

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

DARRYL CARTER,

Plaintiff,

v.

CLYDE REESE III, et al.,

Defendants

No. C 12-5537 MMC

**ORDER GRANTING IN PART
DEFENDANTS' MOTION TO DISMISS;
TRANSFERRING FEDERAL CLAIMS;
DISMISSING STATE CLAIMS**

Before the Court is defendants Clyde Reese III and Keith Horton's ("defendants") Motion to Dismiss, filed December 21, 2012, in which said defendants' seek dismissal of plaintiff's Second Amended Complaint ("SAC") on the following grounds: (1) lack of personal jurisdiction pursuant to Rule 12(b)(2) of the Federal Rules of Civil Procedure; (2) improper venue, pursuant to Rule 12(b)(3); and (3) failure to state a claim, pursuant to Rule 12(b)(6). Plaintiff has filed opposition, to which defendants have replied. Having read and considered the papers submitted in support of and in opposition to the motion, the Court rules as follows.¹

BACKGROUND

Plaintiff's claims arise from circumstances pertaining to his obligation to pay child support. Plaintiff's child resides in South Carolina. (See SAC ¶ 13.) A child support order was issued in South Carolina and registered in Georgia, where plaintiff lived for a time.

¹ By order filed January 30, 2013, the Court took the matter under submission and vacated the hearing scheduled for February 15, 2013.

1 (See SAC ¶¶ 14, 18). Plaintiff alleges the child support order was modified by defendants²
2 “without [his] knowledge, participation, awareness or consent” (see SAC ¶ 14), which
3 modification, according to plaintiff, increased the amount owed by plaintiff (see SAC ¶ 16).
4 Plaintiff further alleges defendants sent to his California address two letters asserting he
5 owed the increased amount (see SAC ¶¶ 20-21), “placed a hold” on his Georgia driver’s
6 license (see SAC ¶ 25), and issued a “certification of arrears” (see SAC ¶ 39). Based on
7 said allegations plaintiff brings claims based on both federal and state law. (See SAC
8 ¶¶ 31-65.)

9 DISCUSSION

10 I. Federal Claims

11 In Counts I and II of the SAC, plaintiff alleges against defendants in their official
12 capacity claims under 42 U.S.C. § 1983, asserting in each such claim violations of his
13 procedural due process rights. In Count I, plaintiff alleges defendants have issued a hold
14 on his license without providing him an opportunity to be heard; in Count II, he alleges
15 defendants issued a certification of arrears without providing him an opportunity to be
16 heard. Plaintiff seeks injunctive relief as to both claims. Defendants move to dismiss
17 Counts I and II pursuant to, *inter alia*, Rule 12(b)(3) of the Federal Rules of Civil Procedure,
18 on the ground that venue does not lie in this district.

19 As applicable to Counts I and II, federal law provides for venue in the following
20 judicial districts:

21 (1) a judicial district in which any defendant resides, if all defendants are
22 residents of the State in which the district is located; (2) a judicial district in
23 which a substantial part of the events or omissions giving rise to the claim
24 occurred, or a substantial part of property that is the subject of the action is
subject to the court’s personal jurisdiction with respect to such action.

25
26 ² Defendant Clyde Reese III is the Commissioner of the Georgia Department of
27 Human Services, and, at the time of the events at issue, defendant Keith Horton was the
28 Director of the Division of Child Support Services, an agency under the Georgia
Department of Human Services. (See SAC ¶ 4; Decl. Horton ¶ 4.)

1 See 28 U.S.C. § 1391(b). As discussed below, venue will not lie in this district under
2 § 1391(b).

3 First, venue is not proper under subsection (b)(1), as neither of the defendants is a
4 resident of this district. (See Reese Decl. ¶ 4; Horton Decl. ¶ 4.) Nor is venue proper
5 under subsection (b)(2), as no part, let alone a substantial part, of the events or omissions
6 giving rise to plaintiffs' § 1983 claims occurred in this district; rather, all said events are
7 alleged to have occurred in the State of Georgia and, indeed, the relief requested, if
8 granted, would require action be taken only in the state of Georgia. (See SAC ¶¶ 36, 43.)
9 Lastly, subsection (b)(3) is of no avail to plaintiff, as defendants concede venue will lie in
10 the Northern District of Georgia (see Mot. 13:26-7) and the Court, in addition, so finds.

11 Next, having determined venue is improper in this district, the Court must decide
12 whether to dismiss or transfer plaintiff's federal claims. See 28 U.S.C. § 1406(a) (providing
13 "the district court of a district in which is filed a case laying venue in the wrong division or
14 district shall dismiss, or if it be in the interest of justice, transfer such case to any district or
15 division in which it could have been brought"). The decision whether to dismiss or transfer
16 is within the Court's discretion. See Cook v. Fox, 537 F.2d 370, 371 (9th Cir.1976). In the
17 exercise of such discretion, consideration should be given to facilitating an "expeditious and
18 orderly adjudication of [the] case[] and controvers[y] on [its] merits." See Goldlawr, Inc. v.
19 Heiman, 369 U.S. 463, 466-67 (1962).

20 Here, as noted, there is another district in which the action could have been brought,
21 and the Court finds the interests of efficiency and economy will be better served by transfer
22 rather than dismissal. See, e.g., 15 Charles A. Wright, Arthur R. Miller & Edward H.
23 Cooper, Federal Practice and Procedure § 3827 (3d ed. 2011) (noting "in most cases of
24 improper venue the courts conclude that it is in the interest of justice to transfer to a proper
25 forum rather than to dismiss the litigation"); Lum v. Carnival Cruise Lines, 1998 WL 118188,
26 at *2 (N.D. Cal. Feb. 27, 1998) (noting "[t]ransfer is preferred to the harsh remedy of
27 dismissal because it avoids any statute of limitations problems and the necessity of filing
28

1 and serving a new action”).

2 Accordingly, the Court will transfer plaintiffs’ federal claims to the Northern District of
3 Georgia.

4 **II. State Law Claims**

5 In Counts III, IV, and V, respectively, plaintiff alleges against defendants in their
6 individual capacities claims for “Negligence,” “Intentional Infliction of Emotional Distress,”
7 and “Misrepresentation,” which claims are, in each instance, based on defendants’ alleged
8 improper placing of a hold on defendant’s driver’s license (see SAC ¶ 49), modification of
9 the child support order (see SAC ¶ 56), and “send[ing] or caus[ing] to be sent” to plaintiff
10 several “threatening letters” (see SAC ¶¶ 51, 57, 65). Defendants move to dismiss said
11 claims pursuant to, inter alia, Rule 12(b)(2), on the ground that the Court lacks personal
12 jurisdiction over them.

13 In determining whether personal jurisdiction exists for state law claims, the Court
14 applies California law. See Panavision Int’l, L.P. v. Toeppen, 141 F.3d 1316, 1320 (9th
15 Cir.1998) (applying California law; noting “[t]here is no applicable federal statute governing
16 personal jurisdiction”). “California’s long-arm statute permits a court to exercise personal
17 jurisdiction over a defendant to the extent permitted by the Due Process Clause of the
18 Constitution[, which] may be founded on either general or specific jurisdiction.” See id. In
19 the instant case, general jurisdiction is not applicable, as defendants are not domiciled in
20 this state, nor has plaintiff alleged any activities in this forum that are “substantial” or
21 “continuous and systematic.” See id. (discussing requisite contacts for finding of general
22 jurisdiction). The Court next considers whether specific jurisdiction exists.

23 With respect to specific jurisdiction, the Ninth Circuit has established a three-prong
24 test:

- 25 (1) The non-resident defendant must purposefully direct his activities or consummate
26 some transaction with the forum or resident thereof; or perform some act by which
27 he purposefully avails himself of the privilege of conducting activities in the forum,
28 thereby invoking the benefits and protections of its laws; (2) the claim must be one
which arises out of or relates to the defendant's forum-related activities; and (3) the
exercise of jurisdiction must comport with fair play and substantial justice, i.e. it must

1 be reasonable.

2 See Schwarzenegger v. Fred Martin Motor Co., 374 F.3d 797, 802 (9th Cir. 2004).

3 Purposeful availment can be satisfied where a defendant's "only contact with the forum
4 state is the purposeful direction of a foreign act having effect in the forum state." See Dole
5 Food Co., Inc. v. Watts, 303 F.3d 1104, 1111 (9th Cir. 2002) (internal quotation and citation
6 omitted). "[T]he 'effects' test requires that the defendant allegedly have (1) committed an
7 intentional act, (2) expressly aimed at the forum state, (3) causing harm that the defendant
8 knows is likely to be suffered in the forum state." Id.

9 A plaintiff bears the burden of establishing personal jurisdiction. See Rio Properties,
10 Inc. v. Rio Int'l Interlink, 284 F.3d 1007, 1019 (9th Cir. 2002). Consequently, when a
11 defendant moves to dismiss for lack of personal jurisdiction, the plaintiff is "obligated to
12 come forward with facts, by affidavit or otherwise, supporting [such] jurisdiction." See
13 Amba Mktg. Sys. v. Jobar Int'l, 551 F.2d 784, 787 (9th Cir. 1977).

14 Here, each of the defendants has submitted a declaration stating he does not have
15 direct responsibility for child support enforcement cases, that he "do[es] not know the
16 plaintiff," and that he is "not familiar with any aspect of [plaintiff's] child support case and did
17 not perform any work on that case." (See Reese Decl. ¶ 6; Horton Decl. ¶ 6.) In particular,
18 each defendant avers that he was "not involved in any way with the placement of any
19 suspension or hold on [plaintiff's] Georgia driver's license" (see Reese Decl. ¶ 6; Horton
20 Decl. ¶ 6), and that he was "not involved in generating, sending or receiving any
21 correspondence in [plaintiff's] case" (see id.).

22 Plaintiff has offered no evidence contradicting any of the above-referenced
23 representations. The only evidence submitted in opposition to the motion is what appear to
24 be two form letters, only one of which is addressed to plaintiff and neither of which is signed
25 by either of the defendants. (See Opp'n, Ex. K.)

26 Accordingly, the motion to dismiss plaintiff's state law claims will be granted.

27 //

28

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

CONCLUSION


For the reasons stated above,³ the Court hereby GRANTS in part defendants' motion to dismiss as follows:

1. To the extent the motion seeks dismissal of plaintiff's federal claims for lack of venue, the motion is hereby GRANTED in part and, in lieu of dismissal, the federal claims are hereby TRANSFERRED to the Northern District of Georgia pursuant to 28 U.S.C. § 1406(a).

2. To the extent the motion seeks dismissal of plaintiff's state law claims for lack of personal jurisdiction, the motion is hereby GRANTED and the state law claims are hereby DISMISSED for lack of personal jurisdiction, without prejudice to plaintiff's refiling said claims in the appropriate forum.

IT IS SO ORDERED.

Dated: March 19, 2013


MAXINE M. CHESNEY
United States District Judge

³ In light of said findings, the Court does not address herein defendants' additional arguments in support of dismissal.