

EXHIBIT 12

USDC - Depo: Jorgenson, William Portions HIGHLY CONFIDENTIAL 5/18/2007 9:02:00 AM

1 UNITED STATES DISTRICT COURT
2 DISTRICT OF MASSACHUSETTS
3 Civil Action No. 05-12237 WGY
4

AMGEN, INC.,) DEPOSITION OF:
5) WILLIAM L. JORGENSEN,
6) Ph.D.
7)
Plaintiff,)
8 vs.) **HIGHLY CONFIDENTIAL**
9) ***RESTRICTED ACCESS***
10)

11 F. HOFFMANN-LA ROCHE LTD., a) CONTAINS ROCHE
12 Swiss Company, ROCHE) CONFIDENTIAL BLA/IND
13 DIAGNOSTICS GmbH, a German) INFORMATION SUBJECT TO
14 Company, and HOFFMANN-LA) PROTECTIVE ORDER-LOCKED
15 ROCHE, INC., A New Jersey) ROOM ACCESS ONLY
16 Corporation,)
17)
18 Defendants.)

19 TRANSCRIPT of the stenographic notes of the
20 proceedings in the above-entitled matter, as taken by
21 and before LISA FORLANO, RMR, CRR, CSR, CLNR, Notary
22 Public, held at the offices of Duane, Morris, 1540
23 Broadway, New York, New York, on Friday, May 18,
24 2007, commencing at 9:02 a.m.

25 (This transcript contains Confidential
BLA/IND Information. Please treat the
entire transcript in accordance with the
Amended Protective Order in this matter.)

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1 A p p e a r a n c e s :

2

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13

14 Also present: Nicholas Guzman, Videographer

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1 Q Do you consider computer modeling an
2 experiment?

3 A I don't use it in that way. Some
4 people nowadays do.

5 Q So using experiments in a way that
6 would exclude computer modeling for the moment, you
7 haven't performed any experiments with any pegylated
8 proteins, correct?

9 A Correct.

10 Q Prior to your involvement in this case,
11 had you ever developed a computer model for the
12 structure of EPO?

13 A No.

14 Q Prior to your involvement in this case,
15 had you ever developed a computer model for the
16 structure of any pegylated protein?

17 A No.

18 Q Is it fair to say, then, that the only
19 modeling work that you've done with respect to EPO
20 or pegylated protein is in connection with the work
21 you did for your expert report?

22 MR. FLEMING: Objection. You have to
23 allow me to --

24 THE WITNESS: Okay. Yes.

25 MR. FLEMING: Objection, vague to the

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1 term pegylated protein, ambiguous. Go ahead.

2 BY MR. GALVIN:

3 Q Using the definition of experiments,
4 excluding computer modeling for the moment, did you
5 perform any experiments in connection with
6 formulating your opinions for this case?

7 A No.

8 Q You did, however, do some computer
9 modeling or simulation work in connection with your
10 expert report, correct?

11 A Correct.

12 Q Did you ask Roche -- withdraw that.
13 Are you aware of any internal efforts
14 by Roche to model, develop computer models for CERA?

15 A No.

16 Q You understand that Roche is one of the
17 largest pharmaceutical companies in the world,
18 correct?

19 A Yes.

20 Q And you know that Roche has experts
21 like you who are experts in the field of
22 computational chemistry, correct?

23 MR. FLEMING: Objection, assumes facts
24 not in evidence.

25 THE WITNESS: I'm not sure if they would

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1 know, with respect to this expert report. So I have
2 taken EPO beta as a reagent and I have not read or
3 reviewed a lot on the characterization of EPO beta.

4 Q You understand, although you may not
5 know the specifics, that epoetin beta has a
6 particular confirmation?

7 MR. FLEMING: Objection to form.

8 THE WITNESS: Proteins in general can
9 have confirmation and it can -- proteins have
10 primary, secondary and tertiary structure. The
11 tertiary structure is sensitive to the environment,
12 the conditions. So sometimes it can be more in a
13 folded state, sometimes it can be in a less folded
14 state, depending on the conditions.

15 BY MR. GALVIN:

16 Q Did you consider in forming your
17 opinions whether the pegylation reaction caused the
18 structural confirmation for the EPO polypeptide
19 backbone to refold or reorganize as a result of
20 pegylation?

21 MR. FLEMING: Objection, vague,
22 mischaracterizes his opinion.

23 THE WITNESS: As I mention in my report,
24 there is no reliable, accurate, experimental data on
25 the structure of CERA.

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1 BY MR. GALVIN:

2 Q Can the --

3 A The three-dimensional structure of CERA

4 I meant there.

5 Q Can aspects of the three-dimensional

6 structure of CERA be inferred as a result of its

7 biological activity?

8 MR. FLEMING: Objection, beyond the

9 scope of his report.

10 THE WITNESS: I, in my report, I address

11 the binding issue and I also in my report provide my

12 own model of the three-dimensional structure of CERA

13 and we can discuss those. I feel that my model is

14 consistent with the binding data.

15 BY MR. GALVIN:

16 Q In order to bind to the EPO-receptor

17 CERA must have a particular confirmation, correct?

18 MR. FLEMING: Objection.

19 THE WITNESS: I would say no.

20 BY MR. GALVIN:

21 Q Does the -- does the fact that CERA

22 binds to the EPO-receptor allow one to deduce

23 anything about the structure of CERA?

24 MR. FLEMING: Objection, vague.

25 THE WITNESS: I think that's -- your

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1 question is too vague. I think you have to rephrase

2 it.

3 BY MR. GALVIN:

4 Q Does CERA specifically bind to the

5 EPO-receptor?

6 MR. FLEMING: Objection, beyond the

7 scope of his report.

8 THE WITNESS: The Lodish report and in

9 Lodish where he refers to Roche documents, there's

10 evidence that CERA binds to the EPO-receptor.

11 BY MR. GALVIN:

12 Q And are you aware of other molecules in

13 the human body that bind and activate the

14 EPO-receptor other than EPO?

15 MR. FLEMING: Objection, beyond the

16 scope of his report.

17 THE WITNESS: That is again beyond the

18 scope of my report. I stated in particular with

19 regard to the binding data that there is data that, a

20 form of EPO and CERA bind to the EPO-receptor.

21 BY MR. GALVIN:

22 Q Let me try it this way. Suppose you

23 took the PEG starting reagent used to make CERA and

24 you placed it in solution with the human

25 EPO-receptor, would you -- would you expect to

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1 observe specific binding of the PEG reagent to the
2 EPO-receptor?

3 MR. FLEMING: Objection, incomplete
4 hypothetical.

5 THE WITNESS: I have no data that I've
6 seen discussing that. The PEG-EPO reagent is an
7 activated reagent and it is a reactive molecule.
8 There are a lot of things that could happen to it in
9 an experiment such as the one you suggest. I don't
10 think it would last very long.

11 BY MR. GALVIN:

12 Q Are you aware of any data or
13 experiments which would establish that the
14 structural confirmation of the epoetin beta starting
15 material would be substantially different after the
16 pegylation reaction?

17 MR. FLEMING: Objection to form.

18 THE WITNESS: You don't have a
19 three-dimensional structure of CERA. CERA is a
20 unique, much larger molecule than the EPO beta
21 reagent. So all bets are off. I'd say, you know, in
22 any -- I can't have a detailed discussion of the
23 structural -- of the structure of CERA without -- in
24 the absence of the experimental data, so --

25 BY MR. GALVIN:

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1 atoms in the molecule are known.

2 Based upon your review, are you -- have
3 you identified any errors in the identity, number
4 and sequence of atoms in the CERA molecule depicted
5 by Dr. Lodish or is it primarily an issue with
6 respect to the structural confirmation of it that
7 you criticize?

8 A The level of the model is so crude that
9 I wouldn't be able to distinguish if there were
10 errors.

11 Q The next sentence on page 53, what is
12 not known about CERA, however, is its
13 three-dimensional structure or confirmation, the
14 overall shape of the molecule.

15 So isn't it the case, Dr. Jorgensen,
16 that today no one really knows the three-dimensional
17 structure of CERA, correct?

18 A Yes. I've stated that, that there is
19 no more experimental structure that people would
20 consider to be reliable for CERA.

21 Q And based upon the current data
22 available, no model would have a degree of high
23 reliability in terms of accurately depicting the
24 molecule as it would exist in solution, correct?

25 MR. FLEMING: Objection.

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1 THE WITNESS: No model is a, you know,
2 pretty all encompassing term. I believe we could
3 create a model that I would have faith in, that it
4 was a reasonable depiction of CERA, you know, if I
5 was given the time to do it.

6 BY MR. GALVIN:

7 Q And do you believe that the pictures
8 that you generated for purposes of this report would
9 satisfy that standard?

10 A No. I said that they're a starting
11 point and I think that the -- in a short period of
12 time, we gave it a good shot. There's nothing
13 fundamentally wrong in what we did, but it is
14 lacking in a number of areas I'm happy to discuss.

15 Q You don't know how long, if at all,
16 Roche has been developing models for CERA, correct?

17 A No, we've talked about that before.
18 I'm not aware that they have done anything in the
19 way of modeling of CERA.

20 Q In attempting to model the structure of
21 CERA, given the limits of our current knowledge,
22 would you agree that an appropriate starting place
23 would be to use data from the characterization of
24 EPO?

25 MR. FLEMING: Objection.

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1 THE WITNESS: I don't know what you mean

2 data, what data and what characterization?

3 BY MR. GALVIN:

4 Q NMR or X-ray crystallography ordinance.

5 A In our own, you know, limited work on
6 modeling CERA we did start with the NMR structure
7 that is deposited in the Protein Data Bank. We do
8 need to start with the structure. In the types of
9 simulations we can do, however, we can do enough
10 sampling to -- I can try to explain that, to let
11 that system evolve so that the detail of the
12 starting point for the more sophisticated
13 calculations we do would not be critical.

14 Q Now, earlier you said that you tended
15 to prefer X-ray crystallography over NMR. Why did
16 you choose NMR as the basis for building the model
17 of CERA?

18 A We chose the NMR structure because it's
19 the only one that I saw in the Protein Data Bank for
20 the uncomplexed, an uncomplexed EPO analog.

21 Q Now, if you were attempting to model
22 the binding complex of CERA to the EPO-receptor,
23 would you prefer then to use the X-ray
24 crystallography data as opposed to the NMR data?

25 A Yes.

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1 Q And you understand that one of the
2 points that Dr. Lodish was trying to illustrate was
3 binding of CERA to the EPO-receptor, correct?

4 MR. FLEMING: Objection to form.

5 THE WITNESS: I'm not sure what exactly
6 he was trying to -- I mean I can give you my opinion
7 of what he was trying to illustrate. There were
8 graphics that showed an image of the complex as in
9 the Syed paper.

10 BY MR. GALVIN:

11 Q And so depending on whether you're
12 trying to illustrate or depict a complex EPO, an
13 EPO-receptor or CERA, an EPO-receptor versus EPO or
14 CERA in an unbound state, you might prefer the NMR
15 data or the X-ray crystallography data as your
16 starting point, correct?

17 MR. FLEMING: Objection.

18 THE WITNESS: The information that's
19 available in the Protein Data Bank for this system is
20 limited and we were interested in the uncomplexed EPO
21 and it was a reasonable starting point for us to use
22 the NMR structure. If we were to try to model the
23 complex given what's in the Protein Data Bank, I
24 would pick some structure of the complex. The
25 complex is too big to do NMR, so we would have to use

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1 some X-ray structure.

2 BY MR. GALVIN:

3 Q If you could turn to Paragraph 129.

4 I'd like to, for you to just explain once you

5 imported in the NMR structure for EPO, when you

6 added the 600 ethylene oxy units around the EPO, did

7 you predefine a shape by which the ethylene oxy

8 units would be added?

9 A Can you expand on shape?

10 Q Sure. When you generated the image or

11 the starting point for the modeling, did you

12 randomly assign ethylene glycols at any random

13 position or did you define a sphere or some sort of

14 shape and then populate that shape initially with

15 ethylene glycols before the simulation began?

16 MR. FLEMING: Objection.

17 THE WITNESS: We -- the work we did on

18 this we did in about a day, two days. So we didn't

19 put a lot of effort into it. We actually built the

20 CERA model in a couple of ways. The one I felt

21 easiest to describe here was something that I cooked

22 up just for this where I took ethylene oxy units of

23 CH₂, CH₂O and basically they were randomly placed in

24 a volume surrounding the NMR structure of the EPO

25 mutant. And then -- I don't know how much detail you

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1 want to go in on this, but these units were then
2 linked together and we attached them to the
3 N-terminal, nitrogen of the EPO mutant.

4 BY MR. GALVIN:

5 Q Maybe it will be helpful at this point
6 to look at Exhibit 2 of your deposition, which is
7 Exhibit C. It's the other document we haven't
8 looked at yet which has all your demonstratives.

9 A Uh-huh.

10 Q And fortunately, they're not exactly
11 numbered, so we'll try to be clear when we talk
12 about these to describe points. I'd like to turn to
13 the picture of the model. Maybe we could turn to
14 the picture of this model that you developed and
15 this is the one with all the beads, but you can see
16 the blue and green structures in the center. It's a
17 large view, about halfway in.

18 A This one?

19 Q Yes. So what does the blue and green
20 represent?

21 A On my tutorial part of my report, I
22 mention that there were very many different ways of
23 what we call rendering molecules, so at the base of
24 any drawing like this graphic, there is a set of
25 cartesian coordinates, XYZ coordinates. How you

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1 then, you make the thing look in terms of balls and
2 other pretty entities, the sizes of the balls is --
3 there are arbitrary decisions that are made. In the
4 case -- this is a model of CERA that I feel pretty
5 good about. To me the model makes sense. The blue
6 part are helices and the CERA is a biopolymer and it
7 has amino acid residues and one often represents
8 amino acid residues in many different ways, but if
9 there are helices, then you can show them in these
10 helical ribbon forms. That's what the blue is.

11 Q And the blue and green is supposed to
12 indicate the -- well, the blue and green is derived
13 from the EPO NMR data in the Protein Data Bank that
14 was reported by Cheetham, right?

15 MR. FLEMING: Objection, the term
16 derived, vague.

17 THE WITNESS: Yeah, CERA is a biopolymer
18 that contains some amino acid residue, so we have
19 shown amino acid residues that appear to be in
20 helices in the blue ribbons and the green are amino
21 acid residues that are in less structure, in this
22 sense a secondary structure which we call loops.

23 BY MR. GALVIN:

24 Q In the amino acid sequence that you are
25 depicting and used for your model is a mutated form

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1 of human EPO sequence?

2 A Yeah. We started, as I mentioned
3 earlier, from the 1BUY coordinates that are
4 deposited in the Protein Data Bank. And we were
5 able to build a model of CERA, you know, with the
6 aid of that. I don't, as I say here, I don't swear
7 that this is. Well, you know, this is just my
8 attempt to have an alternative to the models that
9 were done by Dr. Lodish. I feel we could do a much
10 better job on this, you know, letting it relax,
11 adding water, adding counter ions. We may well do
12 that.

13 Q Now, you don't depict in your model,
14 you don't attempt to model the carbohydrate
15 structure of CERA, correct?

16 MR. FLEMING: Objection, assumes facts
17 not in evidence.

18 THE WITNESS: This was a model that I
19 again didn't build just to illustrate that there was
20 nothing special or legitimate about the Lodish model
21 and that I could create a comparable or potentially
22 more detailed model than Dr. Lodish provided.

23 BY MR. GALVIN:

24 Q But it doesn't -- your model doesn't
25 show carbohydrate structures, correct?

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1 A This model does not have any sugar
2 residues.

3 Q Now, for lack of a better way of
4 describing it, you've visualized and depicted the
5 PEG surrendering the amino acid residues, correct?

6 MR. FLEMING: Objection, vague,
7 mischaracterizes the term surrounding.

8 THE WITNESS: We didn't -- we didn't
9 deliberately do anything here other than, you know,
10 we started with a set up, which was in one version,
11 which this figure and the other figure look the same.
12 I added the ethylene oxyene and then I -- they were
13 annealed, if you will, random Monte Carlo statistical
14 simulation to relax the ethylene oxy units and then
15 we turned on some forces between them to build it
16 into a contiguous chain of the ethylene oxy units.

17 BY MR. GALVIN:

18 Q Would you expect that for CERA to
19 maintain in this spherical-like confirmation at all
20 times or is this one snapshot in time?

21 A I really don't want you or anybody else
22 to over interpret the significance of this picture.
23 We haven't worked on it. This is not publishable,
24 let me put it that way. I would never try to write
25 this up and send it into a journal, you know. With

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1 more work, we could get something published. This
2 is a nice starting point. We would really need to
3 run a simulation. Like I said, we had water, we had
4 counter ions, we'd run it for a long time, a micro
5 dynamic simulation and we would do a solid
6 scientific state of the art simulation job, you
7 know, on this system. And we would probably work on
8 some other systems, too, you know, and try to get,
9 you know, my curiosity is now peaked about molecules
10 like CERA. But I think what you see here, and if
11 you maybe squint a little is what I think is a
12 reasonable picture as opposed to their cartoon,
13 we'll even call it, compared to the Lodish picture,
14 which I think is completely unreasonable and
15 inconsistent with the facts.

16 Q Now, if you turn to the next page in
17 Exhibit 2, which is another depiction of one of your
18 structures and it's titled: "A Likely Ro50-3821
19 Structure (static)".

20 What's the difference between the two
21 pictures we looked at? Is the first one a cutaway
22 view?

23 A No, a good question. Just the
24 rendering, what I call a rendering, we've now shown
25 all atoms in what I call CPK colors, which is red

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1 for oxygens, blue for nitrogens, gray for carbons.
2 We haven't shown hydrogens in our model. We have
3 the hydrogens in the coordinate file that we
4 provided. You'll see there are hydrogens there as
5 well. This is just now a rendering where all of the
6 atoms are rendered as spheres and there are some
7 size differences depending on the radii of the
8 atoms. The little yellow dot is a sulfur and a
9 cysteine ermathamine residue.

10 Q Now, looking at --

11 MR. FLEMING: Could you just hold that
12 up to the camera so the jury can see what you're
13 talking about? Thank you.

14 BY MR. GALVIN:

15 Q Looking at the caption here, A Likely
16 Ro50-3821 Structure, what level of certainty do you
17 have sitting here today that this is a likely
18 structure?

19 A I feel it is a -- compared to the
20 Lodish structure, I'm going to call it likely. For
21 one thing, as you mentioned, we are -- CERA, we
22 believe there is glycosylate. We don't have that.
23 Ro50-3821 is CERA. So I mean there is some
24 differences like that.

25 On the other hand, I do believe that

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1 the general features here are consistent with my
2 knowledge of what I expect with the result of a
3 reaction or one pegylates a protein.

4 Q So if we go back to the other slide,
5 the first slide we looked at which shows the ribbon
6 structure.

7 A Uh-huh.

8 Q Does your depiction show the point of
9 attachment between PEG, the polyethylene glycols and
10 the amino acid residues?

11 MR. FLEMING: Objection, vague,
12 objection to the term attachment.

13 THE WITNESS: To make this, as we
14 talked, the pegylation reaction either uses a
15 nitrogen of the lysine or nitrogen of the N-terminal
16 residue of the protein reagent. In this case, we
17 have used the N-terminus of the protein reagent to
18 yield this illustration of potential product from a
19 pegylation reaction.

20 BY MR. GALVIN:

21 Q And so in your depiction here, although
22 just visually to a lay person you see these poly --
23 these little balls for the peg around, it's only
24 actually bound and attached to the amino acid
25 residues, the green and blue at one location in your

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1 model?

2 MR. FLEMING: Objection.

3 THE WITNESS: There is a bond between
4 the N-terminus of the protein reagent effectively and
5 the PEG reagent. So this is again our shot at a very
6 crude model of CERA without the sugars, not any
7 sugars. There is a bond length, if you look at
8 detail at our coordinates, you'll see that there is a
9 bond.

10 BY MR. GALVIN:

11 Q Now --

12 A An amide bond.

13 Q Can CERA bind to the EPO-receptor and
14 initiate signaling in this confirmation that you
15 depict in your model?

16 MR. FLEMING: Objection.

17 THE WITNESS: I don't know what the
18 structure is of CERA in solution. This is a cartoon
19 and we need to work on it more and I don't know the
20 structure of the complex of the EPO-receptor with
21 CERA. So anything beyond that is conjecture.

22 BY MR. GALVIN:

23 Q Would your expectation be that when
24 CERA binds to the EPO-receptor there are all of
25 these ethylene glycols between the amino acid

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1 and we're back on the record.

2 BY MR. GALVIN:

3 Q Dr. Jorgensen, one of the questions
4 going back a ways when I asked you about how you
5 originally arrayed the ethylene glycols when you
6 were developing your model, you said you randomly
7 filled the volume, what was the shape of that
8 volume?

9 A A sphere.

10 Q And was this sphere centered around the
11 center point of the EPO NMR structure that you
12 started with?

13 A More or less.

14 Q And what was the basis for your
15 assumption that the pegylation would be arrayed or
16 at least that would be a reasonable place to begin
17 your modeling from?

18 A Nothing in particular except it's a
19 sort of standard feature we have in the software
20 where we can add a solvent around a solute, what we
21 call a solute and generally one puts that in a
22 spherical shape or in a cubic shape.

23 Q And why wouldn't you start and center
24 that sphere if you were going to use a sphere around
25 the point where the amide bond would be between the

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1 ethylene glycols and the NMR and EPO structure?

2 MR. FLEMING: Objection to form.

3 BY MR. GALVIN:

4 Q In other words, haven't you biased it
5 by assuming it would be equally distributed from the
6 center of the molecule as opposed to centering it
7 from a point of attachment?

8 MR. FLEMING: Objection to form.

9 THE WITNESS: All we've done here is
10 created an image that's an alternative to the image
11 that was provided in the Lodish report. What I said
12 is, if we were given time, we would like to do a
13 better job, let the system fully equilibrate and we
14 could start, have different starting points and see
15 where, if they equilibrate to a common point. So
16 it's very preliminary and as I mentioned, I said to
17 illustrate the point on page -- Paragraph 129, to
18 illustrate the point that one can create alternative
19 images for CERA, I provided the attached sample.
20 That's really what we were doing here.

21 BY MR. GALVIN:

22 Q Another point that you mentioned before
23 the break was that you hadn't received or reviewed
24 data coordinate files relating to the model
25 generated by Dr. Lodish. Is it your understanding

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1 report, as I think I mentioned very early on felt to
2 be redundant or irrelevant.

3 Q Can you turn to page 358.

4 MR. FLEMING: Of his report?

5 BY MR. GALVIN:

6 Q Of your report, Paragraph 136 of your
7 report. And this is the section where you critique
8 Dr. Lodish's depiction of the carbohydrate
9 structure. Now, as you noted, Dr. Lodish
10 acknowledged that the choices of how to actually
11 make a depiction was uncertain. There was no
12 experimental basis for drawing a structure. He
13 identified that fact if his report, correct?

14 MR. FLEMING: Objection to form.

15 THE WITNESS: I say in Paragraph 135
16 says: Dr. Lodish himself recognized, however, the
17 choice of carbohydrates is uncertain.

18 MR. FLEMING: Start over.

19 THE WITNESS: I'm quoting from Paragraph
20 135 of my report. As Dr. Lodish himself recognizes,
21 however, the choice of carbohydrates is uncertain and
22 the coordinates of these carbohydrate chains have not
23 been obtained using currently available experimental
24 techniques.

25 BY MR. GALVIN:

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1 Q So the scientific truth here is that no
2 one knows how to accurately represent a 3D
3 representation of the attached carbohydrates of --
4 attached to either CERA or EPO based on our current
5 state of knowledge, is that fair?

6 MR. FLEMING: Objection to form.

7 THE WITNESS: Yes. There's uncertainty
8 about the carbohydrates.

9 BY MR. GALVIN:

10 Q But nevertheless, we know that the
11 carbohydrates have a role and are present on the
12 CERA molecule, correct?

13 MR. FLEMING: Objection, beyond the
14 scope of his report.

15 THE WITNESS: Yes, I don't know that
16 they have a role. I believe they're present.

17 BY MR. GALVIN:

18 Q You don't know whether they play --
19 they have a role in protecting the molecule from
20 proteases?

21 A No, that's definitely outside of this.
22 Any discussion of carbohydrates is really outside
23 the scope of my report and I know there are
24 carbohydrate experts that are involved in this.

25 Q But you don't consider yourself an

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J U R A T

I, WILLIAM L. JORGENSEN, Ph.D., the witness
herein, the foregoing testimony of the pages of this
deposition, do hereby certify it to be a true
and correct transcript, subject to the corrections, if
any, shown on the attached page.

WILLIAM L. JORGENSEN, Ph.D.

Subscribed and Sworn to before me
this _____ day of 2007.

Notary Public