

NOT FOR PUBLICATION OR CITATION

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF KENTUCKY  
LEXINGTON DIVISION

Eastern District of Kentucky  
**FILED**

**JUN 08 2006**

AT LEXINGTON  
LESLIE G WHITMER  
CLERK U S DISTRICT COURT

CIVIL ACTION NO. 06-CV-176-JMH

MARC JOHNSON

PLAINTIFF

VS:

**MEMORANDUM OPINION AND ORDER**

MUSIC UNION

DEFENDANT

Marc Johnson, a/k/a Marcus Bond Johnson, a non-prisoner plaintiff, has initiated yet another civil action by submitting a motion to proceed *in forma pauperis*, using a financial affidavit form designed for prisoners; and a handwritten document [Record No. 1], which the Court construes as a complaint.

#### BACKGROUND

The instant action is the plaintiff's thirteenth *pro se* civil action filed in this Court, all containing requests to proceed *in forma pauperis* on a prisoner affidavit form. In these previous actions, the plaintiff has persisted in filing frivolous complaints, unexplained exhibits, unsupported and improper motions to proceed *in forma pauperis*, and other frivolous motions. In some, the Court had no choice but to dismiss the cases, *sua sponte*. In others, the Court has issued deficiency orders, by which Johnson has an opportunity to cure deficiencies. Either these cases have been dismissed for his failure to do so in a timely manner or the Court is awaiting his response. In none has the Court ordered the issuance of summons. The Court summarizes the previous actions:

*Johnson v. Lexington Legal Bar Association*, No. 03-CV-135-JMH, filed March 19, 2003, regarding two lawsuits which he had unsuccessfully pursued in the Western District of Kentucky and in the United States Court of appeals for the Sixth Circuit. Dismissed May 5, 2003.

*Johnson v. Building Under Construction, et al.*, No. 04-CV-378-JBC, filed on August 9, 2004, regarding several matters, including his allegation that his building designs have been stolen and that the mayor had stated that she intended to arrest him. Dismissed March 24, 2005.

*Johnson v. State of Kentucky et al.*, No 05-CV-336-KSF, filed August 8, 2005, was so nonsensical that the Court called for supplemental information if the plaintiff wished to proceed, but a response was not forthcoming. Dismissed January 19, 2006.

*Johnson v. Lexington Fayette Urban County Government*, No. 05-CV-493-JMH, filed November 29, 2005, regarding several financial topics, *e.g.*, “my identity is being used on U.S. Currency notes.” Dismissed April 25, 2006.

*Johnson v. Kentucky Fried Chicken Corporation, et al.*, No. 06-140-JMH, filed May 5, 2006, regarding people and restaurants which are allegedly “trying to kill me.” Deficiency Order May 25, 2006.

*Johnson v. FBI*, 06-CV-141-JMH, filed May 5, 2006, gave no information as to why the Federal Bureau of Investigation was named as a defendant. Deficiency Order May 25, 2006.

*Johnson v. Dan's Pawn Shop*, No. 06-CV-163-KSF, filed May 23, 2006, charging the store with (1) robbery and usury; (2) keeping the plaintiff from getting married; and (3) being involved in the death of an individual named Danny Okes.

*Johnson v. Flores*, 06-CV-170-KSF, filed May 30, 2006, against a woman named Mary Catherine Flores as the defendant and charging her with “neglect and fraud.”

*Johnson v. Carter*, No. 06-CV-171-JMH, also filed May 30, 2006, against a woman, this one named Susan Victoria Carter, and making the same “neglect and fraud” claims. Dismissed June 1, 2006.

*Johnson v. Military Records*, No. 06-CV-172-JMH, another filed May 30, 2006, regarding his military records which are purportedly “defrauding” his name and which he requests be revised. Deficiency Order June 1, 2006.

*Johnson v. State of Kentucky*, No. 06-CV-173-JMH, filed May 30, 2006, regarding a conspiracy between certain state, county, and local branches of government to murder him by denying him certain things, including food and watching television. Dismissed June 2, 2006.

*Johnson v. Bunko*, 06-CV-175-JMH, filed May 31, 2006, regarding death threats against him which were made by several people and which somehow involved Bunko, the plaintiff also stating that he does not know what Bunko is.

In all of these cases, the Court repeatedly informed Plaintiff Johnson of the need for his complaint/allegations to meet the minimal requirements of Rule 8 of the Federal Rules of Civil Procedure or face dismissal pursuant to *Neitzke v. Williams*, 490 U.S. 319, 327 (1989) and *Apple v. Glenn*, 183 F.3d 477 (6<sup>th</sup> Cir. 1999), *cert. denied*, 528 U.S. 1198 (2000). The Court has even supplied him with complaint forms. Still he has persisted in filing unexplained documents and nonsense allegations, as he has done in the instant case. He has not instituted any case by filing a formal complaint.

Similarly, since 2003, the Court also repeatedly advised Plaintiff Johnson of the impropriety of applying to proceed *in forma pauperis* on the prisoner financial form, since he is not a prisoner; and each time the Court provided him with the proper affidavit form for non-prisoners.<sup>1</sup> To date, however, the plaintiff has failed to submit the proper non-prisoner financial form when he initiates an action in which he seeks to proceed *in forma pauperis*. Therefore, he has repeatedly shown that he will not follow this Court's orders.

The Court also notes that in two of his first three cases, the plaintiff paid the district court filing fee. Since their dismissals *sua sponte*, however, all of Johnson's later filings have been accompanied with a motion to proceed *in forma pauperis*. He obviously does not want to risk his own money again. When he has submitted sufficient financial information for the Court to rule on the merits of his motion, as he has done eventually in two of the above-listed cases, he has failed to

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<sup>1</sup> See, e.g., *Johnson v. Lexington Legal Bar Association*, Lex. No. 03-CV-135-JMH; *Johnson v. Building Under Construction*, Lex. No. 04-CV-378-JBC; and *Johnson v. Kentucky Fried Chicken, et al.*, Lex. No. 06-CV-140-JMH.

demonstrate indigency. Both times, the Court found that he did not make a sufficient showing of poverty to qualify as an indigent, one on the ground that Johnson had valuable property<sup>2</sup> and the other ruling based on his having a sufficient monthly income to disqualify him as a pauper.<sup>3</sup> Johnson did not appeal these findings.

### INSTANT ALLEGATIONS

In the case *sub judice*, the plaintiff has again begun by submitting two deficient pleadings, *i.e.*, the prisoner affidavit form for applying to proceed in a civil action *in forma pauperis*, and accompanying pages of allegations which the Court has historically construed to be an intended complaint. In the heading on both documents, he has written that the defendant is “Music Union.”

The latter pleading contains several pages of allegations on the topic of Johnson’s purported career in music. The plaintiff starts with the information that he has been singing since the 1960’s and learning music on guitars and drums since 1974. However, he complains, he has “not been allowed a single band practice by the government. . . .” He also contends that while trying to further his music career, he has been wrongly murdered, robbed, cheated, threatened, lied to, and arrested, the arrest purportedly occurring on December 5, 1999. He concludes by stating that he is “sueing music to obtain my contracts and bands.”

### DISCUSSION

As the plaintiff has been told repeatedly, a *pro se* complaint is held to less stringent standards than those composed by an attorney and should be construed as alleging all fairly and reasonably inferred claims, *Haines v. Kerner*, 404 U.S. 519, 520 (1972). There are limits to this liberal

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<sup>2</sup> See *Johnson v. Building Under Construction*, No. 04-CV-378-JBC.

<sup>3</sup> See *Johnson v. Lexington Fayette Urban County Government*, No. 05-CV-493-JMH.

construction, however.

Federal Rule of Civil Procedure 8(a) requires a *pro se* plaintiff's complaint to include (1) a short and plain statement of the grounds upon which the court's jurisdiction depends..., (2) a short and plain statement of the claim showing that the pleader is entitled to relief, and (3) a demand for judgment for the relief the pleader seeks. A complaint which fails to meet the basic requirements of Rule 8, even a *pro se* complaint, should be dismissed without prejudice. *Parker v. Debuono*, 2000 WL 223841 (S.D.N.Y. 2000); *Vicom, Inc. v. Harbridge Merchant Services*, 20 F.3d 771, 775 (7th Cir. 1994); *Boswell v. Honorable Governor of Texas*, 138 F.Supp.2d 782, 785 (N.D. Texas 2000) (“[i]t is not the Court's place to speculate or imagine what the plaintiff's claims may be.”); *Wells v. Brown*, 891 F.2d 591, 594 (6th Cir. 1989); *Neitzke v. Williams*, 490 U.S. at 327 (1989).

The construed complaint herein, like others from the instant plaintiff, clearly has not set forth a short and plain statement of the facts supporting each allegation in the complaint. At most, it could be attempting to state a contract claim or a claim for fraud, which would be under Kentucky law. The plaintiff's complaint presents no discernible federal claim and makes no factual allegations. Such defects, therefore, render the complaint patently insubstantial and, therefore, subject to dismissal, pursuant to Fed.R.Civ.P. 12(b)(1). *Apple v. Glenn*, 183 F.3d 477 (6<sup>th</sup> Cir. 1999), *cert. denied*, 528 U.S. 1198 (2000); *Hagans v. Lavine*, 415 U.S. 528, 537 (1974); *Thomson v. Gaisskill*, 315 U.S. 442 (1942) (plaintiff must expressly allege basis for court's subject matter jurisdiction).

Although the Court has previously afforded Johnson with opportunities to amend his pleadings in other actions, the Court will do so no longer. The instant complaint will be dismissed *sua sponte* for lack of subject matter jurisdiction pursuant to *Apple v. Glenn*, 183 F.3d at 479 (distinguishing between dismissals pursuant to Federal Rule of Civil Procedure 12(b)(1) and

12(b)(6), citing *Hagans v. Lavine*, 415 U.S. at 536-37, and affirming dismissal for lack of subject matter jurisdiction) and *Neitzke v. Williams*, 490 U.S. at 327.

Plaintiff Johnson has also been advised that the Court possesses the inherent authority to prevent the abuse of the judicial process by enjoining those who file multiple, frivolous, or malicious pleadings. *Filipas v. Lemons*, 835 F.2d 1145, 1146 (6th Cir. 1987); *Chambers v. NASCO, Inc.*, 501 U.S. 32, 44 (1991) (“Courts of justice are universally acknowledged to be vested, by their very creation, with power to impose silence, respect, and decorum, in their presence, and submission to their lawful mandates,” quoting *Anderson v. Dunn*, 6 Wheat. 204, 227 (1821)).

In choosing sanctions, the Court may not totally foreclose an individual from initiating an action or pursuing an appeal in federal court. *Ortman v. Thomas*, 99 F.3d 807 (6<sup>th</sup> Cir. 1996). Rather, the district courts must use the least restrictive sanction necessary to deter the inappropriate behavior. *Id.* at 811. Among permissible sanctions, the Court may place pre-filing restrictions on an individual with a history of repetitive or vexatious litigation. *Hyland v. Stevens*, 37 Fed.Appx. 770, 771 (6<sup>th</sup> Cir. 2002) (citing *Ortman* at 811; *Feathers v. Chevron U.S.A., Inc.*, 141 F.3d 264, 269 (6<sup>th</sup> Cir 1998) and *Filipas v. Lemons*, 835 F.2d at 1146).

Also, where a litigant has “demonstrated a ‘history of unsubstantial and vexatious litigation [amounting to] an abuse of the permission granted to him to proceed as a pauper in good faith . . .’”, the Court may enter an order prospectively denying *in forma pauperis* status and direct the Clerk of the Court to return unfiled any complaint or petition submitted by the litigant unless accompanied by the appropriate filing fee. *Renner v. Sewell*, 975 F.2d 258, 260-61 (6th Cir. 1992). *See also Hyland*, 37 Fed.Appx. at 771-72 (citing *Judd v. United States Dist. Court for the W. Dist of Texas*, 528 U.S. 5, 6 (1999), wherein the High Court ordered the clerk of that Court not to accept further

petitions for certiorari in non-criminal matters until the docketing fees were paid).

Injunctive relief is permissible. In most cases, the preferred approach is to require an abusive litigant to obtain leave of the court before filing any more lawsuits. *Hyland*, 37 Fed.Appx. at 771. Other injunctive relief imposed by the district courts has been affirmed. *See Callihan v. Commonwealth*, 36 Fed.Appx. 551, 553 (6<sup>th</sup> Cir. 2002) (affirming the injunction which this Court imposed on a vexatious litigant). In addition to affirming various district court sanctions, the Sixth Circuit has itself imposed an injunction against a frequent filer of frivolous actions. *Siller v. Haas*, 21 Fed.Appx. 270, 271 (6<sup>th</sup> Cir. 2001).

This Court will no longer tolerate Marcus Bond Johnson's continuing abuse of the judicial process. In the instant Order, and also in the Order dismissing the other case which he filed on May 31, 2006, *Johnson v. Bunko*, No. 06-CV-175-JMH, this Court puts Plaintiff Johnson on notice of the following pre-conditions which he must satisfy from this day forward.

If Marcus Bond Johnson wishes to bring any new civil action case after the date of entry of the instant Order, his tendered initial pleading must be accompanied by (a) a completed complaint form substantially conforming to this Court's complaint form 123; and (b) full payment of the \$350.00 district court filing fee.

Alternatively, if the plaintiff claims to be indigent and wishes to proceed in a new action without the prepayment of the filing fee, then he must submit the following: (a) a completed complaint form substantially conforming to this Court's complaint form 123; and (b) a completed 4-page financial affidavit form which is for non-prisoners' use and is consistent with the 4-page form which the Court has repeatedly sent to the plaintiff or with Form 4, Affidavit Accompanying Motion for Permission to Appeal in Forma Pauperis, found in the Federal Rules of Appellate Procedure

Appendix of Forms; and (c) a motion for leave of Court to bring another civil action, said motion setting out his claim(s), the facts from which his claim(s) arose, and the purpose of the desired lawsuit.

If neither of the above alternatives is met, the Clerk of the Court will be directed not to initiate a new case but to return the initial document(s), *i.e.*, pleading/complaint/construed complaint, to the plaintiff.

Additionally, any future motion which the plaintiff brings in a currently filed or future case, and which the Court finds to be frivolous, may result in the imposition of a \$50.00 sanction, per motion for each such motion he brings hereafter. Failure to pay a sanction so imposed within thirty days may be considered contempt of Court, for which the plaintiff may be further sanctioned.

#### CONCLUSION

Accordingly, it is hereby **ORDERED** as follows:

(1) Plaintiff's complaint herein is **DISMISSED** for lack of subject matter jurisdiction and a contemporaneous Judgment will be entered in favor of the named defendant.

(2) Plaintiff's application to proceed *in forma pauperis* [Record No. 2] is **DENIED**.

(3) Plaintiff is **PERMANENTLY BARRED AND ENJOINED** from filing any *pro se* civil action in the Eastern District of Kentucky unless he shall present the following:

(a) a completed complaint form substantially conforming to this Court's complaint form 123; and

(b) contemporaneous full payment of the \$350.00 district court filing fee;

or, alternatively,

if the plaintiff seeks *in forma pauperis* status, (a) a formal complaint stating a federal claim on a complaint form, as described in this Order; (b) a fully completed 4-page financial affidavit form



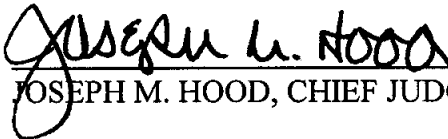
for non-prisoners' use in seeking to proceed *in forma pauperis*, also as described in this Order; and  
(c) a motion for leave of Court to bring another civil action, said motion setting out his claim(s), the facts from which his claim(s) arose, and the purpose of the desired lawsuit.

(4) The Clerk of Court **SHALL NOT FILE** any new civil action received from Marcus Bond Johnson, if he does not tender therewith a formal complaint and either the full district court filing fee or motions in conformity with subsections (b) - (c), in the immediately preceding paragraph;

and the Clerk **SHALL RETURN** to Marcus Bond Johnson **UNFILED** all filings received in violation of this Order.

(5) Any failure by Marcus Bond Johnson to abide by the directives set forth in this Order may result in additional sanctions.

This the 8<sup>th</sup> day of June, 2006.

  
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JOSEPH M. HOOD, CHIEF JUDGE

Date of Entry and Service: