concele and the specific Quest software.

Further enhancement of questionnaire systems may combine the accessibility of an e-mail system with the usability of the Quest system. The obvious solution is to use the same infrastructure as e-mail and to combine this with a useable interface. Global e-mail same intrastructure as e-mail and to comoine into with a useable interface, viobal e-mail uses the Internet, which has 25 000+ interconnected networks in over 150 countries. Currently there are 35 million Internet users worldwide and if the present growth rate continues, by 1995 there will be 200 million users. With the increasing numbers of connec-tions in Eastern Europe and Africa this statistic may be an underestimate. All these Internet users provide a large potential group of respondents.

2. A novel system to capture market research data from internet users

The conventional approach would be to develop a bespoke application program (either by direct coding or using an authoring system such as Quest). However, data can only be captured if users can be persuaded to install and execute the application. Our new approach lies in seducing users into providing responses during their normal navigation through the Internet. We achieve this by exploiting World Wide Web. World Wide Web is a wide area hypermedia information retrieval initiative conceived to give universal to be approach to the source of developments not be the source of the source

to give universal access to the enormous universe of documents and information across the Internet. It was started by Tim Berners-Lee and others at CERN in Geneva, Switzerland as Internet. It was started by Tim Berners-Lee and others at CERN in Geneva, Switzerland as a means of organising documents and accass to documents in a standard way through a standard vehicle. It uses the well known concept of hyperlinks, whereby references in one document or list become jumping-off points to other documents, lists, resources or actions.⁻ The aim was to merge the techniques of information retrieval and hypertext to produce an intuitive and powerful global information system. Most users treat World Wide Web as an Internet navigator in the same way that gophers and other such tools enable users to explore the Internet universe. If can be used to link to and from anything — gopher menu items, WAIS databases, ftp directories, Usenet news articles or newsgroups — because all these objects are made to look just like hypertext.

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no additional resources or software (it uses what already exists in all versions of the system) and it uses the same standard HTML coding. Users might not eyen be aware that they were responding to a structured monitored request for information — as far as they are con-cerned their perception is that they are just using a navigator and making responses in order to navigate to the next point of their choice. There are obvious similarities to systems that

to navigate to the next point of their choice. There are obvious similarities to systems that monitor and capture keyboard responses to track user behaviour. Thus, whilst the users perceive they are in control of their navigation (as in any normal Mosaic session), in fact control has been taken over by the author of the HTML document that the user is viewing. We have developed a set of modules for the NSCA's HTTPD server to allow the server to be a questionnaire server. These modules use the Common Gateway Interface (CGI) feature of NSCA's HTTPD. This allows programmes to be used to construct replies to Mosaic requests dynamically. We initially developed CGI programs to allow users to access the International Organisation of Palaeobiology's Oracle Plant Fossil database (http://sun-rae.uelacuk/abaeo/index.html) via the Internet. rae.uel.ac.uk/palaeo/index.html) via the internet.

3. Exploiting HTML for capturing user responses

HTML is a specific implementation of the Standard Generalised Mark-Up Language. Simple documents can be ptoduced by using any wordprocessor or editor with the knowledge of only a few of these commands and rules. The most important rules are that filenames should end in 'html (or htm in DOS) and they should be saved as ASCII text. All the HTML commands are embedded in ASCII text by being surrounded by 'less than' (<) and 'greater than' (>) signs. Example commonly used commands are:

Places Name as the page title.

Include the picture online.gif

BOLD is written in bold.

Heading one is written in the style of defined by heading one.

End of paragraph marker. (Inserts a return)

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<title> Name </title> <h1>Heading one </h1>

 BOLD

<ing src="online.gif">

 Page two

This is a hypertext jump to page2.html

Thus a sample HTML page would be coded as:

<title> Online HTML page </title>

<img-src="online.gif">

<h1> A simple HTML page

This is a simple demonstration on how to write an HTML page. <0>

Press here to continue

or <2 href="home.html"> here to return to the home page.

This will be translated into a more user friendly form by a WWW client (e.g. Mosaic)

This will be translated and a second to home.html. These further (Figure 2). This page has two links, one to page2.html and a second to home.html. These further files should be in the same directory as the current page, unless the full URL is used. To give the file path: for instance, page2.html's URL may be http://www.uel.ac.uk/on-line/page2.html. The URL is one of the most important ideas on the Internet as it provides a unique mechanism for referring to any document or service on the Internet.

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save the response to a particular datafile;

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deduce the branching required to further questions or instructions.

The initial URL is http://www.uél.ac.uk/cgi-bin/question. This has no variable and thus the program deduces that the respondent has just started, and therefore sends the first question. This process also creates and opens the destination datafile for the user responses. Thus, if the first question is:

Question 1

Are you planning to attend Online 1994?

Yes No

then the following will be the source of the HTML page sent back to the client:

- <title> Online 1994</title>
- <h1> Question 1 </h1>

Are you planning to attend Online 1994?

Yes

No

If the response is Yes, the URL http://www.uel.ac.uk/cgi-bin/question?TZ12121344001002001 is called by the Mosaic client. In calling this URL the question program is executed together with the context variable TZ12121344001002001. The variable can be broken down into five major components:

. Example	·Length	Name
TZ	•2	Internal control used for complex Context dependent questions.
12121344	8	The filename for saving the answers of this particular respondent is generated automatically once the questionnaire has been started and is appended dynamically to each question at runtime.
001	3	The question number of the current answer. If this is set to TTT then the reply is not stored.
002	3	The next question number.
Ó01	3	The answer to the last question, i.e. would have been set to 002 if female.

When the program receives a valid context variable it appends the current question number (001) and the answer (001) to the file indicated by the filename (12121344). If the current question number is set to TTT then the program assumes that the current page is a text page and that it requires no information to be stored. The program then infers which question should be asked next. In simple systems, this will be the next question number in sequence. (For more complex questionnaires a look-up table, algorithm or chaining algorithm or net may be used) The program then changes the URLs in the file containing the next question to match the filename of the current client. This page is then posted by the server to client. Thus the user now sees the next question displayed by Mosaic, with new links already embedded which have been determined by the user's responses.

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Producing these pages and links by hand can be very tedious and time consuming. One of the major problems is dealing with the links and the server's requirement that each page should be in a single file. To simplify this operation we have adapted our file-based questionnaire language (co-developed with the Centre for International Business Studies, Amsterdam) to administer all of the links and to construct multiple HTML pages from a einde num file. single input file. The major commands are: "Header": The text at the top of all of the pages (Optional) "Footer": The text at the foot of all of the pages (Optional) A text page. The first line is considered to be a heading unless it is left blank. *Text* /A Question. The first line is considered to be a heading unless it is left blank. *Ques* *Ans* A single answer which should follow a question The difference between "Text" and "Ques" is that the server will only record the reply from a "Ques" page. Other commands are provided to construct context-dependent queg-tionnaires with complex orders or optional sections: PP is a context, NNN is the number of the next page to jump to. If this is omitted then the system will assume that the next page in the file is the "link"PP:NNN next page. Raw HTML can also be embedded into the document: for instance, "Header" would place the Online Information 94 logo at the top of each page, as shown in our example (Figure 2). The questionnaire can be typed into any wordprocessor or text editor which can produce ASCII text files. An example file is shown below: "Header" Online Demonstration *Footer* by David Gee and Peter Woolliams "Text" A two question questionnaire to demonstrate this questionnaire system. I hope you enjoy using this system "Ques" What gender you 7 "Ans" Male *Ans* Female "Ques" How old are you ? *Ans* less than 25 "Ans" 26 to.35 *Ans*36 to 45 *Ans* 46 to 55 *Ans* 56 to 60 *Ans* Over 60 All the files and links are generated from this file. The respondent's output filename is set to %@so that the server program can replace this with an automatically generated value or a passed value. Once the questionnaire designer has generated the HTML version the Online information 94 Proceedings Page 507 197

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	Internet
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	questionnaire can be used. The designer should not have to see the HTML version and it is only provided here to indicate how the system works:
	File one:
	<title> Online Demonstration </title>
	<h1> Online Demonstration </h1>
. '	A two question questionnaire to demonstrate this questionnaire system. I hope you
	< href="http://cupres.usl.acuk/not kin/mustimed.tal.operations"
÷ .	
	>by David Gee and Peter Woolliams
	File two:
	stiller Onling Demonstration a little
	chis Online Demonstration
	What are demonstration (/Al>
	(p) What gender you ()
	<pre><a cgi-bin="" http:="" question?aa%@002003001"="" sunrae.uel.ac.uk="">Male<a cgi-in="" http:="" question?aa%@002003002"="" sunrae.uel.ac.uk="">Female</pre>
	oy David Gee and Peter Woolliams
	File three
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	46 to
	<a cgi-bin="" http:="" question?aa%2003004005"="" sunrae.uel.ac.uk=""> 56 to
-	<pre>Over 66</pre>
•	SD>by David Gee and Pater Manitter

and on answering a question or selecting a new page the server will deduce which page, should be sent next and record any answers. The respondents only ever see the hypertext view of the example (Figure 3).

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Figure 3: The respondents' view of the first two example questions.

4. Question formats and user interfaces

As stated above, the WWW servers can be read by various clients, Mosaic is currently the most popular graphical-based client and is currently the only one to support HTML forms. It requires some form of graphical user interface, e.g. X-Windows, Mi Windows, Amiga or Mac OS. Other clients such as Lynx and CERNS WWW can use character-based systems such as VT100 terminals and MS-DOS. This produces two types of systems: Type 1, the character-based; and Type 2, GUI-based. The Type 2 GUI systems can read all of the terthesed Type 1 most form of the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the character-based systems can read all of the terthesed to read the systems can read all of the terthesed to read the systems can read all of the terthesed to read the systems can read all of the terthesed to read the systems can read all of the terthesed to read the systems can read the system terthesed to read the systems can read t

text-based Type1 pages. Questionnaire systems on Type 1 systems allow for text-based multi-choice questions, Questionnaire systems on Type I systems allow for text-based multi-choice questions, with only a single question per page. Due to the finite nature of multi-choice questions, they are very simple to analyse using computerised techniques. This finite limitation complicates general questions which establish the background of a respondent. We have now produced a general set of questions which allow the user to select their background via a set of hierarchical menus. One of these sets contains, a structured list of every country and parish of every country in the world; this allows respondents accurately to pinpoint their place of birth or current location. As a location code is produced, autonomous computerised statistical analysis is made available. To produce a fast system there should be only one question per page, and the number of answers should be minimised as each answerrequires more processing of the Mosaic client. Most of the newer Type 2 systems have the abilities of a Type 1 system with the additional features of multiple questions per page, imagemaps, and the inclusion of the special toolsets contained in HTML forms (Figure 4). These allow the construction of graphical lists,

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