IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

CAMBRIDGE UNIVERSITY )
PRESS, et al.,

Civil Action File No. 1:08-CV-1425-0DE

Videotaped deposition of JENNIFER ESPOSITO,
PH.D., taken on behalf of the plaintiffs, pursuant to the stipulations contained herein, before Teresa Bishop, RPR, RMR, CCR No. B-307, at 104 Marietta Street, SB-2 Conference Room, Atlanta, Georgia, on Thursday, February 3, 2011, commencing at the hour of 9:09 a.m.

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KENNITH DRAKE, VIDEOGRAPHER
(Disclosure was made pursuant to O.C.G.A. Annotated 9-11-28
(c) and
(d) and 15-14-37
(a),
(b) and (c).)

THE VIDEOGRAPHER: This will be the videotaped deposition of Jennifer Esposito taken by the plaintiffs in the matter of Cambridge University Press, Oxford University Press Incorporated, Sage Publication Incorporated versus Mark P. Becker in his official capacity as Georgia State University president, et al.

The date is February the 3rd, 2011. We're on the record at 9:09.

JENNIFER ESPOSITO, Ph.D.,
having been first duly sworn, was examined and testified as follows:

## EXAMINATION

BY MR. LARSON:
Q. Professor Esposito, can you start by spelling your name and providing your address for the record?
A. My work address or home address?
Q. Home address, please.
A. Jennifer, J-e-n-n-i-f-e-r, Esposito, E-s-p-o-s-i-t-0. 1372 Gates Circle, Atlanta, Georgia, 30316 .
Q. Thank you. I'm Todd Larson, I'm here representing the plaintiffs in this action from the law firm called Weil Gotshal.

Have you been deposed before?
A. No.
Q. Did your counsel give you some general explanation of how things would work today?
A. Yes.
Q. Let me just add a few things to that if $I$ could.

Just please answer verbally as opposed to a nod so that it shows up on the transcript that we're taking. Let me finish my question before you answer. Again, just so that we have a clean record. If you need a break, let me know?

I understand that you have an issue with your neck. Are you on medication for that?
A. No.
Q. Okay. And if your counsel objects to questions $I$ ask, that's sort of a normal part of the deposition, you can still answer unless, you know, he actually instructs you not to for some reason. And the testimony, just so you know, could be used at trial if this matter goes to trial.

Did you meet with counsel in preparation for the deposition?
A. Yes.
Q. When was that?
A. Monday.
Q. How long did you meet for?
A. Two hours.
Q. Did you review documents or records?
A. What type -- I don't understand.
Q. Did you review any documents or papers as part of that meeting or in preparation for the deposition?
A. My syllabi.
Q. Did you review the declaration that you submitted in this matter?
A. Yes.
Q. Okay. Did you review the checklists that you've completed in the past, the fair use checklists?
A. Yes.
Q. Did you review the Georgia State copyright policy?
A. No.
Q. Did you take a look at any transcripts of any other depositions in this matter?
A. No.
Q. Any filings, briefs, legal briefs, that sort of thing?
A. No.
Q. Did you speak to any of the other professors whose depositions have been taken?
A. No.
Q. Have you read the complaint in this matter, the legal complaint?
A. Not - no.
Q. That's fine. Just --
A. Okay.
Q. Just wondering.

What is your understanding of what this case involves?
A. That the publishers that are in the lawsuit feel that the fair use checklist is not a fair practice. And that's it.
Q. And are you aware of whether or not you were named personally in the complaint for your own practices?
A. Obviously I was.
Q. I'll provide you a document that's marked as Esposito Exhibit 1. This is a page just printed out from the Georgia State web site, provides your bio, I believe. Can you confirm that this is accurate?
A. Yes.
Q. You got your Ph.D. in 2002?
A. Yes.
Q. Have you been at Georgia State since that time?
A. No.
Q. I'm sorry?
A. No.
Q. Where were you after -- well, when did you come to Georgia State?
A. In '04.
Q. Where were you before that?
A. Millersville.
Q. In Pennsylvania?
A. Yes.
Q. Are you tenured?
A. Yes.
Q. When did you make tenure?
A. Last year.
Q. Congratulations.
A. Thank you.
Q. What department are you in?
A. Educational policy studies.
Q. Is Professor Kaufmann in your department?
A. Yes.
Q. Just tell me, if you would, the reporting structure in your department. Is there a chair that you report to or some chain of command?
A. Yes, we have a chair.
Q. Who's that?
A. Sheryl Gowan.
Q. And do you know whether the provost or the president have -- let me take it one at a time.

Does the provost have supervisory authority over your department?
A. I'm not sure how the university structure works.
Q. You report to the chair and that's basically it?
A. That's right.
Q. Have you published?
A. Yes.
Q. I don't want you to list them all, but give me a general sense of what you've published.
A. Book chapters, journal articles, encyclopedia entries.
Q. And are those works that would be considered works of nonfiction?
A. Yes.
Q. Now, I take it although they're considered nonfiction, those would contain your original analysis on the subject of those articles, is that right?
A. That's right.
Q. And the actual text of the articles would be your original expression of the subject matter, is that
right?
A. That's right.
Q. You're not -- it's not just - those articles have not just been a recitation of facts, is that right?
A. True.
Q. Would you describe the expression and analysis in those articles as creative?
A. Perhaps.
Q. And why do you say perhaps?
A. I guess it depends on how you define creative.
Q. How would you define it?
A. Something that no one has done before.
Q. And is that the case for any of the articles that you've written?
A. Some.
Q. So the fact that they're nonfiction in your mind does not mean that they can't also be creative products, right?
A. Yes.
Q. Just give you what was marked previously as Dixon 2. Do you recognize this document?
A. Yes.
Q. What is it?
A. The copyright policy that Georgia State follows.
Q. Okay. Do you recall when you first saw this document?
A. I don't know exactly what year it was, but it was when the fair use checklist was put into place.
Q. So it's your recollection that you saw it, first saw it shortly after the policy was put into place?
A. Yes.
Q. You understand that this was a new policy that was put into place at some point in the last couple years?
A. Yes.
Q. How did you find out about it?
A. Through the department chair.
Q. And what was the nature of that communication?
A. She announced that there was a new copyright policy, gave us the name of -- you know, someone in the library we can speak to about it and someone in legal affairs that we can speak to about it.
Q. Do you recall who the person was in the library?
A. I don't.
Q. Do you recall who the person was in legal affairs?
A. No.
Q. And were you instructed by the department chair that this was a policy that you were required to follow?
A. Yes.
Q. Did you accept that instruction?
A. Yes.
Q. Is it your sense that if the department chair were to indicate that some work that you had posted on EReserve, for example, were in violation of this policy, would you take it down?
A. Yes.
Q. Okay. Same question, what if the provost's office contacted you and instructed that you needed to take down a work that you posted, would you do so?
A. Because it was in violation of the policy?
Q. Right.
A. Yes.
Q. Okay. And would you take -- if the president's office contacted you and indicated that you needed to take down a work for being in violation of the policy, would you do so?
A. Yes.
Q. And same question, if you were contacted by the counsel's office for the Board Of Regents for the state of Georgia, would you take it down?
A. Lawyers, is that what you're saying?
Q. Yeah.
A. Yes.
Q. Do you have an understanding of why the new policy was put into place?
A. I believe so.
Q. Tell me, please.
A. I guess this is perhaps the second part of a lawsuit, so initially the publishers thought that the way that Georgia State was doing -- uses EReserves was in violation of copyright, so in response to that they created the fair use checklist.
Q. Okay. Have you read this policy? And you can flip through it if you need to.
A. I have.
Q. When's the last time you read it?
A. Maybe last summer. I mean, discounting the checklist, $I$ read the checklist every time $I$ put something on reserve, but $I$ think the actual policy was over the summer.
Q. Summer of 2010 that would be?
A. Uh-huh.
Q. Can you turn to the page -- if you look at the header at the top, the page identified as 4 of 19. The heading on the page is --
A. I see it, yeah.
Q. The fair use exception is the heading.
A. Okay.
Q. And you see there's a paragraph about two thirds of the way down that says purpose and character of the use?
A. Yes.
Q. And then the last sentence of that paragraph says the statute also notes that multiple copies for classroom use are permitted?
A. Yes.
Q. Is it your understanding from that sentence or from the policy in general that if you use multiple copies in the class that that is automatically acceptable, so to speak?
A. Not automatically.
Q. Okay. And it's subject to some other condition?
A. The checklist.
Q. I'm sorry, just let me finish the question before you answer, please.

It's subject to some other condition?
MR. ASKEW: Why don't you restate the question.

BY MR. LARSON:
Q. Yeah. I think you said that the checklist plays some role as well, that it's not automatic to be able to use multiple copies for classroom use, is that right?
A. That's right.
Q. Okay. So you have to - in your view you need to also fill out the checklist and see how the works do?
A. That's right.
Q. Can you look at the checklist itself which starts on page 7.
A. Okay.
Q. You see there's a - in the instructions the last paragraph starts with the word complete?
A. Yeah.
Q. Complete and retain a copy of this checklist for each use of the copyrighted work in order to establish a reasonable and good faith attempt, and then it goes on?
A. Uh-huh.
Q. Have you completed and retained a copy of the checklist for all the works that you've placed on the EReserve system?
A. Yes.
Q. And when did you start doing that?
A. The year that the checklist was put into
existence.
Q. Okay. And if you recall, when the checklist was put into existence, was that in the middle of the semester?
A. I don't recall.
Q. Let me try a different way. Do you recall whether you started using it at the beginning of whatever semester came next or did you go back and check works that you currently had posted on EReserves to see if they passed the checklist?
A. I can't answer that because I don't remember when $I$ was told about the checklist.
Q. Fair enough.

But since you've started using the checklist, you've completed and saved a copy of all the checklists you've done?
A. That's right.
Q. Can you turn to page 13. Is this a page that you've seen and read before?
A. Yes.
Q. And can you turn to page 15, page called collective licensing agencies?
A. Uh-huh.
Q. Have you seen and read this page before?
A. Yes.
Q. You see under works in print there's a reference to something called the Copyright clearance Center?
A. Uh-huh.

MR. ASKEW: You want to go ahead and answer rather than uh-huh.

THE WITNESS: Oh, sorry. Yes.
BY MR. LARSON:
Q. Thank you. Is that an organization you're familiar with?
A. Familiar in terms of seeing in here.
Q. Okay. Beyond seeing a reference to Copyright Clearance Center, do you know anything else about them?
A. I don't.
Q. Do you know what they do?
A. I could take a guess.
Q. I don't want you to guess.

Sitting here you don't really know what they do, is that correct?
A. They hold -- I guess they hold copyright or they -- I don't know what the word is. Monitor copyright.
Q. Have you -- I take it you've not interacted with them in any way or visited their web site or --
A. No.
Q. -- anything like that?

Just let me finish the question, if you would.
A. I thought you were done.
Q. Have you attended any training sessions for the new copyright policy?
A. Yes.
Q. When was the training session you attended?
A. I don't know when it was. It was through my department.
Q. And what do you mean through your department?
A. At a meeting, a faculty meeting.
Q. And who provided the training?
A. I don't know.
Q. Was it someone from your department?
A. No.
Q. Was it a woman named Cynthia Hall by any chance?
A. I'm sorry, I don't remember.
Q. Was there a presentation provided to you during that meeting?
A. What do you mean?
Q. Did someone present a - you know, give sort of a spoken presentation about copyright law and principles?
A. Yes.
Q. Was there a Power Point used, do you recall?
A. I don't remember.
Q. This is a document that's been previously marked as Plaintiff's 17.

MR. ASKEW: You remember which deposition this was in?

MR. LARSON: I don't.
MR. ASKEW: Were you numbering the documents sequentially at that time?

MR. LARSON: Yes, I was. I believe the policy we followed before was just to number them sequentially, but given the break I'm not quite sure where we left off. BY MR. LARSON:
Q. Do you recognize this document, Professor Esposito?
A. No.
Q. So do you recall anything about the presentation you were provided or whatever the discussion was at this faculty meeting you've described as to the copyright policy?
A. I remember it was about the new policy.
Q. Anything beyond that?
A. How to use the checklist.
Q. So someone walked through and showed you the
new checklist?
A. Uh-huh.
Q. But you don't remember this particular --

MR. ASKEW: Can you try to verbalize?
THE WITNESS: I'm sorry. Can you repeat the question?

BY MR. LARSON:
Q. Yeah, you don't recall this particular Power Point?
A. Not this particular one.

MR. ASKEW: But he had asked if someone walked you through the policy and the checklist, and $I$ think that was the question that she said uh-huh.

THE WITNESS: Right, someone has walked me through the policy and the checklist.

BY MR. LARSON:
Q. And that was at that meeting that we've been discussing?
A. There are two times when. When the initial -when the announcement was made that the policy was put into place, and then again at some other point, but $I$ don't remember when.
Q. When the policy was put in place, was that the faculty meeting that you described?
A. Yes.
Q. And what was the other situation?
A. Someone came and told us, again, how to use the policy and make decisions with the checklist.
Q. And that person came where?
A. To the department.
Q. Okay. And was that a mandatory meeting for the department?
A. It most likely was.
Q. And was it the same or different person from the first meeting?
A. Different.
Q. And do you recall who the person was in the second meeting?
A. I don't.
Q. Was it someone from the legal affairs or counsel's office?
A. I don't know.
Q. And was there any sort of presentation or Power Point or anything like that?
A. Yeah, you asked me that. Yes. I mean, there was a presentation, $I$ don't remember if there was Power Point. I'm sorry.
Q. That's okay. Just so - I just want to make sure $I$ understand. Two meetings, two presentations
about the new policy and each time you were walked through the checklist?
A. That's right.
Q. And roughly how far apart were these two different meetings?
A. I can't say for sure.
Q. I mean, a year, a couple months?
A. No, a few months.
Q. Do you have any understanding as to why if you had the first meeting there was a second meeting?
A. The first meeting was just the chair, the department chair, announcing that a new policy was in place and, you know, she pointed us again to the two people that we could speak to regarding the policy. Showed us the checklist and told us how to access it.
Q. Okay. And the second meeting was where some outside person from outside the department provided more instruction or detail?
A. Uh-huh. Yes.
Q. Are you aware of whether there is a resource available to you to consult if you have a question about whether a particular use is a fair use or not?
A. Yes.
Q. And who's that?
A. Legal affairs.
Q. Do you know who in particular?
A. No. I have it in my records. I don't know off the top of my head.
Q. Have you ever consulted with legal affairs in the course of filling out your checklists?
A. No.
Q. Would you do so if you found that you had a use that was, you know, difficult, perhaps on the borderline in your mind?
A. Yes.
Q. And that would be $I$ take it because that person would be -- would have some copyright expertise that you don't have personally, correct?
A. That's correct.
Q. And that person as a copyright expert $I$ take it would be best positioned to make that difficult choice, is that right?
A. In a situation that was not -- that $I$ couldn't decide from the checklist, yes.

MR. ASKEW: Is your neck bothering you?
THE WITNESS: It's a little bit.
MR. LARSON: Do you need to shift or --
THE WITNESS: No, I'll be all right. I mean, it will bother me. I'm sorry.

BY MR. LARSON:
Q. What's your understanding, if you have any, as to the consequences of violating the copyright policy?
A. Personally or as an institution?
Q. Personally.
A. I mean, $I$ guess $I$ had always assumed that perhaps $I$ would not be allowed to use the library EReserves any more.
Q. And what's the source of that understanding?
A. There isn't one.
Q. Have you ever had any EReserve work flagged or noted by library personnel or someone saying --
A. Yeah.
Q. Saying this is inappropriate?
A. Yes.
Q. When did that happen?
A. Before the checklist was put into place.
Q. And what happened?
A. They told me that they could not put it on reserve because it was too much of -- too much a portion of one source.
Q. Do you recall how much it was?
A. I don't.
Q. Let me ask. Did they tell you that it was too much because it was over 20 percent or 30 percent or some number?
A. I don't remember.
Q. But this was before the new policy was put in place?
A. That's right.
Q. Since the new policy has been put in place, has anything that you've posted been similarly flagged?
A. No.
Q. You said before you haven't consulted with legal affairs as to any of your ERes uses. Has that been because the checklist -- having completed the checklist it seems to have been fairly clear to you that each use was a fair use?
A. That's right.
Q. Are you familiar with the GoSolar system on the university web site?
A. Yes.
Q. Let me provide you with what's been marked as Esposito 2. And I'll represent to you this is a printout we made just recently from the GoSolar system showing your courses in the summer semester for 2009 and the fall semester for 2009.

If you look at the first page $I$ see here this indicates that there was a class EPSF 8280 taught during the summer semester of 2009. Do you recall that as being accurate?
A. Yes.
Q. And this indicates that there were it looks like 22 students in the class. Is that accurate, to your recollection?
A. Yes.
Q. Can you turn to the second page which is a similar printout for the fall semester of 2009. This appears to indicate that you taught a class called EPRS 8520 in the fall semester. Does that square with your recollection?
A. Yes.
Q. And is it correct that you had 14 students in the class?
A. Yes.
Q. Let me give you a document identified as Esposito 3. Do you recognize this as your syllabus from the EPSF 8280 class that we were just --
A. Yes.
Q. -- discussing from the summer of $2009 ?$
A. Yes.
Q. Is it a graduate course or an undergrad course?
A. Graduate.
Q. And if you turn to page 2 there's -- you'll see about four lines down a star, additional readings
available on on-line library reserve.
That's a reference to the fact that some of the readings in the course are put on the ERes system? A. Yes.
Q. If you'd look at the last paragraph starting with this course assumes. You say in the second paragraph, $I$ expect that students will come to class having read and reflected on the assigned material. Reading the assigned material means that you will have underlined, highlighted or made notes in the margins of particular passages that are important to you.

Does that expectation and instruction apply to the materials that you put on the ERes system?
A. Not necessarily.
Q. And what do you mean not necessarily?
A. Students -- this paragraph was written years ago before students showed up with laptops to class, so they can bring their laptops and pull up assignments.
Q. And what has your experience been over, say, the last two years with respect to the EReserves readings you provide, do students tend to bring them on their laptops, do they print them out and bring them, what's your experience been?
A. They tend to bring them on their laptops.
Q. And do you have understanding as to whether
when they're looking at them on their laptops, if they're accessing them live from the system or do they just make a copy to their hard drive and save them?
A. I have no idea.
Q. Have you seen students bring printed copies of the EReserves materials to class?
A. Sometimes.
Q. How frequent an occurrence has that been?
A. I'm not sure.
Q. But it happens?
A. Yes.
Q. If you could turn to the -- I guess four pages in where the assignments start. There's a chart.
A. Yes.
Q. If you look down to the June 11th entry, the last three entries Hammersley, Tedlock and Gordon. Are those all on -- works that were placed on EReserves?
A. The way that - way that $I$ do the EReserves is that $I$ - at the beginning of the course $I$ fill out the paperwork required, which includes the citation information, the checklist. And then if the library doesn't own the book or if it's checked out, then the instructor has to actually physically bring his or her copy to the library. So if at the time the library didn't own the book or have a copy physically, 1 just
deleted it from the readings. And so - you know, and I would tell students just cross this out.

So I am not 100 percent positive that students read all three of those readings for the 11 th.
Q. Let me make sure $I$ understand. So it's -syllabus was created at the beginning of the semester, $I$ take it?
A. For a summer syllabi 1 create them a month to two months in advance, send them out to students that are registered so they can start purchasing the books. You can see there's five textbooks that they have to buy and that's a heavy reading load for the summer. So I always do the syllabi in advance.
Q. Okay. So -- and then after doing the syllabi you would have, if $I$ understand what you're saying, contacted the library with respect to let's just say these three works here in the June 11 th entry and to start, attempted to put them on EReserves, is that right?
A. That's right.
Q. And one possible response from the library would be that their copy of the work was signed out or they just don't have a copy of the work, is that --
A. That's correct.
Q. And at that point $I$ think what you said was
rather than providing a copy you would have just scraped the work?
A. Yes.
Q. Okay. And then how would the class -- what would have happened in class then if there was a work indicated on the syllabus that was no longer available?
A. I would either have e-mailed them to let them know to disregard that reading or announced it in class.
Q. And sitting here now you can't recall if these three works in the June 11th entry, whether that happened for any of those three?
A. I believe it happened with Tedlock. But I'm not sure.
Q. If you got to the point of filling out a fair use checklist -- strike that.

The decision or the indication from the library that they didn't have a copy and your decision to scrap the work, would that have happened before or after filling out the fair use checklist?
A. After.
Q. So it's possible you filled out a checklist but then subsequently did not use the work, is that right?
A. That's right.
Q. And it's your testimony that the Tedlock was
one situation where that did happen or you think maybe that's what happened with that one?
A. I think maybe it did.
Q. You're not sure?
A. I'm not sure.
Q. Any on here in either of the three pages of assignments where you are sure that you didn't use the work?
A. No.
Q. Anywhere you are sure that you did?
A. Not 100 percent sure.
Q. Do you know for -- going back to the June 11th entry for the Gordon Tuula entry, do you have a recollection as to whether you did or did not end up using that work?
A. I am not sure. I can't answer with 100 percent certainty.
Q. And why is it that in the situation you described that you wouldn't simply provide a copy to the library yourself?
A. Plain and simple, $I$ don't like to walk to the library from my office building. I wear heels all the time and it's an uncomfortable walk.
Q. In the 6/16 entry there's an entry for Pillow and Mayo. Same question there. Do you have an
understanding as to whether or not those were used?
A. I believe they were used.
Q. You believe they were?
A. Uh-huh. Yes.
Q. In the 6/23 entry there's an entry for Michelle Fine "Working The Hyphens", do you see that?
A. Yes.
Q. Do you have a recollection as to whether that one was or was not used?
A. I believe that was used.
Q. And when you say used, that means placed on EReserves?
A. That's right.
Q. Have you ever seen printouts from the EReserve system?
A. Yes.
Q. Give me one second.

I'll hand you what I'm marking as Esposito 19.
I'm skipping because $I$ pre-labeled exhibits.
This is a printout from an Excel report that was provided by your counsel as to the activity on the EReserve system for the date range 6/8/2009 to 8/1/2009. And we've just -- we pulled out the entries for the class EPS 8280.

Have you look at the second page, the very
last entry, which shows Tedlock, "Ethnography And Ethnographic Representation" from the "Handbook Of Qualitative Research". I count 98 in the hit count column.
A. Uh-huh.
Q. Does that refresh your recollection whether the Tedlock assignment was placed on the EReserve system?
A. It doesn't refresh my recollection, but I mean, if it had 98 hits that means it was available to students.
Q. You can put that one aside. Let me give you what's been marked as Esposito Exhibit 4.

If you could just look back at Esposito 19 for one second. Look on the front page, the fourth entry. You see there's an entry for Gordon Tuula or Tuula Gordon?
A. Uh-huh.
Q. "Ethnographic Research In Educational Settings", a hit count of 62. Does that refresh your recollection as to whether that excerpt was in fact made available to students in the course?
A. Again, $I$ mean, seeing it doesn't refresh my recollection. It's not going to make me remember something that $I$ don't remember.
Q. Would you have reason to believe that the work was not made available and hit 62 times during that semester?
A. I don't believe $I$ said that with Gordon. I think $I$ said that $I$ thought the one that wasn't made available was Tedlock.
Q. Yeah, I'm not trying to suggest otherwise. I think, correct me if $I$ 'm wrong, you just couldn't recall with the Gordon one way or the other, that is right?
A. That's correct.
Q. And do you have any reason to believe that the work wasn't made available and hit 62 times during that time range?
A. No.
Q. All right. Let's turn to Esposito 4. Do you recognize this as the syllabus for EPRS 8520 from the fall of 2009 semester?
A. Yes.
Q. Can you take a look at page 4 for me. Is it correct that the entries where you provide the full title of the excerpt and book are EReserves entries, for example, the Corrine Glesne and Denzin and Lincoln?
A. Yes. Some are full text articles that the library owns a license to. The majority of them are full text articles that the library owns a license to.
Q. Which ones do you mean? I'm looking on page 4, for example.
A. Page 4, if you go to 9/3, St. Pierre and Soulston, the library owns that journal. Preisale, Judith, the library owns that journal. Nukaga, Misako, the library owns that journal.
Q. Okay, if you -- oh, yeah, just on that page.
A. Dongxiao and the Grbich, those are texts, textbooks by Sage that the students had to purchase.
Q. The entry for Corrine Glesne, is that an EReserve entry?
A. That is.
Q. And that's one where the actual article itself would have been placed on EReserves, right?
A. That's right.
Q. With the journals the EReserves listing provides a link to the database or something?
A. That's right.
Q. And the Denzin and Lincoln, that would be an EReserves article?
A. That's right.
Q. If you turn to the $9 / 17$ page. The Charmaz entry, is that -- those were EReserves excerpts placed on EReserves? I'm sorry, strike that. Let me ask that again.

The Charmaz entry, that reflects an excerpt from the book that was placed on EReserves?
A. Yes.
Q. And on page 8 in the $11 / 19$ section, you see that?
A. Yes.
Q. I won't try to pronounce the name, but the entry framework for analyzing data and mixed methods research --
A. Yes.
Q. -- that was an excerpt placed on EReserves?
A. I attempted to place both of the articles for 11/19 on reserve, but if memory serves me correctly, the library didn't have either text at the time.
Q. Okay. And so you didn't use them is your testimony?
A. That's correct.
Q. And that's both for the --
A. Onwuegbuzie.
Q. And for the Creswell and Clark?
A. Yes.
Q. If you could turn to the next page, there's an entry for Anfara and Mertz. Was that placed on EReserves?
A. I am - I'm not sure. We didn't - I didn't
have that last class on December 3 rd , and so I don't remember if $I$ went ahead and placed those items on reserve.
Q. Well, correct me if I'm wrong, but wouldn't the -- isn't this done at the beginning of the semester and the articles placed at the beginning of the semester?
A. No. You know, with the EReserves I put -- I do list everything that $I$ intend for students to read. The EReserve process is very slow, and so if you don't do it by a certain date it takes weeks for them to actually go through and, you know, look at your checklist and do everything that they need to do.

So this -- you know, $I$ don't know if this is on reserve or not. Like the situation with "Anthropology of Education", I can't remember.
Q. You would have filled out -- correct me if I'm wrong, you would have filled out your EReserves checklist for this course at the beginning of the semester?
A. That's correct.
Q. And so do you recall - do you have any reason to believe that the library would not have posted any of these EReserves works at the beginning of the semester?
A. Yes, $I$ do have reason to believe that.
Q. And tell me what that is with respect to this Anfara work?
A. If the library didn't have the book in its collection at the time or if it was checked out, then $I$ would need to provide my copy of the book. And as a blanket policy $I$ do not walk to the library to provide my copy of the book, so $I$ would therefore e-mail the EReserves and tell them please delete that.
Q. Okay. I understand that is a possibility. What I'm asking is whether you have specific recollection as to this Anfara work about what happened with it and whether it fell into that category?
A. I don't remember. I'm sorry.
Q. So if there were a separate record from the ERes system with the work being there, you would have no reason to doubt that, is that correct?
A. Can you state that again?
Q. Yeah. If the EReserve records from the system showed that work as being present, it's not your testimony that those records would be wrong, is that right?

MR. ASKEW: I'm going to object to that question as vague as to what you mean by EReserve records.

BY MR. LARSON:
Q. If a printout from the system showed the Anfara work was present on the system with hits of the work, you don't believe that would be in error, correct?

MR. ASKEW: Well, I'm going to -- Todd, again, $I^{\prime \prime m}$ going to object to the vagueness of that question because just because it's on the system -- just because something has one or two hits does not mean that it was actually posted to the students. That could be the process of posting it and then subsequently gets taken down and the students never did access it, for instance. So I'm saying it's on the record and it has some hits is too vague $I$ think as a question for the witness.

BY MR. LARSON:
Q. You can answer the question.
A. If it has only a few hits my students did not -- were not required to read it.

I teach doctoral students, they generally complete all assigned readings. They go above and beyond. So if it had one or two hits on a list, that -most likely it was not available to my students. If it did, you would see many hits.
Q. My question isn't around whether there are one
or two hits or 20. My question is, if there were records showing that the work was present on the system, put aside how many hits there were or weren't, just accept that as the premise of the question, it's not your testimony that the work was not available, is that right?
A. I don't understand.
Q. Looking at Anfara and Mertz here, you just don't remember or don't know one way or the other sitting here whether or not it was available, is that right?
A. That's true.
Q. Could have been, right?
A. Yes.
Q. Turning back to page 8. Just so we're clear, for the Teddlie entry, is it your testimony that you definitely did not use that work and it did not go up on the EReserve system?
A. When you say go up on EReserve, the library -what the library does is it doesn't make public certain entries until $I^{\prime} v e$ provided the documentation that it is a fair use. So if $I$ never bring this book in, they don't make the article public.

And so $I$ believe in this situation that is what happened. I know this because on that date $I$ had a
guest speaker and there were -- there was no reading due.
Q. So your testimony is that the library did not post on the EReserve system either of these two works in the $11 / 19$ week, is that right?
A. Post meaning make available to my students?
Q. Did not place a copy of either of these excerpts on the EReserve system to make them available to your students?
A. Yes.

MR. ASKEW: Your question was did not, is that right?

BY MR. LARSON:
Q. My question is did not.

I want to make sure $I$ understand. You are saying neither of these works were put on the EReserve system and made available to your students?
A. That's a double question, because I filled out a checklist for these works, which means that the library attempted to place them on reserve.

If the library didn't own the book and I did not bring the book to the library it would not be put on reserve for student use.
Q. And I'm asking -- my question is what actually happened, did they do it or not?
A. I don't know.
Q. You don't know. So you're not saying these two definitely weren't used, you're saying you don't know whether they were or weren't?
A. I didn't use them in the class. I didn't require students to read them.
Q. But your not using them in the class doesn't mean they weren't placed on the EReserve system, correct?
A. I don't know.
Q. At the beginning of the semester you requested that these works be used, is that right?
A. That's right.
Q. And then whether or not they ended up being placed on the EReserve system, you just don't know?
A. If the library owned the book then I'm sure because $I$ filled out the checklist because the library owns the book because it was a fair use it was put on reserve.
Q. And turning back to page 5. Same question with the Charmaz, sitting here do you know whether or not that excerpt referred to here was in fact placed on the system?
A. I typed in the citation, $I$ filled out the checklist, $I$ sent it to the library. But it is my
memory, and my memory is faulty, but my memory leads me to believe that this was not placed on reserve for student use.
Q. And is it - your recollection is that was because the library didn't have a copy from which to make the EReserve copy?
A. Either they didn't have a copy or the copy that they had was checked out.
Q. How are you doing time wise?
A. I'm okay.
Q. Just want to show you a couple printouts here. This is Esposito 5. And if you could also pull out the summer syllabus, Esposito 3, for me. And turn back to the fourth page.

You see the Tedlock entry in the 6/11 box?
A. Yes.
Q. Looking back to Esposito 5, the table of contents -- let me represent to you that Esposito 5 is just a photocopy of the cover and table of contents from the "Handbook Of Qualitative Research" Second Edition.

You'll see on the third page that there's a chapter 17 by Barbara Tedlock called "Ethnography And Ethnographic Representation" that runs from 455 to looks like 486.

Is that the chapter that's referenced in the

Tedlock --
A. I don't have that.

MR. ASKEW: You must have given us the wrong edition.

MR. LARSON: I gave you the third.
MR. ASKEW: Is this going to be Esposito 5 or are you going to change the number?

MR. LARSON: I will -- let's go off the record for a moment.

THE VIDEOGRAPHER: Off the record at 10:01.
(Brief recess.)
THE VIDEOGRAPHER: This is tape 2.
We're back on the record at 10:07.
BY MR. LARSON:
Q. Professor Esposito, you said before the break that if ERes report showed there was only a couple hits for a given work, that indicates that your students didn't -- that work wasn't made available or required, something along those lines, is that right?
A. Yes.
Q. Is it your testimony that if you assign something for students to read, that as a general matter they do in fact read it?
A. Yes.
Q. I want to give you what I've now marked as Esposito 20.

MR. ASKEW: Putting 4 aside?
MR. LARSON: Yeah.
BY MR. LARSON:
Q. Which is a printout of the "Handbook Of Qualitative Research" Second Edition and the cover and table of contents. If you could turn to the third page. And if all goes as planned there should be chapter 17 by Barbara Tedlock, "Ethnography And Ethnographic Representation".
A. Yes.
Q. Is that the Tedlock chapter that's referenced in the syllabus from the summer of 2009 course that we were talking about before?
A. Yes.
Q. And the assignment would have involved the entire chapter, is that right?
A. Chapter 17, yes.
Q. Look, if you would, at Esposito 6. This is a printout of the or photocopy of the cover sheet of the "Handbook Of Ethnography "along with the table of contents.

And on the top of page 3 there's a chapter 13 called "Ethnographic Research In Educational Settings"
by Gordon, Holland and Lahelma. You see that?
A. Yes.
Q. Is that the chapter that's referenced on the summer 2009 syllabus, "Ethnographic Research In Educational Settings"?
A. Yes.
Q. And your assignment of that work involved the entire chapter?
A. Yes.
Q. Handing you Esposito 7, which is a printout or copy of the cover page and table of contents from the "Handbook Of Feminist Research Therapy And Praxis".

And if you take a look at the second page, chapter 8 is a chapter by Pillow and Mayo called "Toward Understanding Of Feminist Ethnography" starting on page 155. Do you see that?
A. Yes.
Q. Is that the Pillow and Mayo chapter that was on your syllabus for the summer of 2009 on June 16th?
A. Yes.
Q. And again that assignment involved the entire chapter?
A. Yes.

MR. ASKEW: Which chapter was that?
MR. LARSON: Chapter 8.

BY MR. LARSON:
Q. If you could turn to the June 23rd entry on Esposito 3, the syllabus. Michelle Fine article "Working The Hyphens", you see that?
A. Yes.
Q. What book is that taken from, do you know?
A. Based on the syllabus I'm not sure.
Q. Sitting here you don't recall where it's from?
A. One of the "Handbooks Of Qualitative Research".
Q. The Sage "Handbook Of Qualitative Research"?
A. Yes.
Q. Not sure which edition?
A. That's correct.
Q. But it is from that handbook?
A. Yes.
Q. Let's go back to the Pillow and Mayo article on June 16th in the syllabus. Can you just tell me why did you select that particular article for use in your class?
A. The topic for the day was Critiques of Anthropology, and the Pillow and Mayo article offered a critique of anthropology as it's traditionally been done and instead argue for a feminist ethnography.
Q. And did you consider using other readings in
the course besides those that are listed here including the Pillow entry that you didn't use?
A. Yes.
Q. And why did you choose the Pillow and Mayo entry as opposed to certain others?
A. I tried to - I tried to offer students a wide range of different theorists, different writers from different books. And so there are other, you know, good examples of feminist ethnography, but this particular reading came from the "Handbook Of Feminist Research".

And the way that my relationship with my students is such that when $I$, you know, require a reading from a book generally if $I$ - you know, I'll bring the book to class and I'll show them and I'll talk about some other articles in the book. And generally they will go and purchase the book. And so this is one that $I$ think is expensive, but $I$ think it's really useful for students who want to do feminist research.

So that would be one of the main reasons, is to get them interested in the book for its collection of, you know, diverse feminist researchers.
Q. Do you have any examples you can point to of where -- of any students that actually bought that handbook as a result of using the Pillow and Mayo work?
A. My -- yeah, I mean, $I$ know of one student. I
mean, I'll see them with the book maybe a few weeks later or sometimes they'll let me know, you know, Dr. Esposito, $I$ bought such and such book, I love it. So yeah.
Q. Let me ask, just tell me who to your recollection bought the "Handbook Of Feminist Research" because you assigned the Pillow and Mayo chapter?
A. I don't -- I mean, $I$ don't remember the name of the student. I just know that in this summer class there was a student who was very interested in feminist research, and she told me that she bought the handbook and talked to me about other articles that she had read.
Q. And she told you that she did that because of this -- having been assigned this Pillow and Mayo chapter?
A. She hadn't seen the book before $I$ brought it into class.
Q. How do you know that?
A. She said so.
Q. And what was her name?
A. I don't remember.
Q. So some student in your class bought the book because you assigned this chapter, that's your testimony?
A. Yes. Yes.
Q. Any others?
A. I mean, throughout -- well from this handbook? No, none that I'm aware of.
Q. Any others that you can recall of other chapters you've assigned where it's caused the student, a student, to then purchase the entire book?
A. Yes. It's a common occurrence in a doctoral seminar.
Q. Let's look at the Gordon and Holland and Lahelma entry.
A. Uh-huh.
Q. Which is in the "Handbook Of Ethnography", is that right?
A. Okay.
Q. Are there experiences you can point to where your use of this chapter caused students in the class to purchase the "Handbook Of Ethnography"?
A. No.
Q. How about with the Tedlock chapter, are there situations that you can recall where someone bought the Sage "Handbook Of Qualitative Research" because you used this Tedlock chapter?
A. Yes.
Q. Who is that?
A. Again -- I mean, $I$ can't name names, I'm
sorry.
Q. How many times did it happen that you recall that someone bought the Sage handbook because of your use of this Tedlock chapter?
A. The Sage handbook is very popular among my students, and so many -- I would say in this -- in the Handbook Of Feminist -- or the "Handbook Of Feminist Research" $I$ know of one student, but the Sage "Handbook Of Qualitative Research" more than one student have bought that book. They've talked with me about the expense of it and whether or not $I$ think it's worth buying.
Q. And my question is a little more specific, have they -- do you have an understanding as to whether they bought the Sage handbook because it's a valuable book in this field or whether it was specifically because you provided a chapter to them from this Tedlock chapter?
A. Well, if they hadn't heard of the book prior to taking the course and reading the chapter, it can be deduced that that is why they would buy the book.
Q. The Sage "Handbook Of Qualitative Research" is a generally well known or popular book in your field, correct?
A. Yes.
Q. And so - -
A. In the field of established academics, yes.
Q. And these are graduate students in that field, correct?
A. That's correct.
Q. So it's possible, isn't it, that they could have heard of that book from any number of sources other than your class, right?
A. I would have to disagree with that.
Q. The only way they could find out about this book is from your course?
A. I'm not saying the only way that they can find out. But I'm saying many of them have said, you know, $I$ hadn't heard of this, it's an expensive book, what do you think $I$ should do.
Q. And then your testimony is that based on that conversation they've bought the book?
A. Yes.
Q. And would the Pillow and Mayo, the feminist research book that you described, is it possible that student could have heard of the feminist research book somewhere other than in your class?
A. I believe if she had she would have told me.
Q. Did she tell you that she bought the book because you used it in your class?
A. She bought the book because she flipped through it in my class and saw the other articles that were in it.
Q. And that was - that was one student that you can recall, is that right?
A. That's right.
Q. And with the Tedlock, going back to the Sage handbook, how many students roughly do you recall having purchased the Sage handbook in its entirety after your course?
A. I don't know. I can't give you a number.
Q. Just -- is it less than $10 ?$
A. It's less than 10.
Q. Less than five?
A. Less than five. More than one.
Q. More than one, less than five?
A. More than two. I don't know.
Q. And in each of those instances, is it your testimony that they didn't know about the Sage handbook prior to taking your class?
A. That is correct.
Q. They found out about it in your class and then went and bought it?
A. Yes.
Q. Why do you use the Tedlock chapter? Let me be
more specific than that. Sorry.
In the June 11th setting, why did you choose the Tedlock chapter as opposed to other works you might have used in that week?
A. It's a chapter that does a good job of going through the historical context of ethnography and ethnographic representation. So it gives students kind of a wide breadth of knowledge about that particular topic.
Q. And were there -- what's different about that chapter as opposed to others you might have used?
A. I haven't seen others like it.
Q. Let me show you what I've marked as Esposito 12. This is a syllabus for the --

Well, let me ask you this. Can you identify this or confirm this is the syllabus from the same course that we were looking at in Esposito Exhibit 3 just from two years earlier?
A. That's right.
Q. So this is from the summer 2007, correct? Can you just turn to the fourth page, please, the June 13th entry. You see that?
A. Yes.
Q. And I see there the Gordon, Holland and Lahelma entry?
A. Yes.
Q. Is that the same chapters you used in the summer 2009 course?
A. Yes.
Q. So with respect to that particular chapter, your practices stayed the same since the summer of 2007 course, right?
A. Yes.
Q. Can you turn over to 6/25 for me. You see there's an entry for the Michelle Fine chapter "Working The Hyphens"?
A. Yes.
Q. And that's the same chapter, Fine chapter, that you used in the summer 2009 version of the course, is that right?
A. That is correct.
Q. So with respect to that work, again, your practice hasn't changed from summer of 2007 to summer 2009, is that right?
A. By practice --
Q. Your practice in terms of using this work and providing it on EReserves?
A. That's right. I used it in addition to, you know, changing other work with it.
Q. If you could look back at Dixon (sic) 3, the
summer 2009 syllabus. The Tedlock chapter that you used or that's on the syllabus in 2009, that's actually something you added at some point after the fall 2007 semester, is that right? I'm sorry. Strike that.
A. Yes.
Q. It's a chapter you added after the summer 2007 semester, is that right?
A. Yes.
Q. And the Pillow and Mayo down in the June 16th entry, that also is something you added after the summer 2007 course, right?
A. Yes. I try to change up the readings. So you see some have been removed from the '07 course and in '09 some have been added.
Q. Got it.

Let me ask you this. Why -- when did you start using the ERes system?
A. I believe my -- maybe my second or third year at Georgia State.
Q. So that would have been --
A. '05 or '06.
Q. And why did you decide to start using that for providing course readings?
A. Well, in the situation of "Anthropology of Education", $I$ require five textbooks that students must
purchase. That's a significant expense for them. You know, you're talking almost $\$ 200$ in textbooks. And in order to make other points and to teach other concepts, I utilized different theorists from different sources.
Q. And so I'm not totally following why you use EReserves for providing those other readings other than the texts that you require them to buy.
A. I don't know of any other --
Q. I mean -- tell me if I'm putting words in your mouth. But is what you're saying because you require them to buy these other textbooks that are expensive, for certain other readings you give them on EReserves because it's free?
A. No, that's not what $I$ said.
Q. Okay. Well, tell me then why is it that you provide those other readings through EReserves as opposed to other options?
A. I was listing multiple reasons. First the students are required to buy five textbooks already for the course. My philosophy as an instructor is to offer them as wide of a range of exposure to different researchers and different theorists. If $I$ was to do that they would be buying 100 textbooks for the course. That's not appropriate. So the next best thing is to expose them to different articles, different authors,
different researchers through EReserves.
Q. And by doing it through EReserve, they don't have to pay, correct?
A. That's correct.
Q. Do you use ULearn at all?
A. No.
Q. Have you ever secured any licensing or permission for any works you ever placed on EReserves?
A. No.
Q. Ever paid any fee of any kind for using it?
A. No.
Q. Did the university encourage you to use EReserves in any way?
A. No.
Q. How did you find out about it?
A. I most likely -- I mean, I think I just would have contacted the library.
Q. So EReserves provided you a way to provide articles or readings to students without their having to pay for those particular excerpts, is that right?
A. It provided me a way to meet the objectives that $I$ have for the course.
Q. And to do so without requiring the students to have to pay any more than they were paying for their texts, right?
A. They were buying books already.
Q. And if you could answer my question. Providing the articles on EReserves provided - allowed you to expose them to those articles without having to pay additional funds beyond the texts that they were buying, is that right?
A. That would be one reason.
Q. Do you know what a coursepack is?
A. Yes.
Q. What's your understanding of what a coursepack is?
A. It's a bound photocopy of different articles.
Q. And is that to your knowledge something that's handled or done through the bookstore?
A. I have no idea.
Q. Is it an option that you've ever considered for providing readings for your students?
A. Not at Georgia State.
Q. Did you use it or consider it prior to Georgia State?
A. I've used it as a graduate student when $I$ was in school.
Q. You bought coursepacks?
A. Yes.
Q. But as a professor, have you ever considered
using coursepacks for these additional readings that you've been describing beyond the texts?
A. Not at Georgia State, no.
Q. And why not, if $I$ can ask, did you -- you just didn't think of it or --
A. I didn't know --
Q. -- did you consider it and reject it?
A. Sorry. I didn't know that they even did coursepacks here.
Q. Is there any difference in your mind between providing the readings over ERes and providing readings through a coursepack?
A. I think so long as it's a fair use there is no difference.
Q. And how does fair use fit into the equation of whether an ERes use is the same or different than a coursepack?
A. Well, $I$ guess $I$ don't understand what you mean by different.
Q. Well, let me see if $I$ can refine it for you. From the perspective of a student in a course, is there any functional difference between receiving a series of readings, you know, chapters and excerpts, through the EReserve system as opposed to getting them in a coursepack, other than the fact that one is digital and
one is hard copy?
MR. ASKEW: I object. The question is vague as to what you mean by functional difference.

BY MR. LARSON:
Q. You can answer.
A. The difference $I$ would give is what you just said, one is digital and one is not.
Q. Other than that, any other differences?
A. No.
Q. Let me give you four exhibits that are marked as Esposito 14, 15, 16 and 17. And just --

MR. ASKEW: Which are which?
MR. LARSON: So the record is clear, 14 has page counter 455 to 486 , Bates number 65192. 15 is Bates number 65196. 16 is

Bates number 65194. And 17 is Bates number 65190.

MR. ASKEW: What's the identification
for 16?
MR. LARSON: 16 is 65194.
MR. ASKEW: What's 15 then?
MR. LARSON: 15 is 65196.
MR. ASKEW: What is 190?
MR. LARSON: 190 is 17.

BY MR. LARSON:
Q. Do you recognize these as the fair use checklists that you filled out for four works in May of 2009?
A. Yes.
Q. And these would have been for the summer 2009 semester of EPSF 8280, is that right?
A. Yes.
Q. Do you recall, was this -- would that have been the first time that you filled out checklists or would there have been semesters prior to that when you filled them out?
A. There were semesters prior.
Q. Was that the Maymester from 2009 or the spring semester?
A. I did not teach a Maymester in 2009, so I'm sure it was spring.
Q. And do you happen to recall whether these checklists that we're looking at here for the summer of 2009 were completed after the sessions you described with your department and the copyright presentations that we talked about?
A. I -- it's safe to say that any checklist that I filled out occurred after my department chair announced that the policy was in place.
Q. Because the policy had just been put out, correct?
A. Yeah. Well, $I$ don't know what year the policy was put into practice.
Q. But you don't know whether these checklists were filled out before or after that second training session you described?
A. I don't.
Q. And these would have been filled out prior to the start of this semester, is that right?
A. That's right.
Q. So let's look at Esposito 14. This is for "Ethnography And Ethnographic Representation" from the "Handbook Of Qualitative Research". That's the Tedlock chapter?
A. Tedlock.
Q. Take a look down at factor 1, if you would. Look in the weighs against fair use category. There's an entry for nontransformative. And then in the weighs in favor of fair use category there's an entry for transformative.
A. Uh-huh.
Q. Tell me, what is your understanding of whether a chapter, providing a chapter or excerpt of a work on EReserves is transformative or not?
A. My understanding is that it has to change the nature of the article in question.
Q. Change it in what respect?
A. You know, so instead of -- instead of a print copy, you know, maybe someone makes -- I don't know, does something else with it, put it in a different form.
Q. So would creating a digital copy, a PDF of a chapter and providing it to students, is that what you mean by putting it in a different form?
A. No. I meant taking it out of print form. Maybe performing it or speaking it.
Q. I see. And so would providing a copy of the chapter as a PDF to students, in your mind is that a transformative use?
A. No.
Q. And so my question then, going back to the page, is why didn't you check nontransformative?
A. I'm not sure. I mean, because I - I guess I didn't think it was nontransformative, either.
Q. So you didn't think it was transformative but you didn't think it was nontransformative?
A. Right. I thought that was something that didn't apply in my situation in looking at these articles.
Q. All right. So just - I want to make sure I'm
understanding.
Your providing articles to students in your mind is neither transformative nor nontransformative?
A. Of the work, yes.
Q. Is there a - when would nontransformative, in your mind, if you have an understanding, when would that be checked, in what sort of use?
A. I -- I don't know.
Q. The first two boxes under weighs in favor of fair use are nonprofit educational and teaching both of which are checked here. Do you see any difference between those two boxes?
A. I mean, given that it is in parenthesis and says multiple copies for classroom use, yeah, I checked both because $I$ teach with the material, but I'm also, you know, part of a nonprofit or -- I mean, I'm a nonprofit educational entity passing on information to students, so that's why both are checked.
Q. Can you think of any instance where if you selected a work to use in your course that you wouldn't check both of those?
A. Not - no, not if it's required reading for my students.
Q. If it were non-required reading, would you not check one of those boxes?
A. I guess it depends on what $I$ intended them to do with the article.
Q. And what do you mean by that? What would --
A. I mean, $I$ guess $I$ can't hypothesis about the multiple ways $I$ could use the article, so $I$ don't really know how to answer your question.
Q. My question -- sorry.
A. In this situation $I$ used it for teaching and, you know, $I$ felt like $I$ was a nonprofit educational entity. So that's why both are checked.
Q. Let me ask it a slightly different way. If you choose a work, an excerpt to use in your course, and you want to put it on EReserves and it's a reading from your syllabus like we've been talking about, and in that situation you're going to check nonprofit and teaching pretty much every time, correct?
A. I'll look at how I'm using the work, yes. I mean, so I'm not saying yes to your question, I'm saying I will exam the work, I'll exam how I'm using it, what the objective is to even require the reading and then I'll look at the checklist and decide.
Q. And so then what I'm trying to understand is what kind of use would you be making in a course of a reading where you would not check nonprofit educational or teaching?
A. I believe I would always check nonprofit educational. I may not check teaching if -- you know, maybe if $I$ wasn't - I don't - -

I really can't answer that. I'm sorry. I mean, $I$ think you're asking me to do something that $I$ didn't do because $I$ checked teaching.
Q. Right. And my question is, if it's a work that you're assigning on your syllabus like the Tedlock and the Gordon and the Pillow, then you're going to check teaching also, correct?
A. In this situation $I$ checked teaching, that's correct.
Q. Sitting here now you can't think of a situation where you wouldn't check teaching, is that right?

MR. ASKEW: I'll object to that as contrary to her testimony.

You can go ahead and answer it.
THE WITNESS: Can you say it again?
BY MR. LARSON:
Q. Yeah. Sitting here you haven't provided or can't think of any example where you wouldn't check teaching, again in the context of filling out a fair use checklist for using a work on the EReserve system in your course?
A. I feel like you're asking me to imagine something that is not in front of me.
Q. I am.
A. Right. Well --
Q. I'm asking you to -- for your understanding of this checklist what type of situation would exist where you wouldn't check teaching? That's all.
A. I can't answer that.
Q. For -- you also checked at the bottom use is necessary to achieve your intended educational purpose.
A. Yes.
Q. Why did you check that box?
A. Any reading that $I$ require for my students, generally it has a purpose. I mean, there's usually an objective that $I$ 'm trying to meet through them reading the article and us discussing it.
Q. So -- and how is that different than teaching, in the second box?
A. This box causes me to really articulate what it is $I$ intend to do with each reading specifically.
Q. Would you ever choose readings for your course that aren't necessary?
A. No.
Q. So if you choose a work and you start filling out the checklist and it's a work that you want to
provide to your students to read in addition to their texts that you've assigned, you would -- that would cause you to check nonprofit educational, teaching and use is necessary to achieve your intended educational purposes, right?
A. You're going back to the original question that $I$ said $I$ wasn't going to answer because $I$ don't know how to answer that.

In this situation $I$ checked off those three boxes.
Q. Let me try again. You can't say --

MR. ASKEW: Todd, I think you're just arguing with the witness. I'm going to object to you doing this.

MR. LARSON: I'm just trying to understand, Tony.

MR. ASKEW: Well, $I$ think you're arguing with her, but --

## BY MR. LARSON:

Q. In filling out your checklists, all the ones that you've done, do you ever recall a situation where you haven't checked all three of the boxes we're talking about, nonprofit educational, teaching and use is necessary to achieve your purpose?
A. I can't recall.
Q. Look at factor 2 for me, if you would, on page 2. You checked here important to educational objectives. It's the third box under weighs in favor of fair use.
A. Yes.
Q. Just tell me, what's your understanding of the difference between that factor and the last factor under -- or the last line under factor 1, which is use is necessary to achieve your intended educational purpose?
A. An objective for me is something that is smaller than an educational purpose. So an objective happens each class. I mean, perhaps each moment in class. Whereas an educational purpose is what do $I$ want the students to overall gain.
Q. And what do you mean by overall gain?
A. At the end of the course what is it that $I$ want them to have learned, what was my purpose for holding the class.
Q. And so you understand use is necessary to achieve your intended educational purpose to be about what students gain by your having taught the class?
A. It's a larger -- mean, it's the larger purpose. So why -- what are they gaining from dialogue about all of these readings.
Q. Well, this checklist is about a particular reading, correct?
A. Uh-huh.

MR. ASKEW: That is yes?
THE WITNESS: Yes.
BY MR. LARSON:
Q. So the purpose of -- the educational purpose of providing this reading in your mind is different from the educational objective of providing this reading, is that what you're saying?
A. Yes.
Q. And just tell me again, I'm sorry, I think I'm missing it, what the difference is between the purpose of the reading and the educational objective of the reading?
A. The objective is where $I$ look at how - it's when $I$ look at, okay, this reading will be used to accomplish which like narrow -- I hate to use the term objectives, but which narrow objectives, learning objectives $I$ want the student to gain from.
Q. And purpose by contrast is this reading will --
A. What overall course gains do $I$ want them -you know, does this reading contribute to my purpose in teaching the course.
Q. I see. And again, is there - I take it that if the work satisfies the educational objective for the particular week or unit or whatever you're doing, wouldn't it also be the case then that it would achieve the educational purpose for the course?
A. It might contribute to it.
Q. Is there -- I mean, can you imagine a situation where you would say yes, this work meets my educational objective but it doesn't achieve my educational purpose?
A. I'm not sure why you keep asking me to imagine when, you know, again, we're talking about this one reading.
Q. I just want to understand how -- to understand how you are parsing these different factors, if there's a situation where you would check one and not the other just to help me figure out how you fill this checklist out and how you understand it to work. That's all.

MR. ASKEW: I'm going to object to the question as vague and indefinite.

BY MR. LARSON:
Q. So the question is, given your understanding of this checklist, is there any difference you can imagine where you would check educational purpose but not objective or vice versa?
A. Again, $I$ can't answer that. $I$ can tell you why $I$ checked that it was intended for an educational purpose and why $I$ checked that it was important to educational objectives for this reading.
Q. And tell me for this reading, for the Tedlock chapter, what was the educational purpose that this use, this work was necessary, that caused this work to be necessary?
A. Anthropology Of Education, you can look at the syllabus and see the course description here. Generally, you know, anthropology uses the methodology of ethnography, which is what this article, the Tedlock article, dealt with. So by requiring them to read the -- this Tedlock piece which looked at the -- kind of the historical --

It was an historical look at ethnography, so what has occurred in ethnography over the course of 100 years and how these ethnographies get represented. So it enables students here to -- you know, they're engaging in an exploration of different theories.

It's also, you know, one of the larger introductions to the field because she again takes a historical look, so she's looking at 100 years of what has happened in the field. That would be my educational purpose.

The educational objective for that day -- I think that's the wrong syllabus. The '09 syllabus.
Q. Exhibit 3.
A. On 6/11 the topic was ethnography in education. So my purpose in that was to show how ethnography has entered into the conversation of educational research.

So in the article along with her history she talks a little bit about that. So that helped me meet the course objective of teaching students that, you know, anthropology was not originally part of educational research and over the course of 100 years it's made its way in.
Q. In the weighs against fair use column, you did not check highly creative work. Just tell me why not.
A. I mean, it says art, music, novels. It didn't fit. It was factual. She's looking at, you know, the history of ethnography. She's looking at facts.
Q. Now, $I$ think you indicated earlier when we were discussing some of your writings that you didn't view nonfiction as being sort of mutually exclusive from being creative, is that right?
A. That's right.
Q. So is it just that this particular Tedlock work you don't view as being creative or is it that it
says art, music, novels and so it didn't seem that it would fit?
A. You asked me to define creative early on and I told you that it was - I mean, $I$ can't remember my exact words. But $I$ think $I$ said that it's something that no one has done before.

Well, Tedlock, this is a secondary piece, she's looking at the history of what happened in the field. So she is looking at what other people have done before. There was nothing creative about it. It was, you know, a glorified lit review, but was important for the educational objectives of my students.
Q. Are there works that you assign that are nonfiction but you would also consider to be creative?
A. All right. I mean, I don't know. I'd have to -- are you saying of these four?
Q. Sure, we'll limit it to that for now.

I'm not asking whether you checked it when you filled it out, but just sitting here now do you consider any of those four to be creative?
A. No.
Q. How about looking at syllabus Dixon 3-I'm sorry, Esposito 3 , are there any works that you've assigned there that would meet your criteria of creativity as you've defined it?
A. No.
Q. Okay. What's your understanding of -- putting aside your definition you gave me before of what creativity means to you, what's your view of this factor and how it's interpreted or how -- you know, when you're filling out the checklist?

MR. ASKEW: Objection. Which factor are we talking about now?

MR. LARSON: The highly creative work under factor 2.

THE WITNESS: I can tell you how I determined whether it's factual or nonfiction. So if $I$ determine it's factual or nonfiction and then look at highly creative work and look at how it's defined, then $I$ feel pretty comfortable that the work that $I$ 'm requiring students to read is factual or nonfictional work.

## BY MR. LARSON:

Q. So do you view those as an either or, if it's factual or nonfiction then it wouldn't be creative?
A. Yes.
Q. Let's look at factor 3. You've checked small portion of work used.

How did you determine that it was a small
portion?
A. The handbooks are, you know, thousands of pages, so one chapter is a small portion.
Q. All right. So when the work as defined here you view as the entire handbook from which it's taken? In other words, the work is not the article, the work is the book?
A. Yes.
Q. And what's the dividing line for you between what's small and what's a large portion?
A. I don't have a dividing line.
Q. Well, you said -- this particular chapter you used of about 30 pages you determined was small. Is that right?
A. You're talking about Tedlock?
Q. Yeah.
A. Yeah, the last chapter in that handbook starts on page 1047 so you can assume it must end at about, what, 1100. There must be 1100 pages. And that doesn't count, you know, references, the author index. So yeah, I think one chapter of however many pages you just stated is a small portion of the work.
Q. And so you're basically looking at the percentage of your -- the excerpt you're using to the length of the entire book?
A. No, $I$ don't work in percentages. I don't no, $I$ don't do that. I look at it among other factors, so --
Q. What other factors?
A. Whether I'm using it for teaching, whether it's necessary to achieve my educational purpose.
Q. And those factors influence whether or not it's a small or large portion?
A. Those -- those factors $I$ guess influence whether or not $I$ use it.
Q. And my question is more narrow, which is how you determine whether it's small or large. If not by looking at the percentage, as you just said you don't do percentages, what's the measure you use to determine if it's small or large?
A. There's no number, if that's what you're asking me.
Q. So how do you decide then?
A. The opposite of whether it's a small portion of work is that it's a large portion or the entire work is used.

So what I'm saying, if this handbook is 1100 pages and $I$ used only 15 pages, that's a small portion. No matter what percent you calculate, it's a small portion of the work.
Q. And my question is where would it tip in to becoming in your mind a large portion?
A. The way that $I$ decide on these readings, I've never been forced to make that determination as to, you know, is this too much. Because if -- you know, as $I$ told you upfront, $I$ sample from a wide variety of different authors, different books.
Q. And so is it that the chapters that you've used in particular in your mind have always been so far on the side of small that it just hasn't come up now, they've just been clearly small in your mind?
A. Yes.
Q. And what if -- let's just take this Sage handbook we're looking at, this Tedlock chapter. Your front page indicates it's about 31,32 pages. What if it was 100 pages, would you consider that to be small?
A. I would have to look at the work that it was taken from.

So you're saying assume it was taken from the handbook?
Q. Well, assume 100 pages from the handbook.
A. It was 31 pages, as you said, that's why I checked off small.
Q. My question is what if it were 100?
A. I'm not comfortable with assuming that because
it's not, it's 31 pages.
Q. So you -- in terms of whether you choose large or small, $I$ 'm just trying to understand where you would draw the line as to what is small or large.

Sitting here you just can't say?
A. I haven't been in that situation.
Q. And so sitting here you can't say what your line is, is that right?

MR. ASKEW: I object to this. I think, again, you're just arguing with the witness. You've asked this question $I$ think 10 times now. And I think you've gotten an answer from her. You're just arguing with her about the subject.

BY MR. LARSON:
Q. Let me try again. Sitting here -- $I$ just want to make sure we're clear.

You can't say specifically what the line would be for you between a small and a large portion, is that right?
A. I have not been put in the position of needing to check off large portion.
Q. Every work you've used has been small?
A. Yes.
Q. You checked portion used is not central or
significant to entire work as a whole. Tell me why you checked that one?
A. The handbook is a collection of different topics, so it's chapters on all different topics of qualitative research. There's not one that's central.
Q. So in your mind no chapter in that Sage handbook could be considered central?
A. That's correct.
Q. You checked amount taken is narrowly tailored to educational purpose such as criticism, comment, research or subject being taught. Why did you check that one?
A. Again, $I$ think it helps me -- it helps me achieve the goal that $I$ wanted to achieve with my class that day.
Q. And is that the same then essentially as important to educational objectives, up above in factor 2?
A. No, it can be - you know, because it's so narrow it can be part of an educational objective. It may not be an educational objective.
Q. So this is - is there a difference -- what's the difference between being narrowly tailored to your educational purpose and being important to educational objectives?
A. Well, the amount taken is narrowly tailored, so if you, you know, go to the "Handbook Of Qualitative Research" $I$ might want to have -- you know, look at chapter 6, Lincoln and Guba. I might have wanted to include chapter 15, "Performance Ethnography". I might have wanted to include "Autoethnography", chapter 28, but $I$ chose the Tedlock article because it was narrowly tailored.
Q. And what does that mean, narrowly tailored?
A. It means that it looked at one segment of ethnography, one segment of what it is that $I$ wanted to teach. I could have included a lot of these articles about ethnography that were in addition to Tedlock, but I chose only the Tedlock.
Q. And just looking back to factor 1, is that the same in your mind as saying that the use was necessary to achieve your intended educational purpose?
A. Is what the same?
Q. It being narrowly tailored to your educational purpose.
A. No.
Q. And what's the difference between the Tedlock chapter being narrowly tailored to your educational purpose as opposed to being necessary to achieve your educational purpose?
A. I've just told you the difference.
Q. I think you just described what it meant to be narrowly tailored. What $I$ want to understand is how that's different than what you understand to be the reason for checking necessary to achieve your intended educational purpose?
A. To put it simply, one is large and conceptual and one is small. So narrowly tailored means it's a small segment of what $I$ want to accomplish versus a large conceptual overview.
Q. And how does that small segment differ from your educational objective that you described in factor 2?

MR. ASKEW: I object. It's been asked and answered several times a few minutes ago.

MR. LARSON: I don't believe it has, so I'm going to ask it again.

MR. ASKEW: You've asked her questions about comparing those two subtexts numerous times.

But answer again, please, if you can.
BY MR. LARSON:
Q. So do you have the question in mind?
A. How about $I$ just do this. So education
purpose large, educational objective medium, narrowly tailored education purpose small.
Q. So just smaller subsets of the overall purposes and objectives for the class as you work down through the factors?
A. Say that again?
Q. Is a - well, narrowly tailored you said small, $I$ believe, right? Objective was medium.

Is the educational purpose and the narrowly tailoring sort of a subset of the educational objective in factor 2?

MR. ASKEW: I object. Your question is misstating her testimony.

BY MR. LARSON:
Q. I'm actually just asking a question to make sure $I$ understand.
A. Well, I didn't use the word subset so I don't --
Q. Well, that's my question, when you said large, medium and small, again, $I$ 'm just trying to understand what the difference is between the educational purpose in factor 3 and the educational objective in factor 2 ?
A. I think I told you what it was and $I$ just didn't say what you wanted me to say, so now $I$ feel like you're harassing me and trying to force me to say
something that $I$ 'm not comfortable saying. I didn't call it a subset.
Q. And I'm not - I'm not - I'm asking if that's how you understand it. I'm not suggesting that's what you said.

We can move on. It's fine. I'm certainly not attempting to harass you.

Let's look at factor 4 . There's a column for weighs in favor of fair use and you checked no significant effect on market or potential market for copyrighted work. Why did you check that?
A. Because $I$ don't believe that the use in my classroom has a significant effect on market.
Q. And what do you understand the market to be that this factor is hitting on?
A. Money loss $I$ guess for the publisher.
Q. Well, did you understand this to mean that your use in the class wouldn't impact sales of the book?
A. It's more so that $I$ looked at the second one, use stimulates market for original work. And I know that when $I$ bring in the books to class and students, you know, look at them and order them, I'm stimulating market so therefore there's no significant negative effect on the market.
Q. My question -- and that's the conversation we
had before. My question is, by market are you thinking about purchases of the book?
A. Yes.
Q. So you're not - market, you're not thinking about the impact of your use on licenses for the book or permissions for the book, right?
A. That's right.
Q. In weighs against fair use you chose -there's no check in licensing or permission reasonably available. Tell me why you did not check that one?
A. I don't know anything -- I mean, I don't know whether it is or it's not.
Q. Did you feel that as part of filling out this checklist that it was incumbent upon you to check?
A. No. I felt like, you know, the fact that I had so many factors that weighed in favor of fair use that $I$ was using it fairly.
Q. So even if you were to check it out and determine that licensing was available, it wouldn't impact the overall conclusion, anyway?
A. Considering that I've checked off so many on factor 1, you know, there's three checked off there, there's three checked off for factor 2 , three checked off for factor 3, it's a fair use.
Q. So by the time you got to this check it had
basically already been established that it was a fair use and there was no need to see whether licensing or permission was available, is that right?
A. No, that's not what $I$ said. But as $I$ got to factor 4, 1 continued checking.
Q. But you didn't check to see whether licensing or permission was available, right?
A. That's right. Because I'd checked off that use stimulates market for original work.
Q. Are those an either or?
A. Well, $I$ guess they're not.
Q. So again you - there were enough -- you didn't check for whether licensing or permission was available, if $I$ hear you, because there are already enough checks over on the weighs in favor of fair use side that this use was clearly a fair use, is that right?

MR. ASKEW: Todd, I'm going to object to your question because you are using the word check in that questioning and $I$ think you might mean investigate as opposed to check the box.

MR. LARSON: I'm sure, yes. Thank you.
MR. ASKEW: And I'm not sure she understands.

BY MR. LARSON:
Q. You didn't investigate whether licensing or permission was available because there were already enough checks on the other side that it essentially wouldn't matter even if it was, is that right?
A. I didn't investigate whether it was reasonably available because $I$ felt like it was a fair use, and so I didn't think that $I$ needed to investigate that.
Q. And you thought it was a fair use because of all the checks that you had already placed in the other side, right?
A. Yeah. Overall, yes.
Q. You didn't check numerous copies made or distributed. Why not?
A. I teach small classes, 20 students. To me that's not numerous.
Q. What would numerous be for you?
A. I mean, $I$ don't have a number in my head, but I know that $I$-- you know, a classroom is not numerous copies. You're dealing with a small amount of students.
Q. So it would need to be copies made or distributed to some number larger than are sitting in your class for it to be numerous in your mind?
A. Even more so than that.
Q. And how many more so?
A. I don't have a number in mind.
Q. Well, what would be -- let me ask it this way. Even for the largest class of undergrads with 200 or 300 undergrads or 500 or whatever it is, would you consider that to be numerous?
A. I don't teach undergrads and $I$ don't have large classes like that.
Q. So none of your classes would ever get into the territory of numerous, is basically what you're saying?
A. For me, for how $I$ define numerous, yes. I teach -- the most $I$ would have in my class would be 25 students.
Q. You didn't check repeated or long term use that demonstrably affect the market for the work. Can you just tell me why not?
A. Again, because $I$ don't think I'm having an effect on the market and $I$ 'm using it in class for a semester.
Q. Now, the Tedlock $I$ think you used in prior semesters, is that right?
A. Yes.
Q. So would you consider that to be repeated use?
A. No.
Q. And why not?
A. It's two separate groups of students.
Q. All right. So repeated, using the same work over multiple semesters in your mind isn't repeated use, is that right?
A. That's right.

MR. LARSON: I think we need the tape break here.

THE VIDEOGRAPHER: Off the record at 11:08.
(Brief recess.)
THE VIDEOGRAPHER: This is tape 3.
We're back on the record at 11:19.
BY MR. LARSON:
Q. Professor Esposito, just looking at Exhibit 14, do $I$ have it right that there's not a single check mark on the entire list in the weighs against fair use category?
A. Yes.
Q. And that's the case also for Esposito 15, 16 and 17 , is that right?
A. Yes.
Q. And Esposito 15,16 and 17 are in fact identical in terms of what's checked on Esposito 14, is that right?
A. Yes.
Q. Can you look back at Esposito 4 for me. That's the syllabus for the fall 2009 course.
A. Yes.
Q. Do you know whether you completed - I'm sorry. If you could turn to page 5.

Do you know whether you completed the checklist for the Charmaz excerpts that are identified here?
A. I did.
Q. You did. And do you still -- is that still in your possession?
A. I don't believe it is. I removed that from the course reserves, so because $I$ didn't require it $I$ may have discarded it.
Q. You say you may have. Do you know whether you did or didn't discard it?
A. I'm not sure.
Q. So you did do a checklist, but you don't know sitting here whether you have it or not?
A. Yes.

MR. LARSON: All right. We'd request a copy of that, Tony, if it does exist.

MR. ASKEW: You can include that in your letter to me about what you'd like to have. BY MR. LARSON:
Q. If you can flip to page 8. There are entries there we discussed for the $11 / 19$ column or row for the "Handbook Of Mixed Methods" and the Creswell and Clark entries, do you see those?
A. Yes.
Q. Did you complete checklists for those works?
A. Yes.
Q. And do you know whether you have those in your possession or not?
A. Again, these were, as $I$ said, removed from my requirement, my required reading, so they were not put on reserve so $I$ don't think $I$ have the checklists.

Most likely if $I$ did not send my checklist to legal affairs, then $I$ don't have those checklists. If I removed it from the syllabus, you know, there was no point to continue holding on to it because they weren't made available to students.
Q. Do you recall actually affirmatively deleting your copy of those checklists?
A. I don't recall.
Q. Okay. So you may have and you may not?
A. Well, when you say delete, $I$ don't do it online. I print them out and do hard copies.
Q. So do you recall affirmatively throwing away your hard copies of the checklists for those two works?
A. I don't recall.
Q. So you may have them or you may not, you just don't know?
A. Most likely $I$ don't because when $I$ was asked to send my checklists to legal affairs, I looked through my files.
Q. And they -- these checklists had you retained them would be in those files?
A. Most likely. I mean, my office is a mess, they could be other places.
Q. And if you could turn to page 9, Anfara, Vincent and Mertz entry there. Did you complete a checklist for those works?
A. Yes.
Q. And same question, do you have that in your possession?
A. Not with me, no.
Q. I mean your possession at your office or home or wherever.
A. Again, I'm not sure.
Q. Same, for the same reason you've described for the others?
A. Yes. Those were not -- this was not required by the students, so $I$ removed it from course reserves.
Q. And possibly then discarded the checklist you
had filled out?
A. I might have.
Q. Or you might still have it?
A. (Nods head affirmatively.)
Q. Okay. Let me give you what's been marked as Exhibit 18. Do you recognize this as the -- sorry. Strike that.

Do you recognize this as the declaration you completed in this case last April?
A. Yes.
Q. Tell me how did it come about that you submitted this declaration?
A. I was asked to by someone from legal affairs.
Q. Who was that?
A. I don't remember.
Q. And then what happened, did you sit down and draft it or have a conversation or how did the process work?
A. I honestly don't remember.
Q. Did you write this declaration or was it drafted and then you signed off on it?
A. I think it was -- I don't think I -- yeah, I think $I$ signed off on it after $I$ was asked questions and asked to make statements about my answers to the questions. So $I$ think someone was, you know, like
recording it the way that she's doing it. But I'm not sure. I have no idea.
Q. You don't remember how the process worked?
A. I don't remember. No.
Q. You don't remember, $I$ take it, sitting at your computer and writing it yourself?
A. No, I don't.
Q. Look for me, if you would, at paragraph 3. The first sentence says each course reading assignment I've made available on EReserves since adoption of the policy has been narrowly tailored to support a specific teaching point and constitutes less than 10 percent of the entire work.

How was that 10 percent measured?
A. I don't -- I don't know. I mean, I don't remember what $I$ meant by that. But maybe by number of pages and how many pages were utilized.
Q. Are you saying that's what it is or are you speculating?
A. I don't - I don't really have a memory of this. I'm sorry. I don't even know when I did this, what year it was.
Q. Well, look on - - if you look on the next page it says it was signed on April 2nd of last year?
A. Uh-huh.
Q. About eight or nine months ago, is that right?
A. I guess so.
Q. You don't remember what you meant by 10 percent here?
A. No, I don't.
Q. Was that a figure suggested by counsel?
A. No, nothing was suggested. I was asked questions and $I$ gave answers.
Q. Now, before when we were talking about a large versus a small portion you indicated that you don't do percentages. It appears that you did a percentage here. Do you know why?
A. I don't know why.
Q. It says for each --
A. Oh, I'm sorry. The old -- before the fair use checklist, $I$ had been stopped by library reserves once for having gone over 10 percent of a work and so $I$ might have been mixing up the policies. I'm not sure.
Q. I see. Continuing along in paragraph 3. It says for each course $I$ have taught following adoption of the policy, total course reading assignments available on EReserves have been less than 10 percent of the total reading assignments for the course.

Same question, how is that 10 percent in that sentence measured?
A. Again, $I$ don't know.
Q. Do you have a sense of whether that is, in terms of number of assignments or total number of pages, over the scope of the course?
A. Well, the way it's worded here is not - I must have missed that, because $I$ don't mean it's been 10 percent of the total reading assignments for the course. It's -- so $I$ don't know what was meant here.

But $I$ mean, this looks like a mistake. The total course reading assignments available on EReserves have been less than 10 percent of the total reading assignments for the course.
Q. You think that might be a mistake?
A. Yes.
Q. Okay. The next sentence says, in addition to the supplemental reading material $I$ have placed on EReserves, $I$ also require my students, and then it goes on.
A. To purchase books.
Q. Right. What does the phrase supplemental reading mean?
A. All of the readings that $I$ place on course reserve is required, so $I$ most likely substituted supplemental for additional because to me supplemental would mean that $I$ don't require it. But $I$ do require --
you can see from my syllabi it's all required reading. So I think it should say additional.
Q. The paragraph 4 says my completion of the fair use checklist has changed my understanding of how the fair use analysis works.

Tell me how it's changed your understanding of how the analysis works?
A. Before $I$ never really had to think about it. I could put whatever $I$ wanted to on course reserve. And now sitting with the checklist I've had to, you know, make determinations as to whether it is a fair use and --
Q. So is this saying it's caused you to consider fair use, that is the gist of this, and whether or not a work is fair use?
A. Yes.
Q. And are there specifics about the fair use determination and the factors that have changed as a result of the new list?
A. Say that again?
Q. Yeah. Is there -- I guess what I'm trying to understand is whether there are particulars about the relevant factors to a fair use analysis, where your understanding has changed as a result of the new policy?
A. Before the fair use policy was put in place,
my understanding of Georgia State's policy was that so long as - so long as it was 10 percent or less of a book -- so what that meant is if you look at the handbook, you know, 10 percent of this book is over 100 pages. And you can see that $I$ 'm not putting 100 pages online any more using the checklist because - you know, I'm not trying to say there's a particular number, but if you looked at the old policy, there was a particular number. If you look at the new policy, there are factors that $I$ might be forced to have to check off on the other side of the column here, weighs against fair use, which means $I$ wouldn't even put it on reserve.
Q. So you've gone -- what you're saying, you've gone from a situation where there was basically a percentage cutoff to a situation where you now have a variety of factors you consider as listed on the checklist?
A. Yeah, but the factors make it more difficult. You know, as I'm saying, that 10 percent -- before the fair use checklist $I$ could put two chapters of this handbook online. Now $I$ couldn't do that necessarily. I mean, I'd have to fill out the checklist to see. But I haven't even attempted. I mean, I put the one Tedlock and then moved on in another body of work.
Q. I see. You say in the next sentence it has
made me aware of the stakes involved in posting on EReserves a substantial portion from any particular book.

What do you mean by the stakes involved?
A. I meant, you know, just here I'm having to give up my time as part of this lawsuit, so mean, I didn't want to do anything that violated Georgia State policy.

You asked me in the beginning, you know, would I take something down if the president asked me, if the provost asked me. You know, I'm a rule follower, so yes, I would.
Q. So by mistakes involved, you mean your becoming involved in a lawsuit?
A. I didn't want to violate Georgia State policy, no. You know, $I$ don't -- so because we have the fair use checklist I'm obligated to use them to follow the policy.
Q. Okay. I understand that. I'm having a hard time understanding what you mean by the stakes involved. I understand that you have to do the policy.

MR. ASKEW: She will answer that one more time, Todd. She's answered it twice now.

THE WITNESS: For me it meant -- you
know, by stakes $I$ meant personal -- my personal relationship with Georgia State and Georgia State policy.

BY MR. LARSON:
Q. I see. Does that sentence include any consideration of the interest of the publishers who own the work and their interest in whether you use it or not?
A. Say that again? Does it include --
Q. When you say that you've become aware of the stakes involved in posting on EReserves a substantial portion from any particular book, does that in your mind -- do the stakes include the interests of the publisher who owns the work?
A. Well, let me tell you this. I mean, I still don't know how that works in terms of, you know, in terms of a larger process. But $I$ know as an author I've signed away, you know, copyright of my articles to publishers. So did I consider the --

All $I$ can say is by stakes $I$ don't think $I$ meant publisher. I think $I$ meant Georgia State, Georgia State policy. I mean, because it's my understanding that all authors sign away their rights, so to me once I've signed the paper and given it to Sage or whoever I'm publishing with, it's out of my hands.
Q. Let's look at paragraph 5. You say $I$ have determined, in the second sentence, that certain proposed uses were not fair uses after completing the fair use checklist and accordingly have required less course reading material for that course in order to ensure the use was a fair use.

Tell me when you recall that happening?
A. I can't give you a specific example. I mean, it has happened to me. Now, do $I$ remember with what particular article or what class $I$ was doing it for, no. I don't.
Q. But you have a recollection that you filled out the checklist and actually it came out that you had more checks on the right hand side than the left hand side?
A. More so that $I$ had -- I didn't feel like I had enough in favor of fair use to consider it fair.
Q. So the situations that you're talking about here, this says you determined after completing the checklist that --
A. Right.
Q. -- the use was not fair.
A. That's right.
Q. And was it the case in these instances that you actually had more checks on the weighs against fair
use side than you had checks on the weighs in favor of fair use side?
A. No. It's more so that, for instance, weighs in favor of fair use, use is necessary to achieve your intended educational purpose, you know, I can't - I'm sorry, $I$ can't remember what was checked and what wasn't. But $I$ do know that $I$ have had to say, okay, $I$ better not put that on EReserves and $I$ have, you know, decided to just cut it out completely.
Q. And that's come as a result of literally -- of completing a fair use checklist or just looking and saying this looks like it's too much, I just probably shouldn't do it?
A. No, of completing a fair use checklist.
Q. And has it ever been the case in these situations where you actually -- the checklist suggested that it weighs in favor of fair use in the terms of the number of checks but you still decided not to do it?
A. Well, there have been examples on my syllabus that it has weighed in favor of fair use but $I$ just didn't want to go bring the book to the library, so therefore it was removed from my syllabus.
Q. That's not my question. What you say here is that you determined that the use was not a fair use. And I'm just trying to understand if that came as a
result --
What happened when you filled out the checklist, were there more checks weighing against, were there more checks weighing in favor?
A. I can't remember.

MR. ASKEW: I'm going to object to that question, Todd, because she did answer the question that you asked, which was have you filled out a checklist, found that it was in favor of fair use and you still didn't put the material up. She answered that question and you then criticized her for not answering the question. But $I$ think she answered the exact question that you asked. But let's go ahead.
(The record was read by the court reporter.)

## BY MR. LARSON:

Q. In these situations that you're talking about here in paragraph 5.
A. And I said I'm not sure.
Q. You just don't remember.

Do you have those checklists?
A. Ones that $I$ rejected?
Q. Yes.
A. Most likely not.
Q. And you can't remember what particular work was involved in these situations?
A. Not particular articles.
Q. Do you remember the semester?
A. No.
Q. And just so we're clear, you can't recall how you came to the conclusion that it wasn't a fair use and specifically with respect to the result of the checklists?
A. Say that again?
Q. Yeah, I just -- strike that.

The next sentence you say $I$ believe -- though I believe students' education has suffered as a result, I had to make the tough decision to drop those assignments --
A. Uh-huh.
Q. -- because it would be too expensive to require students to purchase the book on top of the other books they must purchase for my course.
A. Yes.
Q. So this is a situation, I take it, where you've completed the checklist, you came to the conclusion that the use was not a fair use that you wanted to make, and then determined that you didn't want
to make the students buy the book, is that right?
A. Yeah. If for whatever reason a particular book $I$ couldn't use multiple chapters from because it wouldn't be a fair book -- a fair use, then yes, $I$ wouldn't require an additional, you know, 30 or $\$ 40$ for them. I just removed the assignment completely from my syllabi.
Q. Right. So you opted not to have them buy the book, you opted to just not use the assignment at all.

Did you consider hard copy reserve as a possibility, putting the book in the library on the shelf?
A. No. I want to clarify.

My students always purchase books for the class so we're talking about additional purchases. You know, we're dealing with working students who, you know, have a job, have -- are paying for school. So for this qual 3 class they're already buying two books published by Sage.

So yes, would I include a third and a fourth, no, $I$ think that creates economic hardships for them.

MR. LARSON: I move to strike that last response as nonresponsive to my question.

MR. ASKEW: I object to that characterization.

BY MR. LARSON:
Q. I understand from your testimony before that you opted not to make them buy the book. My question was did you consider placing a copy of the book on reserves in the library and having them access and read the assignment there?
A. Well, all $I$ was doing was clarifying that the first part of your question was not what $I$ said. So I was clarifying the way that you asked the question.

You set up something that wasn't true and then you asked me would $I$ consider a hard copy. So my response was trying to clarify that if I'm answering your question $I$ 'm not agreeing to the first part of your question because that was untrue.
Q. It was untrue that you didn't require them to buy the book?
A. The way that you said it, $I$ don't remember what you said, but how you said it didn't fit with what I said and what the situation was.
Q. Let me just ask it in a way that hopefully won't do that then.

Did you consider in these situations putting the book on hard copy reserve and having the students do the reading assignment there?
A. I did not.
Q. Why not?
A. We're a commuter school, students have to pay \$7 to park each day. I thought it would be unfair to require them to go to the library and secure this, therefore $I$ just completely removed it from the requirements.
Q. And in your view is it more burdensome or difficult to access the work in hard copy reserves as opposed to, say, getting it on EReserves?
A. Yes.
Q. Did you consider using the work on EReserves and seeking a permission or a license from the publisher in order to do so?
A. If it's fair use, you know, I didn't need to do that.
Q. No, I'm building on what we were talking about here which is where you determined it was not a fair use and then you said you dropped the assignment.

My question is whether you considered in that situation continuing to use it on EReserves and seeking a license?
A. I had my graduate student look into it. I don't know how she did that or who she called, but she told me it would be expensive so $I$ said let's just drop it.
Q. And do you recall where she went to find out that information?
A. No.
Q. Do you know what the cost was that she was told?
A. No.
Q. Was it your understanding that that was a cost that, whatever it was, that you would be paying or the school or the students?
A. The students.
Q. So that she checked out what it would cost for on a per student basis to get a license and permission?
A. I believe so. I didn't go into detail with her. She told me it's way too much, so let's just drop it. And I agreed.
Q. Is there any amount that you would consider in that situation, a situation like that where you considered a license, is there any amount that you would consider to be acceptable for students to pay for, you know, a license to access a chapter?
A. I know nothing about it. I don't know what the amounts range from so $I$ can't - I can't answer that.
Q. Well, let me just say, if the amount was a frequent -- I'll represent to you it was fairly common
in these situations for publishers to charge a licensing fee of 15 cents per page, so for a 30 page article it would be \$4.50 roughly.

If each student had to pay $\$ 4.50$ to access a chapter, is that something you consider too expensive and not worth it or would you consider it?
A. I would remove the reading and not require it.
Q. And why is that?
A. You can see from my syllabi $I$ require multiple readings each day. That would be a tremendous expense on students in addition to the books that they've already purchased.
Q. So even if it's 4 or $\$ 5$ for a particular chapter, that's still then too much basically in your view?
A. If they have to read four chapters for one week, that's $\$ 16$ in addition to the books that they've already bought.
Q. Is there any level that you would view as reasonable for them to do? I mean, if it was a couple cents a page instead of 15 or is there some -
A. I'd have to look at it in terms of each class and the books that $I$ 've already required and how much those cost.
Q. What if the - if the expense or those
licensing fees were covered by the library or by the university in some way, would you consider using them with permission?
A. I guess it would depend. I mean, obviously I don't want to make my students put out a huge amount of money, $I$ mean, therefore $I$ don't require hard cover books. You know, if it's brand new it won't be on my syllabus. So if the library told me, you know, the students would not have to pay, this would be a free service, $I$ might agree to that. $I$ mean, it depends on how much it would cost Georgia State. I mean, I'm not --

So you're asking me -- I don't know. I mean, you're asking me to hypothesis about something that $I$ don't believe is happening.
Q. All right. There's no, to your knowledge, there's no funds available from the university or from the library to cover the permissions of the sort we're talking about, right?
A. I mean, I don't know.

MR. LARSON: All right. That's all the questions $I$ have.

EXAMINATION
BY MR. ASKEW:
Q. Dr. Esposito, Mr. Larson had asked you a
question about an hour or so ago about whether the functional difference between a coursepack and EReserve material was that one is digital and one is not.

You recall that general subject that he discussed with you?
A. Yes.
Q. What did you understand him to mean when he said the functional difference in that question?
A. Well, $I$ mean, he went on to define it as, you know, one is digital and one is not, so $I$ took it to mean how the students use it.

So in other words, you know, for EReserves the students can go and access the material online versus the coursepack they would have to go to Kinko's or somewhere to purchase.
Q. Do you believe there are differences between a coursepack and EReserve materials?
A. Yes.
Q. What are those differences?
A. Well, $I$ think $I$ said that the coursepack is bound and it's a collection of all different material generally --

I know from when $I$ was in grad school they would put tons of material in these coursepacks, tons of chapters from books versus the EReserve where we have to
make really careful decisions about which chapters we're excerpting from or excerpting.

The coursepack is for sale, the EReserve is not. It's available to students, but it's only available to students in the course because of the password that's not made public. It's password protected. And the library shuts down, it's only available for a segment of students because then the library removes the page at the end of the semester. It's not made available any more even to the students who have the password.

And $I$ don't know what happens with coursepacks, but $I$ assume they sit on the shelves until they're sold.
Q. Can anyone purchase a coursepack?

MR. LARSON: I object on foundation
grounds.
BY MR. ASKEW:
Q. Do you believe anyone can purchase a coursepack?
A. Yes.
Q. Where would they generally be available for purchase?
A. Well, $I$ mean, $I$ know from my previous university they were always available at Kinko's, when I
was in school they were available at Kinko's. So here at Georgia State, $I$ don't know where they're available.

MR. ASKEW: I have no further questions for the witness.

MR. LARSON: Just a couple more.
FURTHER EXAMINATION
BY MR. LARSON:
Q. I just want you to assume that a coursepack of 10 articles, Professor, is one option versus EReserves distribution of those same 10 articles for a given student in the class. What's the difference in your mind between the student getting the 10 articles on EReserves versus the student getting the 10 articles in coursepack, other than that one is digital and one's hard copy?
A. What is the difference?
Q. Uh-huh. From the standpoint of a student in the class.
A. You mean you're asking me to imagine what students think about coursepacks?
Q. No. No. I'm asking you from -- in a situation where a professor could use a coursepack for 10 articles, just as an example, or distribute those same 10 articles or use EReserves to provide those same 10 articles, for students and for a given student in the
class, what's the difference between getting them via EReserve getting them in coursepack?

MR. ASKEW: I'm going to object to the question again as vague again by what do you mean by getting them.

THE WITNESS: So do you mean how they -so they would have to go to Kinko's --

BY MR. LARSON:
Q. Or however a coursepack is distributed, sure.
A. Well, that would be a difference.
Q. All right. So there's a difference in the fact that you have to go to Kinko's versus getting it on your computer?
A. Uh-huh.
Q. Any others?
A. With the -- EReserves they have to have the password, so not just any student could get it.
Q. My question just to - sorry to interrupt. My question is for a student in the class who has the password, not for --

MR. ASKEW: I'm going to object to your question. You already interrupted the witness. Let her answer the question.

THE WITNESS: So $I$ was going to say, the student would have to get the password in
order to be able to access it versus the coursepack where, you know, a student from any class could get it.

BY MR. LARSON:
Q. Other than that, any differences?
A. They would be heavier for the student to carry around the coursepack than it is to access it online.
Q. All right. Because the coursepack is hard copy and the EReserves is a digital copy, correct?
A. Yes.
Q. Any other differences?
A. No.
Q. In either situation the student ends up getting access to the 10 works, correct?
A. Yes.

MR. LARSON: No further questions.
MR. ASKEW: That will conclude the deposition.

THE VIDEOGRAPHER: Off the record at
11:53.
(Deposition concluded at 11:53 a.m.)

E R R A T A
S H E E T

I, the undersigned, Jennifer Esposito, Ph.D., do hereby certify that $I$ have read the foregoing deposition and that, to the best of my knowledge, said deposition is true and accurate (with the exception of the following corrections listed below).

PAGE / LINE CORRECTION

$\qquad$

## NOTARY PUBLIC SIGNATURE

DATE
MY COMMISSION EXPIRES:
C E R T I F I C A T E
G E O R G I A:

FULTON COUNTY:
I hereby certify that the foregoing deposition was taken down, as stated in the caption, and the questions and the answers thereto were reduced to printing under my direction; that the preceding pages represent a true and correct transcript, to the best of my ability, of the evidence given by said witness upon said hearing. And I further certify that $I$ am not of kin or counsel to the parties to the case; am not in the regular employ of counsel for any of said parties; nor am $I$ in anywise interested in the result of said case.

This, the 9th day of February, 2011.

Teresa Bishop, RPR, RMR CCR No. B-307
My commission expires 11-21-11.

STATE OF GEORGIA
COUNTY OF DEKALB

Deposition of Jennifer Esposito, Ph.D.
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