IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF GEORGIA ATLANTA DIVISION

CAMBRIDGE UNIVERSITY
PRESS, OXFORD UNIVERSITY
PRESS, INC., and SAGE
PUBLICATIONS, INC.,
CIVIL ACTION FILE
Plaintiffs,
NO. 1:08-CV-1425-ODE
vS.
MARK P. BECKER, in his
official capacity as
Georgia State University
President, et al.,
Defendants.

VIDEOTAPE DEPOSITION OF
VINCENT WILLIAM LLOYD, Ph.D.

April 20, 2011
9:10 a.m.

Conference Room 16-K
1180 Peachtree Street, N.E. Atlanta, Georgia
S. Julie Friedman, CCR-B-1476

APPEARANCES OF COUNSEL
On behalf of the Plaintiffs:
BONDURANT, MIXSON \& ELMORE, LLP EDWARD B. KRUGMAN, ESQ.
Suite 3900, One Atlantic Center 1201 West Peachtree Street, N.W.
Atlanta, Georgia 30309-3417
404.881-4106
404.881 .4111 Fax
krugman@bmelaw. com
WEIL, GOTSHAL \& MANGES
JONATHAN BLOOM, ESQ.
767 Fifth Avenue
New York, New York 10153
212.310.8775
212.310.8007 Fax
jonathanbloom@weil.com
On behalf of the Defendants:
KING \& SPALDING LLP
JOHN W. HARBIN, ESQ.
1180 Peachtree Street, N.E.
Atlanta, Georgia 30309-3521
404.572 .2595
404.572 .5100 Fax
jharbin@kslaw.com

Also Present:
Henry Stewart, Videographer


(Original Defendant's Exhibits 1 through 4 and Original Plaintiff's Exhibits 5 through 8 have been attached to the original transcript.)

Videotape Deposition of Vincent William Lloyd, Ph.D. April 20, 2011
(Reporter disclosure made pursuant to Article 10.B. of the Rules and Regulations of the Board of Court Reporting of the Judicial Council of Georgia.)

THE VIDEOGRAPHER: This is Tape No. 1 to the videotape deposition of Professor Vincent Lloyd, in the matter of Cambridge Press versus Mark Becker. This deposition is being held at King \& Spalding, 780 Peachtree Street in Atlanta, Georgia, on April 20th, 2011. The time is now 9:10 a.m.

We're on the record. My name is Henry Stewart. I'm the videographer. The court reporter is Julie Friedman.

Counsel, will you please introduce yourselves and affiliations, and the witness will be sworn.

MR. HARBIN: John Harbin with King \& Spalding for the defendants.

MR. KRUGMAN: Edward Krugman of Bondurant, Mixson \& Elmore for the plaintiffs.

MR. BLOOM: And I'm Jonathan Bloom, Weil,

Gotchal \& Manges, for the plaintiffs.
VINCENT WILLIAM LLOYD, Ph.D., having been first duly sworn, was examined and testified as follows:

MR. KRUGMAN: Okay. Before we proceed with it, $I$ want to state that the plaintiffs object to this deposition to the extent that it is intended to be offered as trial testimony.

We understand that the witness resides within subpoena range of the United States District Court for the Northern District of Georgia and is represented by counsel for the defendants; and presumably the defendants could, if they chose, subpoena the witness to appear at trial and provide live testimony.

In addition, presumably the -- certain of the individual defendants could -- could direct the witness to appear for trial, if they -- if they so chose.

In addition, this deposition was scheduled, along with several additional depositions, that the defendant has -- have described as testimonial in nature to accommodate the defendants and pursuant to an agreement that -- that each of the professors
that the defendants have expressed an intent to call at trial would be produced for -- for a discovery deposition in advance of trial. Several were taken last week, and many more have been scheduled for this week.

At approximately 11:30 last night, defendants received a -- an e-mail communication from -- from Steve Schaetzel at King \& Spalding announcing that the defendants were unilaterally -- had unilaterally decided that they would not be producing any of the professors for discovery depositions, but fully intended to proceed with what they describe as testimonial depositions.

We reserve, you know, all rights where we don't -- We do not waive the right to object to any attempts by defendants to introduce the deposition testimony of Professor Lloyd, because he supposedly is not available within the meaning of the Federal Rules of Evidence or the Federal Rules of Civil -- Civil Procedure or our local rules, and we reserve all rights.

MR. HARBIN: Well, let me briefly stand up and get some water.

Just to briefly respond to the second
point and -- And we can take it up. As you think you know, I'm just a soldier in this war, but the --

Briefly the defendants, as has been reflected in the e-mail correspondence, understood there was agreement that the discovery depositions would be limited to an hour and a half; and the plaintiffs have said that now that they don't -- There is no such agreement, so that that is the basis for the decision not to produce these professors voluntarily for discovery depositions.

But also, it's my understanding, as reflected in the e-mail correspondence, that the plaintiffs said they did not want to take a discovery deposition of Professor Lloyd, and that we responded by e-mail saying just to be certain, we are planning to take a evidentiary deposition of him and that --

And the plaintiff's response after being told that was still, as I understand it, we're going to cross-examine him, not ask to do a discovery deposition first.

So that's my understanding as to Professor Lloyd.

MR. KRUGMAN: Yeah. We still -- We still object to his testimony being -- being taken and offered at trial; but obviously, that's an issue that the Court will determine irrespective -MR. HARBIN: Right.

MR. KRUGMAN: -- really of the -- of the other issues.

MR. HARBIN: And I understand that, so you can deal with it a little bit, you can question him, if you wanted to.

MR. KRUGMAN: Yeah. And we -- which I will, but we had -- we had indicated that we were -- and I believe it's reflected in e-mail communications that -- that -- as well as conversations that $I$ believe Randy Singer had with -- with Steve Schaetzel that it was our plan -- our -- our intent to -- to not present at trial the -- the -- Professor Lloyd's, I guess, the one works that was on the joint filing.

MR. HARBIN: Right. Right. Okay.
MR. KRUGMAN: But --
DIRECT EXAMINATION
BY MR. HARBIN:
Q. With that, Professor Lloyd, we can get
started.
Would you introduce yourself to the Court and state your full name, please.
A. Vincent Lloyd.
Q. Okay. And would you tell us what your -where you got your undergraduate degree and in what.
A. At Princeton University in religion.
Q. Okay. And summarize, please, your graduate education.
A. I was a -- a graduate student at the University of Chicago and the University of California, Berkeley. At Berkeley I received a doctorate from the Rhetoric Department.
Q. Okay. And how long have you taught at Georgia State University?
A. I am finishing my third year now.
Q. Okay. And what will you be -- Where will you be teaching in the -- in the fall?
A. My employment at Georgia State is ending, and I'm starting a job at Syracuse University.
Q. Okay. And in that regard, what are your plans during the second half of May, beginning the week of May $16 t h ?$
A. I'm moving from Atlanta to Syracuse, New York, where I'll be starting a job at Syracuse --
Q. Okay.
A. -- and ending my employment at Georgia State.
Q. Okay. Did you teach a course in the fall of 2009 , an Honors 1000 course titled, "Love, Religious and Philosophical Perspectives"?
A. Yes. That's correct.
Q. Let me hand you what's been marked as Lloyd Exhibit 1 and ask you if that is a syllabus, copy of the -- a copy of the syllabus for the course.
A. This is an overview of the course. Yes.
Q. Okay. Can you summarize what was covered in this course.
A. We were interested in thinking about the concept and practice of -- of love in works of literature, philosophy, and religion in western culture as broadly understood from ancient Greece to the present.
Q. Okay. What was the nature of this course as an honors course?
A. So this was for a freshmen in Georgia State's honors program. It was a one-credit, pass-fail course, which was intended to orient new, bright students at Georgia State to the university and to provide them with a small seminar that's
relatively informal in their first year.
Q. And how many students approximately were
in the class in the fall of 2009?
A. There were about 15 students.
Q. Have you taught this course since the fall of 2009?
A. No. It was a one-off course.
Q. Well, how many -- how many -- How often did the course meet in the fall of 2009?
A. Once a week for one hour a week.
Q. Okay. And how many courses overall have you taught at Georgia State since the fall of 2009 ?
A. Two courses.
Q. Okay. In this course in the fall of 2009, did you use an excerpt of a book called, quote, "The Fragility of Goodness, Luck and Ethics in Greek Tragedy and Philosophy," closed quote?
A. That's correct.
Q. Let me hand you what's been marked as Lloyd Exhibit No. 3.

I can't even keep a certain number in order, but a small number. There you --

MR. KRUGMAN: Was -- The first one was one?

MR. HARBIN: Right.

MR. KRUGMAN: And this one's three?
MR. HARBIN: Correct.
Q. (By Mr. Harbin) Is this -- Do you recognize this as a title and including the index of that publication?
A. Yes.
Q. And if you look at the next to last page, I think it is, was the publication including, you know, bibliography and the index over 536 pages long?
A. That's correct. Yeah. This is a title and contents.
Q. And was the publication, if you focus just on the chapters, the substantive chapters, approximately 421 pages?

MR. KRUGMAN: Objection. Leading.
Q. (By Mr. Harbin) Yeah. I think that's -Plaintiff has said that, but go ahead.
A. Oh. Yes. That's correct.
Q. Okay. And so did -- In your excerpt, did you use less than 10 percent of the book by pages?
A. That's correct.
Q. Okay. What was your purpose in -- in assigning this excerpt, this chapter?
A. Uh-huh. In class we had read one of the seminal texts on love in western culture, Plato's
"Symposium"; and we were looking at critical responses to Plato's "Symposium."

This is one of several critical responses that we -- that we looked at, and this is an important and widely discussed piece of philosophy that's very central to recent discussions on love in -- by philosophers.
Q. Okay. And if you look at the index, was it Chapter 6, entitled, "The speech of Alcibiades" that you assigned?
A. Yes. In the contents of said Chapter 6 .
Q. And let me hand you what's been marked as Lloyd Exhibit 4 and ask you if that is a copy of the excerpt that you assigned.
A. Yes. That's correct.
Q. Okay. And you may have already indicated this. But what was your purpose in assigning this chapter?
A. This was a important work of recent philosophy dealing with a classic text central to the course.
Q. And what was the classic text?
A. Plato's "Symposium."
Q. And --
A. And this is looking at Plato's "Symposium"
differently than most previous readers had -- had looked at it. Instead of focussing on the speech of Diotima which most -- most readers of Plato focus on, this is focussing on a different speech, Alcibiades' speech, to -- And the chapter makes us think about the -- think about Plato's text differently by changing that focus.
Q. Okay. And would you tell the Court whether or not you viewed this chapter as the heart of or the critical part of this book as a whole?
A. I did not view it --

MR. KRUGMAN: Objection.
THE WITNESS: -- as the --
MR. KRUGMAN: Leading.
Q. (By Mr. Harbin) You can answer.
A. I -- I did not view it as the -- the central part of the heart of this -- this book.
Q. Okay. And why do you say that?
A. Nussbaum's book is a collection of essays on a variety of topics in ancient Greek philosophy that are all -- Each essay is making a similar point about the significance of luck and fragility in different ways.

This is -- This chapter is one among many, many ways that Nussbaum is making that -- that
argument. There's not one chapter or one place, one essay which is central in this book or the heart of the book.
Q. And did you use a fair -- the fair use checklist provided by the university in deciding to use this excerpt in the course in the fall of 2009?
A. That's correct.
Q. And did you report to the library you had reviewed this work under the fair use checklist and concluded it would constitute fair use?
A. That's correct.
Q. Let me show you a -- what's been marked as Lloyd Exhibit No. 2. This appears to be a checklist about that excerpt of that "Fragility of Goodness" publication.

Is it dated November 18, 2010?
A. That's correct. Yes.
Q. And so did you prepare this written checklist on or about November 18, 2010?
A. That's correct.
Q. Obviously, after the class?
A. Uh-huh.
Q. Were you attempting to re-create what analysis you made or underwent as far as whether this was fair use --

MR. KRUGMAN: Objection.
Q. (By Mr. Harbin) -- for the course in the fall of 2009?

MR. KRUGMAN: Objection. Leading.
THE WITNESS: Yes. I was.
Q. (By Mr. Harbin) Let me ask you. What was your purpose in filing out this checklist in November of 2010?
A. I wanted -- My purpose was to re-create the reasoning that I -- I used when I was originally using the -- the fairness guidelines when I was putting the material on Ereserve.
Q. Do you recall if you actually filled out a checklist and stored it in the fall of 2009?
A. In the fall of 2009, I -- I used a checklist for the -- I -- I filled out a checklist for the first couple of readings for -- for the course and used the reasoning that the checklist lays out when deciding what excerpts to use for -- for the rest of the -- the selections on Ereserve.
Q. Okay. All right. And I just wanted to talk about a couple of entries on the checklist.

You determined that in your view it was a small portion of the work you were using on the second page?
A. It's -- Yes.
Q. Okay. You checked that the use stimulates the market, in your view would stimulate.

You checked the box that says "Use stimulates market for original work." Do you see that?
A. Yes.
Q. And what was your meaning there in checking that?
A. I thought that some students who read the excerpts that was placed on Ereserve might be interested in reading more of Nussbaum's work particularly and -- and possibly purchasing this -this book.
Q. Have you ever had a student indicate he or she bought a book after you used an excerpt in a class?
A. Yes. I have.

MR. KRUGMAN: Objection. Hearing --
Hearsay.
Q. (By Mr. Harbin) And approximately how many times has that happened in your experience? MR. KRUGMAN: Same objection. Hearsay. THE WITNESS: Several times.
Q. (By Mr. Harbin) Okay. You check user
owns lawfully acquired or purchased copy of the work?
A. That's correct. Yes.
Q. And why did you check that?
A. Because in the -- I own a copy of Nussbaum's book.
Q. Okay. And you check restricted access. And what did you -- What was your meaning or understanding there?
A. That the selection on Ereserve would be password-protected, and the password would only be available to the students in my course.
Q. Okay. And you checked on the second page under that "Factor 4: Effect on Market for Original," on the bottom lower right-hand rows, "Weighs Against Fair Use," you check "Required classroom reading"?
A. That's correct.
Q. Is it correct this was required classroom reading for this course?
A. Yes.
Q. It was pass-fail.

Okay. And overall what was your
conclusion as to whether or not your use of this excerpt in fall of 2009 constituted fair use?
A. I concluded that my use of the excerpt did
constitute fair use.
Q. Did the university ever encourage you to use Ereserve to post excerpts?
A. No. The university did not.
Q. Did the university ever encourage you to use uLearn to post excerpts of reading material?
A. No.
Q. Do you use uLearn currently?
A. No. I don't.
Q. Did you use uLearn in the fall of 2009?
A. No. I've never used uLearn.
Q. Okay. And have you ever had the library challenge your determination that the use of a particular excerpt would constitute fair use?
A. Yes.
Q. And when approximately was that?
A. It was before fall of 2009 .
Q. Okay. And to the best you can judge, what would you have done if you had determined in the fall of 2009 or the library had told you that using this excerpt, Chapter 6 of Miss Nussbaum's book, was not fair use? What would you have done?
A. I would have either -- either put the book on physical reserve; used a different version of the chapter, for example, the version that was published
in a -- in a journal a few years before the book, or I would have changed the syllabus that we were not reading -- reading the book, or two of the -- two of the above.

MR. HARBIN: Okay. That's all I have.
Thank you.
THE WITNESS: Uh-huh. Okay.
Q. (By Mr. Harbin) Well, let me ask one question.

Is it your understanding that the university has a license, authority to use that journal you're referring to --
A. Yes.
Q. It has?

MR. HARBIN: Okay. Thank you. That's all
I have.
THE WITNESS: Uh-huh.
CROSS-EXAMINATION
BY MR. KRUGMAN:
Q. Okay. So I understand, with respect to the works, the Nussbaum work, if the library had instructed you to remove that from the Ereserve, you would have done so?
A. Yes.
Q. If the -- Who was the provost of Georgia

State University?
A. First, could I clarify. I don't have the capacity to remove -- remove works from Ereserve. The library does that, so I, I mean $--\quad$ I --
Q. You would have requested the library to do so?
A. I mean, if the library told me that -Could -- Could you restate the question, sir.
Q. Yeah. If the library, if someone with the library indicated to you that they believed that the Nussbaum work -- that posting of the Nussbaum work would somehow violate copyright law, would you request the library to remove it from Ereserve?
A. Yes. I -- Yes.
Q. Who is currently the provost of the -- of Georgia State University?
A. I believe it's Risa Palm.
Q. Yeah. And she's also senior vice president for academic affairs at the university?
A. I would imagine that's the case.
Q. Yeah. If Provost Palm were -- were to direct you to remove any work from or -- or direct you to cause any work to be removed from the Ereserve system at Georgia state that you had caused to be
posted through the library, would you follow Provost's Palm's direction?
A. So Provost Palm -- Just to understand your question, if Provost Palm instructed me to instruct the library staff to remove a work, then I would. Then, yes, I would follow Provost -- Provost Palm's instructions.
Q. And would the same be true if President Becker of the university made that direction to you?
A. That's correct.
Q. You had testified that the library has or had on one occasion, I guess, challenged or questioned one of the works that you had requested be posted on the Ereserve system at Georgia State?
A. I don't think I specified the number of times; but I did say that in the past, prior to fall of 2009, the -- the library had -- staff had objected to -- had raised concerns about material posted on Ereserve.
Q. Okay. What material was that?
A. I don't recall.
Q. How many times did that occur?
A. I don't recall precisely. I would imagine two or three times.
Q. Do you recall whether they were excerpts
of books that you had requested be posted?
A. I don't recall, but $I$-- I can also add something to clarify.

I mean, including in the fall of 2009, the library Ereserve staff had asked for further information about the material that I had posted on Ereserves, for example, title page of a work that I'd only put in excerpts -- that I'd put in excerpts without a title page.

I'd requested that an excerpt without a title page be put on Ereserves, and they -- They responded that $I$ would have to put the title page as well on the Ereserves.
Q. And then --
A. So there was correspondence going back and forth with the library.
Q. And did you do that?
A. Yes.
Q. What were the concerns that the library staff had raised in the past with respect to works that you sought to have posted on Ereserve?

Were they of the similar nature, that they needed some additional information?
A. That was one set of concerns. There were concerns raised about the size of an excerpt. I
believe there were concerns raised about the size of an excerpt at one point.
Q. And do you recall what that work was?
A. No. I don't.
Q. Do you recall what class it had to do with?
A. No. I don't.
Q. Was it prior to the adoption of the new policy on copyright at Georgia State?
A. I believe it was prior to fall 2009. I don't remember when the new policy was adopted.
Q. Do you recall whether it was a work that you had completed a checklist for prior to submitting it to the library?
A. I don't recall.
Q. And you've never used the uLearn system at Georgia State?
A. I know nothing about uLearn. I mean, I -I have not used uLearn.
Q. Yeah. You've never used for it for any purpose including in connection with classes that you've taught?
A. That's correct.
Q. You testified in response to Mr. Harbin's question, that you -- that you concluded that the

Nussbaum work satisfied fair use; and therefore, you were permitted to request the library to post it on Ereserve; is -- is that right?
A. Based on the checklist that I completed, yes.
Q. Yeah. And that -- That determination was based on your completion of -- of Exhibit 2, which is the fair use checklist dated November 18th, 2010; is that correct?

MR. HARBIN: Object to the form of the question. Ambiguous.

But you -- You can answer.
THE WITNESS: My -- My determination was
based on the -- on the -- the reasoning outlined in the checklist. That's correct.
Q. (By Mr. Krugman) Okay. It was not based on any -- any specialized knowledge of fair use?
A. That's correct.
Q. And you're not a lawyer; is that correct?
A. That's correct. I'm not a lawyer.
Q. You've had no legal training?
A. I mean --
Q. Certainly in reference to copyright law?
A. That's correct.
Q. Have you taken any courses on copyright?
A. No.
Q. Have you taken courses on any other type of intellectual property?
A. Not that I recall, and probably not.
Q. If you can, please take a look at Exhibit 2, which is the -- the checklist.
A. Yes.
Q. Now -- Yeah. You completed this
checklist in Exhibit 2 on November 18th of 2010; is that correct?
A. I completed this checklist. Yes.
Q. Yeah. Okay. Why -- Why did you complete this checklist on November 18th of 2010 when the course that it relates to was taught in the fall of 2009?
A. I completed the checklist following a conversation with Georgia State counsel.
Q. Okay. And I don't -- I don't want you to reveal any confidential communications that you had with -- with counsel. But you had a conversation with counsel and then -- and then filled out this fair use checklist?
A. I had communication with counsel, and then I followed up -- I filled out the checklist.
Q. And it was filled out because you were
asked to do so, correct?
MR. HARBIN: Objection. Just to preserve to the extent it calls --

MR. KRUGMAN: Yes.
MR. HARBIN: $\quad-\quad$ for privilege.
But as long as you don't claim waiver --
MR. KRUGMAN: No. I'm not.
MR. HARBIN: -- you can answer.
THE WITNESS: Yes.
Q. (By Mr. Krugman) Now in connection with the fall 2009 class, if you look at Exhibit 1, which is the syllabus for this class --
A. The overview. Yes.
Q. The overview. And there are -- Okay. There are a -- There are a number of readings. Do you see that?
A. (Witness nods head affirmatively.)
Q. And this is on Page 1 --
A. Oh, excuse me.
Q. $\quad-\quad$ first of all.
A. Oh, yes.
Q. And it says readings are available through the GSU library's Ereserves, go to a certain link, and provides the -- the password. And then you must bring a paper copy of the readings to class during
the relevant weeks.
Do you see that?
A. I see that.
Q. And the -- the works that you're referring to then are listed on the second page of the syllabus, which provides a schedule for the course and a variety of readings for each week?
A. Yes. The second page lists works
that were on Ereserve.
Q. And each -- And I take it each of those readings that are reflected on Page 2 were required readings for the -- for the course --

MR. HARBIN: Objection.
Q. (By Mr. Harbin) -- is that correct?
A. That's -- That's correct.
Q. And it was your expectation that the students would be able to obtain electronic copy of these works using Georgia State's Ereserve system; is that correct?
A. That's correct.
Q. And then it was your expectation that the -- that the students would then print out a copy of that work each week and bring it with them to class; is that correct?
A. As the course evolved, students -- Some
students printed out work. Some students brought laptop computers and read the -- read the works on their computers. Both of those were acceptable.
Q. Okay. So it worked both ways. Some students had hard copies that they had printed out. Others simply had their laptops in class and --
A. That's correct.
Q. -- accessed the works electronically --
A. Uh-huh.
Q. -- is that correct?
A. That's correct. Yes.
Q. Now in the -- I -- I believe in response to Mr. Harbin's question that you -- with respect to what you did in the fall of 2009, you -- you --

Am I correct that you completed in the fall of 2009 a fair use checklist for -- for perhaps two of the works that are listed on Page 2 of Exhibit 1?
A. To the best of my recollection, that's correct.
Q. Okay. And that would be -- Would the first two be -- be the Augustine's "City of God"?
A. I -- I was not doing them sequentially as I was entering them into the Ereserve system.
Q. Okay. Do you recall which works that are
listed on Exhibit 1 on Page 2 you completed a fair use checklist in the fall of 2009?
A. I -- I don't recall.
Q. Did you retain copies of the fair use checklist that you completed for works in the fall of 2009?
A. I -- I don't believe so.
Q. Okay. Now you -- on Page 2 -On -- on Exhibit 2 -- I'm sorry --
A. Uh-huh.
Q. -- the fair use checklist says, "Complete and retain a copy of this checklist for each 'fair use' of a copyrighted work in order to establish a 'reasonable...good faith' attempt at applying fair should any dispute regarding such use arise."

You see that?
A. Oh. This is in the --
Q. Yeah.
A. Yes. I see that. Yeah.
Q. That's the last full paragraph --
A. Uh-huh.
Q. -- above Factor 1.

And I take it you did not comply with that direction to complete and retain a copy of the checklist for each fair use of a copyrighted work?
A. And please keep a copy of this checklist. That's correct.
Q. Why not?
A. I -- I used the -- My understanding of the intention of the checklist was that it provided reasoning that should be used in deciding what was appropriate to place on electronic reserve.

I used the -- the reasoning outlined in the checklist in each decision $I$ made about placing material on electronic reserve, and I felt that using that reasoning fulfilled the intent of -- of the -of the checklist.
Q. Even though the checklist directed you to complete it and keep a copy for your records?
A. I felt that $I$ was complying with the intent of the checklist.
Q. Okay. And how you did discern what the intent of the checklist was?
A. I read the document, and I acted in a way that $I$ felt was following what the document was essentially asking for.
Q. Did you complete a fair use checklist in -- you know, prior to the fall 2009 semester for the Martha Nussbaum work that has been marked as Exhibit 4?
A. I -- I used the reasoning outlined in the -- in the checklist when deciding whether to put the Nussbaum work on Ereserve. I don't recall, and I -- whether I completed a physical checklist.

If this happened to be one of the first couple of works that $I$ was using, I may have. I don't recall whether $I$ did or not.

THE COURT REPORTER: One?
MR. KRUGMAN: One. Yes.
(Discussion ensued off the record.)
MR. KRUGMAN: Well, actually, why don't we -- why don't we -- There's enough confusion with overlapping --

MR. HARBIN: I've got a --
MR. KRUGMAN: -- exhibit numbers.
MR. HARBIN: -- copy of that marked. If you want to --

Is that the communication with the ERes?
MR. KRUGMAN: Yeah. I have a --
MR. HARBIN: -- on it?
MR. KRUGMAN: Yeah. I mean, we can mark
it; but it will be a Defendant's -- a Plaintiff's exhibit, I guess.

MR. HARBIN: Yeah. Is it marked --
MR. KRUGMAN: Yeah.

MR. HARBIN: -- as --
MR. KRUGMAN: Yeah. But let's mark it as 5 since that's --

MR. HARBIN: Lloyd 5. There, is that it?
MR. KRUGMAN: Yeah. Well, actually,
there --
THE COURT REPORTER: At the top?
MR. KRUGMAN: Yeah. Well, actually, mark that as -- Yeah. Mark this one as five, and then mark that one as six.
(Plaintiff's Exhibits 5 and 6 were marked for identification.)

MR. KRUGMAN: Okay. That's five.
MR. HARBIN: Thank you.
MR. KRUGMAN: Yours is easier to read, though.
Q. (By Mr. Krugman) Professor Lloyd, let me first hand you what's been marked as Plaintiff's Exhibit No. 5 for the -- for your deposition.

And can you identify this document.
A. This is correspondence with the library Ereserve system where they're noting that in order to be in compliance with copyright issues, I should provide additional information to the library Ereserve's people.
Q. And that's at the top where it says on July 15th, 2009.

And I take it this is relating to the materials that were -- that you used in the Love, Religious and Philosophical Perspectives course; is that correct?
A. That's correct. The library Ereserves is -- was not satisfied with the copyright issues surrounding -- surrounding that.
Q. Yeah. And the library at that point said, Hi Professor Lloyd. We're working on your page. Can you please bring over the title page and copyright page of Love's Work by Gillian Rose? We need to have that information before we can post to your page. Thanks, ERes staff.
A. That's correct.
Q. Did -- What was your understanding as to, if any, as to why the library needed the -- the title page and copyright page --
A. I don't --
Q. -- for that work?
A. I have no idea.
Q. Okay.
A. I mean, I don't know.
Q. You don't know?
A. I don't know.
Q. And then Plaintiff's Exhibit No. 6.

MR. KRUGMAN: Do you have another copy of that, John?

MR. HARBIN: Yeah. Yeah.
MR. KRUGMAN: Yeah. Thank you.
Q. (By Mr. Krugman) And Plaintiff's Exhibit No. 6 is a subsequent communication that you had with the library staff regarding your request that certain materials be posted on Ereserve; is that correct?
A. Yes. That's correct.
Q. And here the library on July 17th, 2009, said, Professor Lloyd, the -- the password for Religion one, oh -- 1000 is 1h87wekeF.

That's the library advising you of the password that you could then inform the students of, so they would be able to access the works that were being posted on Ereserve; is that correct?
A. The library is advising me of the password and raising these copyright issues.
Q. Okay. The copyright issues were before we can post the chapter by Gillian Rose, we will -- we will need a copy of the title page and copyright page of the book: Love's Work.

And that's essentially the request that
had been made on the -- two days earlier on July 15th; is that correct?
A. That's correct.
Q. That's the -- and -- And they said, "Please bring copies by, and we will scan them."

There were no other concerns expressed by the library other than they wanted a copy of the title page and copyright page of the work; is that correct?
A. Not in this correspondence. That's correct.
Q. Okay. And there are no other concerns expressed by the library with respect to any of the works that you requested be posted on Ereserve for the fall of 2009 other than the library's request that it be provided a copy of the title page and copyright page?
A. I don't recall other concerns.
Q. Okay. And why don't we -- we can look on -- focusing on Exhibit 6 and -- and if you'll look at Exhibit 1 --
A. (Witness complies with request of counsel.)
Q. -- as well, which -- on Page 2 --
A. Uh-huh.
Q. -- on Exhibit 6, you -- you represented to
the library in your -- in your communication requesting works be posted on Ereserve with respect to the work by George Bataille, which was to be -which was a required reading for September 23 rd and September 30th, that -- that you -- you advised the library that, "It falls under fair use according to the Fair Use Checklist I completed."

Do you see that?
A. I see that.
Q. Did you, in fact, at the time you made this submission to the library complete a fair use checklist for that work?
A. I don't recall. I recall using the reasoning outlined in the fair use checklist in order to determine whether $I$ believed the work -- the -the selection fell under the fair -- under fair use.
Q. But when you advised the library that you had completed a check -- a fair use checklist, in fact, it's possible you had not done so, correct?
A. I don't recall whether or not $I$ had --
Q. Yeah.
A. $\quad-\quad$ physically completed the checklist.
Q. Okay.
A. I recall using the guidelines.
Q. Okay. And you -- The next work, Gillian Rose, you reported to the library that you had completed a checklist.

And are -- Sitting here today, are you able to testify that, in fact, you had completed a fair use checklist for that work?
A. I don't recall whether I completed the physical checklist. I do recall using the rationale outlined in the checklist in determining whether the selection fell under the fair use guidelines.
Q. If you turn to the next page of Exhibit 6 --
A. (Witness complies with request of counsel.)
Q. -- electronic books, there's a work by Plato. You said that you completed a checklist for that work. And I take it you don't recall one way or another sitting here today?
A. I don't recall whether I -- I completed the physical checklist, although I do recall using the rationale in that checklist to determine whether that selection fell under the fair use guidelines that the university put forth.
Q. Okay. Under the -- The next entry is actually the -- for the Martha Nussbaum work, "The

Fragility of Goodness," publisher, Cambridge University Press.

And when you -- When you advised the library that you had completed a fair use checklist for that work, in -- in fact, it is possible, if not likely, you hadn't -- you had not actually completed one for that work, but you had gone through the reasoning?
A. That's correct.
Q. And -- And would your answer be the same for all of the other works that are listed on Exhibit 6 where you represented and reported to the library that you had completed a fair use checklist for those works, that, in fact, all -- for most, if not all of those, you had only engaged in the -- the reasoning behind the fair use checklist without actually completing?
A. I mean, I recall completing a fair use checklist. I recall using the reasoning behind that checklist, so I mean, depending on how one interprets the phrase, $I$ completed a fair use checklist. I mean, I completed a fair use checklist.

I used that reasoning. For each reading, I completed a fair use checklist for readings in this course.
Q. But you did not complete a checklist for each and every work that you offered in this course, correct?
A. I don't recall.
Q. Now you've testified earlier that you've completed it for a couple of works --
A. (Witness nods head affirmatively.)
Q. And then you used the reasoning --
A. (Witness nods head affirmatively.)
Q. -- for the remaining works, correct?
A. I -- I believe that's what I said. Yeah.
Q. Yeah. So, in fact, you did not complete a fair use checklist for all of the works, correct?
A. I believe that's the case.
Q. When you completed Exhibit 2, which was the fair use checklist for the Nussbaum work, what were you attempting to do when you filled out this checklist?

Were -- Were you attempting to put yourself in the -- your shoes at -- back -- as back in the fall of 2009 --
A. I --
Q. -- with this --
A. I mean, I --
Q. -- or -- or were you -- or were you
looking at it, analyzing it anew in -- in November of 2010?
A. I was attempting to re-create -- or in -In November of 2010 , I was attempting to re-create the reasoning that $I$ had used and the thinking that had gone into filling out this form when I originally filled it out -- or when $I$ originally was -- was using -- using the reasoning.
Q. Using the reasoning, 'cause you didn't fill out the form for -- for Nussbaum back in the fall of --

MR. HARBIN: Objection.
Q. (By Mr. Krugman) -- 2009?

MR. HARBIN: Asked and answered several
times.
Your answer again.
THE WITNESS: I mean, I don't recall.
Q. (By Mr. Krugman) On -- On Exhibit 2, the fair use checklist, under purpose and character of the use, you checked nonprofit educational and teaching, including multiple -- multiple copies for -- for classroom use.

Do you see that?
A. I see that. Yes.
Q. What, is -- What do you understand the
difference, if any, to be between those two factors?
A. I would imagine that nonprofit -- I mean, at the time I --

I mean, I believe that at the time I interpreted nonprofit educational to be in contrast to, for example, a for-profit college and teaching use to be in contrast to, say, research use or some other sort of nonteaching -- nonteaching use.

Not distinguishing the sort of institution, but rather, distinguishing the sort of -- sort of activity.
Q. Okay. So for -- for works that you wanted students -- or that for works that you wanted to make available to students on Georgia State's Ereserve system, because Georgia State is a nonprofit educational institution and you were a professor, an instructor at Georgia State, you would always check nonprofit educational and teaching; is that correct?
A. If the work was to be used in -- in a classroom setting, I would -- for instructional purposes, I would -- I would check teaching.

If it was to be used at Georgia State University, I would check nonprofit educational.
Q. And teaching?
A. I mean, I would --

MR. HARBIN: He said that. Yeah.
Q. (By Mr. Krugman) Okay. I -- I didn't hear the teaching.

You would always check those two boxes --
A. Uh-huh.
Q. -- if you were using it for a -- for a course taught at Georgia State, correct?
A. Yes. I mean, if $I$ was using it in an -in an instructional -- like instructional way in a course, I would check teaching.

If I using it at Georgia State, I would check nonprofit educational.
Q. You also -- well, can you think of any instance where -- If you were using a particular work for a course you were teaching at Georgia State, can you think of any instance where you would not check both of those boxes?
A. I cannot think of an instance where I would not check nonprofit educational.

There are scenarios where teaching, I might find ambiguous; and I --

So, for example, if there was a -- a final
project that students were assigned to do and they were to look at, you know, one of, you know, 10 or 15 different works as part of a research project, I
mean, there -- there are cases where I would be sort of uncertain about whether to check teaching.

But I -- I would definitely be -- check --
I would -- I would in all cases teach nonprofit educational.
Q. Yeah. And the possible exception for teaching, that is if the -- if there were multiple works that the students were to utilize for research?
A. Yeah. For research, yes.
Q. And if that were the case, presumably you would then check the third box research -research or -- or scholarship?
A. That's correct.

I -- I should say, I mean, my -- one --
The exception that $I$ stated to the teaching, it was not exhaustive. I might think of --

I mean, if $I$ were to think about it longer, there might be other scenarios where teaching was not the primary purpose; but that was one example.
Q. Okay. Now sitting here today, that's only the example you can think of --
A. Well --
Q. -- okay, if you were using a particular work for a course that you were teaching at Georgia

State and wanted that work to be available to students on Georgia State's electronic reserve system?
A. Now there -- there are cases where in graduate courses, I want to provide students with a background information that might not be part of the teaching of that class. It might be related to broader topics than -- than the specific material of the class, which would not necessarily be teaching-related and not necessarily be research- or scholarship-related.

So that would be another case where it -I would think it would be ambiguous, right, whether I would check teaching.
Q. Have you ever completed a fair -- a fair use checklist or gone through the reasoning behind the fair use checklist and not concluded that a particular work was both for nonprofit educational and for teaching, including multiple copies for classroom use?
A. I don't recall a case where that's -where that's so --
Q. You also checked under Factor 1 "Use is necessary to achieve your intended educational purpose."

Why did you check that box?
A. I thought about the goals of the course and how the Nussbaum selection tied in with the goals of the course and concluded that the Nussbaum selection was -- and the other selections were --

Well, the Nussbaum selection, because this is -- this -- this checklist is relating to the Nussbaum selection, was necessary to achieve the intended educational purpose.
Q. Okay. There are boxes on the left, one for "Transformative, use changes work for new utility or purpose"; and on the right, "Non-Transformative."

What do you understand the term
"transformative" to mean?
A. That my use of -- of the -- the selection would do something with it other than what Nussbaum intended when she was writing -- writing the work.
Q. Was -- was --
A. Yeah, yeah. That -- That it would be sort of significantly altering the way that Nussbaum intended that -- that her -- that Nussbaum could envision her work being -- being used.
Q. Do you believe simply providing a copy of the -- of that chapter from Nussbaum's work, that -for which this fair use checklist relates was
transformative of the work?
A. I -- I don't believe it would reach that threshold of significantly changing the purpose for which Nussbaum thought it would be used.
Q. Is Exhibit 4 -- I mean, Exhibit 4 was what was provided to students, correct, at least in electronic form?
A. I believe so.
Q. All right. This is simply a copy of that chapter from Nussbaum's book, correct?
A. Yes.
Q. Yeah. Is there any way that simply providing a copy of a chapter from Professor Nussbaum's work could be anything other than nontransformative?

MR. HARBIN: Object to the extent it calls for a legal conclusion, but you can answer.

THE WITNESS: While I concluded that there was not a significant change in the way that Nussbaum intended her chapter to be used, I also do not conclude that there was absolutely no change in the way that the -- the work was being used.

Nussbaum's project as a whole in -- in
"Fragility of Goodness" is a wide-ranging
re-evaluation of ancient Greek ethics. In our course we were not thinking about the context of ancient Greek ethics. We were not thinking about the context of -- of Plato's work. We were only thinking about very specific -- specific work that Nussbaum talks about in a different context than Nussbaum was -- was envisioning. We were thinking about the tradition of reflection on love in -- in western thought, which was not Nussbaum's purpose in writing that chapter.

So while $I$-- I didn't think that the -the change was significant, I also did not think that there was no change whatsoever, so I neither checked transformative nor nontransformative.
Q. (By Mr. Krugman) Do you know what Nussbaum's intention was with respect to the use of that work?
A. I mean, I -- I can -- I -- I have views about that. I don't -- I don't know for certain.
Q. You don't know. So you really were not able, at least under your definition of whether a particular work was transformative or nontransformative, you -- you really don't --

It's all speculation on your part as to what Nussbaum's intention was?

MR. HARBIN: Object to the form of the question.

You can answer.
THE WITNESS: I mean, as an academic who publishes with university presses, who publishes in the same field as Nussbaum, I felt confident that $I$ could reasonably understand Nussbaum's intention in this chapter.
(Plaintiff's Exhibit 7 was marked for identification.)

THE WITNESS: Thank you.
Q. (By Mr. Krugman) Okay. Is Exhibit 7 a copy of your CV?
A. Yes.
Q. And I -- I show -- I show it to you only because of what you just mentioned, that you've -you've -- You have published a great deal; is that correct?
A. I mean, I have published a number of academic works. That's correct.
Q. Yeah. Two books that are referenced on the first page, "The Problem With Grace: Reconfiguring Political Theology."

And what's -- What's the subject of that work?
A. I -- That work deals with the philosophy of religion and specifically how issues raised in the philosophy of religion can address contemporary religious and political concerns.
Q. And -- And then there's another book, "Law and Transcendence on the Unfinished Project of Gillian Rose." And that's a work that was published two years ago.
A. That's correct.
Q. And what was the subject matter of that book?
A. I was examining the work of Gillian Rose, a British philosopher and social theorist, against the background of more recent work in contemporary philosophy.
Q. Okay. Were the -- Are these books considered nonfiction?
A. I hope so. Yes.
Q. Yes. That although nonfiction these books contain your original analysis on the subject matter of the books; is that correct?
A. That's correct.
Q. And they're -- The books are not simply a
recitation of facts; is that correct?
A. That's correct.
Q. And would you consider the -- the -- your expression and analysis in these books to be creative?
A. I $--\quad$ I - Can you say more about what you mean by creative.
Q. Well, I mean, it's -- It's not simply a recitation of facts. You've -- You've undertaken some analysis; and hopefully, in a way that others in the past have not thought about?
A. That's correct.
Q. In -- In fact, it's your hope that -that scholars reading these books would consider them to be highly creative?
A. Creative is a difficult term in academia. Right. In one sense one wants to be faithful to the works one is analyzing, but also to offer novel interpretations, so I'm not sure -- I am not sure whether I would embrace the word "creative," but I think the spirit of your question.
Q. Certainly novel, was your -- was your -that was your goal?
A. To -- I mean, again, novel is a -- is a complicated word. Right. In the sense that if one
is writing a book about Gillian Rose, who's a, you know, a significant figure from twentieth century British philosophy, one, you know, wants to be faithful to interpreting what she -- she was saying and the significance of her work.

You know, if that interpretation is novel -- I mean, if that interpretation was not offered before, that would be praiseworthy.
Q. And you've also -- Turning to the second page, you've -- you've authored many articles and chapters as well --
A. That's correct.
Q. -- that are referenced on Page 2 of Exhibit 7.

And would it be fair to say that these articles and chapters also were works of nonfiction as distinguished from fiction?
A. Yes. That's correct.
Q. And -- And I -- I take it, it was your at least hope that's these -- these works contain your original analysis on the -- on the subject matters of each of the works?
A. Yes.
Q. Now okay. There -- There are certainly a number of benefits to having -- as a -- you know, as
a -- as a scholar having your works published and then made available to the others in the field of your expertise.
A. There -- There are benefits to having your work disseminated.
Q. Yeah. One is that it benefits your reputation, is that correct, hopefully?
A. That's correct. Yeah.
Q. It's beneficial for career advancement; is that correct?
A. The dissemination of one's works is beneficial to career advancement.
Q. It's hopefully promotive of gaining tenure at a university?
A. That's often the case.
Q. Yeah. Did you obtain tenure at Georgia State?
A. I -- I'm only in my third year. At Georgia State I would not go for tenure for another --
Q. All right. Okay. And your -- when -When does your employment with Georgia State end?
A. We're in negotiations for -- between the two institutions as to when the date of employment will end at one and start at the other.
Q. Okay. Okay. So the Georgia State and Syracuse University are in negotiations as to when your employment with Georgia State will end and --
A. The three parties are in discussions, me being one of the parties.
Q. With you being one of the three parties?
A. Yes.
Q. What is your expectation as to when
your --
A. I mean --
Q. -- employment will end?
A. -- the negotiations are at somewhat of an impasse at the moment; but I mean, Syracuse wants me to start, wants me to be teaching in the fall. There are just issues like health insurance and so on; and we have to figure out when they -- when one stops and when the other starts.
Q. Okay. So -- So Syracuse wants you there to teach in the fall --
A. As soon as possible.
Q. -- 2011 semester?
A. They want me to -- They want me in Syracuse as soon as possible. Georgia State would prefer for me to be at Georgia State as long as possible.
Q. Okay. So there's currently an impasse between -- among the three on -- on --
A. We --
Q. -- the issue?
A. We have not as yet reached a resolution.
Q. When do -- When do you anticipate a resolution?
A. Next week.
Q. Okay. So even though there's an impasse, you expect that to be resolved next week?
A. I mean, I -- I expect that the date of the end of employment will be determined quite soon. Well, probably next week.
Q. What is your expectation as to what the end of your employment at Georgia State will be, if you have an expectation?
A. I mean, Georgia -- I -- I do not currently have an expectation, that it is unclear to me. These, you know, legal and financial issues are opaque to me.
Q. So it's certainly possible that you'll -that -- You're currently employed by Georgia State?
A. I'm currently on leave from Georgia State. I'm not currently teaching at Georgia State, and I'm not currently in residence or have any administrative
obligations at Georgia State.
I'm currently on leave teaching at Emory University.
Q. Okay. Who provides your health insurance --
A. Georgia --
Q. -- currently?
A. -- State currently does. I mean, my -- my contract with Georgia State is -- Well, I don't know how much detail we need about this, but my --

Georgia State employs people -- does not employ people over the summer, so my contract with Georgia State is ending at the end of -- I believe it's a nine-month or a ten-month contract.

So my Georgia contract is ending and will not be renewed; but the summer is a sort of ambiguous, administratively ambiguous period.
Q. Yeah. When does the contract end?
A. I -- my -- I believe it's either early May or mid-May, but I'm not absolutely sure.
Q. Do you have a copy of the contract?
A. Not with me.
Q. I take it you could provide counsel with a copy?
A. I believe counsel could obtain a copy.

Yes. We could provide.
Q. What's your -- Where do you reside?

What's your -- what -- What's your residence address?
A. 215-A Mitchell Street, Apartment 9, Atlanta, Georgia 30303.
Q. And that's in downtown Atlanta?
A. That's correct.
Q. Located close to the university or relatively close to the university; is that --
A. Yes.
Q. -- correct?
A. That's correct.
Q. Is that an apartment?
A. Yes. That's correct.
Q. And do you have a lease for that apartment?
A. Yes.
Q. When does that lease --
A. May 1st.
Q. It expires May 1st?
A. That's correct.
Q. Why are you leaving Georgia State for Syracuse?
A. A combination of -- I mean, the -- the
opportunity -- Syracuse has a very strong religion department, and I'm looking forward to joining that department.
Q. If you go back to Exhibit 2 --
A. Plaintiff's or Defendant's?
Q. Yeah. Defendant's Exhibit 2. I'm sorry.
A. Okay. So going back. Well --
Q. It's the fair -- fair use checklist --
A. Uh-huh.
Q. -- again; and for Factor 1, there were three in favor and -- in favor of fair use and none against; is that correct?
A. That's correct.
Q. Okay. On Factor No. 2, you checked published work?
A. That's correct.
Q. And factual or nonfiction work, you -- you checked both those two boxes?
A. That's correct.
Q. And could there -- Could you envision any instance where you were using a published work of -of nonfiction where you would not check both of those two boxes in connection with a fair use determination utilizing the fair use checklist?
A. If I understood the word -- work to be
published, I would check published. If I understood the work to be factual or nonfiction, I would check factual --
Q. Okay.
A. -- or nonfiction.
Q. So for a published nonfiction work, you would always check those two boxes, correct?
A. That's correct.
Q. All right. And -- And I take it you would also not -- you would not check unpublished work, which is on the right, because it was published, correct?
A. If a work was --
Q. Yeah.
A. -- published, I would check published.
Q. Would you check -- What do you understand the highly creative work factor to mean on the right -- right-hand column of Factor 2 ?
A. I mean, I -- I take the examples art, music, novels, films, plays, poetry, fiction to be illustrative, but not exhaustive of what that would mean; and I mean, I find them fairly straightforward.
Q. Okay. Is it your understanding under the -- under this checklist that a work of nonfiction could never satisfy the highly creative work
criterion?
A. No. I could imagine in a case where I would check both nonfiction and highly creative.
Q. Did you do that with any of the fair use checklists you -- that you either physically completed or considered in connection with any works that you made available to students?
A. Not that $I$ recall.
Q. Okay. Is there any reason that you concluded the Nussbaum work not to be highly creative?
A. Given the examples provided of highly creative works, I did not see -- I did not understand Nussbaum's work to be -- to have a family resemblance or to be generally similar to -- to those.
Q. And the examples are listed parenthetically --
A. Yes.
Q. -- art, music, novels, films, plays, poetry, fiction?
A. That's correct. I did not consider it to be creative nonfiction. I did not consider it to be highly creative nonfiction either.
Q. Perhaps creative, but not highly creative?
A. As I mentioned when we were speaking about
the term "creative" earlier, creative is a very difficult term and -- in academic and especially philosophical writings, and it's one that would only be used in sort of special cases in referring to a philosophical work, for example.
Q. You also checked important to educational objectives. You see that under -- under Factor 2?
A. Yes. I see that.
Q. And why did you check that box?
A. When $I$ was considering specific to this course and the design of this course and my stated description of this course how I -- what I wanted the students to understand at the end of the day, at the end of -- at the end of the semester, I understood Nussbaum's work to be important to achieving that.
Q. Okay. You had -- you -- We noted earlier that you had -- that when you completed this in November of 2009, you checked use is necessary to achieve your intended educational purpose under Factor 1?
A. November of 2010?
Q. I'm sorry. 2010. Yes.
A. Use is necessary to achieve your intended educational purpose. That's correct.
Q. Yeah. Can you -- Can you think of an
instance in which you would not check important to educational objectives under fair -- under Factor 2 if you had checked use is necessary to achieve your intended educational purpose under chapter -- under Factor 1?
A. As I stated when we were discussing the important -- what important to educational objectives means, $I$ interpret this as being specific to the course description and the constellation of issues laid out in the course, whereas when we were speaking about use is necessary to achieve your intended educational purpose, I believe I said or I intended to say something more like, you know, the broad goals that might be achieved in -- in this course.

So, for example, if -- you know, this -This course specifically, you know, is about love; but it's also a part of a -- a honors freshman seminar which is intended to provide the students with a orientation to what it means to take a university class.

So if there are -- were sort of background readings about, say, you know, students, what it means to be in your first year at a university, right, I might consider that, you know, part of the educational purpose of the course, because the course
is this broader scope educational purpose, but not the narrow scope of the objectives, which sometimes in my syllabi, I state explicitly. This time, they're more implicit in the description of the course.
Q. With -- with -- that was a long -- long answer that I'm not quite sure --
A. Broad scope versus narrow scope. Right.
Q. -- responded to the question.

But can you -- Sitting here today, can you think of any instance where you -- for any fair use checklist that you either physically or mentally completed where you checked use is necessary to achieve your intended educational purpose, but did not check important to educational --
A. I don't --
Q. -- objectives?
A. -- recall one way or the other.
Q. Under Factor 3 you checked small portion of work used.

Well, first of all, before we go on, under Factor 2 it was three -- three ways in favor of fair use and zero against; is that correct?
A. That's what I checked. That's correct.
Q. Under Factor 3 you checked small portion
of work used. How did you make that determination?
A. I -- I considered that one chapter of a -- of Nussbaum's quite weighty book would be a small portion. I didn't -- Yeah.
Q. Yeah. What if you had used two chapters, would that have qualified as a small portion?
A. I --

MR. HARBIN: Object to the extent it calls
for speculation.
You can answer.
THE WITNESS: Yeah. I'm not sure.
Q. (By Mr. Krugman) Did -- do you know what -- Is there any threshold above which the use of a particular work is no longer a small portion at least as you understand the fair use checklist and the policy on copyright at Georgia State?
A. I -- I was aware of the previous guidelines; and I mean, I was generally aware. I didn't remember the specifics -- specifics of the previous guidelines, but I mean, I -- I mean, I -- I wouldn't imagine if $I$ was putting a third of a work on reserve, that would not be a small portion.
Q. What were the previous guidelines?
A. I believe it was something like one chapter or a percentage.
Q. All right. Do you know what the percentage or do you recall what the percentage was?
A. I don't recall precisely. It was greater than 10 percent.
Q. Okay. Was it your understanding that the previous guidelines did not apply under the new policy and fair use checklist?
A. My understanding was that the fair use checklist was what should be used in determining whether materials should be put on Ereserve or not.
Q. And that if you completed it and it -- the factors weighed in favor of fair use, then you were allowed to use --
A. Yes.
Q. -- the work?
A. That was my understanding.
Q. You also checked amount taken as narrowly tailored to educational purpose such as criticism, comment, research, or subject being taught. What do you understand that subfactor to mean?
A. There was a lot of material in Nussbaum's book which was not related to the topic of the course. I only chose the material which was related to the topic of the course.
Q. And under Factor 3, there were two for --
two weighing in favor of fair use and zero against; is that correct?
A. That's what I checked. That's correct.
Q. Okay. Under Factor 4, effect on market for original, in response to Mr. Harbin's questions, you've indicated that you had checked that use stimulates market for original work.

Do you see that?
A. Yes.
Q. What was the market for the original work that you considered?
A. I imagine that students in my course might be introduced to Nussbaum's work in the course, and it was possible that they could purchase -- that they might purchase Nussbaum's book either during the course or at a later point.
Q. Do you know of any student who purchased Nussbaum's work?
A. I am not aware of -- of any student who purchased Nussbaum's work.
Q. I think you had testified earlier that you believe that some students may have purchased works that --
A. I -- I said generally in my teaching career; and specifically, you know, this semester,

I've had a student who has purchased a book based on -- after having read an excerpt in -- in course.
Q. Yeah. Do you know of any student that purchased any of the works that were posted on Ereserve for the Religion 1000 class that you taught during the fall semester of 2009?
A. No student spoke with me about their book purchases.
Q. Yeah. Does the market that you considered include a market for licensing or permissions for works?
A. That was not a primary factor in my -- in my thinking.
Q. Did you -- Did you undertake any investigation to determine whether licensing or permissions were available for the Nussbaum work that you requested the library to make available to students on the electronic reserve system?
A. I did not investigate licensing possibilities.
Q. So when you didn't check the licensing or permission reasonably available box, that was because you had undertook no investigation to determine whether that factor might apply; is that correct?
A. I -- I did not. I mean, as an academic
at Georgia State, I'm generally aware of what's happening in the academic community; and I was not aware of easy -- Or I was not aware of reasonably available licensing or permission opportunities for -- for this work.
Q. Did you -- But you didn't -- You didn't ask anyone whether licensing or permissions for this work were reasonably available?
A. I -- I did not go beyond my understanding as a -- a academic working in the academic community in investigating that. No, no.
Q. Did you ask anyone at the library?
A. I did not go beyond my general understanding as a member of the academic community.
Q. When -- how did -- During 2009 you learned that Georgia State had adopted a new policy on copyright that included the checklist that's been marked as Exhibit 2; is that correct?
A. I don't recall when I learned that.
Q. You -- You do recall at some time learning of a new policy --
A. Yes.
Q. -- that included the fair use checklist --
A. Yeah.
Q. -- which had not been a part of the policy
in the past?
A. That's correct.
Q. How did you learn about that new policy?
A. I believe there was a -- I mean, it's likely that there was an e-mail. I don't recall exactly.
Q. Were you provided a copy of the new policy?
A. It's likely that there was a link to the new policy in the e-mail, but $I$ don't recall exactly.
Q. And do you recall who told you? I mean, who -- who sent the e-mail that you recall?
A. I don't recall. Yeah.
Q. Did you undergo any training with respect to the new policy?
A. I don't recall any sort of in-service training.
Q. Okay. Do you recall meeting with anyone within the legal affairs department at Georgia state in reference to the new policy --
A. I --
Q. -- at or about the time it was adopted?
A. I don't recall that.
Q. Did you read a copy of the policy when it was --
A. I mean, I recall --
Q. $\quad--$ enacted?
A. -- following the links in the $--\quad$ mean, to my -- To the best of my recollection, I -- I remember seeing an e-mail, following the links, and taking a look at -- at what was posted on the website regarding the new policy.

THE COURT REPORTER: Eight.
MR. HARBIN: Yeah.
(Plaintiff's Exhibit 8 was marked for identification.)

THE WITNESS: Yeah. Thank you.
MR. KRUGMAN: You're welcome. Just hand you there.
Q. (By Mr. Krugman) Can you identify Exhibit 8 as the policy on the use of copyrighted works in education and research that -- that the university adopted at least or was adopted, at least, sometime prior to your fall 2009 course?
A. Yes. This is it.
Q. And did you review the policy at or about the time it was adopted?
A. Yes.
Q. If you turn to page -- up at the top, Page 13 of 19 .
A. (Witness complies with request of counsel.)
Q. And there's a page on permissions.
A. Uh-huh.
Q. And do you recall reviewing that page in connection or -- or following the adoption of the new policy?
A. Now I -- I recall generally looking at this document. I don't recall more than that.
Q. Yeah. If you turn over two pages to Page 15 of 19 --
A. (Witness complies with request of counsel.)
Q. $\quad-\quad$ and the reference to collective licensing agencies, and you see for works in print a copyright clearance center, that the CCC should be your starting point. If you're looking to get permission for a text-based work, the CCC can grant permission for thousands of work -- works, many instantly on-line.

Do you see that?
A. I see that on this document.
Q. Yeah. Did you undertake to contact the copyright clearance center to determine whether a license -- a license or permission was available for
the Nussbaum work?
A. I did not.
Q. And did you do that for any of the works that you requested?
A. No. I did not.
Q. And why not?
A. I was following general academic practice which $I$ had seen as an undergraduate at Princeton and as a graduate student at Chicago and Berkley and as a instructor in the Indiana University system and the University of California system where that was not part of the standard procedure.
Q. Okay. So you didn't do it because it wasn't done at other universities?
A. I was following the standard academic procedure as I understood it.

MR. HARBIN: Can we go off the record for a second.

THE WITNESS: Sure.
MR. HARBIN: If you've got much longer, could we take a short break. Oh.

THE VIDEOGRAPHER: I'm sorry. 10:30 a.m.
Off the record.
(Discussion ensued off the record.)
THE VIDEOGRAPHER: 10:30 a.m. Back on the
record.
Q. (By Mr. Krugman) Professor Lloyd, you did not check numerous copies made or distributed under Factor 4, effect on market for original.
A. Yes. That's --
Q. Now --
A. -- correct.
Q. Now -- Now these copies, there were certainly numerous copies made available at least electronically to all of the students in your -- in your class on the Ereserve system; is that correct?
A. I would not characterize 15 as numerous but --
Q. What -- What would you characterize as numerous?
A. I don't have a fixed number in mind, but I would not characterize a small seminar as numerous copies.
Q. Okay. So that whether that -- At least as you understand the policy, whether that box would be checked would depend upon the size of the class?
A. That would depend on the size of -- the number of individuals who had access to the documents. That would be my understanding.
Q. Okay. So at some point, it would be --

It would become numerous, but you just -- You have no understanding as to what that number is?
A. I mean, I have an understanding as to what numerous is. I don't have a specific number in mind.
Q. And what would be numerous?
A. Again, I don't have a specific number in mind. I would become concerned if there were a thousand people. I would not be concerned if there were five people. Beyond that I -- I mean, I don't have a specific number in mind.
Q. Do you know what a course pack is?
A. Yes. I do.
Q. And what is a course pack?
A. It is a compilation of articles or readings put together for a specific class.
Q. And those are actually physical copies of the readings?
A. Physical copies. That's correct.
Q. Yeah. And at least with respect to the Religion 1000 class, there were numerous readings that were provided to students, but not --
A. I -- I would not characterize the number of readings as numerous. Again, the number of readings is perhaps 15 or so --
Q. Okay.
A. -- which as we stated earlier, I don't consider numerous.
Q. Okay. I think we were talking numerous in terms of the number of students in -- in the class, but $I$ was -- Here $I$ was talking about the number of works --
A. Right.
Q. $\quad-\quad$ that were made?
A. And $I$ was saying 15 , in general, $I$ don't consider numerous.
Q. Okay. There were a number -- number of works made available electronically?
A. That's correct.
Q. And these works could have been provided to the students as a course pack; is that correct?
A. That -- I believe so.
Q. Yeah. And the difference would have been one would be in electronic form and the other in paper form --
A. That's --
Q. $\quad--$ is that correct?
A. -- one of the differences. Yeah.
Q. Is it your understanding the students would purchase the course pack --
A. I mean --
Q. -- from the bookstore?
A. Or read it in the library or however they were going to do it. I have not used a course pack at Georgia State.
Q. Okay. All right. You understand -- have any understanding with respect to the use of course packs whether the -- whether the publisher of the book or the -- or other owner of the copyright receives any -- any fees with connection with the use of the course pack materials?
A. I have not used course packs at Georgia State. I -- I don't know the -- the policies for course packs.
Q. So you have no understanding one way or another whether any fees or permissions are paid for use of the works that are compiled as a part of the course pack material?
A. I wouldn't want to speculate.
Q. So the answer is no?

MR. HARBIN: Yeah. Just say. If you
don't know, say you don't know.
THE WITNESS: No.
Q. (By Mr. Krugman) Which is fine. Which is fine. I'm not asking you to -- to speculate.

Now did you teach this course only once?
A. Yes.
Q. Okay. And earlier you testified that you -- you checked the -- the last two boxes on Factor $4 \mathrm{so} \mathrm{--} \mathrm{and}, \mathrm{also}$, classroom reading. So in this instance there were three in favor of fair use, and one's against?
A. That's what I checked.
Q. Yeah. Now by the time you -- you got to the fourth factor, you had already concluded three factors weighed factor -- in favor of fair use, is that correct -- Factors 1, 2, and 3?
A. That's correct.
Q. So the -- Whatever conclusions you reach under the fourth -- fourth factor would not impact your overall conclusions in any event as to whether the work could be used?
A. I mean, it -- I'd have to review the guidelines here to see how they wanted us to -- to decide that.
Q. Yeah. Well, to help you, see under the instruction where the factors favoring fair use outnumber those against it, reliance on fair use is justified.
A. Okay.
Q. So if you --
A. Uh-huh.
Q. So if Factors 1, 2, and 3 weigh in favor of fair use, what you conclude with respect to the fourth -- fourth factor is irrelevant to your overall conclusion, at least as you understand the fair use checklist to operate?
A. That does appear to be what -- Yeah. Yes.
Q. Have you ever completed either physically or mentally a fair use checklist where you concluded that use of the particular use -- use of the particular work was not fair use?
A. No. I haven't.
Q. Did you consult with anyone about the fair use checklist that you completed?
A. No.
Q. And just -- I know you -- I believe you answered this, but just so I -- Just to make it clear, you -- you undertook no training in the new copyright policy with anyone at Georgia state after it was adopted?
A. I don't recall that. Yeah.
Q. Does -- Has anyone attempted to serve you with a subpoena to appear at trial in this case beginning in May -- beginning on May $16 t h$ of 2011?
A. Not that I'm aware of.

MR. KRUGMAN: That's all I have.
REDIRECT EXAMINATION
BY MR. HARBIN:
Q. Well, Professor, I just have one
logistical detail question about the library and the Ereserve system.

You were asked some questions about if the library had determined -- If the library staff had determined in their view a proposed excerpt did not fall within fair use, would you request that they take it off. Now is it --

In your understanding, if the library staff determined that one of your proposed excerpts for a class did not constitute fair use, what would happen?

Specifically would it ever be uploaded to the Ereserve system?
A. They would not post it.

MR. HARBIN: Okay. That's all I have. Thank you.
/ / /
/ / /
/ / /
/ / /

THE VIDEOGRAPHER: This concludes the videotape deposition of Professor Vincent Lloyd, April 20th, 2011, 10:38 a.m. We're off the record.
(Whereupon, the deposition was concluded at 10:38 a.m.)
(Pursuant to Rule $30(e)$ of the Federal Rules of Civil Procedure and/or O.C.G.A. 9-11-30(e), neither a party nor the deponent having requested right of review of the deposition, the reading and signing of the deposition is waived.)

I hereby certify that the foregoing transcript was taken down, as stated in the caption, and the questions and answers thereto were reduced to typewriting under my direction; that the foregoing pages 1 through 81 represent a true, complete, and correct transcript of the evidence given upon said hearing, and I further certify that $I$ am not of kin or counsel to the parties in the case; am not in the regular employ of counsel for any of said parties; nor am $I$ in anywise interested in the result of said case.

This, the 25 th day of April, 2011.
S. JULIE FRIEDMAN, CCR-B-1476

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