Ann C. Kruger, Ph.D.

April 22, 2011

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IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

CAMBRIDGE UNIVERSITY
PRESS, OXFORD UNIVERSITY
PRESS, INC., and SAGE
PUBLICATIONS, INC.,

CIVIL ACTION FILE

Plaintiffs, NO. 1:08-CV-1425-ODE

VS.

MARK P. BECKER, in his official capacity as Georgia State University President, et al.,

Defendants.

VIDEOTAPE DEPOSITION OF

ANN CALE KRUGER, Ph.D.

April 22, 2011

2:56 p.m.

Conference Room 16-K 1180 Peachtree Street, N.E. Atlanta, Georgia

S. Julie Friedman, CCR-B-1476

1 APPEARANCES OF COUNSEL 2 On behalf of the Plaintiffs: 3 WEIL, GOTSHAL & MANGES JONATHAN BLOOM, ESO. 4 767 Fifth Avenue New York, New York 10153 5 212.310.8775 212.310.8007 Fax 6 jonathanbloom@weil.com 7 On behalf of the Defendants: 8 KING & SPALDING LLP NATASHA HORNE MOFFITT, ESQ. 9 1180 Peachtree Street, N.E. Atlanta, Georgia 30309-3521 404.572.2783 10 404.572.5134 Fax 11 nmoffitt@kslaw.com 12 Also Present: 13 Henry Stewart, Videographer 14 15 16 17 18 19 20 21 22 23 24 25

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Videotape Deposition of Ann Cale Kruger, Ph.D. 1 2 April 22, 2011 3 4 (Reporter disclosure made pursuant to 5 Article 10.B. of the Rules and Regulations of 6 the Board of Court Reporting of the Judicial 7 Council of Georgia.) 8 THE VIDEOGRAPHER: This is Tape No. 1 to 9 the videotape deposition of Dr. Ann Kruger, in 10 the matter of Cambridge University Press versus 11 This deposition is being held at Mark Becker. 12 King & Spalding, 1180 Peachtree Street, in 13 Atlanta, Georgia, on April 22nd, 2011. 14 The time is now 2:56 p.m. We're on the 15 record. 16 My name is Henry Stewart. I'm the 17 videographer. The court reporter is Julie Friedman. 18 19 Counsel, will you please introduce yourselves and affiliations; and the witness 20 21 will be sworn. 22 Jonathan Bloom, Weil, MR. BLOOM: 23 Gotshal & Manges, for the plaintiffs.

MS. MOFFITT: Natasha Moffitt, King &

Spalding, for the defendants.

ANN CALE KRUGER, Ph.D., having been first duly sworn, was examined and testified as follows:

MR. BLOOM: Okay. Natasha, before we start --

MS. MOFFITT: Okay.

MR. BLOOM: -- I just wanted to put the objection on the record to the -- to the defendants' use of this deposition for testimonial purposes at trial given that the witness presumably resides within the Northern District of Georgia and is represented by counsel for defendants, who could subpoena Dr. Kruger to testify live at trial.

And on that basis, the plaintiffs object to the deposition testimony being introduced as testimony at trial on the grounds that Dr. Kruger is unavailable within the meaning of the Federal Rules of Evidence, the Federal Rules of Civil Procedure, and any applicable local rules of this district.

And on that basis, plaintiffs agree to go forward with the deposition without -- with the understanding that in doing so, they're not waiving any of their rights with respect to the

use of this testimony as -- as trial testimony in this action.

That's it.

MS. MOFFITT: The --

MR. BLOOM: Now you can --

MS. MOFFITT: Yeah, yeah. I can go.

On behalf of the defendants, I just acknowledge the objection and your preservation of rights; and we'll take the issue up when the issue is raised with the Court, if at all.

MR. BLOOM: Thank you.

MS. MOFFITT: This will the deposition of Dr. Ann Cale Kruger, taken by agreement of the parties. The deposition will be taken for use at trial and for any other purpose permitted under the Federal Rules.

DIRECT EXAMINATION

BY MS. MOFFITT:

- Q. Dr. Kruger, could you please state your full name for the record.
 - A. Ann Cale Kruger.
- Q. And do you understand that you are here today to provide testimony under oath in connection with a copyright infringement case brought by Cambridge University Press, Oxford University Press,

and Sage Publications against the president of Georgia State University, certain members of the Board of Regents of the University System of Georgia, and others?

- A. I do.
- Q. And do you understand that this case has been scheduled for trial starting on May 16th?
 - A. Yes.
- Q. And do you plan to be in the Atlanta area in the May-June timeframe of this year?
 - A. No.
- Q. Where do you plan to be in the May-June timeframe of this year?
- A. From May the 3rd -- oh, sorry -- 4th until May the 29th, I will be in Israel mainly, with a brief trip to Cambridge, England.
- And I have three trips scheduled in June which I can outline for you, if you want.
- Q. Sure. What trips do you have planned in June?
 - A. June. I apologize. May 31st to June 2nd, I will be in Charleston, South Carolina; June 9th to June 13th, I will be in New York City; and June 17th to June 25th, I will in Chautauqua, New York.
 - Q. And with respect to your trip from May

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4th, through May 29th to Israel and Cambridge, England, what's the purpose of that trip?

- A. That is for vacation and personal study.
- Q. And how long has that trip been planned?
- A. Two years.
- Q. With respect to your trip from May 31st to June 2nd to Charleston, South Carolina, what's the purpose of that trip?
 - A. That's for a family event.
 - Q. How long has that trip been planned?
- A. A year.
- 12 Q. And what type of family event is it?
- 13 A. My niece's graduation from high school.
 - Q. With respect to your trip from June 9th through June 13th to New York City, what's the --
 - A. Uh-huh.
 - Q. -- purpose of that trip?
 - A. That is a visit to my daughter.
 - Q. How long has that trip been planned?
 - A. One year.
- Q. And with respect to your trip from June 17th through June 25th to New York, what's the purpose of that trip?
 - A. That's a professional conference.
 - Q. Okay. And how long has that trip been

planned?

- A. Oh, about a year and a half.
- Q. Okay. Who do you work for, Dr. Kruger?
- A. Georgia State University.
- Q. And what do you do for Georgia State University?
- A. I'm on the faculty in educational psychology.
 - Q. Do you have a particular title?
 - A. Associate professor.
- Q. Are you associated with any particular department within Georgia State University?
- A. Yes. Educational psychology and special education.
- Q. When did you start teaching at Georgia State University?
 - A. The fall semester of 1992.
- Q. Can you tell us generally what types of courses you teach at Georgia State University?
- A. For the past several years, I've taught only graduate-level courses.

Mainly I teach a course in learning and the learner, which is a foundations, -- psychological foundations course for master's level students; I teach a doctoral level course in advanced

developmental psychology; and I teach a doctoral level course in facilitating college teaching.

- Q. Have you ever taught a course called advanced developmental psychology, social and personality development, EPY 8220?
 - A. Yes.
- Q. Can you tell us generally what that course is about.
- A. That's a doctoral level seminar course that is for advanced students who already have some knowledge of developmental psychology and of personality and socialization in particular; and so it's a seminar-styled study of recent research reports primarily on subtopics in that field.
- Q. And when you say seminar-styled course, what do you mean by that?
- A. It means it's taught not didactically, but through a combination of lecture and discussion.
- Q. How do you go about teaching your students the subject matter of the EPY 8220 class?

MR. BLOOM: Object to the form. Vague.

You can answer.

THE WITNESS: I can answer.

I -- I teach that class by studying
the -- the current literature in the subarea,

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the topic of the course, and selecting readings organized around controversies in the field.

- Q. (By Ms. Moffitt) So one of the methods you use to teach the subject matter of the class is readings in the field?
 - A. Yes.
- Q. Did you teach the EPY 8220 course in the fall of 2009?
 - A. Yes.
- Q. And just for the record, what does EPY stand for?
 - A. Educational psychology.
- Q. Did you prepare a syllabus for the EPY 8220 course in the fall of 2009?
 - A. I did.
- 16 (Defendant's Exhibit TX-1 was marked for identification.)
- Q. (By Ms. Moffitt) I've handed you what's been marked Kruger TX-1 --
 - A. Uh-huh.
 - Q. -- with production numbers Georgia State 66051 through 057.
 - A. Uh-huh.
- Q. Do you recognize this document?
- 25 | A. I do.

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- Q. And what is this document?
- A. This is the syllabus for my course EPY 8220.
 - Q. Is it for a particular semester?
 - A. It is.
 - Q. Did you prepare this document?
 - A. I did.
 - Q. When did you -- When approximately did you prepare it?
 - A. In advance of the beginning of fall semester 2009.
 - Q. Is it your practice to create syllabi and maintain then for purposes of your courses at Georgia State University?
 - A. Yes. It is.
 - Q. Turning to Page 2 of your syllabus, Kruger TX-1, there's a section entitled "Course Outline."
 - A. Uh-huh.
 - Q. What does this particular section reflect?
 - A. This shows the organization of the topics of the course across the weeks of the semester.
 - Q. And there also appears to be the beginning of a section perhaps at the bottom of this page, 66052, entitled "Required Reading."
 - A. Uh-huh.

- Q. Does that section span multiple pages of your syllabus?
 - A. It does.
- Q. Okay. What's the purpose of -- I guess which -- which pages in particular does this required reading section relate to?
- A. The required readings, the heading for required readings, as you said, is the bottom of Page 2.
 - Q. Uh-huh.
- A. And the list continues through Pages 3, 4, 5, and the first half of 6.
- Q. Okay. And what is the purpose of this required reading section?
 - A. To identify for the students the articles that they will be expected to read for the course.
- Q. Are all of the readings listed from Pages
 3 through half of Page 6 required readings --
 - A. No.
 - Q. -- per se?
 - A. No. Not all.
 - Q. Which ones specifically are not required readings?
- A. There is a -- a bolding -- a bold -- bolded heading that says, "Background Reading" on

some of the sections, and that background reading is optional for students who feel they need a better foundational knowledge.

- Q. All right. Turning to Page 3 of the syllabus, 66053, there's a section entitled, "Approaches to Development II --
 - A. Uh-huh.
 - Q. -- "Risk and Resilience."

 Do you see that?
 - A. Yes.
- Q. And what are the items listed within that subsection there?
- A. The first item listed is the optional background reading, and then the next three items listed are the required readings.
- Q. And then there appears to be one reading that's entitled "The developmental impact of childhood trauma" from "Understanding trauma: Integrating biological, clinical and cultural perspectives."

Do you see that?

- A. Yes.
- Q. Can you describe for me generally what this "Understanding trauma: Integrated biological, clinical and cultural perspectives" reference is.

- A. It's an edited volume, which means that there are multiple chapters written by different authors that are collected because they have the title subject in common; that is, they are related to trauma in one of -- from one of these perspectives listed.
- Q. And what -- Did you assign an excerpt from this particular reference?
 - A. Yes. I did.
 - Q. And -- And which one was that?
- A. The chapter by van der Kolk titled "The Developmental Impact of Childhood Trauma.'
- Q. And what were the page numbers associated with that particular excerpt that you assigned?
- A. It -- On the syllabus, it reads Pages 224 to 241.
- Q. And are those the pages that you believe you assigned for this particular work?
 - A. Yes.
- Q. Do you understand that the plaintiffs in this case have alleged that the use of this particular excerpt, "The Developmental Impact of Childhood Trauma," Pages 224 through 224 -- 224 through 241 infringes one of their copyrights?
 - A. Yes.

Q.

MR. BLOOM: I just object to the form just 1 2 to the extent that we actually allege that it 3 infringes multiple copyrights, but that's a 4 quibble. 5 THE WITNESS: One per. 6 MS. MOFFITT: Well, I think I said 7 infringes one -- one of their copyrights, one or 8 more. 9 MR. BLOOM: Yeah. My -- My only purpose 10 of clarifying was that there are multiple 11 copyright rights implicated. That's all. 12 (By Ms. Moffitt) Okay. Were the readings 13 that are listed in -- in this subsection, "Approaches 14 to Development II: Risk and Resilience" --15 Α. Uh-huh. 16 -- associated with or otherwise assigned 0. 17 for a particular class within this course, EPY 8220? 18 Α. They were assigned for a particular class 19 meeting for a week, a particular week. 20 MR. BLOOM: Can I just have that question 21 and answer back. 22 (Whereupon, the record was read by the 23 reporter as requested.) MR. BLOOM: 24 Thanks.

(By Ms. Moffitt) And what was the subject

matter?

Well, do you recall which -- which class in particular you assigned these works for?

- A. If I refer to the syllabus, it appears it was for the class meeting on September the 3rd --
 - Q. Okay.
 - A. -- of 2009.
- Q. And that appears on Page 2 of your syllabus?
 - A. That's correct.
- Q. And it -- That particular class is described as "Approaches to Development II: Risk and Resilience"?
 - A. Correct.
 - Q. What was the subject matter that you intended to teach that day in class?
 - A. Uh-huh. It -- Risk and resilience refers to how environment and biology work together to lead to particular outcomes for particular individuals over development. Risk and resilience refers to how certain numbers or levels of risk can result in maladaptation or good adaptation. That would be the resilience part.
 - Q. And why did you assign "The Developmental Impact of Childhood Trauma" as a reading that day?

A. This chapter is a -- a unique argument, evidence-based argument, the best I could find about the importance of the timing of trauma in its consequence.

Would you like to hear more about that?

- Q. Well, and -- and specifically why -I guess what was unique from your
 perspective about this particular work --
 - A. Uh-huh.
- Q. -- and how did that relate to -- to what you were teaching in class that day?
- A. Okay. There are very few studies and no chapter that I know of that -- other than this one that pull together the argument that -- support for the argument that post-traumatic stress disorder is different depending on the age at which the trauma occurs.

Therefore, it is a particular approach to risk that is developmentally sensitive with respect to the timing of the -- in brain development and psychological development of the trauma, and that timing predicting different outcomes.

Q. Now how did you intend for your students to access a copy of "The Developmental Impact of Childhood Trauma"?

- A. Through university library Ereserves.
- Q. And what is the university library Ereserves?
- A. That is a method of reserve reading that the university makes available to students. The university takes a digital version of the work, makes it password-protected, supplies the password to the students in the class for the time that the class is in session over the semester.

And students enter the password, have access to the document, and read it.

- Q. Did you request that this excerpt, "The Developmental Impact of Childhood Trauma" be loaded to ERes for your fall 2009 course?
 - A. I did.
- Q. And what steps did you take to request that this excerpt be loaded to ERes or the Ereserve system?
- A. I completed a fair use checklist. I went through the procedures that the library requires professors to do in order to do that and -- and also to submit it to the library for consideration for inclusion.
- Q. And you mentioned some procedures that you needed -- that you were required to do in order to

make those requests.

- A. Uh-huh.
- Q. Can you describe generally for me the types of procedures you need to follow.
- A. You complete a -- a fair use checklist, which is resident on your computer or available on the website.

And then, once that is completed, you answer questions the library poses about ownership of the work, whether your completion of the checklist has resulted in determination that it's fair use or some other situation, information like that.

- Q. And when you said that you're required to submit information about the ownership of the work --
 - A. Uh-huh.
 - Q. -- what did you mean by that?
- A. Does the library own the work? Does the professor own the work?

If the professor owns the work, at what time will the professor bring the book, or whatever it might be, to the library.

- Q. And when you say own the work, are you referring to owning a physical copy --
 - A. Yes.
 - Q. -- of the work?

1 Α. Yes. 2 (Defendant's Exhibit TX-2 was marked for 3 identification.)

- (By Ms. Moffitt) I'm handing you what has been marked Kruger TX-2. Do you recognize this document?
 - Α. I do.
 - And what is this? 0.
 - This is the fair use checklist. Α.
- 10 For? Q.

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- 11 For EPY 8220 fall 2009. Α.
- 12 0. Is it for a particular work?
- 13 Α. It is.
- 14 Which work? O.
- 15 The chapter by van der Kolk from the 16 volume edited by Kirkmeyer, et al., the article we were just discussing. 17
 - And that is the article entitled 0. "Understanding Trauma?"

No?

- Α. No. "The Developmental Impact of Childhood Trauma." 22
 - Okay. And for which pages did you fill Ο. out the checklist?
- 25 Α. Pages 224 to 241.

- 1 The date on this particular checklist, Ο. Kruger TX-2, is fall 2009. Do you see that? 2 3 Α. I do. 4 Did you complete a version of this 0. checklist in the fall of 2009? 5 6 Α. I did. 7 With respect to the specific copy, Kruger TX-2, dated fall 2009, is this a copy of your 8 original checklist? 9 10 Α. No. Can you explain. Is this a -- Is it a 11 Ο. 12 re-creation of your original checklist? 13 Α. It is. 14 O. And why did you re-create your original 15 checklist? 16 Α. Excuse me. I could not locate my printed 17 сору.
 - Q. Did you believe you kept a printed copy of the checklist?
 - A. I did.

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- Q. What -- What is the basis for your belief?
- A. It's my practice to complete the checklist on my computer. However, the version of Adobe that I have doesn't allow me to save it as a completed form.

It, therefore, has to be printed out in order to save. There's no other way to save it.

So I printed it out and saved it, but I could not put my hands on it.

- Q. Why did you fill out this checklist back in the fall of 2009 when you completed the original version?
- A. It's part of the procedure that we undertake when we are creating Ereserves to complete a checklist for each item that we want to put on Ereserves.
- Q. And is that pursuant to any kind of directive or policy?
 - A. It's a policy. It's a requirement.
- Q. And when you re-created this checklist, TX-2, Kruger TX-2, did you make an effort to fill it out in the same way that you filled it out prior to your fall 2009 --
 - A. I did.
 - Q. -- course?
 - A. I did.
- Q. And when you originally filled out the checklist in the fall of 2009 timeframe, did you make a good-faith effort to conduct a fair use analysis in accordance with the checklist?

- A. I did.
- Q. Directing your attention to the first page of the checklist, Georgia State 65258, and for purposes of the record, the Kruger TX-2 Exhibit is labeled with the production numbers Georgia State 65258 through 259.

Directing your attention to the first page, 65258, there is a factor referred to as Factor 1, purpose and character of the use. Do you see that?

- A. I do.
- Q. Upon completing -- Did you complete this particular section --
 - A. Yes.
 - O. -- under Factor 1?
- A. Uh-huh. I did.
 - Q. And upon completing that section, did you reach a conclusion about whether Factor 1 weighed in favor of fair use?
 - A. I did.
 - Q. And what did you conclude?
 - A. I concluded that factor -- the factors weighed in favor of fair use.
 - Q. And why did you conclude that this Factor 1 weighed in favor of fair use?

- A. There were five elements that were relevant; and there were in the column weighs in favor of fair use on the left-hand side of the page; and there were no factors checked in the right-hand column, which is labeled weighs against fair use.
- Q. And specifically which factors did you find that weighed in favor of fair use for Factor 1?
- A. I checked nonprofit educational, teaching, research or scholarship, personal study, and use is necessary to achieve your intended educational purpose.
- Q. Why did you select nonprofit educational for this particular work?
- A. It's for a course taught at Georgia State University, which is a nonprofit educational institution.
- Q. And why did you select teaching, including multiple copies for classroom use, for this particular work?
 - A. It was for a class I was teaching.
- Q. And with respect to research or scholarship, why did you select that subfactor for this work?
- A. The purpose of the course is to acquaint students with scholarship and research in this field

of developmental psychology.

- Q. You also selected personal study. Why did you select that particular subfactor --
 - A. It's a --
 - Q. -- for this work?
- A. It's a seminar-styled course, which means that each student is to expected to commit themselves to both personal study of the works, as well as to collective study of the works as a class.
 - O. And --
 - MR. BLOOM: I'm sorry. Could I hear the question and answer back, please.

(Whereupon, the record was read by the reporter as requested.)

MR. BLOOM: Okay. Thank you.

- Q. (By Ms. Moffitt) You also selected use as necessary to achieve your intended educational purpose. Why did you select this factor for this work?
- A. The work was unique and important to the point of developmental timing in outcomes of psychological trauma.
- Q. And how did that relate to the educational purpose of your class?
 - A. It related to the particular subtopic of

risk and resilience. It is a especially good explication of the relationship between timing, brain development, psychological processes, and consequences of trauma.

- Q. Looking at Page 2 of your checklist, 65259 of Kruger TX-2, there's a factor there entitled,
- "Factor 2: Nature of Copyrighted Work."

Do you see that?

- A. I do.
- Q. Did you complete this section for Factor 2 in the fall of 2009?
 - A. Yes.
- Q. And upon completing that section did you reach a conclusion about whether Factor 2 weighed in favor of fair use?
 - A. I did.
 - Q. And what did you conclude?
- A. I concluded that it did weigh in favor of fair use.
 - Q. And why did you conclude that Factor 2 weighed in favor of fair use?
 - A. All three of the items in the column titled "Weighs in Favor of Fair Use" were selected, and none of the items in the column titled "Weighs Against Fair Use" were selected.

- 1 You selected published work under Factor 2 Ο. 2 for this work. Why did you --3 Α. Yes. 4 -- select that factor? 0. 5 Α. The article in question was published. 6 0. You also selected factual or nonfiction 7 work. Why did you select that particular factor? The article was a research review. 8 Α. Was it a -- of a factual or nonfiction 9 Q. 10 nature --11 It was. Α. Yes. 12 Ο. -- the review? 13 Α. Uh-huh. 14 You also selected important to educational O. 15 objectives. Why did you select that? 16 Α. For reasons similar to my previous 17 statement that it was a unique and special, 18 especially effective review of the importance of 19 timing in predicting outcomes of trauma. 20 Page 2 of the fair use checklist also Ο. refers to a Factor 3 amount and substantiality of the 21
 - A. I do.

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portion used. Do you see that?

Q. Did you complete this section under Factor

- A. I did.
- Q. Upon completing that section, did you reach a conclusion about whether Factor 3 weighed in favor of fair use?
 - A. I did.
 - Q. And what was your conclusion?
- A. I concluded that it weighed in favor of fair use.
- Q. And why did you conclude that these factors weighed in favor of fair use?
- A. All three items in the column of choices titled "Weighs in Favor of Fair Use" were selected, and none in the column titled "Weighs Against Fair Use" were selected.
- Q. You selected small portion of work used under Factor 3. Why did you select that factor for this work?
- A. It was one chapter out of a multichapter, edited volume.
- Q. Do you recall how many chapters were in this particular book?
 - A. Not off the top of my head. No.
- Q. You also -- did you -- When you assigned Pages 224 to 241, did that correspond with one particular chapter in the book?

- A. It did.
- Q. You also selected portion used is not central or significant to the entire work as a whole. Why did you select that factor?
- A. Each of the chapters stand alone. They're by different authors, and they cover different subject matters. Related to each other, but independent. They're independent works.
- Q. And you selected the amount taken as narrowly tailored to educational purpose such as criticism, comment, research, or subject being taught. Why did you select that particular subfactor?
- A. That chapter was research on a very particular subtopical area that I thought was important to study of risk and resilience.
- Q. And -- And with respect to the amount taken, why did you conclude that the amount taken was narrowly tailored to the educational purpose?
- A. I took no more than was relevant to the particular topic of the week, and it was a single argument that arced over the course of those pages. It couldn't be interrupted, but it was all that was necessary. It was all that was present.
 - Q. Page 2 also has a section entitled

Factor 4: Effect On Market for Original. Do you see that?

- A. Yes.
- Q. Did you complete that -- that section in the fall of 2009?
 - A. I did.
- Q. And did you reach a conclusion about whether Factor 4 weighed in favor of fair use upon completing that section?
 - A. I did.
- Q. And what did you conclude with -- with respect to Factor 4?
 - A. It weighed in favor of fair use.
- Q. And what was the basis for your conclusion that this Factor 4 weighed in favor of fair use?
- A. I found five of the items listed as relevant in the column labeled "Weighs in Favor of Fair Use," and I found no items relevant in the column "Weighs Against Fair Use."
- Q. Okay. You selected no significant effect on market or potential market for copyrighted work. Why did you select that particular factor?
- A. I was introducing this author and these ideas to students for the first time. I don't believe they would otherwise be aware of the work.

- Q. And how does that relate to whether or not there's a significant effect on the market or the potential market for the copyrighted work?
- A. It -- I -- I do not believe that students who are unaware of a book will buy it or are unacquainted with the subject matter of a book will buy it.
- Q. You also selected that the use stimulates the market for the original work. Do you see that?
 - A. Yes. I do.
- Q. And why did you select that particular subfactor?
- A. Once the students have been introduced to the ideas the author expresses in the chapter, they become interested in the ideas. They see the importance of the work and other works similar to it, and for their own research and personal study may well buy more books or buy that book.
- Q. Now you also selected supplemental reading -- classroom reading.
 - A. Uh-huh.
 - Q. Why did you select that particular factor?
- A. I see now that that is an error, because I see that there is a -- inherent forced choice between supplemental and required classroom reading in the

other column, and it's clearly required. It's so stated in the syllabus. That's an error.

- Q. So is it your belief you should have selected required classroom reading and not supplemental classroom reading?
 - A. Yes.
- Q. Okay. Sitting here today, if you were to fill out this checklist for this particular work, would you select required classroom reading?
 - A. I would.
- Q. You also selected user owns lawfully acquired or purchased copy of the original work. Why did you select that factor?
 - A. I owned the book.
- Q. And you selected restricted access to students or other appropriate group. Why did you select that factor?
- A. I believe I selected that factor because the book was not -- The reading was not going to be available except by password-protected access to certain people who enrolled, paid tuition, and were in the class; and it was restricted for a period of time and to a particular group.
- Q. Now had you selected in the fall of 2009 the required classroom reading --

- A. Uh-huh.
- Q. -- and not selected supplemental classroom reading --
 - A. Yes.
- Q. -- would that have changed your analysis and conclusion with respect to whether Factor 4 weighed in favor of fair use?
 - A. It wouldn't have changed that. No.
 - Q. And why not?
- A. It would have gone from a tally of five to zero to a tally of four to one, still strongly weighing in favor of fair use.
- Q. Now once you completed the checklist for all four factors for the -- this particular work, did you arrive at an overall conclusion about whether your use of "The developmental impact of childhood trauma" excerpt constituted a fair use?
- A. I did. I -- I believed that it did constitute fair use.
- Q. And, again, had you selected required reading as one of the subfactors under Factor 4 and not selected supplemental classroom reading, how would this have changed your overall conclusion with respect to whether your use of this particular work constituted a fair use?

MR. BLOOM: Object to the form.

THE WITNESS: It would not have changed

it.

- Q. (By Ms. Moffitt) After completing the checklist for this particular work, "The developmental impact of childhood trauma," for your EPY 8220 course in the fall of 2009, what did you do to get your excerpt loaded to the Ereserve system?
- A. After I completed the checklist, I went to the ERes web page, answered the questions the library has for you about each item that you would like to place in reserves. And this includes questions such as have you completed the fair use checklist. What have you concluded about that. Do you want the library -- Does the library own a copy? Do you own a copy, and so forth and bibliographic information that they need.
- Q. And as part of your completion of that form for this EPY 8220 class in the fall of 2009, did you inform the library that you believed that your use of this particular excerpt "The developmental impact of childhood trauma" was a fair use?
 - A. I did.
 - Q. Once your course is completed --
 - A. Uh-huh.

- Q. -- for a given semester, do you have an understanding of what happens to the materials that are loaded to Ereserve for that particular course?
- A. In laymen's terms, they're taken down. They're no longer available.
- Q. If you were to teach that same course in a subsequent semester, would you be able to access the previous materials you had loaded to Ereserve for your new course in the new semester?
 - A. No. I would not.
- Q. If it were determined that your use of "The developmental impact of childhood trauma" excerpt were not a fair use, what would you do with respect to your EPY 8220 class?
- A. If it were not fair use, I wouldn't use it.
- Q. Is there something that you would do instead in its place?
- A. I would have to examine the readings that I had prepared and -- for that week and try to find a replacement.
- Q. Okay. Have you ever taught a course called "Psychology of Learning and the Learner," EPY 7090?
 - A. I have.

- Q. Can you tell us generally what that course is about.
- A. That course is especially taught to a cohort, a unique cohort of -- called the Collaborative Masters Program in Early Childhood Education at Georgia State University. That course provides their foundational psychological study to support their practice as classroom teachers.
- Q. And how do you -- what -- What methods do you employ to teach your students the subject matter of your EPY 7090 course?
- A. The students are currently in the classroom. They currently are teaching in either pre-K through -- through grades five. Meet in a unique organization around the year.

I introduce them to different theories of learning that are relevant to early childhood education. They take what we have learned in the class with some assignments, and they implement practices in the classroom based on that theory.

And then they come back to class at a later date, and we revisit the theory and the practice again in light of their application.

Q. Do you -- you assign any readings to your students in connection with this class?

- A. I do.
- Q. What are the types of readings, generally speaking, that you would assign to students in your EPY 7090 course?
- A. Could be journal articles. It could be chapters.
 - O. From books?
 - A. From textbooks or books.
- Q. Did you teach the EPY 7090 course in the 2009 to 2010 school year?
 - A. Yes.
- Q. Okay. And can you describe for us during which semesters you taught this particular course?
- A. Yes. This -- This cohort of students enrolls in a program that is a lockstep prepared sequence of -- of events for them for 18 months.

They begin in June, and they meet with all the professors of their cohort in June for a few days. I met with them in June of 2009, I believe, for three, possibly four full days. Then we meet again one long evening a week -- no -- a month, one long evening a month in the fall.

That is the end of their meetings with me, but they continue to meet with other professors in this program through the spring. They do not

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actually register for EPY 7090 until the following Maymester. In this case Maymester of 2010, but they will have already completed the course by the time they register for it.

- Q. So if -- So were there separate EPY 7090 courses taught in the -- in the summer 2009 and the fall 2009 timeframes or --
- A. Not by me. There are many sections of EPY 7090. My section is the only one that's taught in this way, and it is the same section. It is not a different course.
- Q. So between June 2009 when you meet initially, for the next 18 months, that is one course with the same students enrolled the entire time?
 - A. Same students, same syllabus.
 - Q. And the course actually spans 18 months?
 - A. The program spans 18 months.
 - Q. Okay.
 - A. I meet with them from June to December.
 - Q. June 2009 through December of 2009?
 - A. Correct.
- Q. Did you prepare a syllabus for the EPY 7090 course?
- 24 A. I did.

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(Defendant's Exhibit TX-3 was marked for identification.)

- Q. (By Ms. Moffitt) I'm handing you what has been marked Kruger TX-3. Do you recognize this document?
 - A. I do.
 - Q. And can you tell us what it is.
- A. This is the syllabus I prepared for EPY 7090 for 2009 to 2010.
- Q. Now I believe you said that you met with the students from June 2009 through December of 2010; is that correct?
- A. It was -- It may have been November or December depending on how it was scheduled that year. I can check and see exactly.
- Oh, it doesn't say. It was scheduled after the fact. It appears that we did have a final meeting in December of 2009.
- Q. Okay. And -- and your syllabus,
 however --
 - A. Pardon me.
 - Q. -- have a date at the top, 2009 to 2010?
 - A. Uh-huh. That was to identify for the students which cohort this syllabus refers to, because they don't register for the course until

2010.

- Q. Okay. And did you prepare this syllabus?
- A. I did.
- Q. And when would you have prepared this syllabus?
- A. I would have prepared it in the time leading up to the first meeting in June of 2009.
- Q. Is it your practice to -- to create and maintain your syllabi for your classes that you teach at Georgia State University?
 - A. It is.
- Q. Turning to the fourth page of your syllabus, which is marked Georgia State 66047; and for the record again I'll just note that Kruger TX-3 is marked with production numbers Georgia State 66044 through 050.

So turning to Page 4 of your syllabus, there's a section entitled "Course Outline"?

- A. Yes.
- Q. Can you tell us what this section reflects.
- A. This section outlines for the students the topics that will be taught and the meetings during which they will be taught.
 - Q. On Page 5 of your syllabus, Kruger TX-3

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marked Georgia State 66048, there's a section entitled "Readings on Vygotsky"; is that correct?

- A. Uh-huh.
- Q. Did I say that right?
- A. Vygotsky.
 - Q. Due at Class No. 5. Do you see that?
- A. Yes.
 - Q. What are the items that are listed under that subsection on Pages 5 and 6 of your syllabus?
 - A. Those are readings that I selected and put on Ereserves.
 - Q. And one of the readings is for -- Or yeah. One of the readings is entitled, ""Awakening Children's Minds", "Chapter 6, "Learning in Classrooms" on Page 6. Do you see that?
 - A. I do.
- Q. Can you -- is ""Awakening Children's Minds"" -- What is that?
- 19 A. That's a title of a -- a book by Laura 20 Berk.
 - Q. And did you assign to your students a particular excerpt from the Awakenings -- ""Awakening Children's Minds"" book?
 - A. I did. I assigned Chapter 6.
 - Q. Is there a page range associated with that

particular chapter?

- A. The syllabus indicates it's Pages 181 to 219.
- Q. And you understand that the plaintiffs in this case have alleged that the use of this excerpt from the ""Awakening Children's Minds"" book infringes on one or more of their copyrights?
 - A. I do.
- Q. Is this a reading that you assigned for your -- the Class No. 5 for this particular course?
- A. In preparation for the meeting on Class No. 5, yes.
- Q. What was -- What was the subject matter that you intended to teach during Class No. 5?
- A. The theory of love Vygotsky, and it's relevance for classroom practices in early childhood education.
- Q. And why did you assign specifically this Chapter 6, "Learning in Classrooms" from the ""Awakening Children's Minds"" book?
- A. Laura Berk is a -- a premiere scholar in the application of Vygotsky in the classroom, and this one chapter out of her book refers -- or it describes her ideas about that application.
 - Q. And how was Chapter 6 in your view

different from the other chapters that might have been in her book on this general subject matter?

A. The other chapters in the book are explications of different aspects of the theory generally, which is a general theory of cognitive development and -- And it's a theory of sociocultural development in particular.

There is one applied chapter on disabilities, as I recall. There's a -- a concluding chapter that's on possibilities of application, but there's only one chapter that's on classroom, generally classroom use.

- Q. How did you intend for your students to access the copy of this excerpt from her book, Chapter 6?
 - A. Through the university Ereserves.
- Q. And did you request that this excerpt from "Awakening Children's Minds"" be loaded to Ereserve?
 - A. I did.
- Q. What steps did you take to request that this excerpt be loaded to Ereserve?
- A. I completed the fair use checklist and then completed the required procedures on-line at the Ereserve web page.
 - Q. And the procedures that you followed you

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characterize as required. Why do you characterize them as being required?

- A. It's not possible to put anything on Ereserves unless you've completed these procedures.
 - Q. And do you know --
 - A. The university makes it necessary.
- Q. So these are procedures that are required by the university --
 - A. That's right.
- Q. -- for you to follow before you load materials to Ereserve?
- 12 A. Yes.
- 13 Q. Okay.
- 14 (Defendant's Exhibit TX-4 was marked for identification.)
- Q. (By Ms. Moffitt) I'm handing you what has been marked Kruger TX-4. Do you recognize this document?
 - A. I do.
 - Q. What is it?
- A. It's a fair use checklist for EPY 7090, summer of 2009.
 - Q. Is it for a particular work?
- 24 A. It is.
- 25 Q. Which work?

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- A. Chapter 6 from ""Awakening Children's Minds"" by Laura Berk.
- Q. And is Chapter 6 the chapter you assigned your students to read from ""Awakening Children's Minds"" entitled "Learning in Classrooms"?
 - A. Yes.
 - Q. Did you complete this checklist?
 - A. Yes.
- Q. The date of this particular checklist is summer 2009. Do you see that?
 - A. I do.
- Q. Did you create a or complete a version of this checklist in the summer 2009 timeframe for your EPY 7090 course?
 - A. Yes.
 - Q. Is this a copy of that particular checklist?
- 18 A. It's a reconstruction.
 - Q. And why is it a reconstructed version of that original checklist?
 - A. I was unable to find my paper copy.
 - Q. Do you believe you kept a paper copy of your original checklist?
 - A. I do.
 - Q. And is that because it's your practice to

complete the checklists and print them out?

- A. It is.
- Q. When you re-created the checklists that you had filled out originally in the summer of 2009 timeframe, did you make an effort to fill it out in the same way that you filled out your original checklist in the summer of 2009?
 - A. I did.
- Q. And when you originally filled out the checklist in the summer of 2009 timeframe for this excerpt from ""Awakening Children's Minds"," did you make a good faith effort to conduct a fair use analysis with regards to the checklist?
 - A. I did.
 - (Defendant's Exhibit TX-5 was marked for identification.)
- Q. (By Ms. Moffitt) I've handed you what's been marked Kruger TX-5. Do you recognize this document?
 - A. Yes.
 - Q. And what is this?
- A. This is the fair use checklist for EPY 7090 for fall 2009.
- Q. And does this particular checklist relate to a particular work?

- A. It relates to Chapter 6 from ""Awakening Children's Minds"" by Laura Berk.
 - Q. And did you complete this checklist?
 - A. I did.
- Q. The date on this particular checklist is fall 2009. Do you see that?
 - A. Yes.
- Q. Is this a copy of the checklist that you completed in the fall of 2009 for the ""Awakening Children's Minds"" excerpts for the EPY 7090 course?
 - A. It's a re-creation of it.
- Q. And why is this a re-creation of your original checklist?
 - A. I could not locate my printed-out copy.
- Q. Do you believe you kept a printed-out version of the original checklist you created in the fall 2009 timeframe?
 - A. Yes.
- Q. But it's just that you weren't able to find it; is that correct?
 - A. That's right.
- Q. Can you explain to us why you have -Well, let me ask. Do these two checklists
 relate to the same course, EPY 7090, that was offered
 in the June 2009 timeframe?

- A. That's right. It's the same course, the same section, the same students, the same syllabus.
- Q. Why did you complete two different versions of the checklist for this particular excerpt from ""Awakening Children's Minds""?
- A. Ereserves operates on a -- a semester basis; but this course does not operate on a semester basis, so when I placed the readings on reserve in the summer semester, at the end of the summer semester, they were taken down, even though the course was ongoing.

So I had to ask them to re-create

Ereserves for this course, even though it was the same course.

- Q. And how did you -- What did you have to do in order for them to re-create the Ereserves for this particular course?
- A. Had to go through all the same procedures as if it were a new course, but it was not a new course.

So the ones I explained before -completing the fair use checklist, then going to the
Ereserve web page, completing the procedures there,
and submitting that information for review by the
library.

- Q. So even though there are two different fair use checklists for the Chapter 6 excerpt ""Awakening Children's Minds"" for the EPY 7090 course which are Kruger TX-4 and Kruger TX-5, these checklists relate to the same excerpt for the same course for the same class of students that started in the June 2009 timeframe?
 - A. That's right.
- Q. Okay. With respect to both Kruger TX-4 and TX-5, under portions to be used, e.g., pages, timer counts, you've listed Chap or CH 6, Chapter 6 --
 - A. Uh-huh.
- Q. -- presumably, pages 181 through 199. Do you see that?
 - A. I do.
- Q. Did you assign to your students only Pages 181 through 199 of this particular excerpt?
 - A. No. That's an error.
 - Q. Okay. What should it have been?
 - A. 181 to 219.
- Q. When you completed this checklist back in the summer of 2009 or when you completed the original version of this checklist in the summer of 2009, in the fall of 2009, did you intend for the checklist to

relate to your assigned reading from Chapter 6 of Pages 181 through 219?

- A. I did intend for it to. Yes.
- Q. And did your analysis apply to the entirety of the Chapter 6 when you completed the checklist in September 2009, in fall of 2009?
 - A. Yes. It did.
- Q. All right. Looking at Kruger TX-4 first, Page 1 of the fair use checklist marked 65256 refers to Factor 1: Purpose and Character of the Use.

Did you complete the section under Factor 1 relating to the purpose and character of the use?

- A. I did.
- Q. And did you reach a conclusion upon completing that section about whether Factor 1 weighed in favor of the fair use?
 - A. I did.
- Q. And what did you conclude with respect to Factor 1?
- A. I concluded that it weighed in favor of fair use.
 - Q. And why did you conclude that that Factor 1 weighed in favor of fair use?
 - A. There were five elements in that factor that I saw as relevant, and none that weighed against

fair use.

- Q. And therefore, because there were five that weighed in favor of fair use and none that weighed against fair use, you concluded what?
 - A. That it was fair use.
- Q. Okay. Under weighs in favor of fair use, you selected nonprofit educational. Can you explain why you selected that.
- A. The course is taught for Georgia State University, which is a nonprofit educational institution.
- Q. And you selected teaching for this particular work?
 - A. Yes.
 - Q. And why is that?
- A. I was using it for the purpose of teaching this course.
- Q. And you also selected research or scholarship. Why did you select that factor?
- A. The -- The material assigned represents review of researchers and scholarship on the topic of the course.
- Q. Okay. And you selected personal study for this particular work. Why did you select that?
 - A. It's my expectation that students and I

will study independently, as well as collectively.

- Q. And what would you study independently or collectively?
 - A. The -- The assigned readings.
- Q. Why did you select use is necessary to achieve your intended educational purpose?
- A. The chapter is, I think, outstanding in its application of Vygotskian theory to the early childhood classroom, and I know of no other chapter that is like it.
- Q. And how did that relate to your intended -- toward achieving your intended educational purpose?
- A. My intended educational purpose for this course is to acquaint these classroom teachers with different theories of psychology and to have them learn how they can use the theories in their classrooms in an applied way, and so this was supporting that purpose with respect to Vygotsky's theory.
- Q. Turning to Page 2, under Factor 2: Nature of Copyrighted Work, did you complete that section in the fall of 2009 when you originally completed this checklist?
 - A. Yes.

- Q. And upon completing that section, did you reach a conclusion about whether the factors -- whether Factor 2 weighed in favor of fair use?
 - A. I did.
- Q. And what was the basis for that conclusion?
- A. I concluded that it -- it weighed in favor of fair use because all of the elements in that column were selected and none in the column that weighed against fair use were selected.
- Q. You selected published work. Why did you select that factor?
 - A. The work's published.
- Q. And you selected factual or nonfiction work. Why did you select that factor?
 - A. It's a factual work.
- Q. And finally, you selected important to educational objectives. Why did you select that factor?
- A. It was highly relevant and important to the goal of the course.
- Q. Was it also important and relevant to what you were teaching in class that particular day?
 - A. Yes.
 - Q. In Factor 3: Amount and Substantiality of

the Portion Used, did you complete that section when you completed the original version of this checklist in the summer of 2009?

- A. I did.
- Q. And did -- Upon completing that section, did you reach a conclusion as to whether Factor 3 weighed in favor of fair use?
 - A. I concluded that it did.
 - Q. And what was the basis of your conclusion?
- A. All three items under weighs in favor of fair use were selected and none under weighs against fair use were selected.
- Q. Why did you select small portion of work used?
- A. It's one chapter out of a multichapter book.
- Q. Do you recall how many chapters were in that book?
 - A. I don't.
- Q. But at the time when you completed the checklist, it was your judgment that the Chapter 6 that you were using from the book was a small portion of the entirety of the work used?
 - A. That's correct.
 - Q. You also selected portion used is not

central or significant to the entire work as a whole. Do you see that?

- A. I do.
- Q. And why did you select that particular factor?
- A. The work as a whole is an explication of the theory in general, and this chapter is a particular application, so the -- The chapter isn't the heart of the work.
- Q. You also selected that the amount taken is narrowly tailored to the educational purpose such as criticism, comment, research, or subject being taught. Why did you select that factor?
- A. It is narrowly tailored to the subject being taught. It is narrowly tailored to taking a abstract theory and figuring out how to apply it very specifically in an early childhood classroom.
- Q. And how does that relate to the amount that you took?

Was the amount that you took narrowly tailored to your educational purpose for that particular class?

- A. That chapter was the only chapter on applications in the general ed classroom.
 - Q. And did the portion relate to anything

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more than what you were --

In terms of subject matter --

- A. No.
- Q. -- did the -- did the chapter that you took, Chapter 6, did it cover other topics that you were not covering during that particular day in class?
- 8 A. No. It was coherent and relevant.
 - Each -- Each page was relevant.
 - Q. On Page 2 of your checklist, Factor 4: Effect On Market for the Original, did you complete that section back in the summer of 2009 for this particular work?
 - A. I did.
 - Q. And upon completing that section, did you reach a conclusion about whether Factor 4 weighed in favor of fair use?
 - A. I did.
 - O. What was your conclusion?
 - A. I concluded it weighed in favor of fair use.
 - Q. And what was the basis of your conclusion?
 - A. There were five elements in favor and zero elements against fair use.
 - Q. You selected no significant effect on

market or potential market for copyrighted work. Why did you select that particular factor?

- A. If I did not assign the chapter to the students who were being acquainted with these theories for the first time, I do not believe that they would seek out the book or buy the book.
- Q. You also selected stimulates market for original work. Why did you select that particular factor?
- A. Once students are exposed to these new ideas and become interested in them, they often become excited and are moved to further their own study by purchasing books on the topic.
- Q. Have you ever had the experience as a professor that you've assigned a particular reading to your students and later learned that they either purchased a book relating to the reading or that included the reading or that they otherwise indicated to you that they might do so?

MR. BLOOM: Objection. Calls for hearsay.

THE WITNESS: I have the experience of -of students saying that they were very excited
about different things we had read in class, and
they were moved to go and buy -- buy materials.
I cannot recall whether it was exactly the

edited volume that I assigned, or it was something else.

- Q. (By Ms. Moffitt) Did -MR. BLOOM: Objection. Hearsay.
- Q. (By Ms. Moffitt) Does that form -- when you say -- When you selected use simulates market for original work, on what do you base your conclusion that your use of this particular excerpt stimulates the market?
 - A. For this work itself?
 - Q. (Attorney nods head.)
- A. The goal of college education is to excite people about ideas, and that's what we're about, and my -- my purpose is to -- is to inspire students to learn more; and if they want to learn more about what Laura Berk has to say about these subjects, they need to buy her book.
- Q. You've also selected supplemental classroom reading. Why did you select that factor?
 - A. It was an error.
- Q. What should you have selected instead, if anything?
- A. A required. It's clearly required. It stated so on the syllabus.
 - Q. Sitting here today, if you were completing

this checklist for this particular work or excerpt,
Chapter 6 from ""Awakening Children's Minds"," would
you select required classroom reading and not select
supplemental classroom reading?

- A. Yes.
- Q. You selected user owns lawfully acquired or purchased copy of the original work. Why did you select that?
 - A. I own the book.
- Q. Okay. And you selected restricted access to students or other appropriate group. Why did you select that for this work?
- A. When the chapter is placed on Ereserves, it's password-protected; and it expires in availability after a semester.
- Q. Had you selected required classroom reading and not selected supplemental classroom reading, how would that have affected or -- or how would that have affected your conclusion with respect to whether Factor 4 weighs in favor of fair use?
 - A. It would not have changed my assessment.
 - Q. And why is that?
- A. It would have been a tally of four to one instead of five to zero, which still is -- leads to the conclusion that it is fair use.

- Q. And once you completed the checklist for all four factors, did you arrive at an overall conclusion about whether your use of the Chapter 6 from ""Awakening Children's Minds"" constituted a fair use?
 - A. I did.
 - Q. What was your conclusion?
 - A. I concluded it was fair use.
- Q. And, again, had you selected required classroom reading under Factor 4 and not selected supplemental classroom reading --
 - A. Uh-huh.
- Q. -- under Factor 4, would that have changed your overall conclusion that the four factors weighed in favor of fair use?
 - A. It would not have changed it.
 - Q. And why is that?
- A. Because the -- the overwhelming element -- number of elements is in favor of fair use.
- Q. If it were determined that your use of Chapter 6 from ""Awakening Children's Minds"" were not a fair use, what would you do with respect to your EPY 7090 course?
 - A. I would no longer assign it.
 - Q. What would you do instead?

- A. I would have to search for an appropriate fair alternative.
- Q. Turning to Kruger TX-5, which is your fair use checklist for Chapter 6 of ""Awakening Children's Minds"" --
 - A. Uh-huh.
- Q. -- that you completed, of course, that you originally completed in the fall of 2009 --
 - A. Uh-huh.
- Q. -- the re-created version of that, can you compare the two checklists, Kruger TX-4 and Kruger TX-5, and tell me whether your selections for any of the factors are different.
 - A. They are exactly the same.
- Q. Okay. And do you believe that your analysis with respect to Kruger TX-5, which was a version of the checklist you created in the fall of 2009 -- do you believe your analysis was the same as the analysis you just described with respect to Kruger TX-4, which you filled out in the summer of 2009?
 - A. It was the same.
 - O. And why do you believe it was the same?
- A. Because I believe I consistently selected the same items and because I understand the use of

the work in the same way.

MR. BLOOM: Can we take a two-minute

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MS. MOFFITT: Sure.

MR. BLOOM: -- Natasha.

THE VIDEOGRAPHER: This concludes Tape No.

1. 4:10 p.m. We're off the record.

(Recess from 4:10 p.m. to 4:21 p.m.)

THE VIDEOGRAPHER: This is Tape No. 2.

4:21 p.m. We're on the record.

- Q. (By Ms. Moffitt) Are you aware that the Georgia State University adopted a new policy on copyright in the 2009 timeframe?
 - A. Yes.
 - Q. Okay. Are you familiar with that policy?
- A. Yes.
 - Q. How have you become familiar with that policy?
 - A. We were alerted by e-mail notifications. I think also by paper notification perhaps. We were directed to a new website hosted by the university of -- University System of Georgia Board of Regents where there was some explanatory material and instruction on how to complete a fair use checklist, and there was also a fair use checklist there

available for download.

- Q. Have you attended any training about the copyright policy that was implemented in 2009?
- A. There was training available. I -- In lieu of attending a group workshop, I invited the university attorney, Kerry Heyward, to come to my class that I teach, Facilitating College Teaching -- that's EPY 9000 -- to talk about copyright issues, as well as other legal and ethical issues in the profession of college teaching.

MR. BLOOM: Can I have an answer -- that answer read back, please.

(Whereupon, the record was read by the reporter as requested.)

MR. BLOOM: Thank you.

THE COURT REPORTER: You're welcome.

- Q. (By Ms. Moffitt) And do you recall when you invited the university attorney, Kerry Heyward, to come speak to your EPY 9000 class?
- A. I taught that class -- I have taught that class several times. I am teaching it currently, and she visited this semester. I taught it a year ago, spring of '10; and she visited then.

Beyond that I'd have to go check my -- my records as to the other visits.

Q. Do you recall whether she taught -- whether you taught the class EPY 9000 in the spring or -- Try again.

Do you recall whether you taught the EPY 9000 class in 2009?

- A. I don't recall.
- Q. And when the university attorney, Kerry Heyward, came to speak to your EPY 9000 class about copyright issues, as you mentioned --
 - A. Uh-huh.
- Q. -- did she talk at all about the copyright policy implemented at Georgia State University?
 - A. Yes. She did.
- Q. And was it the new policy that was implemented in the 2009 timeframe that she discussed?
 - A. Yes.
- Q. Have you had other occasions to learn about the new Georgia State -- your Georgia State University copyright policy that was implemented in the 2009 timeframe outside of Kerry Heyward discussing it in the EPY 9000 class that you taught?
- A. And the Board of Regents website with its training materials, and it's also been discussed in faculty meetings.
 - Q. And you also mentioned e-mails.

A. There were -- Yes. At the time there were e-mails.

And, of course, contacting Ereserves at the time of the new policy redirected you to the Board of Regents' website to learn more about the policy in detail.

- Q. Have you ever reviewed the newly implemented copyright policy that was implemented in the 2009 timeframe?
 - A. Yes.
- Q. Have you ever had any conversations with anyone at the Office of Legal Affairs about the Georgia State University copyright policy?
- A. I have a personal relationship with Kerry Heyward, and I talk to her about lots of things. I am sure I discussed copyright with her several times.
- Q. If you had a question about the GSU copyright policy, is there anyone that you would go to, to ask those questions?
 - A. I would go to Kerry.
 - Q. And Kerry is the university attorney?
 - A. She is.
- Q. Prior to posting -- Or prior to requesting that the -- that Chapter 6 of the ""Awakening Children's Minds"" book be posted to

Ereserve for your EPY 7090 course, did you consider whether or not to have your students purchase that book for purposes of your class?

- A. I didn't -- Well, I would consider lots of options for readings for any given week in any given course; but I didn't. It did not seem to me to be a practical option to ask them to buy that book since they were only going to read a very tiny portion of it.
- Q. Okay. And I have a similar question with respect to the other work we discussed today, namely, the chapter entitled about -- or the article entitled "The Developmental Impact of Childhood Trauma," from "Understanding trauma: Integrating biological, clinical and cultural perspectives."

Prior to teaching the EPY 8220 course in the fall of 2009, as part of your preparing your -- your outline for that course, did you consider whether or not to have your students purchase the "Understanding trauma" book instead of simply posting one of the articles from the book to Ereserves?

- A. That would be impractical. I did -- So if I considered it, it was immediately ruled out as impractical.
 - Q. And it's impractical because why?

- A. A tiny portion of the book is being read.
- Q. Since the policy that's been put in place -- since -- Since the newer copyright policy --
 - A. Uh-huh.
- Q. -- was put in place in the 2009 timeframe, did you change your practices in any way as a result --
 - A. I did.
- Q. -- with respect to the works that you're posting to Ereserves?
 - A. I did.
- Q. And how did you change those practices?
 - A. I no longer post Ereserves for EPY 7090.
 - Q. And that is the course we discussed earlier today?
- A. That's the course we discussed earlier today, Psychology of Learner and Learning.
 - Q. And why do you no longer post materials for that particular class?
 - A. It became too burdensome. I elected to assign a textbook instead.
 - MR. BLOOM: I move to strike the response to the extent that it addresses conduct after the fall of 2009. That's not a --

Q. (By Ms. Moffitt) Okay. He's just objecting.

Have you changed your practices in any other way with -- since the implementation of the new copyright policy in the 2009 timeframe?

- A. In any other way other than the previous answer?
 - O. Yes.
- A. Yes. I am hesitant to assign -- for EPY 8220 to assign chapters from edited volumes. I rely more on articles from journals that are licensed by the university.
 - MR. BLOOM: I'm just going to renew my objection again to the extent that the response refers to current practice as opposed to practice during the -- during 2009.
- Q. (By Ms. Moffitt) Okay. And how soon after the new policy was implemented in the 2009 timeframe do you believe that you altered your practices with respect to the posting of materials on ERes?
- A. After the 7090 course that we've been talking about today, which was the one from June of '09 through the fall of '09, that was, to my recollection, the last year I assigned readings for

that -- Ereserve readings for that course.

Q. Okay.

MR. BLOOM: Same objection.

- Q. (By Ms. Moffitt) After the new policy was put into place in the 2009 timeframe, is it your understanding that that policy required you to complete fair use checklists for works that you wanted to post to Ereserves?
 - A. Can you repeat the question, please.
- Q. Sure. Is it your understanding that the policy that was put into place in the 2009 timeframe --
 - A. Uh-huh.
- Q. -- required you to complete checklists for the works you wanted to have posted to Ereserves?
 - A. That's right.
- Q. And once that policy was put into place in the 2009 timeframe, did you begin completing checklists for the works that you wanted to have posted for your courses in 2009?
 - A. I did.

MS. MOFFITT: I don't have any other questions. It's your witness.

MR. BLOOM: Okay. Thank you.

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CROSS-EXAMINATION

BY MR. BLOOM:

- Q. Professor Kruger, directing your attention to the two syllabi that we were looking at earlier and that's TX-1 and TX-3 -
 - A. Uh-huh.
- Q. -- both of them indicate, do they not, that you have made all of the readings for those courses available on Ereserves; is that correct?
 - A. I believe that's correct.
 - MS. MOFFITT: Feel free to take your time to review the exhibit.
- Q. (By Mr. Bloom) And Exhibit 1, let me direct your attention to the second to last page --
 - A. Uh-huh.
 - Q. -- where it says "Accessing the Readings."
- A. Uh-huh.
- Q. And it says, "All required readings are available online through GSU eReserves."
 - Do you see that?
- 21 A. Yes. I do.
 - Q. Okay. And was that an accurate statement?
- 23 A. It was.
- Q. Okay. And looking a TX-3, which is the syllabus for EPY 7090 --

- A. Uh-huh.
- Q. -- on Page 2, I'm under Course

 Requirements. It says, "The readings for the course

 are on the electronic library reserves, ERes, and are

 accessed through the website."

Do you see that?

- A. I do.
- Q. Okay. Does that refer to all readings in the class?
 - A. Yes.

MS. MOFFITT: Object to the form.

- Q. (By Mr. Bloom) Okay. So just looking back for a moment at TX-1, that's the syllabus for EPY 8220. Can you tell me how many readings you placed on Ereserves for that class?
 - A. I can count them.

Oops. Lost count.

There are 39 required readings placed on Ereserve. There are an additional four optional.

- Q. Okay. And did you fill out a fair use checklist for each of those readings?
 - A. I did.
- Q. Okay. And that was some time prior to the commencement of this semester?
 - A. That's correct.

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question's vague.

the rest.

Okay. Would it be fair to say that you Ο. created a kind of digital anthology of the readings for this course on Ereserves? MS. MOFFITT: I'm going to object to the form of the question. It's vague. THE WITNESS: I don't understand what you mean by digital anthology. (By Mr. Bloom) I'm just asking if it 0. would be fair to characterize the readings that you placed on Ereserves for this course as a digital anthology of the readings. MS. MOFFITT: Same objection. The question's vague. (By Mr. Bloom) If you understand the O. question, you can answer. Α. I don't understand what you mean by digital anthology. Ο. Okay. Did you create a digital collection of the readings for the course for the benefit of the students in the course? MS. MOFFITT: Object to form. The

THE WITNESS: I created a list and

submitted it to the library. The library did

before?

1 (By Mr. Bloom) Okay. And what did the Ο. 2 library do? 3 MS. MOFFITT: Form. Foundation. 4 MR. BLOOM: Don't understand that 5 objection at all, but it doesn't matter. 6 THE WITNESS: I wasn't present when the 7 library did their work. 8 I can tell what you the outcome of their 9 work was. 10 (By Mr. Bloom) What was the outcome of O. 11 their work? 12 The readings were available to the Α. 13 students on electronic reserves. 14 Okay. And directing your attention to the Ο. syllabus for EPY 7090, can you tell me how many 15 16 readings you placed on Ereserves for that course. 17 Α. 15. 18 Ο. Okay. And we were talking with respect to EPY 8220, the -- the chapter -- a chapter in 19 "Understanding trauma, " correct? 20 21 Α. Uh-huh. It was. 22 Page 224 to 241? 0. 23 224 to 220 -- 41. Yes. Α. 24 Okay. And had you taught this course Q.

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- A. Yes.
- Q. Okay. Do you recall how many times?
- A. Oh, I've taught this course since I was first hired at Georgia State.
 - Q. Okay. When was that?
 - A. 1992.
- Q. Okay. And have you assigned this particular reading previously for students in this course?
 - MS. MOFFITT: Object to the form of the question. It's irrelevant.
- MR. BLOOM: No. It's not.
- 13 THE WITNESS: I don't recall which -- I --
- I -- It is my practice to redesign the syllabus
 to some degree each time I teach the course, so
 I don't recall.
 - I -- Some articles are taught for several years in a row. Some are not.
 - Q. (By Mr. Bloom) Okay. If I showed you prior syllabi, might it refresh your --
 - A. It --
 - 0. -- recollection?
- A. -- might indeed.
- MR. BLOOM: Okay. Okay. We'll mark this
 as Kruger Plaintiff's Exhibit 1.

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1 (Plaintiff's Exhibit 1 was marked for 2 identification.) 3 (By Mr. Bloom) And can -- Can you tell 4 me what this document is, Dr. Kruger. 5 Α. This appears to be the syllabus that I 6 prepared for EPY 8220, fall 2008. 7 Okay. And can you tell me if you included Ο. 8 the same chapter from "Understanding trauma" in the syllabus for the fall 2008? 9 10 I see it there. Α. 11 Okay. And that's the same chapter, Ο. 12 correct? 13 Α. Yes. 14 MR. BLOOM: Okay. And we'll mark as 15 Kruger Plaintiff's Exhibit 2 a copy of --16 (Plaintiff's Exhibit 2 was marked for 17 identification.) 18 Ο. (By Mr. Bloom) -- a syllabus for EPY 8220 19 from the fall of 2007. 20 MS. MOFFITT: I'm going to object. 21 (By Mr. Bloom) Let --Q. 22 MS. MOFFITT: I'm sorry. Go ahead. 23 MR. BLOOM: I'm sorry.

(By Mr. Bloom) Let me just ask you if you

would identify this document, Dr. Kruger.

- A. Identify this document. It looks like the syllabus I prepared for EPY 8220 in the fall of 2007.
- Q. Okay. And do you see anywhere on this syllabus the -- the same chapter from "Understanding trauma" that we were just discussing, that is, Pages 224 to 241?

MS. MOFFITT: Again, for purposes of the record, I'll object to the line of questioning with respect to works used outside the relevant semesters that are at issue in the case.

MR. BLOOM: Objection noted.

THE WITNESS: I see the -- the listing.

- Q. (By Mr. Bloom) Okay. You do?
- A. (Witness nods head affirmatively.)
- Q. Okay. When did you begin using Ereserves, Dr. Kruger?
 - A. I'm sorry. I don't remember.
- Q. Okay. Looking at the syllabus for the fall of 2007 --
 - A. Uh-huh.
 - Q. -- can you tell from that whether these readings were -- Well, let's strike that.

Can you tell from looking at the syllabus for fall of 2007 whether that particular chapter was available on Ereserves?

MS. MOFFITT: Same objection.

THE WITNESS: On Page 6 of the syllabus, it indicates, "All required readings are available online through GSU eReserves."

Q. (By Mr. Bloom) Okay. Let me just go back and ask you the same question with respect to Kruger TX-1, which is the fall of 2008 syllabus.

MS. MOFFITT: Same objection.

THE WITNESS: Page 6 of that syllabus indicates that all the readings were available through Ereserves.

- Q. (By Mr. Bloom) Okay. Now, Dr. Kruger, you testified that the fair use checklists that we -- that Miss Moffitt asked you about were re-created --
 - A. Uh-huh.
 - Q. -- is that correct?
 - A. That's right.
- Q. Because you couldn't locate the checklists that you completed in 2009, correct?
 - A. Right.
- Q. Okay. Are you certain sitting here today that the re-created checklists are identical to the original checklists that you completed and could not locate?
 - A. I can't confirm that they are identical.

- Q. Okay. And the -- the three of -- These three checklists are identical to one another in terms of how you completed the -- completed the checklists. Is that fair to say?
 - A. These three --

MS. MOFFITT: The question's vague. Objection.

THE WITNESS: These three meaning --

- Q. (By Mr. Bloom) In other words --
- A. -- which three?
- Q. -- you checked the same boxes on all three of these; is that correct?
 - A. All three of which, please.
- Q. The three checklists that we've looked at. This is Kruger Exhibits 2, 4, and 5.

MS. MOFFITT: Same objection.

THE WITNESS: I appear to have checked the same items.

- Q. (By Mr. Bloom) Okay. And you testified that you completed fair use checklists for the other readings that were placed on Ereserves for these classes; is that correct?
 - A. Yes.
- Q. Okay. Do you know if you -- if you -- if any of those other checklists were -- differed in any

respect from these three?

- A. I don't have --
 - MS. MOFFITT: Object to form.
- THE WITNESS: I don't have a memory for
- 5 that.

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- Q. (By Mr. Bloom) Okay. So it's possible?
- A. It's possible.
 - Q. Okay. Directing your attention to Kruger TX-2, this is EP -- for EPY 8220, the fall for -- the fall of 2009 --
 - A. Uh-huh. Excuse me.
- Q. -- on the -- under Factor 1, you did not check transformative; is that correct?
 - A. That's right.
- Q. Can you explain to me why you did not check that box.
 - A. Our use did not change the chapter.
- Q. Okay. And what do you mean by -- by change?
 - A. My understanding of change would mean that we were parroting the chapter or reconstructing the chapter in some ways that significantly altered its meaning or purpose.
- Q. Okay. Looking on the same document in the right-hand column, the weighs against fair use

factors, you did not check nontransformative,
correct?

- A. That's right.
- Q. Okay. What was your thinking as to that box?
- A. Clearly that's an error, because this is a forced choice. It either is or is not --
 - Q. Okay.
 - A. -- transformative.
- Q. Okay. And is it your testimony that if sitting here today you were to complete this again, you would check nontransformative?
 - A. That's correct.
- Q. Okay. When Miss Moffitt was questioning you about the conclusions that you reached as to each of the Factors 1 through 4 --
 - A. Uh-huh.
 - Q. -- in completing these checklists --
- A. Uh-huh.
- Q. -- you referred a couple of times to a -- a tally of factors. You'd said five to none or four to one or four to zero. Do you recall that?
 - A. I do.
- Q. Okay. Is it your understanding that each of these subfactors is to be treated equally in the

fair use analysis?

A. It is not my understanding that they're to be treated equally. No. Not necessarily.

I don't have -- I don't think one quantifies this. I don't think it's reducible to numbers. I think that there are -- There's an element of judgment involved.

- Q. Okay. Well, you -- You used numbers in terms of adding up the checks, correct?
 - A. I did.
- Q. Okay. And was there any element of judgment involved in that process?
- A. There is an element of judgment. Yes.

 Indeed.
 - Q. Okay. And can you explain what you mean by that.
 - A. There's a -- a -- There is a quantifying, but there is an independent judgment about whether the overwhelming -- if there is an overwhelming tendency towards one decision or the other, real weight.
 - Q. When you say that, are you referring to tallying up the subfactors within a given factor; or you were talking about the overall fair use conclusion based on all four factors?

MS. MOFFITT: Object to form. 1 2 THE WITNESS: I would say both. 3 (By Mr. Bloom) Okay. Do you have an Ο. understanding --4 5 I'm looking at the criteria or facts --6 factors that are listed on this document. Do you 7 have an understanding as to whether any of them are 8 more important than any others? MS. MOFFITT: Object to form. 9 10 THE WITNESS: No. I don't. 11 (By Mr. Bloom) Okay. So if I asked you 12 to identify any particular factors that have 13 particular importance, are there any that you would 14 point to? 15 MS. MOFFITT: The question's vague. 16 Objection. 17 THE WITNESS: No. I don't think so. 18 Q. (By Mr. Bloom) Okay. Looking at Factor 19

- 1, the first -- the first box on the left-hand side is nonprofit educational, correct?
 - Α. That's correct.

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Okay. And would you agree that that is something that you would check for any reading that you were thinking of posting on Ereserves for one of your courses at GSU?

- A. If it was for GSU, yes.
- Q. Okay. And would that -- Would that be the same for the second box for teaching? Would you check that box for any reading that you were contemplating using for a course at GSU?
 - A. Yes.
- Q. Okay. And the same question for the last factor under Factor 1, use is necessary to achieve your intended educational purpose. Would that be true of any reading that you had selected to make available to your students at GSU?
 - A. Yes.
- Q. Okay. Skipping around a little bit, going down to Factor 4 --
 - A. Uh-huh.
- Q. -- second page, you didn't check licensing or permission reasonably available, correct?
 - A. I did not check that.
- Q. Okay. And you didn't check that on the other two checklists that we've looked at this afternoon, correct?
 - A. I'll have to see.
- Q. Okay. Take your time.
 - A. I didn't.
 - Q. Okay. And can you explain why you didn't

complete that, why -- why you didn't check that box?

And for purposes of this question, we'll
just talk about the specific checklist, which is
Kruger TX-2.

- A. My reconstruction of my understanding is that it would be possible to write to the publisher to request permission.
- Q. Okay. And how does that relate to your decision not to check this box?
 - A. This box says permission is not available.
- Q. I'm looking at the -- I'm looking at the box that said licensing or permission reasonably available.
- A. Oh, I'm sorry. I was looking at the one that said --
 - Q. Yeah.
 - A. -- licensing or permission unavailable.
- Q. Yeah. I'm sorry. I'm looking at the box on the right-hand column.
- A. I see. Well, obviously, that's another case of a forced choice that I overlooked.
- Q. Okay. So sitting here today, if you were to fill out this form, you would check that box, licensing or permission reasonably available?
 - A. I would have to, I think, investigate

whether it was true for this particular work.

- Q. Okay. Have you ever heard of a copyright clearance center?
 - A. I have.
- Q. Okay. And what -- What do you understand the Copyright Clearance Center to be?
- A. It collects fees, royalties for the reproduction of copyrighted material.
- Q. Okay. And did you give any consideration to the potential to contact CCC in connection with this reading at the time that you were filling out this checklist in 2009?
- A. I did not consider it. It wasn't necessary.
- Q. Okay. And it wasn't necessary for what reason?
- A. The University System of Georgia has a -- a careful procedure that all professors go through to assign readings on Ereserve.
 - Q. And what -- What is that procedure?
- A. Completion of the fair use checklist and completion of the Ereserves procedures on-line.
- Q. Okay. So are -- Are you saying that you didn't think it was necessary to consider obtaining permission from Copyright Clearance Center -- Center

because you concluded that this was fair use; is that --

- A. Can you repeat that. Sorry.
- Q. Yeah. I'm sorry.

So you said you didn't believe it was -You testified, I believe, it was not necessary to
contact the Copyright Clearance Center; is that
correct?

- A. Right.
- Q. Okay. And why? Just explain again, if you would, why you decided it was not necessary to do that.

MS. MOFFITT: Object to form. Asked and answered.

THE WITNESS: My understanding is that the University System of Georgia and our university library have procedures in place so that readings may be available digitally to students, and those procedures are within the law and are fair and appropriate.

- Q. (By Mr. Bloom) And that is part of that procedure, the completion of this checklist?
 - A. Yes.
- Q. Okay. So if you complete this checklist and you conclude that a reading is not fair use --

I'm sorry -- if you conclude that a reading is fair use, then you're saying that contacting the Copyright Clearance Center is not necessary. Is that your testimony?

MS. MOFFITT: Object to form.

THE WITNESS: It's my understanding.

- Q. (By Mr. Bloom) Okay. When you -- You checked the first box on the left-hand side under Factor 4: No significant effect on the market or potential market for the copyrighted work. Do you see that?
 - A. I do.
- Q. Okay. And what market did you have in mind when you complete -- when you checked that box?
- A. The market for the purchase of the work that's named on the first page.
- Q. Okay. And are you -- are you -- Did you take into account a market for licensing of excerpts of that book?
 - A. Yes.
 - Q. Okay. You did?
 - A. (Witness nods head affirmatively.)
- Q. Okay. But you just testified that we -- the market was market for sales of the book, correct?
 - A. That's right. You're right.

1 Okay. So just so I'm clear, did you 0. 2 consider with respect to the first factor, the market 3 for licensing excerpts, as the opposed to the 4 license? 5 MS. MOFFITT: Object to form. 6 THE WITNESS: I cannot reconstruct a 7 memory that includes that, fees. I cannot 8 reconstruct that memory. It may be possible 9 that I did do that, but I cannot say for sure --(By Mr. Bloom) Okay. 10 Q. 11 -- that I thought about that in 2009. Α. 12 0. So you recall in 2009 thinking about the 13 market for sales, correct? 14 Yes. Α. 15 And you don't specifically recall Ο. 16 considering a market for licensing of excerpts. Is 17 that --18 I don't recall --Α. 19

- Q. -- your testimony?
- -- distinguishing between sales and Α. licensing.
- Okay. Did you ever in connection with Ο. this excerpt -- Did you ever investigate how much it would cost to license just the use of this chapter?
 - Α. No.

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MS. MOFFITT: Object to form. 1 2 You're referring to TX-2 still? 3 MR. BLOOM: Yes. MS. MOFFITT: 4 Okay. 5 THE WITNESS: No. I did not. 6 Q. (By Mr. Bloom) Okay. And did you 7 investigate the cost of licensing excerpts for any of 8 the other readings that you posted on Ereserves for EPY 8220 in the fall of 2009? 9 10 MS. MOFFITT: Object to form. To the 11 extent it goes beyond the scope of the 12 allegations. 13 THE WITNESS: Excuse me. 14 MR. BLOOM: I'm sorry. I didn't hear 15 your --16 THE WITNESS: I'm sorry. 17 MR. BLOOM: I didn't hear the end of your 18 objection. MS. MOFFITT: I object to the form and to 19 20 the extent it goes beyond the scope of 21 plaintiff's accusations or allegations in the 22 case to the extent you're asking about works 23 that aren't at issue in this case. THE WITNESS: The overwhelming majority of 24 25 the readings I assign in EPY 8220 are from

journals for which I have a subscription and the university has a subscription.

Q. (By Mr. Bloom) Okay. I'm not sure that answers my question which was whether -- Well, strike that.

Were there other excerpts from books that you posted on Ereserves for this semester?

- A. Let me see.
 - MS. MOFFITT: Same objections.

THE WITNESS: There is -- There are two required readings on this syllabus that are from books.

- Q. (By Mr. Bloom) Okay. Which are those two?
 - A. One is on Page 5 --
 - O. Uh-huh.
- A. -- under racial and ethnic identity, an article by Good, Dweck, and Aronson from an edited volume by Fuligni.
 - Q. Okay.
 - A. And the second one is on Page 4 --
 - Q. Uh-huh.
- A. -- the chapter by Sroufe, et al. from "The development of the person."
 - Q. Okay. Did you investigate the cost of

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licensing excerpts of either of those works?

- A. No.
- Q. Okay.

MS. MOFFITT: The same objection.

Q. (By Mr. Bloom) Directing your attention the same, the Kruger TX-2, to Factor 3, you checked small portion of work used.

Do you see that, Dr. Kruger?

- A. Yes.
- Q. Okay. Now do you recall -- Do you recall submitting the declaration in connection with this action?
- 13 A. Yes.
- Q. Okay. And let me just show you.

MR. BLOOM: I guess I'd better mark this.

This will be --

Q. (By Mr. Bloom) I show you what I'll mark as Kruger Plaintiff's Exhibit 3 --

(Plaintiff's Exhibit 3 was marked for identification.)

- Q. (By Mr. Bloom) -- and -- and ask you, Dr. Kruger, if this is a declaration that you signed on April 2nd, 2010.
 - A. Looks like a copy of it.
 - Q. Okay. That's your signature on Page 3?

A. Yes.

Q. Okay. And I'm directing your attention to Paragraph 4. You state there that, "Each course reading I have made available on Ereserves since the adoption of the Policy is narrowly tailored to support a specific teaching point and constitutes no more than fifteen percent of the work."

Do you see that?

- A. I do.
- Q. Okay. Can you tell me why you used that 15-percent figure in this document.
- A. I don't recall whether that was an estimate or a calculation.
- Q. Okay. Did anyone ever instruct you that 15 percent was an appropriate guideline?
 - A. No.
- Q. Okay. So do you have any recollection of where -- where the 15 percent figure came from?
 - A. I don't.
- Q. Okay. You say in Paragraph 5, in the last sentence, "For example, I now understand how the market effect of a proposed use impacts the fair use analysis."

Do you see that?

A. Uh-huh.

- Q. Can you explain what you meant by that.
- A. Uh-huh. Let me read the whole paragraph to make sure I understand the context.

I think what I understand with respect to the market effect of a proposed use is that when one assigns a reading, one should consider -- I should consider whether it would have an adverse impact on the sales of the book.

- Q. Okay. But when you completed this checklist, Kruger TX-2, you concluded that your assignment of this chapter would stimulate the market for the work; is that correct?
 - A. That's my analysis.
- Q. Okay. You mentioned that you believe that you spoke with -- is it Kerry Heywood --
 - A. Uh-huh.
 - Q. -- about copyright?
- A. Uh-huh. Uh-huh.
- MS. MOFFITT: Make sure you use verbal responses.

THE WITNESS: Oh. Yes.

Q. (By Mr. Bloom) Okay. And did she ever -- Strike that.

In your discussion about copyright with Kerry, did you ever discuss the concept of

transformativeness, if you recall?

- A. Are you referring to the conversations that Kerry and I had in the context of EPY 9000?
 - Q. Well, let's start with that.
- A. I don't recall transformative being singled out as a -- a point of focus in that discussion.
- Q. Okay. And did you have discussions about copyright with her outside of her presentation to that class?
 - MS. MOFFITT: Can you repeat the question. I'm sorry. Or read it back for me.

(Whereupon, the record was read by the reporter as requested.)

MS. MOFFITT: I'm going to object to form. But you can answer.

THE WITNESS: I don't have any direct recollection of conversations; but I am pretty sure I must have, because people talk about copyright quite a lot at Georgia State.

- Q. (By Mr. Bloom) Okay. And you -- Do you recall ever discussing the concept of transformative use with Kerry?
 - A. No.
 - Q. Okay. And do you ever recall discussing

1 the concept of market harm with Kerry in connection 2 with fair use analysis? 3 MS. MOFFITT: Object to form. 4 THE WITNESS: My memory is not detailed 5 about the specific elements --6 Q. (By Mr. Bloom) Okay. 7 -- that we discussed. Α. 8 Okay. Would your ability to -- Strike 0. 9 that. 10 Would your ability to teach EPY 8220 in the fall of 2009 have been impaired if you had not 11 12 been able to use this, this chapter from 13 "Understanding trauma"? 14 MS. MOFFITT: Object to form. The 15 question's vague. 16 THE WITNESS: What do you mean by 17 impaired? 18 Ο. (By Mr. Bloom) Okay. Would -- Would you 19 have been prevented from teaching the class the way you wanted to teach it? 20 21 MS. MOFFITT: Same objection. 22 THE WITNESS: I chose the work because I 23 wanted the class to discuss its unique 24 contribution to the topic, so yes. 25 (By Mr. Bloom) Okay. Just directing your Q.

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attention back to your declaration to Paragraph 7 --

- A. Uh-huh.
- Q. -- you say in the first sentence, "With regard to the book ""Awakening Children's Minds"," if I were no longer permitted to place any excerpt from this book on eReserves, I would replace the reading assignment with something else rather than require the students to buy the book or license the excerpt."

Do you see that?

- A. I do.
- Q. Okay. Do you have an understanding of what it would have -- what it costs to purchase that book?
 - A. I have a understanding of what books of its kind cost generally.
 - Q. Okay. And how much is that?
- 17 A. I would estimate \$60.
 - Q. Okay. And I know I may have asked that before. But do you have an understanding of what it costs to license the excerpt?
- A. Of a single chapter? I imagine it would
 be --
- 23 | I -- I don't have --
 - MS. MOFFITT: Object to the form.
- 25 THE WITNESS: -- I don't have a --

I can speculate. If you would like me to speculate, I can speculate.

- Q. (By Mr. Bloom) No. I don't want you to speculate.
 - A. Okay. I don't know exactly.
 - Q. Okay. Do you know approximately?

 MS. MOFFITT: Object to form.

THE WITNESS: I don't know. I can guess.

Q. (By Mr. Bloom) Okay. I don't want you to guess. I don't think Natasha wants you to guess either.

MR. BLOOM: Okay. Go off the record for a minute.

THE VIDEOGRAPHER: 5:14 p.m. Off the record.

(Discussion ensued off the record.)

THE VIDEOGRAPHER: 5:15 p.m. Back on the record.

- Q. (By Mr. Bloom) Dr. Kruger, do you -- Have you ever used uLearn?
- A. ULearn is automatically assigned to any course that is offered at Georgia State University, so I -- it -- that page for a -- A uLearn page for a course exists whether I want it to or not.

I have not used it, did not use it until

Α.

1 January of 2011 with a few exceptions of putting 2 sign-up sheets on-line, that kind of thing. 3 MR. BLOOM: Okay. I have one more 4 question, but I forgot what it was. 5 Okay. Off the record. 6 THE VIDEOGRAPHER: 5:16 p.m. Off the 7 record. (Discussion ensued off the record.) 8 9 THE VIDEOGRAPHER: 5:17 p.m. Back on the 10 record. 11 MR. BLOOM: No further questions. 12 MS. MOFFITT: I just had a few follow-up 13 questions. 14 REDIRECT EXAMINATION 15 BY MS. MOFFITT: 16 Dr. Kruger, when -- I believe Mr. Bloom Q. 17 was asking you some questions about your re-creation 18 of the checklists that we've talked about today, 19 Kruger TX-2, Kruger TX-4, and Kruger TX-5; and Mr. 20 Bloom asked you, I believe, whether you were certain 21 whether you completed the checklists in the same way 22 as you did back in 2009. 23 Do you recall that question and your 24 testimony?

I believe he asked me if they were -- if I

could confirm that they were identical.

- Q. Okay. And do you recall what your response was to that question?
- A. I cannot confirm that they are identical, because I do not have the original in front of me.
- Q. Sitting here today, with respect to Kruger TX-2 --
 - A. Uh-huh.
- Q. -- do you have any reason to believe sitting here today that you did not complete the re-created version of the checklist for "Understanding trauma" in the same way that you completed it in the fall of 2009 when you completed the original checklist?
- A. I have no reason to believe that I did not. In other words, I fully believe I re-created it to the very best of my ability.
- Q. And with respect to Kruger TX-4, do you have any reason to believe that you did not re-create it in the same way that it was created and completed when you created the original checklists in the summer of 2009 timeframe?
- A. I have no reason to believe that I did not. I did it to the best of my ability.
 - Q. And, again, with respect to Kruger TX-5,

do you have any reason to believe with respect to this particular checklist that when you re-created it, that you -- Let me rephrase the question.

With respect to Kruger TX-5, do you have any reason to believe that the re-created version of the checklist is --

Let me try again. With respect to Kruger TX-5, which is the checklist for "Awakening Children's Minds" that you recreated for the fall of 2009, do you have any reason to believe that you did not complete this checklist in the same way that you completed it in the fall 2009 timeframe?

- A. I have no reason to believe that.
- Q. Okay. We were -- Mr. Bloom was asking you some questions about your syllabus for EPY 8220, which is Kruger TX-1 -- P -- No. TX --

Is it TX-1? Did you --

A. Oh, wait.

MR. BLOOM: Which? Which year --

THE WITNESS: Yeah.

MR. BLOOM: -- are you referring to?

THE WITNESS: Which year?

MS. MOFFITT: Ah. Okay. Thank you. I'd

like the 2009 version.

MR. BLOOM: Fall of 2009 was TX-1.

Q. (By Ms. Moffitt) Yeah. So Kruger TX-1. I believe Mr. Bloom was asking you some questions about Kruger TX-1, which is your syllabus for the EPY 8220; and he asked you to indicate how many readings of -- that were listed in the syllabus were required readings.

Do you remember that?

- A. Uh-huh.
- Q. And you counted the number of readings you believe to be required readings in the --
 - A. Uh-huh.
 - Q. -- syllabus.

 Do you recall that?
 - A. Yes, yes.
- Q. I believe you testified that the vast majority of the readings that were assigned in collection -- in connection with this EPY 8220 course, either you or Georgia State University owned a subscription for?
 - A. That's correct.
- Q. And what do you mean by that?

 What did you mean by that, by that
 testimony when you said you believe you and Georgia
 State owned a subscription for those works?
 - A. The journals that are listed in the list

of readings, "Child Development," "Developmental Psychology," for example, the majority of the articles come from "Child Development" or "Developmental Psychology."

I have a personal subscription to those journals, and the university has a subscription or a license for those journals. Those articles are available to all students enrolled at Georgia State University.

- Q. And so those licenses -- Is it your understanding that that subscription would permit your students to access those readings in connection with your course, those particular journal articles for which you have -- the university has a subscription?
- A. I still complete the fair checklist -fair use checklist for each item, including the ones
 licensed by the university and for which I have a
 subscription.

However, I would -- The students would have access to these articles even if they weren't on Ereserves. They have free access to those articles through the GALILEO database.

Q. Which provides access to the journal articles for which the university has a

subscription --

- A. Yes, ma'am.
- Q. -- correct?

Looking back at your checklists that we discussed earlier, starting with Kruger TX-2, looking at Factor 1, Mr. Bloom asked you some questions about the subfactor listed here as nontransformative.

- A. Uh-huh.
- Q. Had you selected nontransformative on this particular track -- checklist, Kruger TX-2, for the excerpt you used from "Understanding trauma," how would that have changed your analysis with respect to whether or not Factor 1 weighs in favor of fair use?
- A. Had I selected nontransformative, I would still argue or deduce that the Factor 1 weighs in favor of fair use because there are so many elements that are in favor, selected in favor.
- Q. And would your -- Even if you had selected nontransformative with respect to Factor 1 for Kruger TX-2, that checklist --
 - A. Uh-huh.
- Q. -- would it have changed your overall conclusion that your use of this particular excerpt was a fair use?
 - A. No. It would not.

- Q. Turning to Kruger TX-4, which is the checklist for the excerpt used from ""Awakening Children's Minds", " Chapter 6 --
 - A. Uh-huh.
- Q. -- had you selected nontransformative under Factor 1, how would that have changed your analysis with respect to whether Factor 1 weighed in favor of fair use?

MR. BLOOM: Object to the form.

THE WITNESS: I would still conclude that the checklist and the elements in Factor 1 weigh -- weigh in favor of fair use.

- Q. (By Ms. Moffitt) And had you selected nontransformative as a subfactor for Factor 1 for this particular excerpt, would that have changed at all your overall conclusion that your use of Chapter 6 for this particular course was a fair use?
 - A. It would not.
- Q. With respect to Kruger TX-5, Mr. Bloom asked you some questions again about -- Well, let me start over.

With respect to the Kruger TX-5, had you selected nontransformative under Factor 1 for your use of Chapter 6 from "Awakening Children's Minds", how would that have changed your analysis with

respect to Factor 1 and whether or not that factor weighs in favor of a fair use?

MR. BLOOM: I object to form.

THE WITNESS: I would reason that the -- it is unchanged, and it still weighs in favor of fair use.

- Q. (By Ms. Moffitt) And if you had selected nontransformative with respect to Factor 1 for this particular work, how would that have changed, if at all, your analysis or your conclusion with respect to your use of this work being a fair use?
 - A. It would not change my overall conclusion.
 - Q. And your conclusion being?
 - A. It is fair use.
- Q. I believe Mr. Bloom asked you whether you -- With respect to use is necessary to achieve your intended educational purpose, which is a subfactor under Factor 1 on each of these checklists --
 - A. Uh-huh.
- Q. -- whether you typically, commonly, always -- I'm not exactly sure what the exact question was -- select this particular factor for the works that you assign to your course.

Do you remember generally that -- those --

those -- that testimony?

- A. Generally.
- Q. Okay. Let me ask you. I mean, is it your --

Do you believe when you fill out these checklists, is it your recollection that use is necessary to achieve your intended educational purpose is a factor that you would typically select for the works that you assign in your class?

MR. BLOOM: Object to form.

THE WITNESS: I -- I only assign works that are intended toward my educational purpose.

- Q. (By Ms. Moffitt) Okay. And therefore, is it the case that you believe with respect to each of the works that you assign your students in your courses that -- that those works are necessary to achieve your intended educational purpose?
 - A. Yes.
 - MS. MOFFITT: Those are all the questions I have.
- MR. BLOOM: Okay. I just have one other question.
- 23 RECROSS-EXAMINATION
- 24 BY MR. BLOOM:
- 25 Q. Dr. Kruger, looking at TX-5 --

- A. Uh-huh.
- Q. -- you -- you testified that you would check nontransformative if you had to do this form again, correct?
 - A. That's correct.
- Q. Okay. And I asked you earlier about the -- the first two boxes on the left-hand side and the last one on the left-hand side. Do you recall that?
 - A. Yes.
- Q. Okay. Let's assume that you had checked those three boxes, but not the other two, which are research or scholarship and personal study.
 - A. Uh-huh.
- Q. Okay. So you have those three checked, and you would -- and -- and nontransformative checked --
 - A. Uh-huh.
 - Q. -- on the right-hand side.
 - A. Uh-huh.
- Q. In that circumstance, would you conclude that the reading was -- that this factor weighed in favor of fair use?
- A. You're saying if the first, second, and final item on the left were checked and

110 1 nontransformative on the right? 2 Yeah. Correct. Ο. I would look at it and conclude that it 3 Α. 4 still weighed in favor of fair use. 5 O. Okay. And would that be the same for 6 the -- the other checklists that we -- that we looked 7 at, TX-4 and TX-2? 8 Α. Yes. 9 MR. BLOOM: Okay. I have no further 10 questions. 11 MS. MOFFITT: I don't either. 12 We're going to -- going to read and sign. 13 MR. BLOOM: Thank you, Doctor. 14 THE VIDEOGRAPHER: This concludes today's 15 deposition. April 22nd, 2011. 5:29 p.m. 16 off the record. 17 (Whereupon, the deposition was concluded 18 at 5:29 p.m.) 19 (Pursuant to Rule 30(e) of the Federal 20 Rules of Civil Procedure and/or O.C.G.A. 21 9-11-30(e), signature of the witness has been 22 reserved.) 23

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CERTIFICATE

STATE OF GEORGIA:

COUNTY OF FULTON:

I hereby certify that the foregoing transcript was taken down, as stated in the caption, and the questions and answers thereto were reduced to typewriting under my direction; that the foregoing pages 1 through # represent a true, complete, and correct transcript of the evidence given upon said hearing, and I further certify that I am not of kin or counsel to the parties in the case; am not in the regular employ of counsel for any of said parties; nor am I in anywise interested in the result of said case.

This, the 27th day of April, 2011.

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S. JULIE FRIEDMAN, CCR B-1476

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DEPOSITION ERRATA SHEET

Our Assignment No. 223629

4 Case Caption:

CAMBRIDGE UNIVERSITY PRESS, OXFORD

UNIVERSITY PRESS, INC., and SAGE

PUBLICATIONS, INC. vs. MARK P. BECKER,

in his official capacity as Georgia

State University President, et al.

DECLARATION UNDER PENALTY OF PERJURY

I declare under penalty of perjury that I have read the entire transcript of my deposition taken in the above-captioned matter or the same has been read to me and the same is true and accurate, save and except for changes and/or corrections, if any, as indicated by me on the DEPOSITION ERRATA SHEET hereof, with the understanding that I offer these changes as if still under oath.

Signed	on	the	 day	of
 , 20	·			

23 _____

24 ANN CALE KRUGER, Ph.D.

Reason for change:_____

SIGNATURE:____DATE:____

ANN CALE KRUGER, Ph.D.

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