

UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF ILLINOIS
 EASTERN DIVISION

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|------------------------------------|---|-------------------------------|
| JAMES CLEARY, |) | |
| |) | |
| Plaintiff, |) | No. 12-cv-4865 |
| |) | |
| |) | Magistrate Judge Susan E. Cox |
| MICHAEL J. ASTRUE, Commissioner of |) | |
| Social Security, |) | |
| |) | |
| Defendant. |) | |

MEMORANDUM OPINION AND ORDER

Plaintiff, James M. Cleary, seeks judicial review of a final decision of the Commissioner of the Social Security Administration (“SSA”) denying his application for disability insurance benefits (“DIB”) and Supplemental Security Income (“SSI”) under the Social Security Act (“the Act”).¹ Mr. Cleary has filed a motion to reverse or remand the decision of the Commissioner [dkt. 21]. The Commissioner has also filed a cross motion for summary of judgement [dkt. 25]. For the reasons set forth below, Mr. Cleary’s motion is granted and the ALJ’s decision is remanded for further consideration.

I. Procedural History

Mr. Cleary applied for DIB and SSI on January 22, 2009, alleging disability beginning June 1, 2006.² On October 28, 2009, Mr. Cleary requested a hearing before an Administrative Law Judge (“ALJ”), which was granted on August 30, 2010.³ A hearing took place before ALJ Joel G. Fina in Oak Brook, Illinois, on October 5, 2010.⁴ Following the hearing, the ALJ issued an unfavorable

¹ 42 U.S.C. §§416(I), 423, and 1381 *et seq.*

² R. at 16.

³ R. at 90, 100.

⁴ R. at 100-04.

decision on November 8, 2010, concluding that Mr. Cleary was not disabled under sections 216(i) and 223(d) of the Act through December 31, 2010, the last date insured.⁵ The Appeals Council denied Mr. Cleary's request to review the ALJ decision on April 20, 2012, meaning the ALJ's decision is the final decision of the Commissioner.⁶

II. Factual Background

The facts set forth under this section are derived from the administrative record. Mr. Cleary was born July 27, 1963, and was forty-seven years old on December 31, 2010, the date last insured.⁷ Mr. Cleary alleged a disability beginning June 1, 2006. He remained insured through December 31, 2010.⁸ Mr. Cleary must establish that he became disabled during this period.⁹

In this case, there is an extensive medical record stretching over roughly four years. But the ALJ confined the majority of his consideration to 2009.¹⁰ In instances of alleged mental disability it is essential to consider all the available evidence to create a complete picture of the claimant's fluctuating condition.¹¹ Therefore, we closely reviewed the entire medical record spanning all the years submitted, and summarized Mr. Cleary's mental health condition. We begin our review of Mr. Cleary's relevant medical history on September 18, 2006.¹² Mr. Cleary alleges disability beginning June 1, 2006, however, we are unable to find any medical records between that time and September 18, 2006.¹³

⁵ R. at 16, 27.

⁶ R. at 1.

⁷ R. at 26 (claimant was forty-two on the alleged onset date, June 1, 2006); *see* 20 C.F.R. 404.1563.

⁸R. at 16.

⁹*Id.*

¹⁰R. at 24.

¹¹*Phillips v. Astrue*, 413 Fed.Appx. 878, 881 (7th Cir. 2010).

¹²R. at 410.

¹³*But see* R. at 358 (noting that Mr. Cleary stated that he was sober for approximately two and a half years and attended Alcoholics Anonymous until he began drinking in June, 2006).

A. 2006

In 2006, Mr. Cleary was hospitalized three times for detoxification, alcohol abuse, and suicidal thoughts.¹⁴ During these hospitalizations he received physical examinations which were unremarkable.¹⁵ On September 18, 2006, Mr. Cleary visited Palos Community Hospital's emergency room ("ER") for detoxification.¹⁶ Admitting physician, Paul S. Killion, M.D., noted that Mr. Cleary was "extremely agitated, volatile, sarcastic, angry, cursing and still seemed to be somewhat intoxicated."¹⁷ Mr. Cleary also made "veiled references" to suicide when first admitted, but he recanted when he was not intoxicated.¹⁸ Additionally, Dr. Killion also noted depression and a history of chemical dependency.¹⁹ Dr. Killion opined that based on Mr. Cleary's history of "noncompliance with treatment recommendations in the past,"²⁰ Mr. Cleary was unlikely to achieve abstinence.²¹

Next, Dr. Killion assessed Mr. Cleary's mental state. Mental health clinicians commonly use a multifaceted system to assess a patient's condition to capture the "complexity of clinical situations" and create a Global Assessment of Functioning ("GAF") in order to plan treatment and predict outcomes.²² The GAF scale consists of ten ranges of ten points each, from 0 to 100.²³ Dr. Killion assigned Mr. Cleary a GAF score of thirty,²⁴ which denotes "serious impairment in communication or judgment or [an] inability to function in almost all areas."²⁵ Mr. Cleary was released three days

¹⁴R. at 324, 336, 357-58, 416.

¹⁵R. at 358-59, 413-14.

¹⁶R. at 416.

¹⁷R. at 417.

¹⁸R. at 410, 416.

¹⁹R. at 413, 416.

²⁰*Id.*

²¹R. at 410.

²²American Psychiatric Association, Diagnostic & Statistical Manual of Mental Disorders, 27 (Text Revision, 4th ed. 2000) ("DSM-IV").

²³*Id.*

²⁴R. at 417.

²⁵DSM-IV at 34

later on September 21, 2006.²⁶

On December 25, 2006, Mr. Cleary was admitted to Saint Anthony's Memorial Hospital for alcohol abuse and thoughts of suicide.²⁷ Mr. Cleary spoke with Counselor Amanda Bain, B.S./M.H.P.,²⁸ with Heartland Human Services.²⁹ Counselor Bain noted that Mr. Cleary was intoxicated and had suicidal thoughts.³⁰ Mr. Cleary was kept overnight.³¹ On December 26, 2006 Mr. Cleary expressed that he no longer had suicidal thoughts and Counselor Bain assigned him a GAF score of fifty-five, which denotes moderate difficulty with social and occupational functioning.³²

Mr. Cleary was released from Saint Anthony's Memorial Hospital December 26, 2006.³³ Less than twenty-four hours later on December 27, 2006, Mr. Cleary was intoxicated, suicidal, and threatening to shoot himself if he did not receive help.³⁴ Mr. Cleary was readmitted to Saint Anthony's Memorial Hospital,³⁵ and met with Counselor Bain.³⁶ Counselor Bain noted that Mr. Cleary appeared irritable, depressed and was intoxicated from having drunk since leaving the hospital.³⁷ Counselor Bain assigned Mr. Cleary a GAF score of fifty, denoting serious impairment in social and occupational functioning,³⁸ and arranged for Mr. Cleary to be transferred to Sarah Bush Lincoln Health Center.³⁹

When admitted to Sarah Bush Lincoln Health Center psychiatric, John C. Lauer, M.D. noted

²⁶R. at 410.

²⁷R. at 324, 336.

²⁸Bachelor of Science Mental Health Professional

²⁹R. at 336-46.

³⁰*Id.*

³¹R. at 341.

³²R. at 345; DSM-IV at 34.

³³R. at 315.

³⁴R. at 313-15.

³⁵R. at 314.

³⁶R. at 308-12.

³⁷R. at 308-10.

³⁸DSM-IV at 34.

³⁹R. at 312.

that Mr. Cleary was “angry and intoxicated” and had a “lifelong history of alcohol dependence.”⁴⁰ Mr. Cleary stated that he experienced withdrawal symptoms when not drinking.⁴¹ Finally, Mr. Cleary stated that he had “low mood, hopelessness, poor concentration, and increased irritability.”⁴² Dr. Lauer found Mr. Cleary to be depressed and suffering from alcohol dependence and assigned Mr. Cleary a GAF score of twenty-five, which indicates serious impairments or an inability to function in most areas.⁴³ Mr. Cleary’s condition improved and he was discharged six days later on January 2, 2007.⁴⁴

B. 2007

Mr. Cleary remained sober for a short time, until June 17, 2007,⁴⁵ at which point he began “drinking a liter of vodka per day.”⁴⁶ His first hospitalization was on November 13, 2007, Mr. Cleary was referred to the Sarah Bush Lincoln Health Center from Saint Anthony’s ER because of alcohol withdrawal and suicidal thoughts.⁴⁷ Montgomery Lloyd, M.D., noted major depression, personality disorder, as well as alcohol dependence and withdrawal.⁴⁸ Dr. Lloyd assigned Mr. Cleary an RFC score of twenty-eight, which denotes “serious impairment in communication or judgment” or an “inability to function in almost all areas.”⁴⁹

On November 23, 2007, Dr. Lauer noted that Mr. Cleary was being medicated for alcohol withdrawal, depression, trouble sleeping, and anxiety.⁵⁰ Dr. Lauer also noted that Mr. Cleary no

⁴⁰R. at 357-58.

⁴¹R. at 358.

⁴²*Id.*

⁴³R. at 360; DSM-IV at 34.

⁴⁴R. at 357.

⁴⁵Father’s Day 2007 *see* <http://www.census.gov/newsroom/releases/pdf/cb07-ff08.pdf>

⁴⁶R. at 347.

⁴⁷R. at 351.

⁴⁸*Id.*

⁴⁹R. at 352; DSM-IV at 34.

⁵⁰R. at 350.

longer had suicidal thoughts and expressed interest in a long-term treatment program.⁵¹ Finally, Dr. Lauer noted Mr. Cleary's history of substance abuse, alcohol dependence and alcohol induced mood disorder in assigning a GAF score of fifty, denoting serious impairment in social and occupational functioning.⁵²

Twelve days after being admitted, Mr. Cleary was discharged from Sarah Bush Lincoln Health Center on November 26, 2007.⁵³ In his discharge summary, Dr. Lauer noted that Mr. Cleary had responded well to medication.⁵⁴ Dr. Lauer also noted that Mr. Cleary was no longer suicidal, but suffered from major recurring depressive disorder, alcohol dependence, and alcohol withdrawal symptoms.⁵⁵ In determining Mr. Cleary's GAF score, Dr. Lauer further opined that Mr. Cleary displayed "cluster 'B' personality traits," often characterized as "dramatic, emotional, or erratic."⁵⁶ These, along with Mr. Cleary's physical pain and moderate to severe environmental stressors resulted in a GAF score of forty-five, denoting serious impairment in social and occupational functioning.⁵⁷

Mr. Cleary was admitted to the South Suburban Council on November 27, 2007 and was diagnosed with alcohol, opioid, and cocaine dependence.⁵⁸ While in treatment, Mr. Cleary saw the in-house psychiatrist, R. Songald, M.D., who on November 30, 2007 noted attention deficit hyperactive disorder ("ADHD"), depression, and a history of substance abuse.⁵⁹ Dr. Songald assigned Mr. Cleary a GAF score of forty, denoting major impairment in work, family relation,

⁵¹*Id.*

⁵²R. at 349; DSM-IV at 34.

⁵³R. at 347.

⁵⁴*Id.*

⁵⁵R. at 347-48.

⁵⁶R. at 348, DSM-IV at 685.

⁵⁷R. at 348; DSM-IV at 34.

⁵⁸R. at 377.

⁵⁹R. at 380.

judgment, thinking, or mood.⁶⁰ Dr. Songal did not assign another GAF score prior to Mr. Cleary's discharge on December 19, 2007,⁶¹ when Mr. Cleary entered Guildhaus halfway house for further treatment.⁶²

C. 2008

During 2008, Mr. Cleary was hospitalized at least six times and remained hospitalized for a substantial portion of the year including almost all of March and April. His first hospitalization was on March 2, 2008, when he was admitted to Advocate Christ Medical Center's ER for alcohol withdrawal and pancreatitis.⁶³ An Kon Tsai, M.D., noted an unremarkable physical examination.⁶⁴ Mr. Cleary told Dr. Tsai that he had been sober for two months before relapsing, and also admitted to using "cocain, heroine, marijuana, [and] Xanax."⁶⁵ Dr. Tsai's report indicates that he was discharged on March 13, 2008 after being diagnosed with acute pancreatitis, major depression, suicidal thoughts, and alcohol dependance.⁶⁶

That same day, following his discharge from Advocate Christ Medical Center, Mr. Cleary was admitted to Tinley Park Mental Health Center ("Tinley Park MHC") in order to stabilize his depression.⁶⁷ Mr. Cleary stayed at the Tinley Park MHC until April 23, 2008.⁶⁸ His GAF score

⁶⁰R. at 380, DSM-IV at 34.

⁶¹R. at 378-82.

⁶²R. at 377 ("prognosis for continuing recovery is guarded").

⁶³R. at 390; *see also* Schmidt, Attorney's Dictionary of Medicine and Word Finder, *supra*, at A-123-125, P-35, inflammation of the pancreas that is accompanied by pain, tenderness, nausea and vomiting, and distention. The pain and tenderness are located in the upper part of the abdomen, where the pancreas is situated. The disease is most commonly associated with chronic alcoholism (90% of the cases). In patients in whom the disease is caused by alcoholism, the pain usually starts between 12 and 48 hours after a drinking spree.

⁶⁴R. at 390.

⁶⁵R. at 390-91; Schmidt, Attorney's Dictionary of Medicine and Word Finder, *supra*, at B-71, Xanax is a better known brand name of benzodiazepines, a group of drugs used to treat anxiety and insomnia.

⁶⁶*Id.*

⁶⁷R. at 521.

⁶⁸*Id.*

improved from forty, denoting major impairment in social and occupational functioning,⁶⁹ to sixty, denoting moderate difficulty in social and occupational functioning.⁷⁰ After over six weeks, Mr. Cleary denied having suicidal thoughts and demonstrated no evidence to the contrary; therefore, he was released on April 23, 2008, when he was accepted into the Brandon House ninety-day rehabilitation program.⁷¹

On July 15, 2008, Mr. Cleary was admitted to the University of Illinois Medical Center under the care of Eslyn Garb, M.D.⁷² Two weeks earlier, Mr. Cleary had a relapse after being sober since March 2, 2008.⁷³ Mr. Cleary told Dr. Garb that he was depressed, anxious and felt hopeless.⁷⁴ Mr. Cleary was lethargic and depressed but his memory, orientation, thought organization, cognition and attention were all noted as normal.⁷⁵ Dr. Garb found Mr. Cleary to be high risk for suicide and opined that he should have frequent observation.⁷⁶ Dr. Garb assigned Mr. Cleary a GAF score of twenty to thirty, denoting serious impairments or an inability to function in most areas. Mr. Cleary was discharged the morning of July 23, 2008.⁷⁷

On August 9, 2008, Mr. Cleary was admitted to the Little Company of Mary Hospital's ER for depression and suicidal thoughts.⁷⁸ Mr. Cleary admitted to the use of marijuana and had a blood alcohol content of 0.168.⁷⁹ While in the ER, Mr. Cleary was verbally abusive to staff and needed to

⁶⁹DSM-IV at 34.

⁷⁰R. at 521-23; DSM-IV at 34.

⁷¹R. at 522.

⁷²R. at 498-502, 510.

⁷³R. at 498.

⁷⁴*Id.*

⁷⁵R. at 499-501.

⁷⁶R. at 501.

⁷⁷*Id.*

⁷⁸R. at 482.

⁷⁹R. at 482, 486.

be restrained several times.⁸⁰ Mr. Cleary was then transferred again to Tinley Park MHC where he underwent a comprehensive psychiatric evaluation with Stuart Rich, M.D.⁸¹ Dr. Stuart noted that Mr. Cleary appeared distressed and had poor grooming and hygiene.⁸² Dr. Rich also noted that Mr. Cleary was uncooperative, agitated, refused to answer a number of questions, and demonstrated poor judgement and insight.⁸³ Upon his discharge on August 14, 2008, Dr. Rich noted “his hospital course was characterized by hostility, belligerence, racism, agitation, lack of cooperation, and threatening violence.”⁸⁴ Dr. Rich noted drug seeking behavior and assigned a GAF score of fifty-five based on “substance induced mood disorder, alcohol dependence, cocaine abuse, [and] antisocial personality traits.”⁸⁵ A GAF score of fifty-five indicates moderate difficulty with social and occupational functioning.⁸⁶

On August 16, 2008, Mr. Cleary presented, once again, to Palos Community Hospital’s ER with thoughts of suicide.⁸⁷ Mr. Cleary told Stephen Spontak, M.D., that he had “tried to kill himself in the past,”⁸⁸ that he had “been drinking heavily for about [six] days, and [had] multiple episodes of vomiting,” and was intoxicated.⁸⁹ Dr. Spontak opined that Mr. Cleary suffers from acute major depression, alcohol abuse, and mild pancreatitis.⁹⁰

After being examined in the ER, Mr. Cleary was admitted to Palos Community Hospital’s

⁸⁰R. at 482-84.

⁸¹R. at 453.

⁸²R. at 454.

⁸³R. at 455-56, 460-62.

⁸⁴R. at 449.

⁸⁵*Id.*

⁸⁶DSM-IV at 34.

⁸⁷R. at 417.

⁸⁸While the record describes numerous episodes in which Mr. Cleary was admitted to hospitals for thoughts of suicide, this is the first evidence that suggests Mr. Cleary has actually taken steps and attempted suicide.

⁸⁹R. at 427; *see* R. at 428 (noting laboratory data indicating alcohol level 116 or 0.116% BAC); Alcohol Toxicology for Prosecutors: Targeting Hardcore Impaired Drivers, American Prosecutors Research Institute, 2003, p. 7, (July 17, 2013), http://www.ndaa.org/pdf/toxicology_final.pdf.

⁹⁰*Id.*

Psychiatric Center for further evaluation and treatment.⁹¹ Harshad M. Mehta, M.D., noted in his psychiatric evaluation of Mr. Cleary, a history of alcohol and drug abuse as well as multiple hospitalizations.⁹² Mr. Cleary admitted to a history of “Vicodin, cocaine, [and] benzodiazepine abuse,” but denied using any substance lately.⁹³ However, Mr. Cleary’s laboratory data indicated the presence of both opiates and benzodiazepine in his system.⁹⁴ On August 17, 2008, Dr. Mehta noted alcohol and drug abuse, mood disorder related to alcohol use, pancreatitis, poor compliance, and severe environmental and social factors.⁹⁵ Dr. Mehta assigned a GAF score of twenty,⁹⁶ denoting that Mr. Cleary was in “some danger of hurting [him]self or others or gross[ly] impair[ed] in communication.”⁹⁷

Mr. Cleary was discharged on August 20, 2008.⁹⁸ Upon discharge, Dr. Mehta noted Mr. Cleary’s positive response to treatment and that he no longer expressed suicidal thoughts.⁹⁹ Dr. Mehta also noted that Mr. Cleary remained unmotivated to pursue further treatment,¹⁰⁰ acknowledged that he “procrastinated calling and securing [a] halfway house,” and displayed drug-seeking behavior.¹⁰¹ Dr. Mehta assigned Mr. Cleary a GAF score of forty to fifty, denoting serious to major impairment in social and occupational functioning.¹⁰²

⁹¹R. at 428.

⁹²R. at 430.

⁹³*Id.*; see Schmidt, Attorney’s Dictionary of Medicine and Word Finder, *supra*, at B-71-73, Mr. Cleary has had a history of abusing benzodiazepines (Xanax in particular). However, benzodiazepines are used to alleviate the symptoms of withdrawal from alcohol addiction.

⁹⁴R. at 428.

⁹⁵R. at 431.

⁹⁶*Id.* (assigning “GAF 20/40”); see DSM-IV at 33 (“the final GAF rating always reflects the worse of the two” scores assigned by the clinician).

⁹⁷R. at 431; DSM-IV at 34.

⁹⁸R. at 424.

⁹⁹*Id.*

¹⁰⁰See R. at 438-40 (Mr. Cleary refused all appointments and referrals and refused to sign his discharge medication form).

¹⁰¹R. at 424-25.

¹⁰²R. at 425; DSM-IV at 34.

On September 15, 2008, Mr. Cleary was admitted to Westlake Hospital Department of Psychiatry under the care of Shabbir Zarif, M.D.¹⁰³ Mr. Cleary was transferred from the ER because of suicidal thoughts,¹⁰⁴ Mr. Cleary had no alcohol in his system according to the laboratory report.¹⁰⁵ Dr. Zarif noted an unremarkable physical medical history,¹⁰⁶ and that Mr. Cleary was edgy, irritable, uncooperative, impulsive and unpredictable, and had poor hygiene and grooming.¹⁰⁷ Dr. Zarif cited major depression, agitation, anxiety, and a history of alcohol and drug abuse in assigning Mr. Cleary a GAF score of twenty-nine,¹⁰⁸ which denotes serious impairments or an inability to function in most areas.¹⁰⁹

Mr. Cleary was discharge from Westlake Hospital on September 23, 2008.¹¹⁰ At the time of discharge, Dr. Zarif assigned a GAF score of forty-five,¹¹¹ denoting major impairment in social and occupational functioning.¹¹² Mr. Cleary was admitted to a mental health facility on October 8, 2008, where he was again treated by Dr. Zarif.¹¹³ On admission, Mr. Cleary was frustrated and upset, and Dr. Zarif noted possible suicide risk, a tendency to make dramatic statements, and that he had poor judgement.¹¹⁴ On October 9, 2008, Mr. Cleary was assigned a GAF score of thirty-five,¹¹⁵ denoting major impairment in work, family relation, judgment, thinking, or mood.¹¹⁶ Mr. Cleary was

¹⁰³R. at 574.

¹⁰⁴*Id.*

¹⁰⁵R. at 587.

¹⁰⁶R. at 575.

¹⁰⁷R. at 576.

¹⁰⁸R. at 574.

¹⁰⁹DSM-IV at 34.

¹¹⁰R. at 572.

¹¹¹R. at 572.

¹¹²DSM-IV at 34.

¹¹³R. at 656.

¹¹⁴R. at 658.

¹¹⁵R. at 686.

¹¹⁶DSM-IV at 34.

discharged eight days later on October 16, 2008.¹¹⁷ Dr. Zarif noted drug seeking behavior, major depression, as well as drug and alcohol dependence in assigning Mr. Cleary a GAF score of fifty.¹¹⁸ A GAF score of fifty denotes serious impairment in social and occupational functioning.¹¹⁹

From October 16, 2008 to August 13, 2009, Mr. Cleary underwent monthly examinations pursuant to the instructions of his discharge,¹²⁰ with Rafael Carreira, M.D., of Resurrection Health Care.¹²¹ Dr. Carreira concluded that Mr. Cleary suffered from major depression, anxiety and borderline personality disorder.¹²² Patients suffering from borderline personality disorder have difficulty perceiving and relating to their environment which can cause personal distress as well as social and occupational limitations.¹²³ Dr. Carreira assigned Mr. Cleary a series of GAF scores ranging from forty-five to sixty-four, steadily improving over the period in question.¹²⁴

D. 2009

Mr. Cleary underwent a consultive examination with Mahesh Shah, M.D., for the Bureau of Disability Determination Services on May 5, 2009.¹²⁵ Dr. Shah noted Mr. Cleary's history of anxiety, depression and bipolar disorder,¹²⁶ as well as physical pains on his left side and in his lower

¹¹⁷R. at 656.

¹¹⁸R. at 658.

¹¹⁹DSM-IV at 34.

¹²⁰R. at 847 (Mr. Cleary acknowledged that he "must see the doctor no less than every 90 days or my prescription may not be renewed and my file may be closed for this service").

¹²¹R. at 848-70.

¹²²R. at 861, 867

¹²³Schmidt, Attorney's Dictionary of Medicine and Word Finder, *supra*, at P-198. DSM-IV at 32-34.

¹²⁴R. at 851 (October 16, 2008: GAF 45); R. at 848 (November 3, 2008: GAF 45); R. at 861 (November 17, 2008: GAF 49); R. at 860 (December 13, 2008: GAF 56); R. at 859 (January 15, 2009: GAF 57); R. at 858 (February 12, 2009: GAF 59); R. at 857 (March 12, 2009: GAF 60); R. at 856 (April 23, 2009: GAF 60); R. at 854 (May 12, 2009: GAF 61); R. at 854 (June 16, 2009: GAF 62); R. at 853; (July 16, 2009: GAF 64); DSM-IV at 34 (GAF scores ranging from 45-64 denote serious to mild difficulty in social and occupational functioning).

¹²⁵R. at 743.

¹²⁶There is no previous diagnosis of bipolar disorder in the record; *see* R. at 449 (August 14, 2008, Dr. Rich noted that no evidence for bipolar disorder).

back.¹²⁷ Dr. Shah also noted a history of drug and alcohol abuse, but that Mr. Cleary stated he had not drank alcohol in two years (though his last drinking binge was only one year prior).¹²⁸ Mr. Cleary was able to walk around without assistance or difficulty and had no apparent restriction to his movement.¹²⁹ Dr. Shah noted mild tenderness in Mr. Cleary's lower back, left shoulder, hip and knee, but found no swelling or deformities.¹³⁰ Dr. Shah concluded that Mr. Cleary had mild limitation of range of motion in his lower back,¹³¹ pain in his "left shoulder, left hip, left knee, and left foot," but that he had a fairly good range of motion in those joints.¹³²

Also on May 5, 2009, Mr. Cleary underwent a psychological evaluation with Michael J. Ingersoll, M.D., for the Bureau of Disability Determination Services.¹³³ Dr. Ingersoll noted that Mr. Cleary was oriented and aware of his surroundings, but opined that he had some "impairment with memory."¹³⁴ Dr. Ingersoll concluded by opining that Mr. Cleary had major depressive disorder, poly-substance abuse, and that Mr. Cleary was unable to manage his own funds.¹³⁵

On June 10, 2009, Donald Cochran, Ph.D., completed a mental residual functional capacity assessment for the Bureau of Disability Determination Services.¹³⁶ Dr. Cochran opined that Mr. Cleary was moderately limited in his ability to understand, remember and carry out detailed instructions, and also moderately limited in maintaining attention and concentration.¹³⁷ Finally, Dr.

¹²⁷R. at 743.

¹²⁸R. at 744; R. at 417-27 (noting Mr. Cleary's most recent heavy drinking binge took place less than one year prior in August 2008).

¹²⁹R. at 744.

¹³⁰R. at 745.

¹³¹R. at 746.

¹³²R. at 746.

¹³³R. at 748.

¹³⁴R. at 749.

¹³⁵R. at 750.

¹³⁶R. at 818.

¹³⁷*Id.*

Cochran opined that Mr. Cleary was moderately limited in his ability to complete a normal workweek without interruption and may need a number of rest periods.¹³⁸

On June 15, 2009, Virgilio Pilapil, M.D., completed a physical residual functional capacity assessment for the Bureau of Disability Determination Services.¹³⁹ Dr. Pilapil found no postural,¹⁴⁰ manipulative, visual,¹⁴¹ communication, or environmental limitations.¹⁴² Dr. Pilapil concluded by opining that Mr. Cleary “does not indicate any physical limitations, only occasional pain, which is consistent with evidence.”¹⁴³

Returning to Dr. Carreira on August 13, 2009, Mr. Cleary received no new GAF score and again diagnosed with borderline personality disorder.¹⁴⁴ Dr. Carreira opined that Mr. Cleary had serious limitations with his “ability to independently initiate, sustain, or complete tasks,” but offered no explanation of his conclusion.¹⁴⁵ Dr. Carreira also opined that Mr. Cleary resented criticism, seriously limiting his ability to “respond appropriately to supervision, coworkers, and customary work pressures,” and lacked the necessary motivation to perform tasks on a sustained basis without interruption.¹⁴⁶

At some point during 2009, Mr. Cleary began going to Stroger Hospital for various physical and mental treatments. While the record is not clear, it appears Mr. Cleary’s first record is dated March 11, 2009.¹⁴⁷ Mr. Cleary was also diagnosed as bipolar and alcohol dependant, and assigned

¹³⁸R. at 819.

¹³⁹R. at 829.

¹⁴⁰R. at 824.

¹⁴¹R. at 825.

¹⁴²R. at 826.

¹⁴³R. at 827.

¹⁴⁴R. at 867.

¹⁴⁵R. at 869.

¹⁴⁶R. at 870.

¹⁴⁷R. at 1097.

a GAF score of forty-nine which denotes serious impairment in social and occupational functioning.¹⁴⁸ Progress notes from Mr. Cleary's outpatient conversations also show diagnosis of depression, anxiety, and manic behavior.¹⁴⁹ On August 21, 2009, Mr. Cleary displayed clear drug seeking behavior when he attempted to refill a medication twice in three days.¹⁵⁰

E. 2010

On May 3, 2010, Mr. Cleary was given a final psychological output report and expelled from the program in part because he continued to misuse the prescribed medication.¹⁵¹ Mr. Cleary refused addiction treatment, and the record noted that he lacked the insight to pursue further treatment.¹⁵²

III. ALJ Hearing and Decision

The hearing before the ALJ occurred on October 28, 2009 in Oak Brook, Illinois.¹⁵³ Mr. Cleary was present and represented by Sean Gingrich, an attorney.¹⁵⁴ Also present was Larry M. Kravitz, Ph.D., a medical expert ("ME"), and Aimee Mowery, a vocational expert ("VE").¹⁵⁵ On November 8, 2010, the ALJ concluded that Mr. Cleary was not disabled, as defined in the Social Security Act, from June 1, 2006, the alleged onset date, through December 31, 2010, the date last insured.¹⁵⁶

A. Mr. Cleary's Testimony

Mr. Cleary began his testimony by confirming that he lived in a sober living group home.¹⁵⁷

¹⁴⁸R. at 1097; DSM-IV at 34.

¹⁴⁹R. at 1103 (noting the absence of hallucinations and voices, but that Mr. Cleary was currently going to Alcoholics Anonymous and maintaining sobriety).

¹⁵⁰R. at 1104.

¹⁵¹R. at 1312.

¹⁵²*Id.*

¹⁵³R. at 16.

¹⁵⁴*Id.*

¹⁵⁵*Id.*

¹⁵⁶R. at 27.

¹⁵⁷R. at 48.

He explained that he originally lived in the group home, then moved into a friend's basement but had to move back into the group home.¹⁵⁸ After approximately six months, there was a fire; Mr. Cleary testified that he had no other option other than the group home.¹⁵⁹ In total, Mr. Cleary has lived in the group home for over a year.¹⁶⁰ At the group home, Mr. Cleary had chore responsibilities and cleaned up after himself, though he testified that he often forgets to do his chore.¹⁶¹ He also testified that he cooked for himself using the microwave, went shopping and got around by walking, taking public transportation, or by riding with friends.¹⁶² Mr. Cleary testified that he enjoyed the sober living and did not believe he could have sustained himself outside the group home.¹⁶³

Next, Mr. Cleary testified that he was currently unemployed, and that his last job was with Jewel-Osco.¹⁶⁴ Mr. Cleary stated that he was unable to sustain the pace of work because of the pain in the "whole left side of [his] body,"¹⁶⁵ "friction" with coworkers, and difficulty completing his task.¹⁶⁶ He was terminated after three days.¹⁶⁷ The only other work discussed was Mr. Cleary's previous experience in a marble warehouse that involved a lot of heavy lifting.¹⁶⁸ He testified that while carrying the sinks, he injured the left side of his body, which causes pain when he lifts.¹⁶⁹

Finally, Mr. Cleary also testified that he no longer had a drivers license because of two DUIs.¹⁷⁰ Mr. Cleary testified that his last DUI was in 2006 and that he had not drank since June

¹⁵⁸*Id.*

¹⁵⁹R. at 48-9.

¹⁶⁰R. at 49.

¹⁶¹R. at 53-4.

¹⁶²R. at 54.

¹⁶³R. at 50.

¹⁶⁴*Id.*

¹⁶⁵R. at 51-52.

¹⁶⁶R. at 59.

¹⁶⁷R. at 51-52.

¹⁶⁸R. at 51.

¹⁶⁹R. at 55.

¹⁷⁰R. at 52-53.

2009.¹⁷¹ Mr. Cleary also stated that he has not used street drugs for more than two years. He testified that he is currently on antidepressant medication, Seroquel and Lamotrin,¹⁷² and did not have side effects from his medication.¹⁷³ Mr. Cleary also testified that there were times when he would be so depressed that he would stay in bed for two days, the last occurrence was approximately one month prior to the hearing.¹⁷⁴

B. ME's Testimony

The ME began his testimony by acknowledging that Mr. Cleary had been diagnosed with bipolar disorder, major depressive disorder, and an unspecified personality disorder.¹⁷⁵ Based on the record presented, the ME testified that he concurred with those diagnoses.¹⁷⁶ Further, the ME noted Mr. Cleary's history of substance abuse.¹⁷⁷

Next the ME considered listing 12.00 for mental disorders - 12.04, 12.06, 12.08, and 12.09 - and found that Mr. Cleary did not meet or equal any listing.¹⁷⁸ The ME noted numerous exhibits upon which he based his conclusion that Mr. Cleary was "doing very well," and had a "basically, intact mental status."¹⁷⁹ The ME also noted Mr. Cleary's "fairly high GAF" scores from 2009, all of which were in the sixties, and the lack of any delusions or hallucinations.¹⁸⁰ (It should be noted that the MF did not reference that on March 11, 2009, the records from Stroger Hospital show Mr.

¹⁷¹R. at 56-57.

¹⁷²R. at 56.

¹⁷³R. at 61.

¹⁷⁴R. at 62.

¹⁷⁵R. at 40-1.

¹⁷⁶R. at 41.

¹⁷⁷*Id.*

¹⁷⁸*Id.*

¹⁷⁹R. at 42-3.

¹⁸⁰R. at 43.

Cleary was assigned a GAF score of forty-nine which denotes serious impairment in social and occupational functioning).¹⁸¹

The ME testified that Mr. Cleary's mental health impairments would result in limitations in his ability to function in work settings since the alleged onset date of June 2006.¹⁸² The ME opined that Mr. Cleary was "capable of understanding, remembering, and carrying out most simple detailed instructions," on a consistent basis.¹⁸³ The ME also opined that Mr. Cleary should be limited to "brief and superficial work place contacts," and be limited to "normal levels of stress" characterized by well-defined routine tasks.¹⁸⁴ The ALJ asked if the ME would agree that Mr. Cleary's "work would be limited to simple, routine, and repetitive tasks" of three steps or fewer; the ME agreed.¹⁸⁵ In addition to the brief and superficial work place contact, the ME extended this limitation to co-workers and the public.¹⁸⁶ The ME opined that Mr. Cleary would perform best if he could "perform his tasks relatively independently [because of] his tendency toward irritability and sensitivity to criticism."¹⁸⁷

After Mr. Cleary's testimony, the ME was again asked to testify. The ME opined that Mr. Cleary was fairly independent and would be able to function outside of a highly supportive living arrangement.¹⁸⁸

C. VE's Testimony

¹⁸¹R. at 1097; DSM-IV at 34.

¹⁸²R. at 45.

¹⁸³*Id.*

¹⁸⁴R. at 45, 47.

¹⁸⁵R. at 45-6.

¹⁸⁶R. at 46-7.

¹⁸⁷R. at 46.

¹⁸⁸R. at 63.

The VE began her testimony by identifying Mr. Cleary's past work in the last fifteen years.¹⁸⁹ The VE opined that Mr. Cleary had three occupations that rose to the level of substantial gainful activity: delivery driver, considered semiskilled with a medium exertional level;¹⁹⁰ laborer, considered unskilled with a medium to heavy exertional level;¹⁹¹ and, pool cleaner, considered semiskilled with a medium exertional level.¹⁹²

Next, the ALJ asked the VE two hypotheticals.¹⁹³ The last hypothetical provided for an individual who had the education, work experience, skill set, and was the same age as Mr. Cleary who could work at a light exertional level; could lift twenty pounds occasionally, and lift or carry up to ten pounds frequently.¹⁹⁴ The VE opined that Mr. Cleary would not be able to perform his past relevant work because it exceeded the light exertional level.¹⁹⁵ The VE testified that Mr. Cleary could perform three occupations at the light exertional level: cleaner, inspector, and hand packager.¹⁹⁶

Finally, Mr. Cleary's attorney questioned the VE. The attorney first asked what percentage of the day, aside from breaks, that an unskilled worker would be expected to spend on task; the VE opined "eighty-five percent of the day."¹⁹⁷ Next, the attorney asked the VE what the tolerance is for disruptions with coworkers or supervisors; the VE opined that there would be no such tolerance.¹⁹⁸ Finally, the VE confirmed for the attorney that the tolerance for tardiness or absence was one day

¹⁸⁹R. at 65.

¹⁹⁰*Id.*

¹⁹¹R. at 65-6.

¹⁹²R. at 66.

¹⁹³R. at 66-7.

¹⁹⁴R. at 67.

¹⁹⁵*Id.*

¹⁹⁶R. at 67-8.

¹⁹⁷R. at 69.

¹⁹⁸*Id.*

a month or fewer.¹⁹⁹

D. ALJ's Decision

In an opinion issued on November 8, 2010, the ALJ concluded that Mr. Cleary was not disabled within the meaning of the Act from June 1, 2006, through December 31, 2010, the last date insured.²⁰⁰ The Social Security Administration has prescribed a sequential five-step evaluation process for determining whether a claimant is disabled.²⁰¹ The ALJ's first step is to consider whether the claimant is engaged in substantial gainful activity, which would preclude a disability.²⁰² In the present case, the ALJ determined that Mr. Cleary was not engaged in substantial gainful activity since June 1, 2006.²⁰³

The second step is for the ALJ to consider "whether the claimant has a medically determinable impairment that is severe or a combination of impairments that is severe."²⁰⁴ In the present case, the ALJ concluded that Mr. Cleary had the medically determinable severe impairments of: "degenerative disc disease of the lumbar spine; degenerative joint disease of the left shoulder and hip; major depressive disorder; a[n unspecified] personality disorder; and a poly-substance abuse disorder."²⁰⁵

The ALJ's third step is to consider "whether the claimant's impairment or combination of impairments meets or medically equals the criteria of an impairment listed in the regulations as being so severe as to preclude gainful activity."²⁰⁶ In the present case, the ALJ determined, and

¹⁹⁹R. at 69-70.

²⁰⁰R. at 22.

²⁰¹20 C.F.R. 404.1520(a).

²⁰²20 C.F.R. 404.1520(b).

²⁰³R. at 18.

²⁰⁴20 C.F.R. 404.1520(c).

²⁰⁵R. at 18.

²⁰⁶20 C.F.R. 404.1520(d), 404.1525, 404.1526.

explained at some length, that Mr. Cleary’s impairments did not meet or medically equal a listed impairment under 20 CFR Part 404, Subpart P, Appendix 1.²⁰⁷ The ALJ concluded that Mr. Cleary had “moderate restriction in activities of daily living”; “moderate difficulties in social function”;²⁰⁸ “moderate difficulties in maintaining concentration, persistence, or pace”; and, “experienced one or two episodes of decompensation.”²⁰⁹

In the event that no impairments are found to meet the Social Security Ruling listing requirements, the ALJ proceeds to the fourth step of the test, in which the ALJ must first determine the claimant’s residual functional capacity (“RFC”).²¹⁰ The RFC is an assessment of the maximum work-related activities a claimant can perform despite his limitations.²¹¹

If determining the claimant's RFC requires the ALJ to assess subjective complaints, then the ALJ follows a two-step process.²¹² First, the ALJ must determine whether there is an underlying medically determinable impairment, which can be shown by medically acceptable clinical and laboratory diagnostic techniques, that could reasonably be expected to produce the claimant’s symptoms.²¹³ If so, the ALJ then evaluates the intensity, persistence, and limiting effects of the claimant’s symptoms to determine the extent to which they limit the claimant’s functioning and ability to do basic work.²¹⁴

Here, the ALJ decided that Mr. Cleary had the RFC to perform light work as defined in 20

²⁰⁷R. at 18-22.

²⁰⁸R. at 20.

²⁰⁹R. at 21.

²¹⁰20 C.F.R. 404.1520(e).

²¹¹R. at 14.

²¹²*Id.*

²¹³*Id.*

²¹⁴*Id.*

CFR § 404.1567(b) with some additional limitations.²¹⁵ The ALJ found that Mr. Cleary could “lift a maximum of [twenty] pounds occasionally and lift and carry up to [ten] pounds frequently, stand [or] walk about [six] hours in a normal [eight]-hour workday, sit about [six] hours in a normal [eight]-hour workday.”²¹⁶ The ALJ also found Mr. Cleary to be able to frequently lift, handle objects, and finger bilaterally, with some limitations on his ability to manipulate.²¹⁷ Finally, the ALJ limited Mr. Cleary to “simple, routine and repetitive one to three step tasks while employed in a low stress job with no changes in the work setting and only brief and superficial interaction with co-workers and the public.”²¹⁸

In support of the RFC, the ALJ then moved to an analysis of the claimant’s subjective complaints, symptoms and Mr. Cleary’s credibility.²¹⁹ The ALJ found Mr. Cleary’s testimony to lack credibility because the objective evidence did not support his alleged inability to work.²²⁰ The ALJ concluded that Mr. Cleary’s claim that he could not work was undercut by the fact that he was able to maintain his personal hygiene, perform household chores, go shopping, and take public transportation.²²¹

The ALJ noted that Mr. Cleary’s subjective complaints of lower back pain as well as pain in his left shoulder and hip were not severe enough to render him unable to perform any work.²²² The ALJ gave no credit to the physical residual functional capacity assessment submitted by Dr. Pilapil,

²¹⁵R. at 22; *see also* 20 CFR § 404.1567(b)

²¹⁶R. at 22.

²¹⁷*Id.*

²¹⁸*Id.*

²¹⁹R. at 23.

²²⁰R. at 24.

²²¹R. at 24.

²²²*Id.*

the consultant to the State agency,²²³ adopting instead the more limited assessment added at the hearing.²²⁴ The ALJ concluded by finding that Mr. Cleary retained the ability to work at a light level of exertion, with some additional limitations.²²⁵

Next, the ALJ considered Mr. Cleary's testimony regarding his difficulty maintaining concentration, poly-substance abuse, and issues of social interaction.²²⁶ The ALJ noted that Mr. Cleary's attention and concentration was rated fair or intact throughout the medical record,²²⁷ which would support a finding of moderate difficulty maintaining concentration, persistence, or pace.²²⁸

In regard to Mr. Cleary's poly-substance abuse, the ALJ noted a number of examples of drug-seeking behavior which took place in 2009 and 2010.²²⁹ Further, the ALJ noted an examination in May 2010 in which Mr. Cleary's diagnosis "was unclear as to whether the extent of his symptoms were due to an affective disorder or due [to] substance addiction."²³⁰

Finally, the ALJ found supporting objective evidence to be lacking in regard to Mr. Cleary's ability to interact with supervisors, co-workers, and the public.²³¹ The ALJ noted that Mr. Cleary had been diagnosed with serious limitations in his ability to respond appropriately to supervisors and co-workers.²³² However, the ALJ found this to be inconsistent with Mr. Cleary's testimony that he essentially got along with the people at his last job.²³³

²²³R. at 822-29.

²²⁴R. at 25.

²²⁵*Id.*

²²⁶R. at 24-5.

²²⁷R. at 24.

²²⁸R. at 21.

²²⁹R. at 24-5.

²³⁰R. at 25.

²³¹*Id.*

²³²*Id.*

²³³*Id.*

The ALJ then considered the testimony of the VE, who opined that Mr. Cleary could not perform any of his past relevant work because the mental and exertional limits of that work was greater than those allowed by his RFC.²³⁴ The ALJ also considered the VE's testimony that Mr. Cleary would be able to perform the requirements of cleaner, inspector, or hand packager.²³⁵ In conclusion, the ALJ found Mr. Cleary able to make a "successful adjustment to other work that exists in significant numbers in the national economy," and entered a finding of "not disabled."²³⁶

IV. Standard of Review

The Court must sustain the Commissioner's findings of fact if they are supported by substantial evidence and are free of legal error.²³⁷ Substantial evidence is relevant evidence that a reasonable mind might accept as adequate to support a conclusion.²³⁸ The standard of review is deferential, but the reviewing court must conduct a critical review of the evidence before affirming the Commissioner's decision.²³⁹ Where conflicting evidence allows reasonable minds to differ, the responsibility for determining whether a plaintiff is disabled falls upon the Commissioner and not the Court.²⁴⁰ Although the ALJ need not address every piece of evidence or testimony presented, he must adequately discuss the issues and build an accurate and logical bridge from the evidence to the conclusion.²⁴¹ The Court will conduct a critical review of the evidence and will not uphold the ALJ's

²³⁴R. at 26.

²³⁵R. at 27.

²³⁶*Id.*

²³⁷42. U.S.C. § 405(g).

²³⁸*McKenze v. Astrue*, 641 F.3d 884, 889 (7th Cir. 2011) (citing *Skinner v. Astrue*, 478 F.3d 836, 841 (7th Cir. 2007); *Lopez ex rel. Lopez v. Barnhart*, 336 F.3d 535, 539 (7th Cir. 2003)).

²³⁹*Eichstadt v. Astrue*, 534 F.3d 663, 665 (7th Cir. 2008) (citing *Briscoe ex rel. Taylor v. Barnhart*, 425 F.3d 345, 351 (7th Cir. 2005)).

²⁴⁰*Herr v. Sullivan*, 912 F.2d 178, 181 (7th Cir. 1990) (citing *Walker v. Bowen*, 834 F.2d 635, 640 (7th Cir. 1987)).

²⁴¹*Jones v. Astrue*, 623 F.3d 1155, 1160 (7th Cir. 2010); *McKinze v. Astrue*, 641 F.3d at 889.

decision if it lacks evidentiary support or “if the Commissioner applied an erroneous legal standard.”²⁴²

V. Analysis

Mr. Cleary proffers three arguments for remand, two of which we discuss here: the ALJ did not properly evaluate Mr. Cleary’s mental RFC, or his credibility. But the principle issue in this case is that the ALJ did not adequately consider the extensive medical record. Namely, he failed to consider the evidence from 2006 to 2008, and did not address Mr. Cleary’s fluctuating mental health as indicated by more than fifteen GAF scores. Rather, the ALJ only considered medical records from 2009 and 2010 in his analysis, and relied heavily upon the testifying ME for support.

A. The ALJ Failed to Properly Assess Mr. Cleary’s Mental RFC

Beginning with Mr. Cleary’s strongest argument for reversal, he argues that the ALJ erred in his determination of his mental RFC. Though Mr. Cleary raises a number of arguments, we will focus only on the ALJ’s failure to account for Mr. Cleary’s limitations in responding appropriately to supervisors, and his failure to address Mr. Cleary’s numerous GAF scores.²⁴³

We can address both of these arguments together. The Commissioner asserts that the ALJ adopted the opinion of the ME, who “opined that [Mr. Cleary] should have only superficial, brief interaction with supervisors, co-workers, and the public,” and because the VE was present at the hearing, she would have taken all the ME’s limitations into account.²⁴⁴ The Commissioner also argues that the ALJ need not consider the GAF scores so long as he considered the mental status

²⁴²*Clifford v. Apfel*, 227 F.3d 863, 869 (7th Cir. 2000) (citing *Rohan v. Charter*, 98 F.3d 966, 970 (7th Cir. 1996)).

²⁴³Pl. Mem. at 12-14, dkt. 21.

²⁴⁴Def. Mem. at 6, dkt. 26.

examination findings present in the record.

The ALJ must acknowledge medical ailments and evaluations that are essential to creating a complete picture of the claimant's mental health.²⁴⁵ The ALJ's RFC assessment must be based on all of the relevant evidence.²⁴⁶ Finally, "[a]n ALJ must explain why he does not credit evidence that would support strongly a claim of disability, or why he concludes that such evidence is outweighed by other evidence."²⁴⁷

With regard to Mr. Cleary's limitations in responding appropriately to supervisors, the Commissioner's argument is counter to precedent. When "the ALJ poses a series of increasingly restrictive hypotheticals to the VE, the court infer[s] that the VE's attention is focused on the hypotheticals and not on the record."²⁴⁸ Therefore, it would be incorrect to conclude that the VE took anything but the specific hypothetical into account. The implicit inclusion of a limitation is not sufficient to supply the VE with the information adequate to determine the claimant's RFC.²⁴⁹

In addition, we find that in light of the extensive medical record and numerous and wide ranging GAF scores, failure to consider them at all necessitates remand. The GAF score is a tool used by clinicians to evaluate an individual in global terms, with respect to "psychological, social, and occupational functioning."²⁵⁰ The Commissioner argues that the GAF score is an unexplained numerical score which does not reflect the clinician's opinion of functional capacity.²⁵¹ We disagree.

²⁴⁵*Farrell v. Astrue*, 692 F.3d 767, 773 (7th Cir. 2012).

²⁴⁶*Title II & XVI: Assessing Residual Functional Capacity in Initial Claims*, SSR 96.8P (S.S.A. July 2, 1996).

²⁴⁷*O'Connor*, 627 F.3d at 621 (citing *Giles ex rel. Giles v. Astrue*, 483 F.3d 483, 488 (7th Cir.2007); *Zurawski v. Halter*, 245 F.3d 881, 888–89 (7th Cir.2001)).

²⁴⁸*O'Connor*, 627 F.3d at 619; see *Simila*, 573 F.3d at 521; *Young*, 362 F.3d at 1003.

²⁴⁹*O'Conner*, 627 F.3d at 618-19.

²⁵⁰DSM-IV at 32.

²⁵¹Def. Mem. at 8. dkt. 26.

The GAF score is accompanied by clinical notes and, throughout this record, is accompanied by the clinical disorders, personality disorders, general medical condition and environmental factors, which are all considered by the clinician in the assignment of a GAF score.²⁵² Simply put, the GAF score is a tool primarily used to assess the need for treatment or care at that current point.²⁵³ The Seventh Circuit has utilized GAF scores in the assessment of a claimant's mental RFC, particularly in cases such as this one in where Mr. Cleary's GAF scores are often below fifty²⁵⁴ denoting serious symptoms or impairment.²⁵⁵

Furthermore, what was not addressed at all were Mr. Cleary's fluctuating GAF scores, sometimes within very short periods. In 2006, Mr. Cleary was assigned three GAF scores over the course of three days: from fifty,²⁵⁶ to fifty-five,²⁵⁷ to twenty-five in a three day period.²⁵⁸ Similarly, in 2007, Mr. Cleary's GAF scores ranged from a low of twenty-five in early November, to a high of only fifty, after ten days of treatment at the Sarah Bush Lincoln Health Center.²⁵⁹ In 2008, Mr. Cleary's GAF scores varied significantly from a high of sixty in April,²⁶⁰ to lows in the twenties in

²⁵²DSM-IV at 27-32.

²⁵³DSM-IV at 33.

²⁵⁴2006 - R. at 417 (GAF: 30); R. at 312 (GAF: 50); R. at 360 (GAF: 25). 2007 - R. at 352 (GAF: 28); R. at 349 (GAF:50); R. at 348 (GAF: 45); R. at 380 (GAF: 40). 2008 - R. at 521-23 (GAF: 40-60); R. at 502 (GAF: 20-30); R. at 449 (GAF: 55); R. at 431 (GAF:20); R. at 425 (GAF: 40-50); R. at 574 (GAF: 29); R. at 686 (GAF: 35); R. at 658 (GAF: 50). 2009 - R. at 851, *see* n146 (GAF: 45-64) R. at 1097 (GAF: 49).

²⁵⁵DSM-IV at 34; *see Farrell*, 692 F.3d at 773 (finding the ALJ erred in ignored GAF scores, often in the severe zone, which amounted to "extensive medical history in the record and emphasized contradictions with the opinions of the government's doctors"); *Campbell v. Astrue*, 627 F.3d 299, 307 (7th Cir. 2010) (finding "[a] GAF rating of 50 does not represent functioning within normal limits. Nor does it support a conclusion that Campbell was mentally capable of sustaining work").

²⁵⁶R. at 312.

²⁵⁷R. at 345.

²⁵⁸R. at 360.

²⁵⁹R. at 349-51.

²⁶⁰R. at 521-23.

July,²⁶¹ August,²⁶² and September.²⁶³ In 2009, Mr. Cleary's GAF scores did show some improvement, as noted by the ME. However, despite the ME's testimony that all of Mr. Cleary's scores were in the sixties,²⁶⁴ Mr. Cleary was assigned a GAF score of forty-nine on March 11, 2009, at Stroger Hospital.²⁶⁵

Therefore, the ALJ's failure to consider, analyze, or even mention Mr. Cleary's GAF scores gives us no confidence that he appropriately considered the medical findings and opinions as the Commissioner argues. It is not our opinion that the ALJ must base his decision upon GAF scores. But the ALJ must confront all the evidence that supports a claim of disability and explain why he rejected that evidence.²⁶⁶ Particularly in cases where mental health is at issue, the ALJ should acknowledge all evidence essential to creating a complete picture of Mr. Cleary's mental health.²⁶⁷

B. The ALJ Failed to Properly Evaluate Mr. Cleary's Credibility

Mr. Cleary argues that the ALJ improperly assessed his credibility by failing to adequately explain which of his allegations were credible and which were not.²⁶⁸ The Commissioner in turn argues "the ALJ's credibility assessment in this case was particularly lengthy and thorough and was certainly not patently wrong."²⁶⁹

According to SSR 96-7p, the ALJ must base his credibility finding on the entire record and

²⁶¹R. at 502.

²⁶²R. at 431.

²⁶³R. at 574.

²⁶⁴R. at 43.

²⁶⁵R. at 1097; DSM-IV at 34.

²⁶⁶See *O'Connor*, 627 F.3d at 621; *Farrell*, 692 F.3d at 773.

²⁶⁷See *Farrell*, 692 F.3d at 773; see *Phillips*, 413 Fed.Appx. at 881.

²⁶⁸Pl. Mem. at 16-17, dkt. 21.

²⁶⁹Def. Mem. at 9, dkt. 26.

must sufficiently explain his conclusion of the claimant's credibility.²⁷⁰ In analyzing inconsistencies between a claimant's statements and medical evidence, an ALJ must investigate "all avenues" presented that relate to pain, including the observations by treating and examining physicians.²⁷¹ While the ALJ may not reject subjective complaints of pain solely because they are not supported by medical evidence, the ALJ may consider this conflict as probative of the claimant's credibility.²⁷² Last, this Court grants deference to the ALJ's credibility assessment,²⁷³ and will only overturn it if it is "patently wrong."²⁷⁴

In this case, the ALJ found Mr. Cleary's testimony unconvincing, and concluded that the objective evidence did not support his alleged inability to perform work.²⁷⁵ For support, the ALJ mentions Mr. Cleary's ability to maintain his personal hygiene and perform daily household tasks such as chores and shopping.²⁷⁶ However, the ALJ failed to provide an explanation of what he considered when he arrived at his credibility conclusion. The ALJ also failed to address the periods in which Mr. Cleary may not have been capable of performing daily tasks or when he was unable to maintain his hygiene and grooming.²⁷⁷ While the ALJ is not required to consider every piece of

²⁷⁰*Titles II and XVI: Evaluation of Symptoms in Disability Claims: Assessing the Credibility of an Individual's Statements*, SSR 96-7P (S.S.A July 2, 1996).

²⁷¹*Luna v. Shalala*, 22 F.3d 687, 691 (7th Cir. 1994); *see also Briscoe ex rel. Taylor*, 425 F.3d at 351).

²⁷²*Powers v. Apfel*, 207 F.3d 431, 435 (7th Cir. 2000); *see Prochaska v. Barnhart*, 454 F.3d 731, 738 (7th Cir. 2006) (*citing Carradine v. Barnhart*, 360 F.3d 751, 753-54 (7th Cir.2004) (finding "[a]n ALJ may disregard a claimant's assertions of pain if he validly finds her incredible").

²⁷³*Sims v. Barnhart*, 442 F.3d 536, 538 (7th Cir. 2006) (holding that an ALJ's credibility determination can only be reversed if his finding is "unreasonable or unsupported").

²⁷⁴*Jones*, 623 F.3d at 1160; *see also Powers*, 207 F.3d at 435 (finding that an ALJ's credibility determinations must have been "patently wrong" in order to be overturned).

²⁷⁵R. at 24.

²⁷⁶*Id.*

²⁷⁷R. at 24; *see R.* at 454 (noting that Mr. Cleary appeared distressed and had poor grooming and hygiene); R. at 576 (noting that Mr. Cleary had poor hygiene and grooming); *see Carradine*, 360 F.3d at 755-56 (finding that the ALJ must explain the inconsistencies between activities of daily living and the medical evidence).

evidence, mental health symptoms can ebb and flow; therefore, failure to consider the full range of evidence in the record fundamentally distorts the picture of Mr. Cleary's mental health.²⁷⁸

The ALJ continued by citing a lack of objective evidence to support Mr. Cleary's allegation of physical pain and impaired concentration.²⁷⁹ Here again, the ALJ did not discuss the inconsistencies regarding Mr. Cleary's concentration. The ALJ notes two examinations in which Mr. Cleary's concentration is intact and is attentive, but does not address previous medical examinations that found Mr. Cleary did have some "impairment with memory."²⁸⁰

Finally, the ALJ noted Mr. Cleary's history of drug-seeking behavior.²⁸¹ Mr. Cleary's history of poly-substance abuse in addition to multiple instances of drug-seeking behavior can be considered when assessing his credibility.²⁸² The ALJ notes Mr. Cleary's drug-seeking behavior throughout 2009 when he attempted to procure Vicodin prescriptions and multiple refills of a Valium prescription.²⁸³ However, though this is relevant to a credibility assessment, the ALJ does not create the necessary logical bridge between Mr. Cleary's drug-seeking and his credibility findings. It is incumbent upon the ALJ to explain how Mr. Cleary's drug-seeking behavior influenced his credibility conclusion so that it can be reviewed by this Court.

IV. Conclusion

For the reasons set forth above, we remand for further clarification and analysis of Mr. Cleary's medical record, mental RFC, and credibility. Mr. Cleary's motion is granted [dkt. 21]. The

²⁷⁸See *Farrell*, 692 F.3d at 773; see *Phillips*, 413 Fed.Appx. at 881.

²⁷⁹R. at 24.

²⁸⁰R. at 749; R. at 818 (finding Mr. Cleary moderately limited in his ability to understand, remember and carry out detailed instructions, and also moderately limited in maintaining attention and concentration).

²⁸¹R. at 24-25; see also R. at 449, 658, 1104.

²⁸²*Simila v. Astrue*, 573 F.3d 503, 519-20 (7th Cir. 2009).

²⁸³R. at 24.

Commissioner's motion for summary of judgement is denied [dkt. 25].

IT IS SO ORDERED.

Date: August 19, 2013



Susan E. Cox
U.S. Magistrate Judge