IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

CAMBRIDGE UNIVERSITY )
PRESS, et al.,
Plaintiffs,
vs.
MARK P. BECKER, in his official capacity as Georgia State University President, et al.,

Defendants.

Civil Action File No. 1:08-CV-1425-0DE

Videotaped deposition of YOUJIN KIM, taken on behalf of the plaintiffs, pursuant to the stipulations contained herein, before Teresa Bishop, RPR, RMR, CCR No. B-307, at 104 Marietta Street, SB-2 Conference Room, Atlanta, Georgia, on Friday, February 4, 2011, commencing at the hour of 9:16 a.m.

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KENNITH DRAKE, VIDEOGRAPHER
(Disclosure was made pursuant to O.C.G.A. Annotated 9-11-28
(c) and
(d) and 15-14-37
(a),
(b) and (c).)

THE VIDEOGRAPHER: This will be the videotaped deposition of Youjin Kim taken by the plaintiffs in the matter of Cambridge University Press, Oxford University Press Incorporated and Sage Publications Incorporated versus Mark P. Becker in his official capacity as Georgia State University president et al.

The date is February the 4th, 2011.
We're on the record at 9:15.
YOUJIN KIM,
having been first duly sworn, was examined and testified as follows:

## EXAMINATION

BY MR. LARSON:
Q. Professor Kim, my name is Todd Larson. I'm here representing the plaintiffs in this action.

Could you just spell your name for the record?
A. $\quad$ - $-\mathbf{- u}-\mathbf{- j - i}-\mathrm{n} . \quad$ That's my first name and last name is Kim, K-i-m.
Q. And could you just tell us your address, please?
A. 1514 Sheridan Road NE, apartment 2300, Atlanta, Georgia.
Q. Have you been deposed before?
A. This is my first experience.
Q. Let me just tell you a bit. I'm sure your counsel has filled you in on how this will work, but a few more points if $I$ could.

Please be sure to answer the questions verbally as opposed to with nods because the transcript won't pick up the nods.
A. Yes.
Q. Just let me finish my questions before you answer and I'll try to do the same for you. Not to cut you off.

If $I$ ask a question that you don't understand, please ask for clarification. I'm happy to restate or try it again. If you need to take a break, that's fine, just let me know. Because we're using videotape, I think the tapes last about an hour so we'll plan to stop an hour or so in, but other than that you should feel free to ask for a break.

You can -- if your counsel makes objections on the record, that's sort a normal part of the deposition, you can go ahead and answer the question unless of course he tells you -- instructs you not to answer.
A. Okay.
Q. The testimony that you are providing here could be used at trial if the case goes to trial. And
that's about it.
Does that seem clear?
A. Yes.
Q. Okay. What did you do to prepare for your deposition?
A. What did I do?
Q. Uh-huh.
A. I look -- because $I$ already got information that this deposition is about my fourth semester reading materials, so $I$ read my syllabus and $I$ looked at my checklist.
Q. Did you review the Georgia State copyright policy?
A. Yes.
Q. Did you look at any other filings from the case, the legal briefs or anything like that?
A. No.
Q. Did you meet with counsel?
A. Yes.
Q. When was that?
A. Monday. Monday morning.
Q. Did you speak with any other professors from Georgia State who have had their depositions taken in this case?
A. Yes.
Q. Who did you speak with?
A. Diane Belcher, she's my colleague in my department.
Q. And what did Professor Belcher tell you about the deposition?
A. I just asked her, because it was my first time, $I$ asked her, you know, how long it took and that was about it.
Q. Just the logistics?
A. Yeah. Because $I$ mean, she was teaching different course and we had different scenarios.
Q. Did you discuss at all the substance of the case or the topics discussed at the deposition?
A. No, because this lawsuit has been discussed in our department as well, whenever we had faculty meeting, so $I$ didn't have any specific questions for her.
Q. And what was the nature of those discussions at the faculty meetings?
A. Be careful with choosing readings.
Q. Who was it that provided that instruction?
A. I guess it was not formal like instruction or anything, it was just discussion among faculty members at the beginning of semester. So that was about it.
Q. Which department are you in?
A. Department of applied linguistics and ESL.
Q. That's English as a second language?
A. Yes.
Q. Professor Belcher is in that department as well?
A. Uh-huh.

MR. ASKEW: Verbalize.
THE WITNESS: Yes.
BY MR. LARSON:
Q. Thank you.

So this was discussion among faculty that you're discussing, not instruction from, say, the department chair or something like that?
A. No.
Q. Did you speak with anyone else other than Professor Belcher?
A. My parents.
Q. I'm sorry that this requires a chat with the parents.
A. Yeah.
Q. Any other documents that you reviewed other than the copyright policy and your checklists and your syllabus?
A. No.
Q. When did you start at Georgia State?
A. Fall 2009 .
Q. And were you at another university before Georgia State?
A. I just finished my Ph.D. program at Northern Arizona University in Flagstaff. So it was May 2009 and I started my job right after that.
Q. So this is your first teaching job after your Ph.D.?
A. Yes.
Q. Did you teach any courses at Northern Arizona?
A. Yes.
Q. Let me show you what's marked as Kim Exhibit 1. This $I$ printed from the Georgia State web site. Can you confirm that this is your bio from the web site?
A. Yes.
Q. And is it accurate to the best of your knowledge?
A. Yes.
Q. So at the meetings you were describing, the faculty meetings, other than be careful was there any other discussion of the use of, you know, reading materials or providing reading materials to students?
A. No, not really.
Q. You mentioned that you looked at your checklists?
A. Uh-huh. Yes. Sorry, it's my habit.
Q. Those are checklists from the fall 2009 semester?
A. Yes.
Q. And how many checklists did you fill out roughly for the fall 2009 semester, do you recall?
A. For every chapters or articles outside of my main textbook.
Q. You filled one out for every article?
A. Yeah, but $I$ don't recall the exact number.
Q. We'll take a look at the syllabus and maybe you can tell me then. But it's 10 or 15, in that ballpark?
A. Yes.
Q. Did you provide copies of those checklists to counsel?
A. Checklists? Oh, no, I had to provide checklists to legal affair office in December.
Q. And did you provide legal affairs with all of them, you know, 10 or 15 or however many it was, do you recall?
A. I think $I$ provided only three or -- three to five. I don't remember the numbers. But $I$ had to fax them.
Q. So back in November or December you provided something less than the total of all of the checklists
you filled out?
A. As far as $I$ remember, but $I$ do have an e-mail copy, but that's what $I$ remember now.
Q. And were you asked at any point to provide the other checklists from your class other than the three to five that you provided?
A. I don't remember how many they required, but $I$ only remember $I$ had five to six fax pages that $I$ sent.
Q. And my question is after doing that in the last couple weeks --
A. Yes.
Q. -- have you ever been -- just let me finish the question before you answer. Thanks.

In the last couple weeks has any request been made to provide any additional checklists?
A. No.
Q. Within the applied linguistics apartment or the ESL department, do you report to a department chair?
A. Report, $I$ don't understand your question.
Q. Is there a department chair person or a head of the department?
A. Yes.
Q. And who's that?
A. Sara Weigle.
Q. And do you know who Ms. Weigle reports to?
A. Our dean.
Q. And that's the dean for the college of arts and science?
A. College of arts and science.
Q. And do you know, does that person report to the provost?
A. I'm still new, so --
Q. That's okay. Have you published?
A. Yes.
Q. What sorts of things have you published?
A. Mainly journal articles. As you can see here listed, like Language Learning, Modern Language Journal, Language Teaching Research, those are journals that are widely used in our field.
Q. I'll show you an exhibit that's been marked as Dixon 2 from a previous deposition. Do you recognize this?
A. Yes.
Q. And can you identify it, please?
A. Can you repeat your question?
Q. Yeah, can you identify what this exhibit is?

Let me try it a different way. Do you recognize this as the GSU -- the copyright policy?
A. Yes.
Q. Is this a document that you've seen before?
A. Yes, before, uh-huh.
Q. And have you read through it?
A. Briefly, but I'm more comfortable, I mean, for me to read this checklist that $I$ use.
Q. The checklist which starts on page 7 of the document?
A. Yes. 6 and 7.
Q. You see there's a line across the top, 7 of 19?
A. Yes.
Q. That's the document you're referring to?
A. Yes.
Q. Have you read the other pages in the document?
A. Not the first three, but starting page 4.
Q. Let's do it this way. Maybe we can start at the first page and we'll flip though and you can tell me whether or not it's something you've ever seen or read.
A. Okay.
Q. The first page, have you seen that?
A. Nope.
Q. The second page entitled copyright generally?
A. Yes.
Q. That's one you've seen and read?
A. Yes.
Q. And when was that that you read that? When
did you first read it?
A. I think during the new faculty orientation our school lawyer introduced the lawsuit that we were involved in and talked about this.
Q. When was that orientation?
A. August 2009 .
Q. And that was a new faculty orientation?
A. New faculty orientation.
Q. And who was it that discussed this policy?
A. I don't remember the name. She introduced as she's our university lawyer.
Q. And this was part of a broader orientation?
A. Yeah, very short. I think it was only like 30 minutes, 20 to 30 minutes.
Q. This particular -- this particular presentation by the lawyer was 20 to 30 minutes?
A. Yes.
Q. And did she -- just tell me what as part of that, what did she tell you, as far as you can recall?
A. She talked about the roles and she highlights the importance of using checklist and showed example checklist. So that was about it. And she said if you have any questions contact us.
Q. Did she show you a copy of various pages of this document we're looking at?
A. I'm not sure whether she showed everything, but I'm sure that she showed the checklist and kind of went through it.
Q. Was there a Power Point presentation of any kind that you recall?
A. I think it was Power Point presentation.
Q. Let's keep going through the document. There's a page $I$ guess starting page 4 called the fair use exception. Is that a page that you've seen before or read?
A. Yes.
Q. Also as part of the orientation you were just describing?
A. Yeah. And I think she explained there was four factors.
Q. Have you consulted this document -- other than the checklist, have you consulted or read this document after that session you just described or was that your only time?
A. That was the only.
Q. So you haven't gone to the web site and read through this policy other than having it explained to you at the session?
A. No.
Q. Let's look at the next page, it's page 6 of

19, introduction to the fair use checklist. Is that a page you've seen before or read?
A. Yes, and that's the page that $I$ reviewed before this meeting.
Q. And then the next page is the checklist?
A. Yes.
Q. You'll see on the checklist in the third paragraph down it starts with complete and retain. Do you see that?
A. Uh-huh. Yes.
Q. Complete and retain a copy of this checklist for each fair use of a copyrighted work --
A. Yes.
Q. -- in order to establish a reasonable and good faith attempt at applying fair use --
A. Yes.
Q. -- should any dispute regarding such use arise.

Have you retained the copies of the checklists that you filled out for your courses?
A. I tried, but $I$ can't guarantee that $I$ got all copies.
Q. Do you fill them out or have you filled them out online in electronic form or have you printed out a copy and filled them out by hand?
A. I print them out and then filled them out by hand.
Q. And putting aside what you've done for this litigation, but in the normal course of your activities, do you provide them to the library or anyone or do you just sort of put them in a file?
A. I thought -- mean, $I$ was still new when $I$ started doing this, $I$ thought it was just personal copy and just make sure that $I$ followed this. So I didn't provide this or I didn't share this with anybody.
Q. And I'm not suggesting you should or shouldn't. I just want to understand what your practice has been.

And so you saved them and put them in a file?
A. Yeah, if I remembered.
Q. Were there instances where you used a work in your course for students and didn't fill out a checklist?
A. Probably I missed one or two, I mean, since I used a lot of readings outside my course textbook.
Q. So you tried to do it for all of them but may have missed one or two?
A. Yes.
Q. Let's go to page 9 of 19 , additional guidelines for electronic reserves. Is that a page that
you recall seeing before or reading?
A. I remember the information. As far as I remember, when $I$ tried to request interlibrary loan or EReserve system during the fall 2009, I think library shows some information step by step. But it was not the same page as this. But library show that are you sure about this and different information.
Q. This is a page on the web site that is shown to you when you're trying to --
A. Yes. Yes.
Q. -- put something or -- yeah, when you're trying to get something from an interlibrary loan? MR. ASKEW: Let him finish his question. THE WITNESS: Yes.

BY MR. LARSON:
Q. And you mentioned EReserves?
A. EReserves.
Q. Do you use the university EReserve system?
A. I used it once in my first semester, fall 2009.
Q. And how did you use it? Was this for placing readings for the students on the EReserve system?
A. I used it when $I$ do not own the copy so library can scan the materials or get the materials from different universities and put those in the reserves.
Q. Now, if $I$ remember right, you use the ULearn system as well for providing readings?
A. Yes.
Q. And so is it the case that if you have a copy of the work you use ULearn and if you don't have a copy, then you used the EReserve system in the fall 2009 semester?
A. Yes. I think it was more like convenience. If those readings were assigned towards the end of semester, $I$ still have time so $I$ ask library and prepare for the reading.

However, if the readings are like beginning of semester, $I$ have to scan them and post it as soon as possible, so $I$ used ULearn because that is faster way to do it.
Q. Do you recall from that semester how many readings you put on the EReserve system?

MR. ASKEW: Which semester is this?
BY MR. LARSON:
Q. Fall 2009.
A. EReserve $I$ think there was five. Four or five. Because again, it was convenience, I don't remember which ones were EReserve and which ones were ULearn.
Q. For the readings you put on the EReserve
system, the four to five, did you fill out checklists for those works?
A. I think it was mandatory step when you use library reserve, they always ask those questions.
Q. So in the course of putting the works up, you had to fill out a checklist, is that the case?
A. Yes.
Q. And did you do checklists for the works that you scanned and put on ULearn?
A. It was personal, again, since $I$ had the short training or short, you know, presentation from the lawyer, so $I$ just used the checklist from the library and then use it for personal use.
Q. Just so $I$ understand, did you print out a checklist from the library web site and then fill that out for your ULearn readings --
A. Yes.
Q. -- for each of the readings you put on ULearn?
A. Say it -- what is the question?
Q. For the readings in the fall 2009 semester that you put on ULearn, did you complete a checklist for each of those readings?
A. Yes.
Q. Would you look at page 11 and 12 of the exhibit. Is that a page -- it's called other
exceptions. Is that a page that you recall seeing and reading?
A. No.
Q. And how about page 13 which is entitled permissions, is that a page that you recall reading?
A. Yes.
Q. And page 14 identifying the copyright owner, is that one you recall seeing and reading?
A. Yes.
Q. And how about page 15, which is collective licensing agencies?
A. No.
Q. Do you see in the middle there under works in print, there's a reference to a Copyright Clearance Center?
A. Yes.
Q. Have you ever heard of the Copyright Clearance Center?
A. No.
Q. Is it your understanding that this policy overall applies to ULearn?
A. Are you asking what my opinion, like in general?
Q. I'm asking about your understanding about how the policy works.

Do you understand it to apply to course readings that are made available to students on ULearn?
A. I think so.
Q. And were you told that at the orientation session that you attended?
A. The lawyers said all the readings or course materials that you use. But at the time -- I mean, again, $I$ was not -- I didn't even start preparing for my classes, so $I$ was not sure what is ULearn and what is EReserve. But now after being here like year and a half, $I$ believe this should be applied to ULearn as well.
Q. Let me show you a document that's been previously marked as Plaintiff's Exhibit 17. Recognizing the date on the cover is from February of 2009, other than that, do you recognize this as -- was a presentation similar to Exhibit 17 shown to you at the orientation session that you attended?
A. Can I take a look at it?
Q. Please, for any exhibits that $I$ give you, if you need time to flip through it, you should do so.

MR. ASKEW: Todd, I think you asked her two questions there. You said first do you recognize and then you said was it shown to you. You want to break that up?

BY MR. LARSON:
Q. Yeah, my question is only whether a presentation similar to this or identical to this was shown to you at the orientation session you were describing before.
A. Again, it's been almost two years. But I remember this part again like showing factors and these are the fair use checklist that you need to do before you use any coursepack.
Q. Just so I'm clear. You recall that being discussed or you actually recall those particular pages being shown?
A. I think she used Power Point like this, but not 100 percent sure.
Q. Okay, that's fine. And you think -- when you say Power Point like this, again, it's those pages related to the fair use factors or the entire document?
A. I don't remember.
Q. Okay. You can put that one aside. If you have questions about using a particular reading with your class on ERes or on ULearn, is there someone you can consult that you're aware of if you have a question?
A. I was told that we can contact legal affairs office.
Q. And is that something you've ever done?
A. No.
Q. And why not?
A. Because here, this was my first job and $I$ just finished my Ph.D., and $I$ never had an experience like this when $I$ was working on my Ph.D. And I was teaching -- I mean, $I$ was teaching classes during my Ph.D. studies, but never had any experience, and I used similar readings that $I$ had in my Ph.D. classes and master's class, so it really did not occur to me that it could be problematic.
Q. And so some of the readings that you are -that you used in the fall 2009 semester were readings that you had provided to students in Arizona in your previous classes, is that what you're saying?
A. Yes. So when $I$ developed the courses, my courses that $I$ had before kind of provide me ideas how to teach the courses. I think that's pretty natural, at least to me. I do not want to speak for others. So I get my old syllabus and, you know, pick some readings which are relevant and important to my students.
Q. And none of the readings that you used here in the fall 2009 semester gave rise to concerns for you that you felt required you going to the legal office and asking whether or not you could use them, is that
correct?
A. No. Because I used them so - and I - I used my checklist and $I$ had more fair use check mark, so I didn't really think that it was necessary to contact.
Q. I understand. If you ran into a situation where maybe the checklist was, you know, unclear as to whether or not you could use the work, would you consult legal affairs?
A. No. But $I$ did not mark, so for instance, there's a fair use part and unfair, two dichotomous categories, but $I$ tended to leave them blank, $I$ didn't mark any place.
Q. Leave which ones blank?
A. Those two, because it's a small amount, large amount, it's more like dichotomous selection. So I was not sure, $I$ tended not to check and move to the next item rather than contacting legal affair office.
Q. I see. Let me ask a slightly different question. I think what you just answered or what you were suggesting is that you didn't contact legal affairs with questions about particular choices on the checklist, right?
A. Right.
Q. And my question is slightly different, which is, if after filling out the entire checklist you found
yourself uncertain whether you could use a work or not, whether it qualified as fair use, do you think you would consult legal affairs to discuss the particular work with them?
A. So are you asking about my past experience do you think?
Q. No, I'm asking about just your practice generally and what you would do if you ran into that situation.
A. After this experience, yes, to be honest. But I did not before.
Q. Do you have an understanding of under the GSU copyright policy what would happen if you were found to have violated the policy?
A. Can you restate the question?
Q. Yeah. If it were determined somehow that from the library or from the provost office that a posting that you made on ULearn violated the terms of the copyright policy, do you have any sense of how that would be handled, what would happen?
A. Personally $I$ worried. I mean, after $I$ got e-mail that $I$ was selected to do this deposition, it could be personal and I'm not tenured faculty and every day is hard for me, so yes, $I$ worry.
Q. If someone from the provost office indicated
that you needed to remove a certain reading from ULearn or from the ERes system, would you do so?
A. In the future?
Q. Yeah.
A. Yes.
Q. And if your department chair indicated that you needed to remove a certain reading because it was in violation of copyright law, would you remove it?
A. Yes.
Q. And same question for the president's office, if you received word that you needed to remove certain readings, would you do so?
A. Yes.
Q. And if you were contacted by a representative of the Board Of Regents and told that you couldn't use certain readings, would you refrain from doing so?
A. Yes.
Q. Are you familiar with the GoSolar part of the GSU web site?
A. Yes, $I$ used it before.
Q. This is Kim Exhibit 2. This is a printout that we made from the GoSolar section of the GSU web site for the fall 2009 semester searching for your courses.

And this indicates that in that semester you
taught a course AL 8250 and AL 8550. Is that accurate to the best of your recollection?
A. Yes.
Q. And it indicates that the 8250 course had 19 students. Is that accurate to your recollection?
A. I don't remember exactly number.
Q. Is that -- is that in the ballpark?
A. Yes.
Q. You don't have any reason to doubt that it was 19 students, correct?
A. Because sometimes people -- when did you print out this?
Q. We printed it just last week.
A. Sometimes students drop, you know, during the W week and it doesn't show here in the record, but it seems to be similar with final number.

Can $I$ get some more water?
MR. LARSON: Yeah, absolutely. Why don't we go off the record just for a moment.

THE VIDEOGRAPHER: Off the record at
9:48.
(Brief recess.)
THE VIDEOGRAPHER: Back on the record at 9:49.

BY MR. LARSON:
Q. I'm putting in front of you what's been marked as Kim Exhibit 3. Do you recognize that as your syllabus for AL 8550 from the fall 2009 semester?
A. Yes.
Q. Could you just look back at Exhibit 2 for me, the GoSolar printout.

I think I asked you about the number of students in 8250. This indicates that the number of students in 8550 was 16 students.

Is that accurate to the best of your recollection?
A. Yes.
Q. Let's go back to Kim Exhibit 3, the syllabus.

Can you turn to -- it's the page that has down in the bottom right hand side corner 65414, contains sections 7 and 8 of the syllabus, course expectations. You see that?
A. Yes.
Q. The first course expectation is that students complete all assigned readings before each class meeting?
A. Yes.
Q. Is it your general experience that most students do in fact do the readings for your course?
A. In general, yes.
Q. And down below under 8, attendance and participation, do you see that paragraph?
A. Yes.
Q. Says you're expected to be on time with the reading and homework completed and be prepared with questions. You see that?
A. Yes.
Q. So it's a general requirement of the course that students do their reading, correct?
A. Yes.
Q. Just flipping back to the second page of the syllabus. There's a section with the required textbook.

That's something that you require students to buy for the class?
A. Yes.
Q. And then the next section says electronic readings available through ULearn?
A. Yes.
Q. And these are readings that -- are these also assigned readings, required readings?
A. Some of them are required. Some of them are optional.
Q. So let's go to the last two pages of the syllabus. And is this the actual week by week reading
assignments for the students?
A. Yes. And there was some changes throughout the semester.
Q. Well, we'll - I'm going to walk through there and to the best of your recollection maybe you can tell me about the changes if you remember.

Let's start in week 2, there's an entry for Bachman and Palmer, chapters 2 and 3.
A. Yes.
Q. Was that a required reading assignment for the students?
A. Yes.
Q. And was that made available to students on ULearn?
A. Yes.
Q. I'm going to provide you with a series of exhibits that are printouts or copies of the cover pages of some of the works in the syllabus and the table of contents from the works and maybe as we go through the syllabus you can look through these.

Just for the record these are Exhibits Kim 13, Kim 4, Kim 14, Kim 5, Kim 9, Kim 6, Kim 7, Kim 8, Kim 10, Kim 11 and Kim 12. And I'm sorry about the order, but $I$ was trying to get them in the order of the syllabus, so the numbers are going to vary.

MR. ASKEW: Mr. Larson, are these works included in the alleged uses that were provided in response to the court's August orders?

MR. LARSON: These are -- these are some of them are. Some of them are works by the plaintiffs that were identified when we were provided with the syllabus.

MR. ASKEW: But some of these were not included on your August list to the court?

MR. LARSON: That is correct.
MR. ASKEW: You understand the court has instructed us that this case will be limited to those allegations that are contained on the list that were provided in August?

MR. LARSON: My understanding is the court has limited the subject matter to those semesters, but if we identify through discovery of those semesters additional works on the syllabi that those works could be included.

MR. ASKEW: Her order is very specific and it does not say syllabi. It says the parties are limited to the allegations on
the lists that were provided in response to the orders of -- in August 10 or 12,11 and 12, whatever it was.

MR. LARSON: In our conversation at the court conference and in our conversation with Mr. Schaetzel, it was our understanding and agreement that if we through the provision of additional discovery including EReserve reports and syllabi and the like determine during those semesters there were additional works of the plaintiffs that were present, that we would be provided discovery on those works and that those could be included in the suit and that the submissions that were made prior would be updated and amended and provided to the court based on the discovery.

MR. ASKEW: In an effort to be cooperative we did provide you an additional ERes report when you requested it back in October $I$ think and then you made an additional list in November. But that's the limit of our agreement as to whether we will permit things to be added
to the lists that were provided to the court in response to its orders in August.

So $I$ would encourage you to follow the court's limitations. I think the court was very specific as to what were going to be the subject of this litigation and was very specific in the use of the word limited and it referred specifically to limited to the works that were identified in the charts that were provided in response to its orders in August.

MR. LARSON: Let's cut to the chase here. Are you going to instruct the witness not to answer questions about the other works on her syllabus that weren't in the August submissions?

MR. ASKEW: Depends on how far you go with them. But $I$ wanted to be clear that I'm not agreeing to extend or agree to some additional presentation further or beyond the court's instructions other than what we agreed to do back in October, November when we provided you another ERes report in order to be cooperative in this matter. But it is far too late now to be adding
allegations to this litigation.
So $I$ don't plan to instruct the witness, but it will depend on some degree on how far you go in your questioning. Because as $I$ said, the court was quite specific in its order to us to limit the presentation, and $I$ think that includes any further discovery to those items that were provided by the plaintiffs in its chart.

MR. LARSON: I understand your position and $I$ think we have a disagreement. I think we have a different view, which we can sort out if we need to with the court afterwards.

I think since we're here and we have the witness here and the questions will be largely aimed at just identifying whether or not certain works were used and I think it will be fairly quick, it would make sense to allow the deposition to cover those topics and then if -- we can deal with the issue with the court as to whether or not those should come into evidence, if that's acceptable to you.

MR. ASKEW: I will listen to your
questions and I'll make a decision as to whether $I$ 'm going to instruct the witness to not respond. And that instruction would be based on the court's order to limit our efforts with respect to the list of accused works in its August -- in response to its August orders.

But let's do proceed and see what you got.

MR. LARSON: Yeah, let's keep moving. I don't think we'll be -- this is going to take that long, so we should be okay. BY MR. LARSON:
Q. All right. Can you look at Kim 13, please. And these are in order for you, so it should be the top one. It's a printout --

MR. ASKEW: These are in the correct order?

MR. LARSON: They should be and we'll make sure as we go through.

BY MR. LARSON:
Q. Kim 13 is a printout of the cover page and table of contents from "Language Testing And Practice" by Bachman and Palmer.
A. Yes.
Q. You see that? $I$ just want to confirm on the table of contents, there's chapters 2--2 and 3 starting on pages 17 and 43.

Are those - is that the Bachman and Palmer chapters that are on the syllabus for the week of $8 / 27$ ?
A. Yes.
Q. And if you go down on the syllabus to the week of 9/10, there's a chapter 5 indicated Bachman and Palmer 1996, you see that?
A. Yes.

MR. ASKEW: Where are you now, in what week?

BY MR. LARSON:
Q. Week 4, Bachman and Palmer.

And then looking at the table of contents, is that the chapter that's on the table of contents starting at page 85?
A. Yes.
Q. And that was provided to students on ULearn?
A. Yes.
Q. And you completed a checklist for those excerpts?
A. Yes.
Q. If you go to Kim 4, which is a printout of the cover sheet and table of contents from a work called
"Assessing Languages For Specific Purposes" by Dan Douglas.

If we look at the syllabus in week 3, there's an entry for Douglas chapter 2. Does that refer to this work, "Assessing Language For Specific Purposes"?
A. Yes.
Q. And is chapter 2, looking at the table of contents, the chapter that starts on page $24 ?$
A. Yes.
Q. And that was provided to students through the ULearn system?
A. Yes.
Q. And that was required reading?
A. No, I think throughout the semester, as I mentioned, students had difficult time with finishing readings, so every week $I$ announced that read only this part and it's optional. So for instance, Bachman and Palmer, chapter 5, $I$ announced it as optional.
Q. And what about Douglas, is that one that you announced as optional, do you recall?
A. I think $I$ told them the specific page numbers that they have to read. So that part was mandatory, but not entire chapter. It was delivered orally before -- I mean prior to the week.
Q. And that was - and you recall doing that for
the Douglas excerpt?
A. Yes.
Q. Do you recall which pages you instructed them to read?
A. No.
Q. Kim Exhibit 14 is a printout of the or copy of the cover page and table of contents from "Fundamental Considerations In Language Testing" by Bachman.
A. Yes.
Q. If you could look at the syllabus entry for week 3, there's an entry for Bachman 1990 chapter 4. Does that refer to chapter 4 of the book referenced in Kim Exhibit 14?
A. Yes.
Q. And if you could turn to the table of contents of that exhibit. Is chapter 4 the chapter that runs from page 81 to page 110?
A. Yes.
Q. And that was an optional reading or required?
A. On week 3, right? Okay. So as you can see here it was optional.
Q. And that's because it's starred?
A. Yeah.
Q. Can you look at Kim Exhibit 5, which is a copy of the cover page and table of contents for a book
called "Assessing Grammar" by James Purpura?
A. Yes.
Q. On the syllabus for week 6 there's an entry for Purpura 2004 chapters 3 and 5.

Does that refer to chapters taken from
"Assessing Grammar", the book in Exhibit Kim 5?
A. Yes.
Q. And if you could look at the table of contents for that. Are those two chapters 3 and 5 the chapters that start at pages 49 and pages 100?

MR. ASKEW: I'll object as the
quality -- $I$ cannot read the information on this copy.

BY MR. LARSON:
Q. Are you able to read the table of contents?
A. It's the 49 and 100, right. Those are the two chapters that are used.
Q. And that was required reading?
A. Yes.
Q. And that was made available on ULearn?
A. Yes.
Q. Can you look at Kim 9. It's a printout of the cover page and table of contents from a book called "Assessing Vocabulary" by John Read?

MR. ASKEW: What Exhibit Number is this?

MR. LARSON: 9.
BY MR. LARSON:
Q. Looking at the syllabus in week 7, there's an entry for Read 2000 chapter 6. Does that refer to this book, "Assessing Vocabulary"?
A. Yes.
Q. And looking at the table of contents, chapter 6 starts at page 150 , do you see that? Is that the chapter that you provided?
A. Yes.
Q. And that was on ULearn?
A. Yes.
Q. That was required reading?
A. Yes.
Q. Can you look at Kim Exhibit 6, please. And this is a printout of the cover page and the table of contents from a book called "Learning Vocabulary In Another Language" by I.S.P. Nation.

If you look at the syllabus week 7, there's a Nation 2001 chapter 10. Is that the entry on the syllabus referring to this book that we see here in Exhibit 6?
A. Yes.
Q. And looking at the table of contents second to the last page, was the reading assignment for this week
of the syllabus, the week 7, the chapter 10 there starting on page 344 ?
A. Yes.
Q. And did you provide this chapter to students via ULearn?
A. Yes.
Q. And that was a required reading?
A. I think $I$ also told them it's optional before the week. I mean, before this week.
Q. You think or you definitely remember?
A. I think.
Q. Not totally sure sitting here today?
A. Yeah, because --
Q. And was this one where you told them it was optional or where you told them that they could read some subset of the pages?
A. Subset of the pages because they're working on assignment and $I$ remember we had a discussion in class, they're swamped, so $I$ asked them to focus on certain pages.
Q. I see. And do you recall which ones?
A. No.
Q. If you could look at Kim 7. It's a copy of the cover page and table of contents for a book called "Assessing Reading" by J. Charles Alderson.
A. Yes.
Q. If you look at the syllabus for week 8 there's a reference to Alderson 2000 chapter 7.

Is that a reference to the book we see here in Kim Exhibit 7?
A. Yes.
Q. And then the table of contents is -- the reading that you provided, the reading chapter 7 starting at page 202?
A. Yes.
Q. And that was provided through ULearn?
A. Yes.
Q. And was that a required reading?
A. Yes.
Q. Kim 8 is a printout of the cover and table of contents for a book called "Assessing Listening" by Gary Buck.

If you look at week 9 of the syllabus, there's an entry for Buck 2001 chapter 5. Was that -- was that the book that we see here in Kim table 8 ?
A. Yes.
Q. All right. And looking at the table of contents, did you provide the chapter 5 which starts at page 116 to students --
A. Yes.
Q. -- on ULearn?
A. Yes.
Q. And was that required reading?
A. No.
Q. That one is not starred on the syllabus. Did you at some point change it from being required to being optional?
A. No, it was the same situation. Throughout the semester since they have the same content from our main textbook, this was supplementary material targeting to designing test materials. So again $I$ pointed out certain pages that they needed to pay attention to.
Q. Kim 10 is a copy of the cover page and table of contents of "Assessing Speaking" by Sari Luoma. S-a-r-i L-u-o-m-a.

In the syllabus for week 10, there's an entry for Luoma 2004 chapters 4 and 7. Does that refer to the book that we see here in Exhibit 10?
A. Yes.
Q. And looking at the table of contents there's a chapter 4 that starts at page 59 and a chapter 7 that starts at page 139. Are those the chapters that you provided to students for week 10?
A. Yes.
Q. And was that through ULearn?
A. Yes.
Q. And was that required reading?
A. No.
Q. And again, was this a situation like you described with a couple of the others where you told them they could read just certain pages?
A. Yes.
Q. Do you recall which pages you told them they should read?
A. No.
Q. Kim 11 is printout of the cover page and table of contents for a book called "Assessing Writing" by Weigle.

In week 11 on the syllabus there is an entry Weigle 2002 chapters 5 and 6 . Does that refer to the book assessing writing that we see in Kim Exhibit 11?
A. Yes.
Q. And did you provide students with, looking at the table of contents, the two chapters 5 and 6 starting at pages 77 and 108 ?
A. Yes.
Q. And that was through ULearn?
A. Yes.
Q. And was that required reading?
A. No.
Q. And was this a situation where you told them they could read a subset of the work as opposed to the whole thing?
A. Yes.
Q. And in that situation were they required to read those - a subset of pages or was it optional?
A. It was optional.
Q. So even the -- the subset that you mentioned to them, that too was optional, not just -- let me strike that.

Was what was optional the pages of chapter 5 and 6 that you didn't assign or the pages, the subset that you did assign?
A. I don't understand your question.
Q. You told them, $I$ take it you told them at some point that they didn't need to read all of chapters 5 and 6, right?
A. Yes.
Q. And you told them that they could read some subset of the pages from chapters 5 and 6, is that right?
A. Yes.
Q. And that subset, was that subset required or optional?
A. Required.
Q. Okay. And the other pages that weren't part of that subset, those were optional?
A. Yes.
Q. And is that the same with the other assignments earlier we talked about where you highlighted a subset of the pages?
A. Yes, because systematically we are going through different skills to talk about how to assess second language learners and especially reading, listening, speaking. Our main text had shorter chapters about those skills so it was necessary for students to read or know different types of tasks, and that's where I also assign those additional supplementary readings from different sources.
Q. I see. And with the Weigle chapter we were just looking at, for example, or chapters, the ULearn excerpt that you provided included all of both chapters, is that right?
A. Yes.
Q. If you look at Kim Exhibit 12, this is a printout of the cover page or copy of the cover page and table of contents for "Criterion Referenced Language Testing" by Brown and Hudson. You see that?
A. Yes.
Q. In week 14 on the syllabus there's a reference
to Brown and Hudson 2002.
A. Yes.
Q. You see that? Is that a reference to the "Criterion Referenced Language Testing"?
A. Yes.
Q. And can you tell me what portion of that work was assigned? If it helps, turn back to the second page of the syllabus. See --
A. Chapter 4.
Q. And looking at the table of contents on Kim Exhibit 12, that's the chapter that runs from 101 to 148?
A. Yes.
Q. And that was provided to students on ULearn?
A. Yes.
Q. And that was required reading?
A. Required for certain pages.
Q. Okay. And you recall which pages?
A. No.

MR. LARSON: All right. Why don't we take a break here. I think the videotape is about done.

MR. ASKEW: Let me put my objection on the record.

I'm going to object and move to strike
all the testimony by the witness with respect to Exhibits Kim 13 through Kim 12 that we just sat through other than any testimony that was provided with respect to items that were listed on the charts that were provided by the plaintiff in response to the court's orders of August. I don't remember the exact dates. It's 10, 11, 12, something like that. It's in the middle of August.

So any testimony with respect to any items other than those that are identified as listed accusations of infringement that the court referred to in its order in which it said that this litigation would be limited to the allegations that have been provided by the plaintiffs in their charts that were provided to the defendants in response to the orders of August 10 and 12 , all of that testimony $I$ will object to and move to strike it from this deposition.

MR. LARSON: All right. And I -obviously the plaintiffs are in disagreement with the defendant's position on this. And $I$ will make a request on the
record that we be provided with the checklists that the deponent filled out for the works that you just discussed in addition to those that have been provided. And we will be holding the deposition open today pending production of those checklists, which we'll sort out with the court.

MR. ASKEW: We will not provide those checklists since the court has specifically ordered us to limit this litigation to the allegations of infringement that were provided in the charts that were provided to the defendants in response to the court's August orders. And the items that you're referring to are not included on those charts that were provided and were not included within the court's order to us to limit this litigation to the items that were provided on those charts.

MR. LARSON: We obviously have a disagreement that's now on the record. So we'll move on. Take a break.

THE VIDEOGRAPHER: Off the record at 10:18.
(Brief recess.)
THE VIDEOGRAPHER: This is tape 2. We're back on the record at 10:31.

BY MR. LARSON:
Q. Professor Kim, $I$ want to look back at the syllabus, the last two pages if we could.

And it's my understanding from a conversation off the record that there are a couple of works on the list that were on EReserves rather than on the ULearn system, is that correct?
A. Yes.
Q. Can you tell me which ones those were?
A. "Assessing Grammar" by Purpura 2004.
Q. Okay. So that's week 6 on the syllabus?

MR. ASKEW: What's the exhibit number?
THE WITNESS: Yes, week 6. And Exhibit Number 5.

BY MR. LARSON:
Q. All right. Chapters 3 and 5?
A. Yes.
Q. Okay. And what's the other one?
A. And "Criterion Referenced Language Testing" by Brown and Hudson, Exhibit Number 12.
Q. And that's the reading from week 14 on the syllabus?
A. Yes.
Q. Okay. So those two were placed on the EReserve system?
A. Yes.
Q. And is that because of what you told me before, that you didn't have a copy of those particular works yourself?
A. Yes. And $I$ don't remember that $I$ scanned these chapters and gave it to -- I gave them to library and posted on EReserve.
Q. You don't remember?
A. I don't remember. I don't think so.
Q. Did you request to the library that they put those works on EReserve and provide them with the page range?
A. I think so.
Q. And you filled out a checklist for those works?
A. I think it was the required step whenever you ask for materials on EReserve.
Q. And did you fill out -- I apologize if I asked you this before. But for the other readings that we discussed on the last two pages of the syllabus, did you fill out checklists for those, the ones you put on ULearn?
A. Yes.
Q. Do you have a preference personally as to whether you use ULearn or EReserves to provide readings to your students?
A. I don't have any preference. The only factor that affected my decision was whether or not $I$ had copies or whether the library needs to order certain books via interlibrary loan.
Q. So if you had copies you used ULearn, is that your practice?
A. Yes.
Q. And why in those situations did you use ULearn rather than providing a copy to the library or asking the library to put it on EReserves?
A. Can you ask that question again?
Q. Yeah. In the situations where you used ULearn, why was it that you used ULearn rather than using ERes?
A. As I said, $I$ was new at Georgia State and at Northern Arizona University we had a similar computer system, $I$ don't remember what it was called, but it was very similar to ULearn, so $I$ was familiar with the system so $I$ decided to use that one rather than library service because $I$ was not familiar with library service.
Q. And when -- for the readings that you put on

ULearn, did you make a photocopy or scan of the chapters that you were providing to the students?
A. Not every chapter because -- for my Ph.D. courses sometimes $I$ had my copies, so $I$ didn't scan them, but $I$ owned copies.
Q. And just so we're clear. I'm talking about for this fall 2009 class, not at Arizona.

You either -- do $I$ understand you to be saying that you either had a copy, an electronic copy, of the particular chapters already in your possession or that if you didn't you made one to provide the chapter on ULearn?
A. Yes.
Q. And did you -- was it you personally that got on the ULearn system and placed the chapters on the system for the student?
A. Yes.
Q. And how does that work? Do you put them in a course readings folder or something like that?
A. Yes, $I$ organize my content page by weeks and topics. So the readings that $I$ choose $I$ placed in each relevant folders that $I$ created before.
Q. And then in those folders you were able to sort of upload the copies of the chapters into those folders?
A. Yes.
Q. And students could go on and access those folders and access the readings there?
A. Yes.
Q. Do you have knowledge as to whether students when they did that would print out copies of those readings?
A. Are you asking me whether I can get information whether they actually printed out or opened the copy?
Q. No, I'm asking whether you know whether students in the class, in this fall 2009 class, ever printed out copies of the readings from ULearn?
A. I don't have any control. Sometimes they probably -- I don't know because I have assigned them and it's fair choice whether they going to print it out or read it on the computer. I don't know.
Q. Do you know whether they -- the ULearn system for providing the readings allows them to print out a copy?
A. I think they can print out copies.
Q. You just don't know whether they did or not?
A. No.
Q. Did anyone in your department or at the university encourage you to use either ULearn or

EReserves for providing course readings?
A. No.
Q. Have you ever used or are you familiar with coursepacks?
A. I used it once as a student.
Q. You had a coursepack in a class that you were taking as a student you mean?
A. Yes.
Q. And what's your understanding of what a coursepack is?
A. Coursepack is like professors, they choose additional readings and put them together and make a coursepack just like a book and students can go to bookstores and get it. But it's available in public.
Q. And did you ever consider in your course, in this 2009 course, using a coursepack rather than providing readings through ULearn or EReserve?
A. I was not familiar with the process.
Q. Did you consider it at all or just wasn't even something you thought about?
A. Again, when $I$ designed these courses, my previous education really impacted how $I$ do these things. And coursepack was required during my master's program like seven years ago, so it didn't really occur to me when $I$ prepared for this course.
Q. Do you see any difference between providing readings to students through a coursepack as opposed to through ULearn?
A. Yes.
Q. What's the difference?
A. I guess the first difference is coursepack, pay for it, pay for copyright and it's available in public, so if you're not -- I mean, even if you're not taking the course, you can still buy it. Bookstore never checks it.

On the other hand you go on EReserves, you have to have the password. In other words, you have to be registered for the course.
Q. So is it the difference is who has access to the materials?
A. I think that's biggest difference.
Q. Any other differences between the two?
A. And paying for like copyrights, as I said.
Q. And on ULearn you don't pay for the copyrights?
A. I don't think we pay for copyrights for that part for ULearn.
Q. I take it you haven't paid anything?
A. I haven't paid. I'm just talking about my experience. I haven't paid for copyright. And again,

I'm not familiar with the process of making coursepack.
Q. But your understanding is that with the coursepack there's a payment made for the copyrights?
A. That's what $I$ heard.
Q. And where did you hear that?
A. During my master's when $I$ took the course I think my professor told the students. And at the time ULearn or EReserves were not common. I think that's one of the reasons why coursepack was more popular during that time.
Q. And just so I'm clear. When you say pay for the copyrights, you mean pay a licensing or permission fee to the publisher or the author of the works included in the coursepack?
A. That's how I understood.
Q. Do you have any understanding as to whether it's possible with ULearn to pay -- to seek permission from a publisher and pay a similar permission fee?
A. I think it's very similar -- I mean, choose particular readings from different books so it could be very similar process.
Q. But you don't know one way or the other?
A. I don't.
Q. If there was a work that you wanted to put on the ULearn system for your students and you felt that it
wasn't a fair use, you know, it didn't pass the checklist, what would you understand your options to be if you wanted to provide that reading to your students?
A. Number one, since $I$ 'm still novice in this field or especially American university setting, again, I'm not from this country so $I^{\prime} m$ not quite familiar with this, so $I$ would contact legal affairs office and get information. And if it's not allowed, $I$ have to find like other sources that are legal and are available.
Q. And do you have any understanding as to if it's not allowed whether you could seek permission from the publisher to use it and pay a permission fee?
A. When I published article I think I got similar information like that, if you want to use it and you have to get permission, things like that.

But what is your question again? Sorry.
Q. My question was, if you - I think you said that, you know, if a particular reading was not allowed after talking to the legal affairs that you would have to find another source, right?
A. Yes.
Q. And my question was, do you have an understanding if it were not allowed if you could continue to use it by seeking permission from the publisher and paying a permissions fee or licensing fee?
A. I think that is possible.
Q. And do you know where you'd go to do that or how you would do that?
A. I don't have any experience.
Q. And when you say you think it's possible, do you have an understanding in the situation like that whether the permission fee would be paid by you or by the university or by the students?
A. I don't.
Q. Let me give you what's been marked as Kim Exhibits 15 and 16. You recognize these as copies of the --

MR. ASKEW: Can you tell us which one is
15 and which one is $16 ?$
MR. LARSON: Yeah. 15 is 65252.
MR. ASKEW: That is 15. And the other
is 16.
MR. LARSON: Yep.
BY MR. LARSON:
Q. Are these the checklists that you filled out for "Criterion Referenced Language Testing" in Kim 15 and "Assessing Grammar" in Kim 16?
A. Yes.
Q. And are these two works that were on the ERes system as opposed to on ULearn?
A. Yes.
Q. Were there others on your syllabus that were on ERes rather than ULearn or just these two?
A. I wanted to -- actually for the reading, I wanted to check, but $I$ don't have any access to EReserves and based on my memory, $I$ think these were the two, but I'm not 100 positive.
Q. Did you also put copies of these two works on ULearn or were they just on EReserves?
A. Just on EReserves.
Q. And is this because you didn't personally have a copy of either of these two works in your possession?
A. Yeah, at that time, at the beginning of semester. So later $I$ bought them because I taught the same class last year, so $I$ bought the volumes.
Q. And did you use these two excerpts when you taught that later class?
A. No.
Q. So I guess --
A. I used "Assessing Grammar" book but I did not use Criterion. Later used.
Q. I see. And was it chapters 3 and 5 that you used in the later course?
A. I don't remember.
Q. And then did you -- whatever excerpt it was,
did you put that on ULearn because you had a copy of the book?
A. Yes.
Q. Let's look at Kim 15, the checklist for "Criterion Referenced Language Testing". I want to walk through the choices you made on the checklist here.

If you look at factor 1, you checked nonprofit educational, and teaching. Can you tell me about why -let's take them one at a time.

Why did you check nonprofit educational?
A. I'm not making any -- I didn't think it was profit educational. And when $I$ read this there's no description for each criteria, so although $I$ had that session during orientation, $I$ kind of had to use my guessing when $I$ making decision.
Q. I understand.
A. But like for teaching, yes, it was for my class, for my instruction.
Q. Teaching meaning not the instruction at the session you attended, but you're using it to instruct your students, is that what you mean?
A. Yes, that's how $I$ interpreted it.
Q. And nonprofit educational, you said you aren't making any money. Did you understand that to apply to you or to the university?
A. To me $I$ think at the time. I don't remember how $I$ interpreted it when $I$ did this checklist.
Q. How do you understand it now sitting here today?
A. To both university and me.
Q. You checked the book for research and scholarship?
A. Yes.
Q. Tell me why you checked that box?
A. Because the course requires final project and students supposed to pick one skill area, and that's why some of the readings were optional because they're not working on that particular skill area. They pay less attention to those skill areas.

So since they are doing their final project, $I$ thought -- and they're using these resources to support or to be included in the final papers, $I$ thought it belongs to research.
Q. So this work, the Brown and Hudson, was this -- this work that the entire class read or only certain students?
A. I required certain pages to be discussed in class. But some students, you know, read it more carefully if the content is more relevant for their particular project.

But here $I$ cannot tell how many students really used this source in their final project.
Q. And so you checked research and scholarship because for those students who used it in their final project, this work would apply to that endeavor?
A. That's right. That's right.
Q. You checked criticism, comment, news reporting or parody. Tell me why you selected that box?
A. Because when we discussed issues from the chapter, we talk about that and we discuss and we criticize like in a constructive way, not in bad way, and make comments. That's why I pick that.
Q. So that box applies to your in-class discussion and commentary on this excerpt?
A. Yes.
Q. You also checked use is necessary to achieve your intended educational purpose. Do you see that?
A. Yes.
Q. And tell me why you selected that one?
A. Because as far as I remember this chapter differentiate criterion reference and nonreference, which are the two important concepts of the field of assessment, so -- and the chapter was written rather nicely to distinguish these two different terminologies. So $I$ thought it was necessary for students to read that
part to understand the concepts.
Q. I see. If you could flip to the second page under factor 2, there's an entry for important to educational objectives --
A. Yes.
Q. -- which you checked.

Was your reason for checking that box the same as what you just described with respect to the article being necessary to achieve your intended educational purpose?
A. Yes.
Q. And if you look at factor 3 on the second page, there's an entry for amount taken is narrowly tailored for educational purpose such as criticism, comment, research or subject being taught and you checked that. You see that?
A. Yes.
Q. And did you check that box for the same reason?
A. Yes.
Q. Let's go back to page 1. Looking at the last box again, use is necessary to achieve your intended educational purpose.

Would you ever choose a reading for the course or assign a reading that was not necessary to achieve
your intended educational purpose?
A. No.
Q. So this box for any reading you selected to use in the class, you checked this box, right?
A. Can you repeat your question?
Q. Yeah. If you - in any instance where you select a reading for your class and fill out a checklist, you'll check that box, correct?
A. Yes.
Q. And you'll also check teaching, is that right?
A. Yes.
Q. And nonprofit educational?
A. Yes.
Q. Let's look at the right hand column, weighs against fair use. There's an entry there for nontransformative which you did not check.

Just tell me what your understanding of what that means, nontransformative?
A. Transformative -- can $I$ use transformative instead?
Q. Yes. That may make more sense.
A. Yeah, because this term is not quite widely used in our area.

So you have original work and you take a part of original work and make your own $I$ guess piece of
outcome or project.
Q. You talking about when you're doing your own writing or research and you use some third party source, in that context?
A. Yeah.
Q. I take it that's why you didn't check the box for transformative, because that's not what you're doing here in your course with this reading?
A. Yeah. To be honest, when $I$ say transformative and nontransformative, $I$ really didn't know what to choose. I mean, at the time $I$ was not creative enough to come up with any instances which explains that category.
Q. Just given your understanding that you have now of transformative versus nontransformative, for this excerpt that we're looking at in the checklist, would you check the nontransformative box?
A. Yeah.
Q. Any others on the weighs against fair use side that you would check sitting here today given your understanding of the checklist?
A. You mean for other factors?
Q. On the weighs against fair use column under factor 1, any others that you would check other than nontransformative sitting here today?
A. Personal study - portion used not central.
Q. Okay. You're on the second page?
A. Yes.
Q. All right. Let's turn over to the second page.

You said portion used is not central or significant. That's one that you would check for this excerpt now?
A. Yes.
Q. And why is that?
A. Again, this book was a survey book which he explains the basic concepts relating to criterion reference, for instance, and this particular chapter was not particularly central. I mean, uniquely important to entire volume. So $I$ would check the box.

And no significant effect on market or potential market.
Q. Hold on if you would before we get to factor 4. I think let's stick with factor 3.

Can you look back to the Kim Exhibit 12, which is the table of contents for "Criterion Referenced Language Testing". Is there any chapter on that table of contents that you would consider to be central to the work or the heart of the work?
A. No. I guess it depends on how you interpret
the central work or heart of work. Every chapter is important, that's how author put a lot of effort to finish the volume. But $I$ don't think any particular chapter is the heart of the work.
Q. Would you say that any particular chapter is central to the work?
A. I guess depending on your purpose, if you're really, you know, interested in knowing more reliability, let's say, for chapter 5, that would be central. But again, $I$ can not really generalize when $I$ use this checklist to determine which one is central or heart.

The reason why $I$ choose the particular chapter was it was reader friendly and use the right letter of language that master students would understand the concept better.
Q. So it was important to you for your use in the course but not necessarily central to this entire volume, right?
A. No, because their readership is so broad and wide, so $I$ cannot really determine which one is central or heart.
Q. So would central or the heart perhaps change from reader to reader, is that what you're saying, or could it be different for different readers?
A. Yeah, for different readers or for even authors, you know.
Q. The third box there under factor 3, amount taken is narrowly tailored to educational purpose, just tell me your reason for checking that box?
A. Because the main reason why $I$ choose this chapter was to meet my objectives for the particular course. I want them to understand the concepts, so it was really narrowly tailored toward achieving their educational goal.
Q. Let's look at factor 4. In the weighs in favor of fair use column, there's a check or there's no check in the first line there, no significant effect on market or potential market for copyrighted work.

Tell me why you opted not to check that factor?
A. At the time $I$ didn't consider it carefully or when $I$ read this $I$ try to come up with some examples, but when $I$ checked that $I$ didn't come up with any relevant examples or scenarios.
Q. I'm sorry, examples or scenarios of what?
A. So for instance, like transformative, you have these examples in your mind. So here, no significant effect on market, and now when $I$ 'm reading now like for instance use stimulates market for original work, $I$
think about now students read this chapter and they think it's really important and they like it and they can buy the book and then, you know, read the entire book. All right. So $I$ come up with these examples.

But when $I$ read that, you know, during the time, $I$ didn't come up with that kind of example, so --
Q. So you don't think when you were filling this out, you couldn't think of any example of an effect that your use would have on the market for the work?
A. Yeah, so that's why $I$ avoided checking -- put check mark on that.
Q. What do you understand it to mean by the market or potential market for the work?
A. Like publishers making profit and selling books.
Q. Sales of the book?
A. Sales of the book.
Q. Did you consider when thinking about the market permissions fees or licensing fees for the book?
A. That also, yes.
Q. So you didn't view your use of the work in this class as having an effect on the market for permissions or licensing fees to the publisher?
A. Because as $I$ said already, $I$ had such a limited knowledge of copyrights and paying copyrights,
so it just didn't occur to me when $I$ checked this.
Q. On this use stimulates market, you said you imagined there that students might get interested in the book and purchase it as a result of your using it. Is that right?
A. Yes. For instance, you know, some of my students worked on "Grammar Assessment" items or "Assessing Vocabulary" or "Criterion Referenced Language Testing", and if they found it really interesting and helpful for their future professional development, because $I$ work with future in-service and pre-service teachers, and they buy books to prepare for their professional development.
Q. So are there -- do you recall specific examples of students in your course who bought this book, "Criterion Referenced Language Testing", as a result of your use of the book in the course?
A. I don't recall any particular examples.
Q. What I'm trying to understand is whether -what you're explaining to me is a possibility of what could happen and that's why you checked it or whether it was based on actual experience of it, of it happening?
A. For this particular book I'm just speaking for possibility for other "Assisting Vocabulary" or grammar, when $I$ was a student $I$ was like that, too, and I - you
know, some of my students also told me they bought like these books.

So I mean, for this particular book, no, I don't remember, but for the other options.
Q. And which other ones specifically do you recall students purchasing?
A. Like "Assessing Grammar" or "Assessing Vocabulary".
Q. Is "Assessing Grammar" one of our works here? MR. ASKEW: That's the other ERes book. BY MR. LARSON:
Q. The Purpura book. So you have a specific recollection of students purchasing "Assessing Grammar" based on your use of a couple of chapters from that work in your course?
A. I mean, $I$ don't remember -- I don't know whether he or she actually bought it, but we had a conversation.

For instance, one particular student wrote her final paper in my class and she used that paper -- topic was "Assessing Grammar". So she used the paper to make her master's small version of thesis requirement. So she was -- she told me that she really liked the book and she would, you know, buy it. But $I$ never contacted her and ask whether she bought it.
Q. And this was a student at Georgia State?
A. Yeah, 2009. Fall 2009.
Q. And you don't know whether she actually bought it or not?
A. No, I don't ask.
Q. And was she the only one where this situation arose?
A. Like "Assessing Vocabulary", these books, it was just, you know, informal chats. I don't know whether they actually bought it.
Q. I see. Let's look back to the checklist, factor 4. You checked supplemental classroom reading. Do you see that?
A. Yes.
Q. Tell me why you checked that one?
A. I guess people understand the word supplemental different way, but in my case when $I$ see supplemental, that's additional reading besides main textbook that you require. So obviously $I$ had main textbook in my class and this was supplemental reading.
Q. Now, it's true for you that in some cases that supplemental reading is required reading, is that right?
A. I think it could be optional, too.
Q. Could be optional, could be required?
A. Right.
Q. And for this particular work, you didn't check required classroom reading?
A. Do we have required reading?
Q. Yeah. Over in the column weighs against fair use under factor 4 , fifth box down, you see required classroom reading?
A. okay.
Q. And that's not checked.
A. I think, again, the reason why $I$ did not check -- again, as $I$ stated already $I$ assigned only particular pages, so $I$ figure out this checklist before the semester started, so at the time $I$ was debating whether $I$ should require the book or not but $I$ had to make the entire chapter available for students. That's why $I$ did not check for required classroom reading, because $I$ hadn't decided whether $I$ was going to require or not.
Q. Well, again, unless $I$ misunderstood your testimony before, but wasn't it your testimony that for this work that at least certain portions of it were required?
A. Yes.
Q. All right. And so given that you didn't think that it was appropriate to check required classroom reading?
A. I think - I mean, $I$ don't remember since it's long time ago. But when $I$ did it again, $I$ didn't decide. And my syllabus was not ready, so I didn't check because $I$ didn't decide whether it was going to be required or not.
Q. Let's go back up to factor 3 for a second if we could. You checked in the first box small portion of work used. You see that?
A. Yes.
Q. Tell me how you or why you checked that box?
A. I remember small portion versus large portion were two dichotomous end of the spectrum, and I debated a bit. But since this reading was necessary to meet my course objectives, $I$ checked small portion.

But when $I$ did it $I$ had no idea about the numeric number, what percentage what makes small portion and what makes large portion.
Q. And you decided based on the fact that the excerpt was necessary for your educational objective?
A. It was necessary. And the other factors -- so number one, it was necessary to meet my course objectives. It was not the entire book, so $I$ just simply thought maybe could be a small portion. I didn't have any specific reasons why $I$ chose small portion.
Q. Do you know how large -- how much of a book
would have to be used for you to check large portion?
A. I don't have any idea.
Q. Let's just look at the other checklist for one second if we could and we'll come back to this one.

This is Kim 16. While we're on this point. The chapters 3 and 5 from Purpura from my count given the page ranges that we've noted here are 79 pages, 34 for chapter 3 and 45 for chapter 5, does that sound right to you?
A. That's what $I$ had in EReserve, two chapters.
Q. Right. And let's just look at the -- well, tell me for that, those two chapters comprising about 79 pages, tell me for that portion of the book why it was that you chose small portion?
A. I'm going to go back to the contents.
Q. Absolutely.
A. So your question was why $I$ picked only certain portion out of two or --
Q. No, no, my question is for the Purpura excerpt chapters 3 and 5, how was it you determined for that work to check the box small portion of work is used under factor 3?
A. Again, $I$ also looked at the purpose of choosing those two chapters to make my objectives for that particular week and it was necessary. And in order
for me to check large portion $I$ had to have some criteria, but $I$ didn't. So since it was not large and based on no information and $I$ needed that chapter which will facilitate that week's course objectives, $I$ checked small portion.
Q. Let's turn back to Kim 15. And we were looking at factor 4 earlier there.

On the left hand side under factor 4 there's an option for one of few copies made or distributed. You see that?
A. Under factor 4?
Q. Uh-huh, factor 4, left hand side, three boxes up from the bottom. One of few copies made or distributed. Do you see that?
A. Yes.
Q. And you checked that?
A. Yes, I did.
Q. And tell me why?
A. Since this was requested through library and they had to make a copy to put it in EReserves, so I thought one copy has to be made.
Q. And did you consider whether copies were or were not made or distributed to students in the class?
A. Assuming $I$ made a copy to students?
Q. I'm just wondering when you filled out this
check, if $I$ heard you in your answer properly, you checked one of few because it was the library that was making one copy?
A. Right.
Q. And you didn't consider or take into account here whether or not the students would or wouldn't get copies of the work?
A. Oh, yeah, I did not consider -- I had no idea that really meant how many copies that my students would print out or make copies.
Q. Looking at the weighs against fair use side and under factor 4, the second box says licensing or permission reasonably available and there's no check there.

Just tell me about why you didn't check that option?
A. Going back to all my comments about unfamiliar with this processes, $I$ didn't take that into consideration.
Q. You didn't know one way or the other whether licensing or permission was available when you were filling out the list?
A. Filling out the rest means the other --
Q. No, filling out the list. I'm sorry. Let me ask again just so we have a clear record.

When you were filling out this checklist and you got to the option, the criteria there, licensing or permission reasonably available, you just didn't know one way or the other whether it was or wasn't?
A. I mean, $I$ knew all the textbook has like licensing or permission, but $I$ didn't take that into consideration when $I$ checked it.
Q. Looking at the list overall, am I right that there are no checks at all in the weighs against fair use column in any of the factors?
A. Yes, you're asking me about --
Q. Yeah.
A. No.
Q. I don't think we got a clean answer there. It's true, is it not, that there are no checks in the weighs against fair use side?
A. Based on what I have.
Q. Based on what you did on this chart, that's correct?
A. That's right.
Q. Let's look at Kim 16, the other checklist. And now looking at factor 1 in weighs in favor of fair use on this chart, this checklist, you checked personal study. Can you tell me why you checked that?
A. Are we talking about "Assessing Grammar",
personal study?
Q. Yeah. On the Assessing Grammar checklist, Kim Exhibit 16.
A. I mean, it was master level course and a lot of people do readings independently. And again, as $I$ said, if they are doing their final project on this topic it involves a lot of personal study or independent study.
Q. So again, this one like research or scholarship applied you checked because certain students in the class might use this work for their final paper or final project or something?
A. Right.
Q. Let's look at the second page. Now, here it looks like in the factor 4 on the weighs in favor of fair use side, am I right that you checked no significant effect on the market but then scratched that out?
A. Yes.
Q. And tell me why?
A. The same reason. I have never filled out such checklist in my entire life. This was the first time to do this and $I$ was trying to think about examples. And $I$ couldn't at the time, so that's why $I$ delete it, my check.
Q. And you also checked licensing or permission unavailable and then scratched that out, is that right?
A. Yes.
Q. And tell me why you did that?
A. Same reason. I guess $I$ was trying to -again, $I$ was not familiar with the process and that's why -- and $I$ checked it yes probably because it has license but $I$ 'm not sure, so that's why $I$ delete it.
Q. Again, on this checklist, is it correct that there are no checks for any of the factors in the weighs against fair use column?
A. Yes, when $I$ completed this chart I did not mark any unfair use list.
Q. Have you ever put books for students on hard copy reserve?
A. Yes.
Q. In this course in the fall 2009 semester?
A. Yes.
Q. And are those reflected on the syllabus?
A. Page 3, my syllabus page 3 under number 3, a number of books are placed on course reserves in the library for you to consult in preparing your course project.

So a lot of duplicate copies were placed on course reserve.
Q. And what was your reason for putting them on course reserve?
A. Again, $I$ mean, these books are really useful resources and when $I$ had to choose -- I mean, I was aware of this copyright issue, so $I$ had to choose limited amount of reading. So that's why I chose like -- I made final decision and then put these on ULearn.

However, $I$ want them to be exposed to different chapters, so that's going back to the way that if they really like these chapters they would buy it and if they are really interested in different skill areas. So that's why $I$ want them to be available to students.

And if you are not put these on course reserve, they are normally checked out, then our students never really had chance to read it. So that's why $I$ put them on course reserve. And $I$ think the limited time that $I$ put was either three hour or two day, whatever the library restriction is.
Q. And do you know whether students went to the library and looked at any of these works on course reserve?
A. Yes, because one of the course requirements is to make a presentation on skill area. So they had to at least read one book if they are in charge of that skill
area.
Q. And that required them to read portions of that book that were beyond those that you put on ERes or on the ULearn system?
A. Yes, if they are presenting skills. So here under page 5 in class book presentation.

So this particular assignment was not really targeting some of these books, but $I$ recommended in class these books should be foundation of their presentation.
Q. Tell me why you didn't choose to solely put the books on hard copy course reserve in the library, why you didn't use that as the only method as opposed to putting them on EReserves or ULearn?
A. Because $I$ had 19 or 18 students and $I$ guess $I$ was considering students' convenience, make them available.

It's really hard to make them read these things so $I$ wanted to do my best to have them to have access to the readings and meet my course objectives.
Q. And was it your sense that if you were to just put a copy in the hard copy reserves at the library that it would be more difficult for the students to all access it and do the reading?
A. Based on my experience as a student, I had
experience like there's only one copy and 17 students need 17 copies of the chapter. So it takes longer time and it requires more contacts among those students. So I have a lot of full time teachers and $I$ kind of consider their circumstances and choose to put required readings on ULearn.
Q. And using the ERes and ULearn for the chapters is somehow different than that or more convenient than that method of hard copy reserves?
A. Hard copy reserves, students has to physically go to the library and check out. But due to the nature of our campus, it's urban campus and a lot of people commute. And it's just my opinion, I don't know, I never did any survey or anything, but having those course readings available online the majority of students have access online, it would make their life easier to have access to those readings.

MR. LARSON: That's all the questions I have today.

Before we conclude, I'll renew my request for the checklists for the other works on your syllabus that are plaintiff works that we discussed early in the deposition.

And we'll note again that we'll hold
the deposition open pending sorting that matter out with the court or with counsel as the case may be.

EXAMINATION
BY MR. ASKEW:
Q. Professor Kim, $I$ have a couple questions for you.

If you would get back in front of you your two checklists, Exhibit 16 and Exhibit 15, and turn to the second page. And turn to second page of each one. Look at factor 4.

With respect to factor 4 , you have not checked either one of the boxes that read no significant effect on the market or potential market for the copyrighted work. You see that?
A. Yes.
Q. That's how you completed the checklist in August of 2009, correct?
A. Yes.
Q. And since then you've had the opportunity to fill out more checklists and to study further the copyright policy and these checklists, is that correct?
A. Yes.
Q. How would you check those boxes today if you were filling out the boxes today with respect to both of
these works?
MR. LARSON: Just so -- and your question is the two boxes at the top of factor 4 in weighs in favor and weighs against?

BY MR. ASKEW:
Q. Yes, I'm talking about the boxes at the top of factor 4 for both of these works. Would you check those boxes today?
A. Yes.
Q. And how would you check them today?
A. I check no significant effect on market or potential market for copyrighted work.
Q. And would you check that way for both the checklist which is marked Kim Exhibit 15 and the checklist that's marked Kim Exhibit $16 ?$
A. Yes.
Q. And why would you check those as no significant effect on the market today?
A. Okay. Now, as I said, I think as a teacher it's really important to introduce more updated resources for students. And you know, showing, you know, single chapters, it's just relevant and important to meet their course objectives, in fact positively impact the market.
Q. On Kim Exhibit 15 you checked the box use stimulates the market for the original work. You see that?
A. Yes.
Q. On Kim Exhibit 16 you did not check the box use stimulates the market for original work. You see that?
A. Yes.
Q. Now, can you tell me why you did not check the box use stimulates the market for the original work on Kim Exhibit 16?
A. Again, when $I$-- these two checklists, it was the first two probably -- not first two, but first experience with this checklist, so $I$ was not being consistent when $I$ was printing and $I$ was trying to find examples, like real world examples that $I$ can actually use to make decision.

So $I$ could have checked use stimulates market for original work just like as you asked like how would you do it now, but at the time $I$ just didn't think about the examples, so --
Q. If you were completing the checklist which is marked as Kim Exhibit 16 today, how would you treat the box that is use stimulates the market for the original work? Would you check that box today?
A. Yes. After filling out many checklists by now, $I$ mean, more checklists and, you know, gaining more teaching experience and had more experience with students, so $I$ have more insights in how educational field work and interaction with the students work for their professional development and purchasing books for the knowledge, $I$ check yes now.
Q. Do you have a recollection that with respect to the course that's identified by Kim 16 that students indicated to you in some fashion that they were purchasing the book from which the chapters that are identified in the checklist marked as Kim 16 were taken?

MR. LARSON: I object as asked and answered.

BY MR. ASKEW:
Q. You can answer the question. You want me to ask the question again?
A. Yes.
Q. With respect to $K i m 16$, and that is the checklist that concerns the two chapters that were taken from "Assessing Grammar" by Purpura, do you have any recollection today of conversations or discussions with students that indicated they were purchasing the book or were likely to purchase the book?

MR. LARSON: Object that it's asked and
answered.
THE WITNESS: I had informal conversation with the students, because by nature this course is very practical and this textbook really addresses practical knowledge that they need when they actually go out in teaching setting. So they mention that these books would be really useful for their future use and they would like -- they are interested in buying these books.

BY MR. ASKEW:
Q. And those were conversations you had specifically with respect to the Purpura book called "Assessing Grammar", is that correct?
A. Yes. Including other assessing book series that $I$ put on course reserves, because that's reading, listening, writing and all those books.

MR. ASKEW: I have no further questions
for the witness.
FURTHER EXAMINATION
BY MR. LARSON:
Q. How many conversations like the one you just testified to specifically do you recall?
A. I don't remember, but $I$ always met with my
students before they made book presentations so that $I$ can guide structural presentations and those other conversations that we had. So how was the book, and you know, what kind of information did you get to develop your assessment skills. But $I$ never counted how many, but those are the conversations that $I$ had.
Q. And those conversations involved discussions about -- strike that.

Were the conversations that you had that we were just talking about, did those involve student presentations that they were going to be giving about the particular book or writing assignment about the book?
A. I didn't understand your question.
Q. Let me try it this way. The conversations we've been talking about here, what was the purpose of those conversations or the context of those conversations?
A. Oh, so they're supposed to read the book, I mean, not read the entire book, but the book should be a foundation of their book presentation. I specifically had assignment that they need to be familiar with all these skill area books and make presentation.

So our meeting was held before their presentations so we talked about the books that they
read. So they go beyond the chapters they were assigned. So they sometimes mention they already bought the book --

No, I take that back. That was from other university that $I$ worked.

They really enjoyed the book and they would like to purchase it for their future use.
Q. And the context of this conversation was that they were going to be giving a book presentation about the particular book, is that right?
A. Yes.
Q. And you don't know whether or not any of them actually did or did not buy the book, right?
A. I don't keep track of that.

MR. LARSON: No further questions for today.

MR. ASKEW: That will conclude the deposition.

MR. LARSON: Well, it will conclude it
for today. As $I$ said we are holding it open pending sorting out these other matters, but it will conclude it today.

MR. ASKEW: We consider the deposition be to concluded since we've covered the subjects that the court instructed us to
limit our investigation to. All right. THE VIDEOGRAPHER: Off the record at 11:36.

E R R A T A
S HEET

I, the undersigned, Youjin Kim, do hereby certify that $I$ have read the foregoing deposition and that, to the best of my knowledge, said deposition is true and accurate (with the exception of the following corrections listed below).

PAGE / LINE CORRECTION

$\qquad$

## NOTARY PUBLIC SIGNATURE

DATE
MY COMMISSION EXPIRES:
C E R T I F I C A T E
G E O R G I A:

FULTON COUNTY:
I hereby certify that the foregoing deposition was taken down, as stated in the caption, and the questions and the answers thereto were reduced to printing under my direction; that the preceding pages represent a true and correct transcript, to the best of my ability, of the evidence given by said witness upon said hearing. And I further certify that $I$ am not of kin or counsel to the parties to the case; am not in the regular employ of counsel for any of said parties; nor am $I$ in anywise interested in the result of said case.

This, the 9th day of February, 2011.

Teresa Bishop, RPR, RMR CCR No. B-307
My commission expires 11-21-11.

STATE OF GEORGIA
COUNTY OF DEKALB

## Deposition of Youjin Kim

Pursuant to Article 10.B of the Rules and Regulations of the Board of court Reporting of the Judicial Council of Georgia, I make the following disclosure:

I am a Georgia Certified Court Reporter. I am here as a representative of Shugart \& Bishop.

I am not disqualified for a relationship of interest under the provisions of O.C.G.A. 9-11-28.

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Teresa Bishop RPR, RMR, CCR B-307

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