

Court as to the propriety of a civil penalty and the amount thereof (following the filing of a motion by the SEC, and the opportunity of Defendant to be heard on the matter).

WHEREFORE, Plaintiff United States Securities and Exchange Commission respectfully requests that the Court grant its Motion; enter the Judgment set forth in Exhibit 2; and grant such other and further relief as this Court deems just and proper.

Dated: October 2, 2017

Respectfully submitted,

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION**

By: /s/ Alyssa A. Qualls
Alyssa A. Qualls (Quallsa@sec.gov)
Steven C. Seeger (Seegers@sec.gov)
Charles J. Kerstetter (Kerstetterc@sec.gov)
Ariella O. Guardi (Guardia@sec.gov)
Christopher H. White (Whitech@sec.gov)
175 West Jackson Boulevard, Suite 1450
Chicago, Illinois 60604
(312) 353-7390
(312) 353-7398 (FAX)

*Attorneys for Plaintiff
U.S. Securities and Exchange Commission*

CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that on October 2, 2017, a copy of the foregoing Plaintiff Securities and Exchange Commission's Motion for Entry of Judgment was served upon the following counsel by email:

John F. Hartmann
Kirkland & Ellis LLP
300 North LaSalle
Chicago, Illinois 60654
john.hartmann@kirkland.com

Attorney for Defendant

/s/ Alyssa A. Qualls_____

Alyssa A. Qualls