### Sun Life Assurance Company of Canada Long Term Disability Claim Packet – Attending Physician



Attending Physician's Statement – Behavioral health conditions only

	The patient is responsible f	or any costs associated:	vith t	the complet	ion of this form	
lease print clearly	Name of Patient (first, mi	ddle initial, last) 🗀	М	Social Sec	curity number	Date of birth (m/d/y)
•			F			\
	Do you believe this patie	nt is competent to endo	se c	hecks?		🗋 Yes 🔲 No
						.)
	In order to evaluate a claim information about his/her m	for Disability Benefits s redical condition. Please	bmit resp	tted by your ond to the f	patient, we ne following questi	ed more detailed ons. Thank you.
	Axis I	DSI	1 I V	TR Code		
•	Axis II	DSI	۷IV	TR Code		
•	· · · · Axis III	Na	Code	C		
	Axis IV	Ni-	Code	e	· · · · · · · · · · · · · · · · · · ·	
	Axis V				•···· <u>····</u>	
	GAF: Current:	Baseline:			Highest in pa	st vear:
	GAF. Cuitetti.	Dasemie.			[ 1 1 3 1 0 0 1 1 1 P 2	
Treatment Informa	ition					
Treatment Informa						
Treatment Informa	When did the patient first	t experience psychiatric	sym	nptoms?		
Treatment Informa	When did the patient first					
Treatment Informa						
Treatment Informa	When did the patient first What was the first date y	ou treated the patient f	or sy	mptoms?	at least)	
Treatment Informa	When did the patient first	ou treated the patient f	or sy	mptoms?	nl, last)	
Treatment Informa	When did the patient first What was the first date y Name of first treating phy	ou treated the patient f	rst, n	mptoms?		ram or partial
Treatment Informa	When did the patient first What was the first date y Name of first treating phy Please list facilities and o	ou treated the patient f	rst, n	mptoms?		ram, or partial
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XGR/1642 • LTD Claim Packet - Attending Physician

Page 6 of 8

SUN BAXTER 000973

on continued	•		Ó	
		·····	—— <del>-</del>	<del></del>
Has there been any psychological testing? If ava	ailable, provide resu	ts.	Ţ	
If not, why?			9 ;	
Are there any plans in the future to perform testing	ng?		5	
			1,	
List medications with dosages. Please note any	recent changes.			
Please describe patient's response to treatment methods of treatment being considered.)	t to date. (include ar	y past trea	tments ar	nd additional
Please describe if the patient's psychiatric cond	lition is limiting the p	atient's fun	ctional ca	pacity.
	+4000 660			
How long will those limitations apply? (estimate ☐ 6 weeks ☐ 8 weeks		oks [	] longer	
	omnlete. I have read	and under	stand the	Fraud
Warning on page 2 of this packet.				
Name of Attending Physician (first, middle initial	I, last)	Degree/Sp	pecialty	
Street address	City	<u> </u>	State	Zip Code
Tax ID number	Telephone num	ber F	ax numb	at 1
	£			
Attending Physician Signature X		£	Date	
	Are there any plans in the future to perform testicular Current treatment methods/treatment plan, please List medications with dosages. Please note any Please describe patient's response to treatment methods of treatment being considered.)  Please describe if the patient's psychiatric conditions apply? (estimate of 6 weeks \$\square\$ 8 weeks  gnature  I certify that the above statements are true and continuous warning on page 2 of this packet.  Name of Attending Physician (first, middle initial Street address)	If not, why?  Are there any plans in the future to perform testing?  Current treatment methods/treatment plan, please describe.  List medications with dosages. Please note any recent changes.  Please describe patient's response to treatment to date. (include ar methods of treatment being considered.)  Please describe if the patient's psychiatric condition is limiting the patient being considered.)  How long will those limitations apply? (estimated)  6 weeks 8 8 weeks 12 weeks  I certify that the above statements are true and complete. I have read warning on page 2 of this packet.  Name of Attending Physician (first, middle initial, last)  Street address City	Are there any plans in the future to perform testing?  Current treatment methods/treatment plan, please describe.  List medications with dosages. Please note any recent changes.  Please describe patient's response to treatment to date. (Include any past trea methods of treatment being considered.)  Please describe if the patient's psychiatric condition is limiting the patient's fun.  How long will those limitations apply? (estimated)  6 weeks 8 weeks 12 weeks  I certify that the above statements are true and complete. I have read and underwarning on page 2 of this packet.  Name of Attending Physician (first, middle initial, last)  Degree/S  Street address  City	Are there any plans in the future to perform testing?  Current treatment methods/treatment plan, please describe.  List medications with dosages. Please note any recent changes.  Please describe patient's response to treatment to date. (Include any past treatments are methods of treatment being considered.)  Please describe if the patient's psychiatric condition is limiting the patient's functional cather and the limitations apply? (estimated)  6 weeks 8 weeks 12 weeks 10 noger gnature  I certify that the above statements are true and complete. I have read and understand the Warning on page 2 of this packet.  Name of Attending Physician (first, middle initial, last)  Degree/Specialty  Street address  City  State

This D	ocument Was Received With:	□ COLA □ FICA	□ Appeai U
0	Original Death Certificate	☐ General Cal Workshe ☐ Other	et Demand  Full and Final Release
0	Raised Seal Present On:	(Activities/Financial Checonomics Overpayment Workshop Partial Cal Workshop	neet DOA / Guardianship
0	Certified Document:	☐ Pension ☐ Personal Check ☐ Rehab Earnings	Medical Docs 1
0	No Raised Seals	□ Salary Adjustment □ SLF Check □ SLF Check Undelive	rable
0	No Certified Documents	☐ Social Security ☐ SS Award	a FCE
0	EMSI Best Copy available (Please Index) Original Policy	□ SS Calc Worksheet □ SS Reimbursement □ WCB	Internal Medical Opinion Internal Psychiatric Opinion List of Providers
0	Original Policy	General Docs	Medical Bills
0	Prepaid Envelope For:	☐ Authorizations ☐ Change of Address ☐ Complaints	☐ Other Medical☐ Surveillance
0	Referenced Enclosure Was Not Found:	☐ Correspondence☐ EFT Forms	New Claim Docs  Attending Physician
Retur	Not At This Address Insufficient Address Forwarding Order Expired	E-Mail  FML  Job Description  Notice of Return to Notice of Death Other Adjustments Other Police / Accident Re Proof of Age Surveillance Surveillance Surveillance Bill Unassigned Doc Type (Personal Profiles)	Statement Complete Claim Package Employee Statement Enrollment Card Employer Statement Notice of Claim Other New Claim Documents Partial Claim Package Sun Advisor Telephonic Transitional Claim Form
		Telephone Template	Rehab Docs
		G respione rempiate	□ Rehab Bills □ External Vendor Corresp □ FCE Report □ Other □ Rehab Correspondence □ TSA / LMS

Adjustments

**Legal Docs** 

Personally Prepped By: \_Carol W.\_

## Sun Life Assurance Company of Canada Authorization



#### 1. Authorization for Release and Disclosure of Health Related Information

This Authorization complies with the HIPAA Privacy Rule.

It is important for you to read and sign all three Authorizations: Incomplete information could delay your application.. I HEREBY AUTHORIZE any: (a) physician, health care provider, health plan, medical professional, hospital, clinic, laboratory, therapist, pharmacy or other medical or health care facility that has provided payment, treatment or services to me or on my behalf; (b) benefit plan administrator; (c) employer; (d) insurance company; (e) insurance support organization; or the Medical Information Bureau, Inc., to disclose my entire medical record and any other protected health information concerning me to Sun Life Assurance Company of Canada ("The Company") its subsidiaries, affiliates, third party administrators and reinsurers.

I understand that such information may include records relating to my physical or mental condition such as diagnostic tests, physical examination notes and treatment histories, which may include information regarding the diagnosis and treatment of human immunodeficiency virus (HIV) infection, sexually transmitted diseases and mental illness, and the use of alcohol, drugs and tobacco, but shall not include psychotherapy notes.

By my signature below, I acknowledge that any agreements I have made to restrict my protected health information do not apply to this Authorization, and I instruct any entity named above to release and disclose my entire medical record without restriction.

I understand that The Company will use the information it obtains to: (a) underwrite my application for coverage, (b) make eligibility, risk rating, policy issuance and enrollment determinations; (c) obtain reinsurance; (d) administer claims and determine or fulfill responsibility for coverage and provision of benefits; (e) administer coverage; and/or (f) conduct other legally permissible activities that relate to any coverage 1 have or have applied for with The Company.

If this Authorization is signed in connection with a claim for insurance benefits, I hereby authorize The Company to disclose any information it obtains about me to any: (a) insurance company; (b) third party administrator; (c) rehabilitation or vocational professional; and (d) treating physician, psychologist or therapist/counselor of mine, for the purpose of verifying, evaluating, negotiating, determining and/or adjudicating my claim. I further authorize The Company to disclose any information it obtains about me to the Medical Information Bureau, Inc.

I understand that The Company will not disclose information it obtains about me except as authorized by this Authorization; as may be required or permitted by law; or as I may further authorize. I understand that if information is redisclosed as permitted by this Authorization, it may no longer be protected by applicable federal privacy law.

This Authorization shall apply to information relating to my dependents where applicable.

I understand that: (a) this Authorization shall be valid for 24 months from the date I sign it; (b) I may revoke it at any time by providing written notice to the Group Compliance Department, Sun Life Financial, SC 2260, One Sun Life Executive Park, Wellesley Hills, Massachusetts, 02481, subject to the rights of any person who acted in reliance on it prior to receiving notice of its revocation; and (c) my authorized representative and I are entitled to receive a copy of the Authorization upon request.

A copy of this Authorization shall be as valid as the original.

Print Name of Employee or Authorized Representative	Group Policy Number
Ted Baxter	067534
Signature of Employee or Authorized Representative	Date (m/d/y)
X Todas C	10-7-07

2007

This Authorization complies with the HIPAA Privacy Rule.

It is important for you to read and sign all three Authorizations. Incomplete information could delay your application. HEREBY AUTHORIZE any: (a) physician, health care provider, health plan, medical professional, hospital, clinic, therapist or other medical or health care facility that has provided payment, treatment or services to me or on my behalf; (b) insurance company; and (c) insurance support organization to disclose any psychotherapy notes relating to me to Sun Life Assurance Company of Canada ("The Company") its subsidiaries, affilitates, third party administrators and reinsurers.

By my signature below, I acknowledge that any agreements I have made to restrict my protected health information do not apply to this Authorization, and I instruct any entity named above to release and disclose all psychotherapy notes relating to me without restriction.

I understand that The Company will use the information it obtains to: (a) underwrite my application for coverage, (b) make eligibility, risk rating, policy issuance and enrollment determinations; (c) obtain reinsurance; (d) administer claims and determine or fulfill responsibility for coverage and provision of benefits; (e) administer coverage; and/or (f) conduct other legally permissible activities that relate to any coverage I have or have applied for with The Company.

If this Authorization is signed in connection with a daim for insurance benefits, I hereby authorize The Company to disclose any information it obtains about me to any: (a) insurance company; (b) third party administrator; (c) rehabilitation or vocational professional; and (d) treating physician, psychologist or therapist/counselor of mine, for the purpose of verifying, evaluating, negotiating, determining, and/or adjudicating my claim.

I understand that The Company will not disclose information it obtains about me except as authorized by this Authorization; as may be required or permitted by law; or as I may further authorize. I understand that if information is redisclosed as permitted by this Authorization, it may no longer be protected by applicable federal privacy law.

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A copy of this Authorization shall be as valid as the original.

Print Name of Employee or Authorized Representative	Group Policy Number
Ted Barter	(267534
Signature of Employee or Authorized Representative	Date (m/d/y)
X° PN B	10-7-07

2007

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I understand that The Company will use the information it obtains to: (a) underwrite my application for coverage, (b) make eligibility, risk rating, policy issuance and enrollment determinations; (c) obtain reinsurance; (d) administer claims and determine or fulfill responsibility for coverage and provision of benefits; (e) administer coverage; and/or'(f) conduct other legally permissible activities that relate to any coverage I have or have applied for with The Company.

If this Authorization is signed in connection with a claim for insurance benefits, I hereby authorize The Company to disclose any information it obtains about me to any: (a) insurance company; (b) third party administrator; (c) rehabilitation or vocational professional; and (d) treating physician, psychologist or therapist/counselor of mine, for the purpose of verifying, evaluating, negotiating, determining, and/or adjudicating my claim. I further authorize The Company to disclose any information it obtains about me to the Medical Information Bureau, Inc.

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A copy of this Authorization shall be as valid as the original.

Print Name of Employee or Authorized Representative	Group Policy Number
Signature of Employee or Authorized Representative	Date (m/d/y)
X Lall Bank	<u>  [0-7.07</u>

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Person	ally Prepped By: _Jeanette C	Adjustments	Legal Docs 2
This D	ocument Was Received With:	□ COLA □ FICA	☐ Appeal [] ☐ Court Order
0	Original Death Certificate	General Cal Worksheet Other	□ Demand □ Full and Final Release
0	Raised Seal Present On:	(Activities/Financial Check/Bill)  Overpayment Worksheet	☐ Other ① ☐ POA / Guardianship ☐ Subrogation
o	Certified Document:	<ul> <li>□ Partial Cal Worksheet</li> <li>□ Pension</li> <li>□ Personal Check</li> <li>□ Rehab Earnings</li> </ul>	Medical Docs
0	No Raised Seals	□ Salary Adjustment □ SLF Check □ SLF Check Undeliverable	☐ APS Behavioral Health Condition ☐ APS Supplementary ☐ Clinical Notes
0	No Certified Documents	☐ Social Security☐ SS Award	- FCE
٥	EMSI Best Copy available (Please Index)	<ul><li>□ SS Calc Worksheet</li><li>□ SS Reimbursement</li><li>□ WCB</li></ul>	Internal Medical Opinion Internal Psychiatric Opinion
0	Original Policy	Geperal Docs	☐ List of Providers☐ Medical Bills
0	Prepaid Envelope For:	Authorizations Change of Address	□ Other Medical □ Surveillance
0	Referenced Enclosure Was Not Found:	☐ Correspondence ☐ EFT Forms ☐ E-Mail	New Claim Docs  - Attending Physician Statement
Retur	ned Post Office Mail:	☐ FML ☐ Job Description ☐ Notice of Return to Work ☐ Notice of Death	<ul> <li>Complete Claim Package</li> <li>Employee Statement</li> <li>Enrollment Card</li> </ul>
٥	Not Deliverable	Other Adjustments	<ul><li>Employer Statement</li><li>Notice of Claim</li></ul>
٥	Return To Sender	☐ Other☐ Police / Accident Report	D Other New Claim
٥	Not At This Address	□ Proof of Age	Documents  Partial Claim Package
٥	Insufficient Address	☐ Surveillance☐ Surveillance Bill	□ Sun Advisor
٥	Forwarding Order Expired	Unassigned Doc Type (Personal Profiles)	Telephonic Transitional Claim Form Unassigned Doc Type
Note	s:		Onassigned Doc Type
		General Worksheet	Rehab Docs
		Telephone Template	Rehab Bills  External Vendor Corresp  FCE Report  Other  Rehab Correspondence  TSA / LMS

Updated: September 24, 2007

#### SUPPLEMENTAL INFORMATION QUESTIONNAIRE

Insured's Name: Ted Baxler	Policy No.: 067534
Please provide full and complete responses, i needed, please feel free to use the back of this	ndicating "none" where applicable. If more space is s form.
1. Name(s) and complete address(es) of any condition between $\frac{9}{1000}$	medical care provider you consulted for any 1 and wreat (10/7/07
Name of Doctor Dr. Terence Sullivan	Complete Address 200 S. Michigan Ave Chicago IL 60604
Dr Jesse Taber	210 SO Ridge Pave Evanston IL 60201
treatment, consultation, care or services (incl	ss of any hospital/clinic where you received medical luding diagnostic measures) d_18 7 03
Northwestern Hospital 251 &	Date Treated  Nuran Chicago II 60611 718/07  idge Ave Evanson II 60201 6/11/07  michigan Ave Chicago 9/07
3. List any medications or prescribed drugs	taken for any reason between 8 1 00 and 10/7 07
Name of drug or medicine  Lamictal  Lipitar  Aricept  Picacetan  3319834	Prime Therapedous current Dr Taber  14 Prime 8/104-10/107 Dr Sullivan  83 Prime Herapeobics 8/100 Dr Taber

	Complete Address (include Street/City/State/Zip) : 3134
Prime Therapeautics	2901 Kinwest Play Did D Frying 1 x 35003 - 3131
Pharmacy Solutions	5204 Jackson Ave C Ann Arbor MIT 48103
Sa. Who is your current medica	al carrier? Complete Address (include Street/City/State/Zip)
Blue Cross blue Sh Medicare 5b. Have you changed medical If yes, please provide the no	carriers in the last two years? Medicarries was added arme of your prior medical carrier
FRAUD NOTICE	· • •
Any person who knowingly file information is subject to crimina Physician's portion of the claim	s a statement of claim containing any false or misleading all and civil penalties. This includes the Employer and Attending form.
X Jdw ba	10- <u>7-07</u> Date

Personally Prepped By: _Jeanette C	Adjustments	Legal Docs		
This Document Was Received With:	□ COLA □ FICA	D Appeal D Court Order		
o Original Death Certificate	☐ General Cal Worksheet ☐ Other	Demand Final Release		
o Raised Seal Present On:	(Activities/Financial Check/Bill)  Overpayment Worksheet	□ Other 🗓 □ POA / Guardianship		
o Certified Document:	☐ Partial Cal Worksheet☐ Pension☐ Personal Check☐	Subrogation  Medical Docs!		
o No Raised Seals	☐ Rehab Earnings ☐ Salary Adjustment ☐ SLF Check	□ APS Behavioral Health Condition		
o No Certified Documents	<ul><li>SLF Check Undeliverable</li><li>Social Security</li></ul>	☐ APS Supplementary ☐ Clinical Notes ☐ FCE		
o EMSI Best Copy available (Please Index)	<ul><li>□ SS Award</li><li>□ SS Calc Worksheet</li><li>□ SS Reimbursement</li><li>□ WCB</li></ul>	☐ IME ☐ Internal Medical Opinion ☐ Internal Psychiatric Opinion		
o Original Policy		List of Providers		
o Prepaid Envelope For:	General Docs  Authorizations Change of Address	Medical Bills Other Medical Surveillance		
o Referenced Enclosure Was Not Found:	☐ Complaints ☐ Correspondence ☐ EFT Forms ☐ E-Mail	New Claim Docs    Attending Physician		
Returned Post Office Mail:	☐ FML☐ Job Description☐ Notice of Return to Work	Statement Complete Claim Package Employee Statement Enrollment Card		
o Not Deliverable	<ul><li>Notice of Death</li><li>Other Adjustments</li></ul>	Employer Statement Notice of Claim		
o Return To Sender	☐ Other☐ Police / Accident Report☐	D Other New Claim		
o Not At This Address	☐ Proof of Age	Documents o Partial Claim Package		
<ul> <li>Insufficient Address</li> <li>Forwarding Order Expired</li> </ul>	Surveillance  Surveillance Bill	Sun Advisor Telephonic		
	Unassigned Doc Type (Personal Profiles)	D Transitional Claim Form		
Notes:	C 1887	Unassigned Doc Type		
	General Worksheet	Rehab Docs		
	☐ Telephone Template	Rehab Bills  External Vendor Corresp  FCE Report  Other  Rehab Correspondence  TSA / LMS		
	-	Updated: September 24, 2007		

SUN BAXTER 000982

LAW OFFICES

October 11, 2007

#### Daley, DeBofsky & Bryant

Ste 2440 () Chicago, Illinois 60603 VOICE (312) 372-5200

VOICE (312) 372-5200 FAX (312) 372-2778] WEB ddbchicago.com

55 W Monroe St

Frederick J. Daley Jr. 1 Mark D. DeBofsky 5 David A. Bryant Marcie E. Goldbloom James R. Comerford 1 Violet H. Borowski 5 Sandra M. Dyc 1 Gregory A. Benker 5

Of Counsel Heather F. Aloe

Robert Goodall SunLife P.O. Box 81830 Wellesley Hills, MA 02481

RE: Ted Baxter

Dear Mr. Goodall:

Enclosed please find the completed Supplemental Information Questionnaire, Disability Information Update, and authorization forms from our client, Ted Baxter. If you have any questions, please contact us. Thank you for your attention to this matter.

Very truly yours,

Mark D. DeBofsky

MDD/ms

Enclosures

cc: Kelly/Ted Baxter

### Sun Life Assurance Company of Canada

Disability Information Update

9
Sun Life Financial"

To be completed by the disability claimant. Please print clearly.

1	Info	rmati	on a	out	the	clai	mant
	mv	111011	UII G	~~~	1110		1111111

Your name (first, middle initial, last) Ted 1, Boxter		Social Security Number
Street address 55 E. Erie St + 2305		Phone number, 312 /0549949
City Chicaco	State	Zip code 6061
Name of your employer Previous employer Citadel		Group palicy number 067534
1. Since you became disabled, have you acquired (or do plan to acquire) any additional education or training?	you	
<ol><li>Are you currently working or have you worked at any inception of your disability for any employer or in you</li></ol>	time since ur own bu	the siness? \( \text{Yes} \) No
If Yes, please describe below:		
		······································

#### 2 Income Information

These questions should be answered to reflect your current income status. Please answer "yes" or "no" to each source of income other then Sun Life Assurance Company of Canada Long Term Disability Benefits. For every "yes" answer, indicate the current amount of each periodic benefit (per week or per month).

Have you received, or are you receiving, income from any of the following sources?						
1.	Social Security or Railroad Retirement Act	Yes	No	Current Amount		
	a) Primary (amount for person disabled)	0		52130°°		
	b) Dependent			<u>[</u>		
2.	Workers' Compensation or similar legislation		Ø	[\$		
3.	Group, Franchise or Wholesale Income Replacement Plan			[3		
4.	Veteran's Administration or Welfare Plan			[5]		
5.	Monthly Income Disability Benefit from any Group Life policies	旦		15/295/9		
6.	Federal, State, Provincial, Municipal or other Government Agency		Ĉ,	<u>{s</u>		
7.	Pension or Retirement Allowance		B.	S		
8.	Wages, Salary, Commissions and fees for Personal Services Rendered		R	<b>S</b>		
٥	Other sources	П	D	Fs		

#### 3 Additional Information

For any "Yes" answer in Section 2, provide the following information.

Name and address of income source	Policy or Claim No., if any	Exact date benefits commenced or will commence
Social security disability	50-3715	4A 7/05
1500 Woodlawn On Bolhmore, MO	., ス1ス41	
Sun Life	\$3000S	009817105
L PO Box 9106 Wellesley Hil	is, ma	02481

#### 4 Certification and Signature

I certify that the above statements are true and complete and I authorize physicians, hospitals and my employer to release information with respect to this claim. I understand that some states require Sun Life Assurance Company of Canada to notify me that any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may be guilty of insurance fraud.

Signature of claimant		Date signed
Digitatore of Cignitiant	200	wate signed
	<b>K</b> ( ) )	1/2.00
1X Modell		(0~/~0_(

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Person	any Preppea by:Jeanene C	Adjustments	Legal Docs
This D	ocument Was Received With:	□ COLA □ FICA	☐ Appeal ☐ Court Order ☐ Demand
0	Original Death Certificate	☐ General Cal Worksheet ☐ Other	☐ Full and Final Release
0	Raised Seal Present On:	(Activities/Financial Check/Bill)  Overpayment Worksheet	DOTHER DO OTHER DOTHER
0	Certified Document:	<ul> <li>□ Partial Cal Worksheet</li> <li>□ Pension</li> <li>□ Personal Check</li> <li>□ Rehab Earnings</li> </ul>	Medical Docs
0	No Raised Scals	☐ Salary Adjustment ☐ SLF Check ☐ SLF Check Undeliverable	□ APS Behavioral Health Condition □ APS Supplementary □ Clinical Notes
0	No Certified Documents	□ Social Security □ SS Award	D FCE
0	EMSI Best Copy available (Please Index)	□ SS Calc Worksheet □ SS Reimbursement □ WCB	Internal Medical Opinion Internal Psychiatric Opinion
0	Original Policy	General Docs	List of Providers  Medical Bills
0	Prepaid Envelope For:	□ Authorizations □ Change of Address	Other Medical Surveillance
٥	Referenced Enclosure Was Not Found:	Complaints Correspondence Correspondence Correspondence Correspondence Correspondence Correspondence	New Claim Docs
Retur	ned Post Office Mail:	☐ E-Mail ☐ FML ☐ Job Description ☐ Notice of Return to Work ☐ Notice of Death	Statement Complete Claim Package Employee Statement Enrollment Card Employer Statement
0	Not Deliverable	Other Adjustments	Notice of Claim
0	Return To Sender	Other Dolice / Accident Report	o Other New Claim
0	Not At This Address	□ Proof of Age	Documents  Partial Claim Package
0	Insufficient Address	□ Surveillance	Sun Advisor
0	Forwarding Order Expired	☐ Surveillance Bill ☐ Unassigned Doc Type (Personal Profiles)	<ul><li>Telephonic</li><li>Transitional Claim Form</li></ul>
Note	es:	(Fersolal Frontes)	□ Unassigned Doc Type
		General Worksheet	Rehab Docs
		☐ Telephone Template	Rehab Bills
			☐ External Vendor Corresp☐ FCE Report☐ Other☐ Rehab Correspondence

Updated: September 24, 2007

□ TSA/LMS



October 18, 2007

Sun Life Assurance Company of Canada SC 3208 One Sun Life Executive Park Wellesley Hills, MA 02481-5699

1-800-247-6875

Mark D. DeBofsky Law Offices of Daley, DeBofsky & Bryant 55 W Monroe St., Ste 2440 Chicago, IL 60603

Re:

Policy No. 067534-GD-Long Term Disability

Control # 230605-00981-00

Claimant: Ted Baxter

Dear Mr. DeBofsky:

This is in response to your letter of October 11 which included a copy of the recent settlement agreement between the Baxter's and Evanston Hospital and previously requested completed claim documents.

Since we have not made a formal determination at this time whether the proceeds of the settlement would be considered an offset as an Other Income Benefit under the policy, we will continue to provide Mr. Baxter the net monthly benefit while we continue to assess his this matter, pending a final determination. The benefit payments are made as a good faith effort to avoid any financial hardship during this continuing review process. We do reserve the right to decline benefits if our further determination fails to support the claim within the terms of the policy.

Mr. Baxter's policy contains the following terms and provisions:

#### **Total Disability Benefit**

If an Employee is Totally Disabled, the Net Monthly Benefit will be calculated based on the Total Disability Benefit formula. An Employee qualifies for this benefit if:

- the Employee is not working or is earning less than 20% of his Indexed Total Monthly Earnings; and
- during the Elimination Period and the next 36 months, the Employee, because of Injury or Sickness, is unable to perform the Material and Substantial Duties of his Own Occupation.

After Total or Partial Disability benefits combined have been paid for 36 months, the Employee will continue to qualify for this benefit if he is unable to perform with reasonable continuity any Gainful Occupation for which he is, or becomes, reasonably qualified for by education, training or experience.

To determine the Total Disability Benefit:

- 1. Take the lesser of:
- a. the Employee's Total Monthly Earnings multiplied by the Benefit Percentage (shown in Section I, Schedule of Benefits); or
- b. the Maximum Monthly Benefit (shown in Section I, Schedule of Benefits); then

2. Subtract Other Income Benefits from the amount determined in Step 1.

Page 2 Ted Baxter

#### Other Income Benefits

Other Income Benefits are those benefits provided or available to the Employee while a Long Term Disability Benefit is payable. These Other Income Benefits, other than retirement benefits, must be provided as a result of the same Total or Partial Disability payable under this Policy. Other Income Benefits include:

9. Any amount due to income replacement or lost wages the Employee receives by compromise, settlement or other method as a result of a claim for any Other Income Benefit.

#### **Lump Sum Payment**

If an Employee receives a lump sum payment for any Other Income Benefits, Sun Life will prorate the lump sum on a monthly basis over the time period specified for the lump sum payment. If no time period is stated, the lump sum payment will be prorated on a monthly basis over a reasonable period of time as determined by Sun Life.

#### **Adjustment of Benefits**

The Employee must notify Sun Life in writing, within 31 days of receipt of notice, of the amount of Other Income Benefits when it is approved or if the amount is adjusted (other than for cost of living increases). Sun Life will make an adjustment to the Net Monthly Benefit payment when Sun Life receives written notice of the amount of the Other Income Benefit.

If after Sun Life makes an adjustment to the Net Monthly Benefit the Employee has been underpaid, Sun Life will immediately make a lump sum refund of the amount that has been underpaid to the Employee.

If after Sun Life makes an adjustment to the Net Monthly Benefit the Employee has been overpaid, the Employee must reimburse Sun Life the amount of the overpayment within 31 days of the award. Sun Life has the right to reduce or eliminate future LTD benefit payments until the amount of the overpayment has been repaid. During the overpayment reimbursement period, the Minimum Monthly Benefit will not apply.

As discussed, we received the report of the September 26, 2007 visit with Mr. Baxter. In follow up, we are requesting that Mr. Baxter provide us with a complete copy of the March 2007 Settlement Agreement with Evanston Hospital. We are requesting this documentation to determine whether the additional income received, as a result of the settlement, would fall under the above referenced Other Income Benefit provision.

Page 3 Ted Baxter

Additionally, we are still awaiting the Authorization forms and Attending Physician's Statement as previously requested and forwarded to Mrs. Baxter on August 28, 2007.

Should you have any questions regarding this matter, please feel free to call me at 1-877-260-9778, extension 7107.

Sincerely,

Robert Goodall Claim Consultant

Group Long Term Disability

SC 3208

we will be the first of the first of the first of

From:Daley,De Bofsky,& Bryant

LAW OFFICES

Daley, DeBofsky & Bryant

October 19, 2007

Robert Goodall SunLife P.O. Box 81830 Wellesley Hills, MA 02481 Sent by fax to 781.304.5537

> RE: Ted Baxter

Dear Mr. Goodall:

With respect to our client Tex Baxter, in response to your inquiry, please be advised that he has not undergone formal cognitive testing because his diminished cognition is so grossly evident that such testing is unnecessary. I would hope that your field investigator who met with Mr. and Mrs. Baxter made note of that fact. Although we have not seen the report, we expect it indicated that Mrs. Baxter had to answer most of the questions due to Mr. Baxter's obvious cognitive impairments in all spheres. Since Sun Life is implying a threat to cut off Mr. Baxter's benefits I have recommended that Mr. Baxter undergo such testing and we will provide the results.

If you have any questions, please contact our office. Thank you for your attention to this matter.

Very truly yours,

Mark D. DeBofsky

MDD/ccm

Kelly & Ted Baxter

55 West Monroe Suite 2440 Chicago, Illinois 60603

10/19/2007 11:24

VOICE (312) 372-5200 FAX (312) 372-2778 WEB ddbchicago.com

Frederick J. Daley Jr. Mark D. DeBofsky David A. Bryant Marcie E. Goldbloom James R. Comerford Violet H. Borowski Sandra M. Dye Gregory A. Benker

Of Counsel Heather F. Aloe

### Indexing Cover Sheet

Claim #	230605-00981-00
First Name	TED
Last Name	BAXTER
<u>Instructions</u>	Please index to the following :
Category	INVESTIGATION
Туре	OTHER
Additional Comments	
Requestor	THE for Robert Goodall

#### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION

TED BAXTER and KELLY BAXTER

٧.

Plaintiffs.

**EVANSTON NORTHWESTERN HEALTHCARE CORPORATION** d/b/a EVANSTON HOSPITAL; THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY; ENH MEDICAL GROUP, INC.; ) DANIEL HOMER, M.D.; JENNIFER STERN, M.D, and SIVARAJA KUPPUSWAMI, M.D.

Defendants

JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D.

Respondents in Discovery

#### NOTICE OF FILING

Corboy & Demetrio, David R. Barry, Jr., 33 North Dearborn Street, 21st Floor To: Chicago, Illinois 60602

PLEASE TAKE NOTICE THAT ON March & 2007, we filed with the Clerk of the Circuit Court, Cook County, in the Richard J. Daley Center, Chicago, Illinois, Defendants' Answer to Complaint at Law, a copy of which is attached hereto.

Name: Cunningham, Meyer & Vedrine, P.C.

Address: 111 W. Washington Street - 937

Telephone: (312) 578-0049

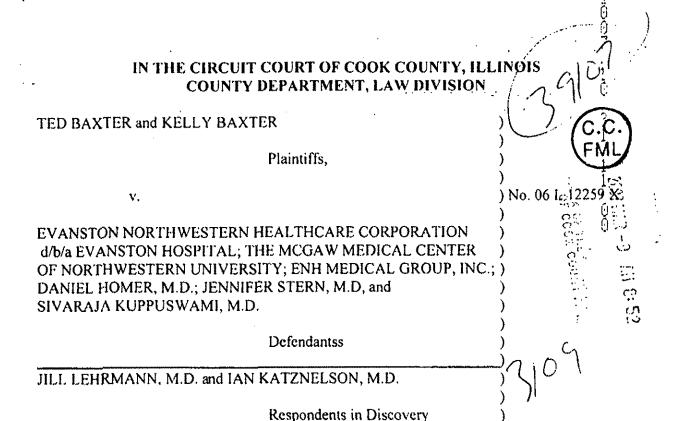
Attorney for: Certain Defendants/RID's City/State: Chicago, Illinois 60602

Atty No. 39107

#### PROOF OF SERVICE

The undersigned, a non-attorney, certify that I served this notice by mailing a copy to the above attorneys at their respective addresses and depositing the same in the U.S. Mail at 111 West Washington Street, Chicago, Illinois, before the hour of 5:00 p.m. on March 8, 2007, with proper postage prepaid.

Under penalties as provided by law pursuant to (x) 735 ILCS 5/1-109, I certify that the statements set forth herein are true and correct.



#### ANSWER TO COMPLAINT AT LAW

NOW COME defendants, EVANSTON NORTHWESTERN HEALTHCARE

CORPORATION d/b/a EVANSTON HOSPITAL; ENH MEDICAL GROUP, INC.; DANIEL

HOMER, M.D.; JENNIFER STERN, M.D, and SIVARAJA KUPPUSWAMI, M.D., by and
through their attorneys, in answer to Plaintiff's Complaint at Law, states as follows:

- 1. These defendants admit the allegations contained in paragraph one.
- 2. These defendants admit the allegations contained in paragraph two.
- 3. These defendants admit the allegations contained in paragraph three.
- 4. These defendants admit the allegations contained in paragraph four.
- 5. These defendants admit the allegations contained in paragraph five.
- 6. These defendants deny the allegations contained in paragraph six.
- 7. Objection. The allegations contained in paragraph seven call for a legal

DRB/kjs

2006S-0356

3/27/07

#02329

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION

TED BAXTER and KELLY BAXTER,

Plaintiffs,

No. 06 L 12259

EVANSTON NORTHWESTERN HEALTHCARE CORPORATION, d/b/a EVANSTON HOSPITAL and ENH MEDICAL GROUP, INC.

٧.

Defendants.

#### DISMISSAL ORDER

This cause coming on to be heard on oral motion of the Plaintiffs to dismiss this matter pursuant to an agreement entered into between the defendants, EVANSTON NORTHWESTERN HEALTHCARE CORPORATION, d/b/a EVANSTON HOSPITAL and ENH MEDICAL GROUP, INC., and the Plaintiffs:

IT IS HEREBY ORDERED that this cause is dismissed with prejudice, all costs paid;

4361

IT IS FURTHER ORDERED that this Court retains jurisdiction for the purpose of entering a Distribution Order in this cause.

ENTER

David R. Barry, Jr.
CORBOY & DEMETRIO, P.C.
Attorney for Plaintiffs
33 North Dearborn Street
Suite 2100
Chicago, Illinois 60602
(312) 346-3191

MAR 28 2007

DOROTHY BROWN
CLERK OF THE CIRCUIT COURT
OF COOK COUNTY, IL
DEPUTY CLERK

SUN BAXTER 000994

- 8. Objection. The allegations contained in paragraph eight call for a legal conclusion; therefore, no answer is made.
- 9. Objection. The allegations contained in paragraph nine call for a legal conclusion; therefore, no answer is made.
- 10. These defendants admit the allegations contained in paragraph ten.
- 11. These defendants admit that Drs. Homer, Stern, Kuppuswami and others were involved in this patient's care as reflected in the records, but object and make no answer to the allegations regarding agency and employment as they are legal conclusions.
- 12. These defendants admit that treatment was rendered to plaintiff on April 21, 2005, while in the emergency room, as reflected in the medical records.
- 13. These defendants admit that a CT scan was taken and interpreted by Dr.
  Kuppuswami as reflected in the medical records.
- 14. These defendants admit the allegations contained in paragraph fourteen.
- 15. These defendants admit the allegations contained in paragraph fifteen.
- 16. These defendants can neither admit nor deny the allegations contained in paragraph sixteen, but demand strict proof thereof.
- 17. These defendants admit the allegations contained in paragraph seventeen.
- 18. These defendants admit the allegations contained in paragraph eighteen.
- 19. These defendants admit the allegations contained in paragraph nineteen.
- 20. These defendants can neither admit nor deny but demand strict proof thereof.
- 21. These defendants admit the allegations contained in paragraph twenty-one.

- 23. These defendants admit the allegations contained in paragraph twenty-three.
- 24. These defendants deny the allegations contained in paragraph twenty-four.
- 25. These defendants deny the allegations contained in paragraph twenty-five.
- 26. These defendants deny the allegations contained in paragraph twenty-six.
- 27. These defendants deny the allegations contained in paragraph twenty-seven
- 28. These defendants can neither admit nor deny the allegations contained in paragraph twenty-eight, but demand strict proof thereof.
- 29. These defendants can neither admit nor deny the allegations contained in paragraph twenty-nine, but demand strict proof thereof.
- 30. These defendants deny the allegations contained in paragraph thirty.
- These defendants can neither admit nor deny the allegations contained in paragraph thirty-one, but demand strict proof thereof.
- 32. These defendants can neither admit nor deny the allegations contained in paragraph thirty-two, but demand strict proof thereof.
- 33. These defendants admit all duties imposed by law, and deny that the allegations contained in paragraph thirty-three are complete restatements of such duty.
- 34. These defendants admit all duties imposed by law, and deny that the allegations contained in paragraph thirty-three are complete restatements of such duty.
- These defendants deny the allegations (a) through (g) contained in paragraph thirty-five.
- 36. These defendants deny the allegations contained in paragraph thirty-six.

2007

WHEREFORE, EVANSTON NORTHWESTERN HEALTHCARE CORPORATION d/b/a EVANSTON HOSPITAL; ENH MEDICAL GROUP, INC.; DANIEL HOMER, M.D.; 3
JENNIFER STERN, M.D., and SIVARAJA KUPPUSWAMI, M.D., pray for judgment in their favor and against the plaintiff.

#### **COUNT II**

These defendants restate their answers to paragraphs one through thirty-six to Count I as their answers to paragraphs one through thirty-six of Count II.

Respectfully Submitted By,

One of the Attorneys for Defendants

Michael R. Slovis CUNNINGHAM, MEYER & VEDRINE, P.C. 111 West Washington Street - Suite 937 Chicago, Illinois 60602 (312) 578-0049 I.D. No. 39107

2/15/07

OIC.

#02329

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION

TED BAXTER and KELLY BAXTER,

v.

Plaintiffs,

EVANSTON NORTHWESTERN HEALTHCARE CORPORATION, d/b/a EVANSTON HOSPITAL; THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY; ENH MEDICAL GROUP, INC.; DANIEL HOMER, M.D.; JENNIFER STERN, M.D. and SIVARAJA KUPPUSWAMI, M.D.,

Desendants,

JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D.,

Respondents in Discovery.

FILED-2
FILED-

OL 12259

### PLAINTIFFS' INTERROGATORIES TO DEFENDANTS, DANIEL HOMER, M.D.; JENNIFER STERN, M.D., and SIVARAJA KUPPUSWAMI, M.D.

NOW COME the Plaintiffs, Ted Baxter and Kelly Baxter, through their attorneys, Corboy & Demetrio, P.C., and request that defendants, Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D., answer the following interrogatories, in writing and under oath, within twenty-eight (28) days, pursuant to Illinois Supreme Court Rule 213:

1. State the full name, professional, and residential address of the physician answering these interrogatories.

#### ANSWER:

2. Have you ever been named a defendant in a lawsuit arising from a malpractice or professional negligence? If so, state the title of the case, the number, and jurisdiction where filed.

#### ANSWER:

3. Since the institution of this action have you been asked to appear before or attend any medical committee or official board of any medical specialty or hospital for the purpose of discussing this case? If so, on what date or dates and before which committee or board?

#### ANSWER:

4. State the names, addresses, and specialties of each and every physician with whom you discussed the care and treatment of the patient complained of or consulted with pertinent to the patient's condition and the purpose of each discussion or consultation from the time you began treatment of the patient until the date of answering these interrogatories.

#### ANSWER:

5. List the names and addresses and medical capacity, if any, of all other persons who have knowledge of the facts of the medical treatment rendered to the patient complained of or of the injuries, conditions, or damages following therefrom.

#### ANSWER:

6. Please state the name, title, and date of publication of all medical texts, books, journals, or other written memoranda or documents which defendant or his attorneys plan to use as authority or reference in defending any of the allegations set forth in the complaint.

#### ANSWER:

7. Please state whether defendant, his/her attorneys, or any agents, representatives, or employees of this defendant have obtained a written or oral statement from the patient complained of or his/her relatives in this case; and, if so, state the name of the person giving the statement, the date of said statement, whether said statement was written or oral; and, if said statement was written, please attach a copy to your answers; or, if oral, please attach a detailed summary of said statement.

#### ANSWER:

8. Has your license to practice ever been suspended or any disciplinary action ever taken against you in reference to your license to practice medicine? If so, give the dates and details thereof.

#### ANSWER:

Have you ever been asked to appear or attend before any medical committee or official board of any medical society or hospital for the purpose of discussing this case? If so, 1 on what date or dates and before which committee or board?

#### ANSWER:

10. Please state the names and addresses of all persons who observed the care and treatment of Ted Baxter between 9:23 p.m. on 4/21/05 and his admission to the hospital as an inpatient on 422/05 and/or have knowledge of the circumstances surrounding said events.

#### ANSWER:

Have you ever testified in court in a medical malpractice case? If so, state the court and case number of such case, the approximate date of such testimony, the names and addresses of the parties involved, and the names and addresses of the respective attorneys involved.

#### ANSWER:

12. Please state the date, place, and time of each and every conversation between Ted or Kelly Baxter and the answering doctor, and please give a detailed summary of the nature and content of said conversation.

#### ANSWER:

13. Please state the name and medical specialty of any person with whom defendant consulted pertinent to the condition of care of plaintiff—from the time of the inception of your care and treatment of said plaintiff to the present date.

#### ANSWER:

14. Do you have statements from any witnesses? If so, give the name and address of each such witness, the date of said statement, and state whether such statement was written or oral.

#### ANSWER::

15. State the name, address, title, and specialty of any expert witness or any witness who will be called upon to render any opinion evidence at trial.

#### ANSWER:

- 16. Were you covered under any policy of liability insurance effective on the date of the occurrence alleged in the complaint? If the answer is in the affirmative, please state:
  - a. The name of the insurance company;
  - b. The policy number;
  - c. The effective policy period;
  - d. The maximum liability limits for each person and each occurrence; and
  - e. The named insured under the policy.

#### ANSWER:

- 17. Were you covered under any policy of liability insurance effective on the date of the occurrence alleged in the complaint that provided coverage other than the basic limits disclosed as the primary insurance policy? This inquiry includes, but is not limited to, any other basic limit or primary policy that possibly applied, could have applied, probably applied, or did apply to the occurrence alleged in the complaint. This inquiry also includes, but is not limited to, any corporate excess, personal excess, umbrella or back-up policy that possibly applied, could have applied, probably applied, or did apply to the occurrence alleged in the compliant. If your answer is in the affirmative, please state:
  - a. The name of the insurance company;
  - b. The policy number;
  - c. The effective policy period;
  - d. The maximum liability limits for each person and each occurrence; and
  - e. The named insured under the policy.

#### ANSWER:

David R. Barry, Jr.

CORBOY & DEMETRIO, P.C. Attorneys for Plaintiffs 33 North Dearborn Street, 21st Floor Chicago, Illinois 60602 (312) 346-3191 Firm I.D. No. 02329 Ĉ

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DRB/kjs

#### 2006S-0356

2/26/07

IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION

(1102929

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TED BAXTER and KELLY BAXTER,	).		6.0
Plaintiffs,	) )		
v.	) ) No.	06 L 12259	1
EVANSTON NORTHWESTERN HEALTHCARE CORPORATION, d/b/a EVANSTON HOSPITAL; THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY; ENH MEDICAL GROUP, INC.; DANIEL HOMER, M.D.; JENNIFER STERN, M.D. and SIVARAJA KUPPUSWAMI, M.D.,	) ) ) ) ) )	7)?	53\ 41\
Defendants,	) )	. 0	3810
JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D.,	<i>)</i> )		ý

#### NOTICE OF FILING

TO: Mr. Michael R. Slovis, Cunningham Meyer & Vedrine, PC, 111 W. Washington Street, Suite 937, Chicago, Illinois 60602.

Respondents in Discovery.

PLEASE TAKE NOTICE that I have this date filed with the Clerk of the Circuit Court of Cook County, County Department, Law Division, the attached:

Plaintiffs' Answers to Defendants, Evanston Northwestern Corporation, d/b/a
 Evanston Hospital, ENH Medical Group, Inc., Daniel Homer, M.D., Jennifer Stern,
 M.D. and Sivaraja Kuppuswami, M.D., Interrogatories

Name: Corboy & Demetrio, P.C.

Address: 33 North Dearborn Street

Telephone: 312/346-3191

Attorney for: Plaintiff

City: Chicago, Illinois 60602

Atty No. 02329

CORBOY & MEMETRIO P.C.

Kathi Schultz, being first duly sworn on oath, deposes and says that she served a copy of the above Notice, together with the above-mentioned documents to the above named attorney(s) by messenger via hand delivery on the 27th day of February, 2007.

[X] Under penalties as provided by law pursuant to 735 Illinois Compiled Statutes 5/1-109 (1993). I certify that the statements set forth herein are true and correct.

#02329 3/20/07 DRB/kjs 2006S-0356 IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION TED BAXTER and KELLY BAXTER, Plaintiffs, No. 06 L 12259 ٧. **EVANSTON NORTHWESTERN HEALTHCARE** CORPORATION, d/b/a EVANSTON HOSPITAL; THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY; ENH MEDICAL GROUP, INC.; DANIEL HOMER, M.D.; JENNIFER STERN, M.D. MAR 2 3 2007 and SIVARAJA KUPPUSWAMI, M.D., Defendants, JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D., ) Respondents in Discovery.

#### NOTICE OF ROUTINE MOTION

Mr. Michael R. Slovis, Cunningham Meyer & Vedrine, PC, 111 W. Washington Street. TO: Suite 937, Chicago, Illinois 60602.

PLEASE TAKE NOTICE that on the 23rd day of March 2007 at 8:45 a.m. or as soon thereafter as counsel may be heard, I shall appear before the Honorable Judge Robert Lopez. Cepero presiding in Room 2205 of the Richard J. Daley Center, Chicago, Illinois, and present Plaintiffs' Routine Motion to Voluntarily Dismiss Cause of Action Against Defendants, The McGaw Medical Center of Northwestern University, Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D., and Respondents in Discovery, Jill Lehrmann, M.D. and Ian Katznelson, M.D., a copy of which is attached hereto.

Carbay & Demetrio Attorney for: Plaintiff Name: Corboy & Demetrio, P.C.

Address: 33 North Dearborn Street Atty No. 02329 Telephone: 312/346-3191

#### PROOF OF SERVICE

City: Chicago, Illinois 60602

Kathi Schultz, being first duly sworn on oath, deposes and says that she served a copy of the above Notice, together with the above-mentioned documents to the above named attorney(s) by hand delivery, before the hour of 5:00p.m. on the 21\* day of March, 2007.

Under penalties as provided by law pursuant to735 Illinois Compiled Statutes 5/1-10 I certify that the statements set forth herein are true and correct.

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DRB/kjs 2006S-0356 IN THE CIRCUIT COUL COUNTY DEPA	3/20/0 RT OF COOK COU! RTMENT, LAW DI	NTY, ILI	#02329 LINOIS	1 0
TED BAXTER and KELLY BAXTER,	)	,		3 1
Plaintiffs,	ý			1
v.	) ) )	No.	06 L 12259	: (j (j
EVANSTON NORTHWESTERN HEAL CORPORATION, d/b/a EVANSTON HO THE MCGAW MEDICAL CENTER OF				
NORTHWESTERN UNIVERSITY; ENH MEDICAL GROUP, INC.;	)		Law Div.	ED - 2205
DANIEL HOMER, M.D.; JENNIFER STI and SIVARAJA KUPPUSWAMI, M.D.,	ERN, M.D. )		MAR 23	
Defendants,	ý		OF COOK CO	UNITY IL
JILL LEHRMANN, M.D. and IAN KATZ	nelson, M.D)			
Respondent	s in Discovery. )			

# PLAINTIFFS' ROUTINE MOTION TO VOLUNTARILY DISMISS CAUSE OF ACTION AGAINST DEFENDANTS, DANIEL HOMER, M.D., JENNIFER STERN, M.D., SIVARAJA KUPPUSWAMI, M.D., AND RESPONDENTS IN DISCOVERY, JILL LEHRMANN, M.D. AND IAN KATZNELSON, M.D.

Plaintiffs, TED BAXTER and KELLY BAXTER, by their attorneys, Corboy & Demetrio, move this Honorable Court for entry of an order voluntarily dismissing defendants, The McGaw Medical Center of Northwestern University, Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D., and respondents in discovery, Jill Lehrmann, M.D. and Ian Katznelson, M.D., only, with prejudice and without costs pursuant to 735 ILCS 5/2-1009 of the Illinois Code of Civil Procedure.

This matter shall continue as to defendants, Evanston Northwestern Healthcare Corporation, d/b/a Evanston Hospital and ENH Medical Group, Inc.

9

WHEREFORE, plaintiffs, TED BAXTER and KELLY BAXTER, request an order polynomial dismissing defendants. The McGaw Medical Center of Northwestern University.

Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D., and respondents in discovery, Jill Lehrmann, M.D. and Ian Katznelson, M.D., only, with the case to continue against defendants, Evanston Northwestern Healthcare Corporation, d/b/a Evanston Hospital and ENH Medical Group, Inc.

Attorney for Plaintiff

David R. Barry, Jr.
CORBOY & DEMETRIO
33 North Dearborn Street
Suite 2100
Chicago, Illinois 60602
312/346-3191
Firm I.D. No. 02329

#02329 3/20/07 ... DRB/kis 2006S-0356 1 IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION TED BAXTER and KELLY BAXTER. Plaintiffs, 06 L 12259 No. ٧. **EVANSTON NORTHWESTERN HEALTHCARE** CORPORATION, d/b/a EVANSTON HOSPITAL; THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY: ENH MEDICAL GROUP, INC.; DANIEL HOMER, M.D.; JENNIFER STERN, M.D. and SIVARAJA KUPPUSWAMI, M.D., Defendants, JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D., ) Respondents in Discovery.

#### ORDER

THIS CAUSE COMING ON TO BE HEARD on Plaintiffs' request to voluntarily dismiss defendants, The McGaw Medical Center of Northwestern University, Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D., and respondents in discovery, Jill Lehrmann, M.D. and Ian Katznelson, M.D., only, with prejudice and without costs pursuant to 735 ILCS 5/2-1009 of the Illinois Code of Civil Procedure, due notice having been given, and the Court being fully advised in the premises;

Northwestern University, Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, 4336
M.D., and respondents in discovery, Jill Lehrmann, M.D. and Ian Katznelson, M.D., only, are
voluntarily dismissed with prejudice and without costs pursuant to 735 ILCS 5/2-1009 of the

Hinois Code of Civil Procedure. This matter shall continue against defendants. Evanston

Northwestern Healthcare Corporation, d/b/a Evanston Hospital and ENH Medical Group, Inc.

IT IS FURTHER ORDERED that plaintiffs may commence a new cause of action within one (1) year from the date of the entry of this order pursuant to 735 ILCS 5/13-217 of the Illinois Code of Civil Procedure.

ENTER:

JUDGE

Judge Robert Lopez Cepero

MAR 23 2007

Circuit Court - 1827

David R. Barry, Jr. CORBOY & DEMETRIO 33 North Dearborn Street Suite 2100 Chicago, Illinois 60602 312/346-3191 Firm I.D. No. 02329

2005N-023

### IN THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS COUNTY DEPARTMENT, LAW DIVISION

TED BAXTER and KELLY BAXTER,

Plaintiffs,

EVANSTON NORTHWESTERN
HEALTHCARE CORPORATION,
d/b/a EVANSTON HOSPITAL;
THE MCGAW MEDICAL CENTER OF
NORTHWESTERN UNIVERSITY;
ENH MEDICAL GROUP, INC.; DANIEL
HOMER, M.D.; JENNIFER STERN, M.D.
and SIVARAJA KUPPUSWAMI, M.D.;

Defendants.

JILL LEHRMANN, M.D. and IAN KATZNELSON, M.D.;

Respondents in Discovery.

No.

Plaintiffs Demand A Jury Trial Physician Certificate Attached Attorney Affidavit Attached

#### COMPLAINT AT LAW

#### COUNTI

Plaintiffs, TED BAXTER and KELLY BAXTER, through their attorneys, CORBOY & DEMETRIO, P.C., complaining of the defendants, EVANSTON NORTHWESTERN HEALTHCARE CORPORATION, d/b/a EVANSTON HOSPITAL (hereinafter "HEALTHCARE"), THE MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY (hereinafter "MCGAW"), ENH MEDICAL GROUP, INC. (hereinafter "ENH"), DANIEL HOMER, M.D. (hereinafter "HOMER"), JENNIFER STERN, M.D. (hereinafter "STERN") and SIVARAJA KUPPUSWAMI, M.D. (hereinafter "KUPPUSWAMI") and in support of their complaint state as follows:



- On April 21, 2005, defendant, HEALTHCARE, was an Illinois corporation that operated, managed, maintained and controlled Evanston Hospital, a duly licensed healthcare institution providing services as a hospital for patients.
- 2. On April 21, 2005, defendant, MCGAW, was an Illinois corporation serving as a consortium of general hospitals in Northwestern University employing various residents at Evanston Hospital, including but not limited to JENNIFER STERN, M.D.
- 3. On April 21, 2005, defendant, ENH, was an Illinois corporation employing various physicians at Evanston Hospital including, but not limited to DANIEL HOMER, M.D. and SIVARAJA KUPPUSWAMI, M.D.
- 4. On April 21, 2005, defendant, HOMER, was a physician licensed to practice medicine in the state of Illinois and was engaged in the practice of medicine specializing in neurology.
- 5. On April 21, 2005, defendant, STERN, was a resident physician at Evanston Hospital employed by defendant, MCGAW.
- 6. On April 21, 2005, defendant, KUPPUSWAMI, was a physician licensed to practice medicine in the state of Illinois, practicing at Evanston Hospital in the specialty of diagnostic radiology and employed by defendant, ENH.
- 7. On April 21, 2005 and thereafter, the resident physicians, nurses and staff who were involved in the care and treatment of plaintiff, TED BAXTER, were duly authorized agents, actual and apparent, of defendant, HEALTHCARE, and Evanston Hospital.
- 8. In the alternative, on April 21, 2005 and thereafter, the residents who attended plaintiff, TED BAXTER, were employees and agents, actual and apparent, of defendant, MCGAW.

- 9. On April 21, 2005 and thereafter, the resident physicians, nurses and staff who attended to plaintiff, TED BAXTER, were acting within the scope of their agency and employment.
- 10. On April 21, 2005, plaintiff, TED BAXTER, presented by ambulance to the emergency department at Evanston Hospital.
- Plaintiff, TED BAXTER, was thereafter examined, monitored or treated by defendants@ HOMER, STERN and KUPPUSWAMI, as well as by various other agents and employees of defendants, ENH, MCGAW and HEALTHCARE.
- 12. On April 21, 2005, while in the emergency department, plaintiff, TED BAXTER, was evaluated by Jill Lehrmann, M.D. and a non-contrast CT scan of the brain was ordered at 9:32 p.m and interpreted at 9:49 p.m.
- 13. The CT scan taken at 9:32 p.m. was interpreted by the radiology resident on call who was an employee and agent of defendant, MCGAW.
- The CT scan taken at 9:32 p.m. and interpreted at 9:49 p.m. was interpreted by defendant, KUPPUSWAMI.
- 15. The CT scan taken at 9:32 p.m. and interpreted at 9:49 p.m. was reported as normal.
- 16. The CT scan taken at 9:32 p.m. and reported at 9:49 p.m. was, in fact, abnormal and showed a hyperdensity in the left middle cerebral artery consistent with a thrombus within the vessel.
- 17. On April 21, 2005, an MRI was ordered for plaintiff, TED BAXTER, at 10:03 p.m. and performed at 10:15 p.m.
- 18. The MRI ordered at 10:03 p.m. and performed at 10:15 p.m. was interpreted by the radiology resident on call as showing no evidence of stroke.

- The MRI ordered at 10:03 p.m. and performed at 10:15 p.m. was interpreted by defendant, KUPPUSWAMI, as showing no evidence of stroke.
- 20. The MRI ordered at 10:03 p.m. and performed at 10:15 p.m., in fact, showed focal regions of restricted diffusion in the left caudate and insular region compatible with acute infarction.
- 21. On April 21, 2005 and thereafter, defendant, STERN, evaluated the plaintiff, TED BAXTER.
- 22. On April 21, 2005, defendant, STERN, misinterpreted the CT and MRI scans aforementioned as showing no evidence of acute stroke.
- 23. On April 21, 2005 and thereafter, defendant, STERN, advised that the patient should not receive tPA.
- 24. On April 21, 2005, defendant, HOMER, had the capability of viewing the CT and MRI scans if he had chosen to do so.
- 25. On April 21, 2005, defendant, HOMER, failed to view the CT and MRI scans of plaintiff, TED BAXTER.
- 26. In the alternative, on April 21, 2005, defendant, HOMER, misinterpreted the CT and MRI scans aforementioned as showing no evidence of acute stroke.
- 27. On April 21, 2005 and thereafter, defendant, HOMER, advised that the patient should not receive tPA.
- 28. On April 21, 2005, Jill Lehrmann, M.D. and the other physicians and staff in the emergency department were capable of administering tPA to the patient.
- 29. On April 21, 2005, Jill Lehrmann, M.D. and the other physicians and staff in the emergency department were preparing to administer tPA to the patient until told not to do so by defendant, STERN.

- On April 21, 2005, the plaintiff, TED BAXTER, was, in fact, an appropriate candidate to receive tPA.
- On April 21, 2005 and thereafter, a patient like plaintiff, TED BAXTER, would have been more likely to have minimal to no disability from his stroke if tPA had been administered.
- On April 21, 2005 and thereafter, defendants, HEALTHCARE, MCGAW and ENH, acting through their employees, staff, physicians and agents, apparent and actual, had a duty to exercise that degree of care that other healthcare organizations would exercise in the same or similar circumstances.
- On April 21, 2005 and thereafter, defendants, HOMER, STERN and KUPPUSWAMI, had a duty to exercise that degree of skill and care of reasonably well-qualified physicians acting under the same or similar circumstances in their treatment of plaintiff, TED BAXTER.
- 35. On April 21, 2005 and thereafter, defendants, HEALTHCARE, MCGAW and ENH, by their duly authorized agents and employees including, but not limited to, HOMER, STERN and KUPPUSWAMI, and each of them, were careless and negligent in one or more of the following respects:
  - a. Failing to timely diagnose his left Middle Cerebral Artery stroke;
  - Failing to accurately assess the patient;
  - c. Offering advice that the patient should not receive tPA;
  - d. Failing to recognize evidence of acute ischemic stroke on the CT and MRI scans while the patient was in the emergency department;

- e. Failing to have a system in place to ensure that a qualified physician interpreted the films while the patient was in the emergency department;
- f. Failing to give the patient the tPA when he met inclusion criteria for administration of the medication;
- g. Were otherwise careless and negligent.
- 36. As a direct and proximate result of one or more of the aforesaid negligent acts or omissions of the defendants, plaintiff, TED BAXTER, sustained injuries of a personal and pecuniary nature.

Attached to this complaint at law is the affidavit of one of the plaintiffs' attorneys as well as the written physician's report required by 735ILCS5-2/622 of the Illinois Code of Civil Procedure.

WHEREFORE, plaintiff, TED BAXTER, demands judgment against the defendants, HEALTHCARE, MCGAW, ENH, HOMER, STERN and KUPPUSWAMI, and each of them in an amount in excess of the jurisdictional minimum.

#### COUNT II - LOSS OF CONSORTIUM

Plaintiff, KELLY BAXTER, through her attorneys, CORBOY & DEMETRIO, P.C., complaining of the defendants, EVANSTON NORTHWESTERN HEALTHCARE

CORPORATION, d/b/a EVANSTON HOSPITAL (hereinafter "HEALTHCARE"), THE

MCGAW MEDICAL CENTER OF NORTHWESTERN UNIVERSITY (hereinafter

"MCGAW"), ENH MEDICAL GROUP, INC. (hereinafter "ENH"), DANIEL HOMER, M.D.

(hereinafter "HOMER"), JENNIFER STERN, M.D. (hereinafter "STERN") and SIVARAJA

KUPPUSWAMI, M.D. (hereinafter "KUPPUSWAMI") and in support of her complaint states as

follows:

1. On April 21, 2005, defendant, HEALTHCARE, was an Illinois corporation that operated,

2007.10.31 

managed, maintained and controlled Evanston Hospital, a duly licensed healthcare institution providing services as a hospital for patients.

- 2. On April 21, 2005, defendant, MCGAW, was an Illinois corporation serving as a consortium of general hospitals in Northwestern University employing various residents at Evanston Hospital, including but not limited to JENNIFER STERN, M.D.
- 3. On April 21, 2005, defendant, ENH, was an Illinois corporation employing various physicians at Evanston Hospital including, but not limited to DANIEL HOMER, M.D. and SIVARAJA KUPPUSWAMI, M.D.
- 4. On April 21, 2005, defendant, HOMER, was a physician licensed to practice medicine in the state of Illinois and was engaged in the practice of medicine specializing in neurology.
- 5. On April 21, 2005, defendant, STERN, was a resident physician at Evanston Hospital employed by defendant, MCGAW.
- 6. On April 21, 2005, defendant, KUPPUSWAMI, was a physician licensed to practice medicine in the state of Illinois, practicing at Evanston Hospital in the specialty of diagnostic radiology and employed by defendant, ENH.
- 7. On April 21, 2005 and thereafter, the resident physicians, nurses and staff who were involved in the care and treatment of plaintiff, TED BAXTER, were duly authorized agents, actual and apparent, of defendant, HEALTHCARE, and Evanston Hospital.
- 8. In the alternative, on April 21, 2005 and thereafter, the residents who attended plaintiff, TED BAXTER, were employees and agents, actual and apparent, of defendant, MCGAW.
- 9. On April 21, 2005 and thereafter, the resident physicians, nurses and staff who attended to plaintiff, TED BAXTER, were acting within the scope of their agency and employment.

- Plaintiff, TED BAXTER, was thereafter examined, monitored or treated by defendants, HOMER, STERN and KUPPUSWAMI, as well as by various other agents and employees of defendants, ENH, MCGAW and HEALTHCARE.
- On April 21, 2005, while in the emergency department, plaintiff, TED BAXTER, was evaluated by Jill Lehrmann, M.D. and a non-contrast CT scan of the brain was ordered at 9:32 p.m and interpreted at 9:49 p.m.
- 13. The CT scan taken at 9:32 p.m. was interpreted by the radiology resident on call who was an employee and agent of defendant, MCGAW.
- 14. The CT scan taken at 9:32 p.m. and interpreted at 9:49 p.m. was interpreted by defendant, KUPPUSWAMI.
- 15. The CT scan taken at 9:32 p.m. and interpreted at 9:49 p.m. was reported as normal.
- 16. The CT scan taken at 9:32 p.m. and reported at 9:49 p.m. was, in fact, abnormal and showed a hyperdensity in the left middle cerebral artery consistent with a thrombus within the vessel.
- 17. On April 21, 2005, an MRI was ordered for plaintiff, TED BAXTER, at 10:03 p.m. and performed at 10:15 p.m.
- 18. The MRI ordered at 10:03 p.m. and performed at 10:15 p.m. was interpreted by the radiology resident on call as showing no evidence of stroke.
- 19. The MRI ordered at 10:03 p.m. and performed at 10:15 p.m. was interpreted by defendant, KUPPUSWAMI, as showing no evidence of stroke.

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- 20. The MRI ordered at 10:03 p.m. and performed at 10:15 p.m., in fact, showed focal regions of restricted diffusion in the left caudate and insular region compatible with acute infarction.
- 21. On April 21, 2005 and thereafter, defendant, STERN, evaluated the plaintiff, TED BAXTER.
- 22. On April 21, 2005, defendant, STERN, misinterpreted the CT and MRI scans aforementioned as showing no evidence of acute stroke.
- 23. On April 21, 2005 and thereafter, defendant, STERN, advised that the patient should πot receive tPA.
- 24. On April 21, 2005, defendant, HOMER, had the capability of viewing the CT and MRI scans if he had chosen to do so.
- 25. On April 21, 2005, defendant, HOMER, failed to view the CT and MRI scans of plaintiff, TED BAXTER.
- 26. In the alternative, on April 21, 2005, defendant, HOMER, misinterpreted the CT and MRI scans aforementioned as showing no evidence of acute stroke.
- 27. On April 21, 2005 and thereafter, defendant, HOMER, advised that the patient should not receive tPA.
- 28. On April 21, 2005, Jill Lehrmann, M.D. and the other physicians and staff in the emergency department were capable of administering tPA to the patient.
- 29. On April 21, 2005, Jill Lehrmann, M.D. and the other physicians and staff in the emergency department were preparing to administer tPA to the patient until told not to do so by defendant, STERN.

- On April 21, 2005, Jill Lehrmann, M.D. and the other physicians and staff in the emergency department were preparing to administer tPA to the patient until they were advised by the radiology service, through the resident on call and defendant, KUPPUSWAMI, that the CT and MRI scans showed no evidence of acute stroke.
- 31. On April 21, 2005, the plaintiff, TED BAXTER, was, in fact, an appropriate candidate to receive tPA.
- 32. On April 21, 2005 and thereafter, a patient like plaintiff, TED BAXTER, would have been more likely to have minimal to no disability from his stroke if tPA had been administered.
- 33. On April 21, 2005 and thereafter, defendants, HEALTHCARE, MCGAW and ENH, acting through their employees, staff, physicians and agents, apparent and actual, had a duty to exercise that degree of care that other healthcare organizations would exercise in the same or similar circumstances.
- 34. On April 21, 2005 and thereafter, defendants, HOMER, STERN and KUPPUSWAMI, had a duty to exercise that degree of skill and care of reasonably well-qualified physicians acting under the same or similar circumstances in their treatment of plaintiff, TED BAXTER.
- 35. On April 21, 2005 and thereafter, defendants, HEALTHCARE, MCGAW and ENH, by their duly authorized agents and employees including, but not limited to, HOMER, STERN and KUPPUSWAMI, and each of them, were careless and negligent in one or more of the following respects:
  - a. Failing to timely diagnose his left Middle Cerebral Artery stroke;
  - b. Failing to accurately assess the patient;
  - c. Offering advice that the patient should not receive tPA;
  - d. Failing to recognize evidence of acute ischemic stroke on the CT and MRI scans while the patient was in the emergency department;

- e. Failing to have a system in place to ensure that a qualified physician interpreted the films while the patient was in the emergency department;
- f. Failing to give the patient the tPA when he met inclusion criteria for administration of the medication;
- g. Were otherwise careless and negligent.
- 36. As a direct and proximate result of one or more of the aforesaid negligent acts or omissions of the defendants, plaintiff, KELLY BAXTER, suffered the loss of consortium of his husband, TED BAXTER.

Attached to this complaint at law is the affidavit of one of the plaintiffs' attorneys as well as the written physician's report required by 7351LCS5-2/622 of the Illinois Code of Civil Procedure.

WHEREFORE, plaintiff, KELLY BAXTER, demands judgment against the defendants, HEALTHCARE, MCGAW, ENH, HOMER, STERN and KUPPUSWAMI, and each of them in an amount in excess of the jurisdictional minimum.

## **COUNT III - RESPONDENTS IN DISCOVERY**

It is believed that Jill Lehrmann, M.D. and Ian Katznelson, M.D. have information necessary to determine who, if anyone, should be named as additional defendants in this case.

David R. Barry, Jr.

David R. Barry, Jr.
CORBOY & DEMETRIO, P.C.
Attorney for Plaintiffs
33 North Dearborn Street, 21st Floor
Chicago, Illinois 60602
(312) 346-3191
Firm I.D. No. 02329

Defendants,

JILL LEHRMANN, M.D. and IAN KATZ NELSON, M.D.;

Respondents in Discovery.

## JURY DEMAND

The undersigned hereby demands trial by jury.

David R. Barry, Jr.

David R. Barry, Jr.
Corboy & Demetrio, P.C.
Attorney for Plaintiff(s)
33 North Dearborn Street, 21st Floor
Chicago, Illinois 60602
(312) 346-3191
Firm I.D. No. 02329

## AFFIDAVIT

- I, DAVID R. BARRY, JR., state under oath:
- 1. I am an attorney associated with Corboy & Demetrio, P.C. and am responsible for filing of the Complaint at Law in this matter.
  - 2. The total of money damages sought by plaintiff does exceed \$50,000.00,

exclusive of interest and costs.

CORBOY & DEMETRIO, P.C.

By: David R. Barry, Jr.

SUBSCRIBED and SWORN to before me this 2/s- day of November, 2006.

NOTARY PHRIDE

"OFFICIAL SEAL"

KATHLEEN SCHULTZ Notary Public, State of Itlinois Ity Commission Expires 2-28-2009

David R. Barry, Jr.

CORBOY & DEMETRIO, P.C.

Attorneys for Plaintiff

33 North Dearborn Street, 20th Floor

Chicago, Illinois 60602

(312) 346-3191

Firm I.D. No. 02329

# Report Prepared Pursuant to Illinois Revised Statute 735ILCS5-2/622

I am a physician licensed to practice medicine in all its branches, board certified and specializing in neurology and vascular neurology. I have reviewed medical records and other relevant data and films from Evanston Hospital for inpatient admissions of April 21 - April 29, 2005 and July 12 - July 13, 2005 as well as outpatient records of August 6, 2005 pertaining to Ted Baxter.

It is my professional opinion that there exists a reasonable and meritorious cause of action for the filing of a professional negligence lawsuit against Evanston Northwestern Healthcare Corporation, d/b/a Evanston Hospital and acting through its employees and agents, ENH Medical Group, Inc., operating through its physician employees, The McGaw Medical Center of Northwestern University operating, through its employees, staff, resident physicians and agents, as well as Daniel Homer, M.D., Jennifer Stern, M.D. and Sivaraja Kuppuswami, M.D. Additionally, Dr. Jill Lehrmann and Dr. Ian Katz Nelson and the emergency department physicians, nurses and staff who attended to Mr. Baxter on April 21, 2005 and thereafter, are believed to have additional information essential to determine who, if anyone, should be properly named as additional defendants in this action.

The reasons for my determinations are as follows:

On April 21, 2005, Ted Baxter, a 41 year-old white male with varicose veins but no other significant medical history, presented by ambulance to the emergency department at Evanston Hospital with expressive aphasia and right-sided weakness at 9:30 p.m. His wife reported that her husband had returned from London that afternoon and became confused while watching T.V. after dinner. His wife noticed him staring at the T.V. and when she questioned him, he was unable to respond verbally. He was also clamping his right hand and began drooling. She immediately summoned paramedics and he was brought to the emergency room at Evanston Hospital within 30 minutes.

The patient was evaluated in the emergency department by resident Jill Lehrmann, M.D. and others. A non-contrast CT scan of the brain was immediately ordered at 9:32 p.m. The CT was interpreted, incorrectly, at 9:49 p.m. by the radiology resident on call and/or Dr. Kuppuswami as normal when, in fact, it showed a hyperdensity in the left Middle Cerebral Artery consistent with a thrombus within the vessel. Additionally, an MRI/MRA was ordered at 10:03 p.m. and performed at 10:15 p.m. It was also misinterpreted by the radiology resident on call and/or Dr. Kuppuswami as showing no evidence of stroke.

Dr. Lehrmann and the emergency department personnel should have been preparing to administer tPA to Mr. Baxter as he was an ideal candidate for the medication. However, the neurology service, through Dr. Jennifer Stern and Dr. Daniel Homer, whom she consulted, evaluated the patient and misinterpreted the CT and MRI scans as showing no evidence of acute stroke. They advised that the patient should not receive tPA.

Mr. Baxter presented with a left hemisphere stroke syndrome and history consistent with possible paradoxical embolism. Emergency department providers were in position to give tPA quickly. It is well recognized that patients who receive tPA early benefit from it the most.

Additionally, given his presentation, Mr. Baxter was likely to benefit from tPA. Administration of tPA would have increased his chances for a full recovery. His stroke continued to evolve throughout the night and he became nearly completely hemiplegic on his right side and his aphasia became worse. As a result of the failure to administer tPA, Mr. Baxter's chances for a significantly better recovery were lost.

Dr. Katz Nelson evaluated Mr. Baxter on the morning of April 22, 2005 and reviewed the films. Both he and the attending radiologist recognized that the patient's clinical syndrome, CT scan and the MRI scan done the night before were consistent with the diagnosis of an acute ischemic stroke.

In my opinion, based upon a reasonable degree of medical certainty and review of the aforementioned records, that Dr. Jennifer Stern, Dr. Daniel Homer, the radiology resident on call (it is not clear if Dr. Kuppuswami was the radiology resident on call or if he was the resident's Attending) and Evanston Hospital staff who determined that Mr. Ted Baxter on April 21, 2005 and the early morning hours of April 22, 2005 should not receive tPA, deviated from accepted standards of care by:

- 1. Failing to timely diagnosis his left Middle Cerebral Artery stroke;
- 2. Failing to accurately assess the patient;
- 3. Offering advise that the patient should not receive tPA;
- 4. Failing to recognize evidence of acute ischemic stroke on the CT and MRI scans while the patient was in the emergency department;
- Failing to have a system in place to ensure that a qualified physician interpreted the films while the patient was in the emergency department;
- 6. Failing to give the patient the tPA when he met inclusion criteria for administration of the medication.

One or more of the aforementioned acts and/or omissions was a proximate cause of injury to Ted Baxter and significantly decreased his chances for a better recovery. He remains profoundly disabled today.

To the extent that any of the aforementioned physicians or staff were actual or apparent agents of Evanston Hospital, Evanston Northwestern Healthcare Corporation, The McGaw Medical Center of Northwestern University or ENH Medical Group, Inc., then the negligent acts of those physicians and the staff would likewise be attributable to those entities or whatever entities employed them. My opinions are subject to modification pending review of additional material.

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			Date of service:	DOROTHY BROWN	
Name:	David R. Barry, Jr./Corboy &	Demetrio, P.C	<b>.</b> .	CLES OF HY BROWN	

Atty. No.: DOROTHY BROWN, CLERK OF THE CIRCUIT COURT OF COOK COUNTY, ILLINOIS

\*Law Division Room 801

Attorney for: Plaintiff(s)

Address:

Telephone:

City:

Chancery-Divorce Division Room 802

02329

33 North Dearborn Street, 20th Floor

Chicago, Illinois 60602

(312) 346-3191

**County Division Room 801** Probate Division Room 1202

### SERVICE LIST:

Evanston Northwestern Healthcare Corporation R/A Jeffery H. Hillerbrand 1301 Central Street Evanston, IL 60201

The McGaw Medical Center of Northwestern University c/o Registered Agent: Robert P. Christopher 645 North Michigan Avenue Suite 1058
Chicago, IL 60611

Daniel Homer, M.D. Evanston Hospital Division of Neurology 2650 Ridge Avenue Evanston, IL 60201

Sivaraja Kumaran Kuppuswami, M.D. Evanston Hospital 2650 Ridge Avenue Evanston, IL 60201

Jill Frances Lehrmann, M.D.
The McGaw Medical Center of Northwestern University c/o Registered Agent: Robert P. Christopher 645 North Michigan Avenue
Suite 1058
Chicago, IL 60611

Jennifer Stern, M.D. Evanston Hospital 2650 North Ridge Evanston, IL 60201

ENH Medical Group, Inc. R/A Jeffrey H. Hillebrand 1301 Central Street Evanston, IL 60201

lan S. Katznelson, M.D. ENH Medical Group Inc. 1000 Central Street Suite 800 Evanston, IL 60201

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	ANN, M.D. and ELSON, M.D.;					
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DQ	ROTHY BROWN, CLERK	OF THE CIRCU	IT COURT OF	COOK COUNTY,	ILLINOIS	
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## SERVICE LIST:

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