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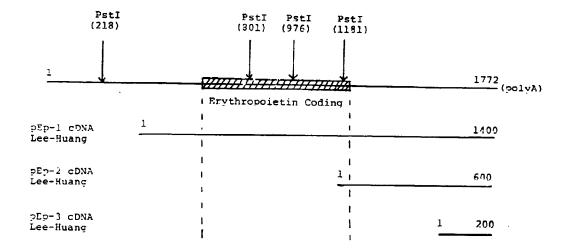
Page 1 of 26

EXHIBIT 14 Part 2 of 2

With this information in hand concerning the size and sequence of the mRNA reverse transcript (cDNA) isolated by both Applicant and independent investigators, analysis of Figure 5 of the Lee-Huang publication reveals that the 1400, 600, and 200 base pair cDNA sequences most likely do not encode human erythropoietin.

The 1772 base pair erythropoietin-encoding cDNA sequence analyzed by Applicant and the three cDNA clones isolated according to the publication are aligned for comparative purposes immediately below. In the illustration a scale of lmm = 100 base pairs was employed and the position of the erythropoietin polypeptide coding region is represented by a block.

Erythropoietin cDNA (Lin, Jacobs, et al.)



21/234

AM670140618 AM-ITC 00925285 " will be recalled that cDNA transcription occurs from the " end of the mRNA and therefore the linear alignment of the illustration is premised on the assumption that the Lee-Huang publication's cDNA clones were developed by reverse transcription from a polyadenyl (Poly A) site common to the 3' end all the mRNA's involved. The likelihood that the site of the polyA region of the erythropoietin mRNA from the kidney cell source used by Lee-Huang differed substantially from the polyA region of Applicant's messenger transcribed from a human genomic DNA sequence is quite small.

Clearly the cDNA inserts of pEp2 and pEp3 are, as Lee-Huang predicted, too small to encode human erythropoietin or. Upon examination of the restriction map characteristics of the largest cDNA fragment, present on Lee-Huang's "pEpl" plasmid as a "removable" 1400 base pair PstI insert into the plasmid pBR322*, one notes from Lane 3 of Figure 5 of the publication that three fragments were formed upon PstI digestion -- a large pBR322 DNA fragment and two small fragments said to be about 1100 and 300 base pairs, respectively. This number and size pattern of fragments would be accounted for by the presence of the two "designed" PstI recognition sites at the respective ends of the insert (allowing for the insert to be separated from the plasmid) and only one PstI recognition site within the 1400 base pair insert, located about 1100 base pairs from the 3' end. The restriction map of human erythropoietin cDNA as predicted by computer and verified by actual digestion

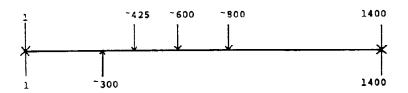
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See attached Exhibit No. 10 taken from Maniatis et al., "Molecular Cloning, A Laboratory Manual," Cold Springs Harbor Laboratory, 1982, which graphically illustrates the "standard" process carried by the Lee-Huang publication for cDNA preparation and insertion into pBR322 at its PstI site.

experiments, however, dictates that at least three PstI recognition sites should be present in a 1400 base pair, erythropoietin-encoding cDNA insert. Had the 1400 base pair cDNA insert in Lee-Huang's plasmid pEpl been an erythropoietin-encoding DNA, then a total of five fragments would be expected upon digestion with PstI. Immediately below is an illustration of the projection.

Plasmid pEp-1

PROJECTED PstI Restriction Sites If EPO cDNA
Yield Upon Digestion = One Large (Plasmid) Fragment
Four cDNA Fragments



Filamin pap=1

ACTUAL PstI Restriction Sites
Yield Upon Digestion = One Large (Plasmid) Fragment
Two cDNA Fragments

In order for the cDNA insert of Lee-Huang's plasmid pEpl to actually represent an erythropoietin-encoding DNA, the insert would have to somehow comprise a naturally-occurring allelic variant wherein a sufficient number of base pair changes are present to "kill" all three PstI sites within the polypeptide coding region and "create" a new PstI site in a different location within the coding region, about 300 base pairs from the 5' end. The likelihood that this could be the case is immensely small and one therefore must

-34-

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conclude that the accuracy of Lee-Huang's identification of the cDNA as Epo-encoding is, at best, significantly in doubt.

In addition to the above sizing and restriction mapping evidence casting doubt on the Lee-Huang's conclusion that erythropoietin-encoding cDNA had actually been cloned and expressed, there is still another piece of evidence indicating that the messenger RNA "template" used by Lee-Huang for cDNA preparation did not actually encode human erythropoietin. This evidence is manifested through comparison of the molecular weight (M_r) of the non-glycosylated in vitro translation products which Lee-Huang's mRNA gave rise to versus the calculated molecular weight of erythropoietin based on its amino acid sequence.

As indicated to the Examiner during the course of the recent interview, the carbohydrate-free, in vitro mRNA translation products described by Lee-Huang do not "correspond" in terms of molecular weight to products which would be expected to be obtained upon translation of an mRNA encoding human erythropoletin. The two immunoreactive expression products of the publication had 29,000 and 15,000 molecular weights, respectively. Lee-Huang projected that the M_{τ} 29,000 product was an "aglycosylated" form of natural, glycosylated human erythropoietin, which had been reported to have an apparent molecular weight of 34,000. The M_r 15,000 translation product was projected to be an "aglycosylated" fragment of the larger polypeptide. However, calculation of the molecular weights of non-glycosylated products of erythropoletin mRNA translation reveals no potential for generation of an $\rm M_{\tau}$ 29,000 species, no potential for generation of an M_{r} 15,000 species, and no likely

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prospect * an M_r 29,000 species could be obtained by, e.g., dimerization of any potential species.

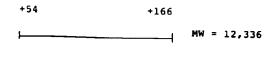
More specifically, if one were to assume that the product of human erythropoietin mRNA transsation in vitro was a "full-length" polypeptide having both the 27 amino acid residue leader sequence and a full complement of 166 residues of the mature polypeptide, the molecular weight of this 193 residue species would be 21,310.



If one were to assume that the $\underline{\text{in}}$ $\underline{\text{vitro}}$ translation system which Lee-Huang possessed the enzymatic wherewithal to process off the 27 residue leader sequence, the molecular weight of the resulting 166 residue species would be 18,399.



Finally, if one were to assume that the in vitro translation system employed by Lee-Huang somehow allowed for internal initiation of translation at the ATG codon for the methionine residue at position 54 of the erythropoletin polypeptide (rather than the methionine at -27) the calculated molecular weight of this species would be 12,336.



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Clearly, hone of the potential translation products of e-ythropoietin mRNA correspond to Lee-Huang's M_29000 translation product nor could any homodimer of a potential translation product "weigh in" at 29,000.

The above analysis is believed to establish that available evidence of the nature and amino acid constitution of the human erythropoietin polypeptide, and the nature and base pair constitution of the Dim that encodes the human erythropoietin polypeptide (both revealed for the first time by this inventor and subsequently independently verified by others) fully supports the conclusion that the Lee-Huang publication describes a "failed attempt" to clone and express human erythropoietin cDNA. This, in turn, provides at least some evidence of the nonobviousness of the invention claimed herein.

Because none of the references relied upon disclose or suggest any suitable means for securing the claimed invention. Applicant respectfully submits that the rejections of the claims under 35 U.S.C. \$103 may properly be withdrawn.

Remarks Concerning Preliminary Amendment and Information Disclosure Statement Dated April 23, 1986

As Exhibit 3 to Applicant's Preliminary Amendment dated April 23, 1986, there was provided a list of eight "closely related" references. This list included items A-13/B5 (Egrie, Published EPC Application 0,116,446), C-103 [Sue, et al., Proc.Nat'l.Acad.Sci.(USA), 80:3651-3655 (1981)] and C-106 [Sytowski, et al., J.Immunol.Methods, 69:181-186 (1983)]. In turn, these three were discussed at pages 4 and 5 of the concurrently filed Information

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Disclosure Statement as references which relate "to synthetic peptides having structures based on prior attempts at identification of the sequence of amino acids at the amino terminal of urine-derived erythropoietin". At pages 5 and 6, under the heading "References Related to Isolation of Naturally-Occurring Erythropoietin by Immunological Means", a notation was made of Reference C-129 [Yanagawa, et al. J.Biol.Chem., 259(5), 2707-2710 (1984)].

In the recent past, Applicant's undersigned counsel determined that the Yanagawa, et al. reference (C-129), in addition to relating to immunological isolation of erythropoietin, also disclosed (at page 2710) a sequence of thirty amino terminal amino acid residues obtained by gas phase sequenator sequencing of immunopurified erythropoietin. Distinctions between this sequence and that of Sue, et al., reference (C-106) were drawn. Although no mention of synthetic peptides was made, it appears that the Yanagawa, et al. reference should probably have been listed as a ninth reference on Exhibit 3 to the Preliminary Amendment and included along with the Egrie, Sue, et al. and Sytowski, et al. as a references relating to amino terminal sequences of erythropoietin.

As was the case with the Sue, et al. and Sytowski, et al. references, the Yanagawa, et al. publication incorrectly identifies the amino terminal residues of erythropoietin — fully five of the first thirty residues are incompletely or incorrectly noted (Pro³ designated as "?"; Cys⁷ designated as "Leu"; Asn²⁴ designated as "?"; Thr²⁷ designated as "Asp"; and, Cys²⁹ designated as "Gly"). For the same reasons as advanced in the Information Disclosure Statement, the Yanagawa, et al. reference is not

- 38 -

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believed to be relevant to patentability of the claimed invention.

CONCLUSION

The foregoing amendments and remarks are beleived to establish that pending claims 14 (amended), 15, 17-36, 58 and 61-72 are in condition for allowance and an early notice thereof is solicited.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BICKNELL

Michael F. Borun (Reg. No. 25,447) A Member of the Firm

Attorneys for Applicants Two First National Plaza Chicago, Illinois 60603 (312) 346-5750

Chicago, Illinois

October _2 , 1986

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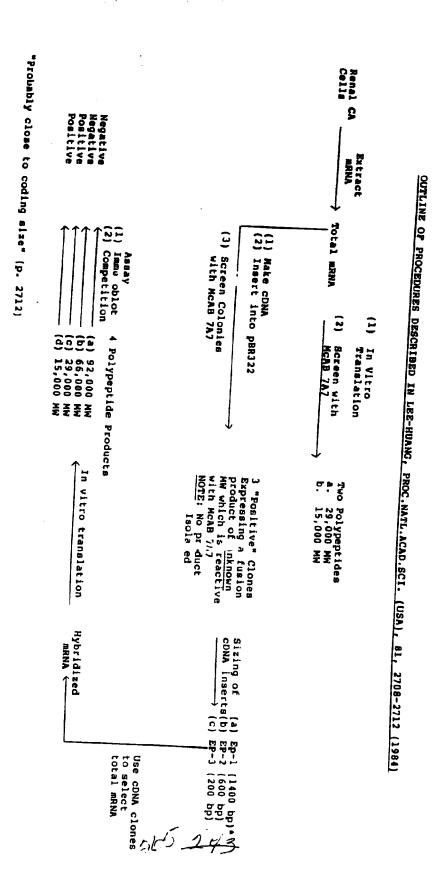
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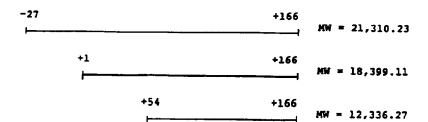
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POTENTIAL TRANSLATION PRODUCTS OF MRNA ENCCING ERYTHROPOIETIN BASED ON SPECIFICATION TABLE VI

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EXHIBIT B

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Argument Map in DNA Strand hul3
from the '6cutter' file.
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HINDIII STY1-1 HAEI-2 CFRI-3 BCL1 CFRI-3 HGIC1-1
       HGIJ11-2 STU1 NSPC1-2
                                              AVA1-4
                                                            ACY1-4
               TI AVA1-4 SFII
APA1 SMA1 BCL1
           MSTI
                                               SMA1 HCIA-3 HAE1-1
APA1 HAE11-4
                                  BCL1
               HGIJ11-4
                                   CFR1-4
                                                HGIJ11-4
                                                               KPN1
               AVA1-4
                                  EAMX
                                                 BSTE2 HGIC1-4
               SMA 1
                                                 BSSHII NARI PSTI
                 PST1
                                                                 SFII
                                                                 BCL:
                                                                 STY 1 - 1
  HINC11-2 ACC1-2 TTHII12-4 APAI HGIC1-3 ST11-4
HGIJ11-2 HAE1-2 HGIJ11-3 AHAI11 HGIJ11
PST1 PVU11 HAE11-2 ACY1-1 HAE
NSPC1-3 PST1 STY1-2 HGIA-4
HGS1-2 HGIA-4
                                                                MC:J1:-2
                                                                    HAE1-3
                                        1-2 HCIA-4
BCL11 HCIJ1:-1
XHC2-4
        HG13:1-9
          HGIJ11-2
                                            BSM1-2
                                                 SACI
```

- AAGCTTCTGCGCTTCCAGACCCAGCTACTTTGCGGAACTCAGCAACCCAGGCATCTCTGA TTCGAAGACCCGAAGCTCTGGGTCGATCAAACGCCTTGAGTCGTTGGGTCCCTAGAGACT 1 HINDIII,
- E1 GTCTCCGCCCAAGACCGGGATGCCCCCCAGGGGAGGTGTCCGGGAGGCCCAGCCTTTCCCA CAGAGGCGGCTTCTGGCCCTACGGGGGGTCCCCTCCACAGGCCCTCGGGTCGGAAAGGGT
- 121 GATAGCACGCTCCGCCAGTCCCAAGGGTGCGCAACCGGCTGCACTCCCCTCCCGCCACCC 141 STY1, 148 MST1.
- 181 AGGGCCCGGGAGCAGCCCCATGACCCACACGCACGTCTGCAGCAGCCCCGCTCACGCCC TECCGGGCCCTCGTCGGGGCGTACTGGGTCTCCCTCCAGACGTCGTCGGGGCGACTCCGG 182 APA1 HCIJ1:, 185 AVA: SMA1, 218 PST1,
- 241 CGGCCAGCCTCAACCCAGGCCTCCTGCCCCTGCTCTGACCCCGGGTGGCCCCTACCCCTG CCCCCTCCCACTTCCCTCCCGACGACGGCGACCACACTCCCGCCCCACCGGGGATGGGGAC 257 HAE1 STU1, 280 AVA1 SMA1,
- 301 GCGACCCCTCACGCACACAGCCTCTCCCCCACCCCGCGCGCACGCGCACACATGCAGAT 367 245 351 NSPC1,

- 361 AACAGCCCCGACTCTCGGCCAGAGCCGCAGAGTCCCTGG SCCGCCGCCACCGACS TTGTCGGGGGTC .GC TGTCTCGGCGTCTCAGGGACC GC 376 CFR1, 355 JF11, 400 BGL1, 407 CFR1 2 1A5
- CGACGCGGCGTGGCGGACAGGAGGGCCTCGGCCTGGCCCGGTGGCGCGGGGGAGACGA
- 481 CCGACACCGCCCCCCCGCACAGCCGCCCTCTCCTCTAGGCCCCTGGGGCTGGCCCTGCA GGCTGTGGCCCGGGGACCTGTCGCCGGGAGGGAGGAGGACCCCGACCGGGACGT 520 BCL1,
- 541 CCGCCGAGCTTCCCGGGATGAGGGCCCCCCGGTCTGCTCACCCGGCGCGCCCCAGGTCGCT CGCGGCTCGAAGGGCCCTACTCCCGGGGGCCCACACCACTGCCCGGGGGGCTCCAGCGA 552 AVA1 SMA1, 562 APA1 HCIJ11, 575 BSTE2, 584 BSSH11.
- 610 CFR1, 631 HCIA,
- 661 CTCCTGTCCCTGCTCCCTCTGGGCCTCCCAGTCCTGGGCGCCCCAGGAGGCCTC 709 ACY1 HAE11 HGIC1 NARL,
- 721 ATCTGTGACAGCCGACTCCTGGAGGGCTACCTCTTGGAGGCCGAAGGAGGCCGAGAATATC TAGACACTGTCGGCTCAGGACCTCTCCATGGAGAACCTCCGGTTCCTCCCGCTGTTATAG 745 HGIC1 KPN1, 758 HAE1, 759 SF11, 760 BGL1, 761 STY1,
- 781 ACCACGCCTGTGCTGAACACTGCAGCTTGAATCACAATATCACTGTCCCAGACACCAAA TGCTGCCCGACACGACTTGTGACGTCGAACTTACTCTTATACTGACAGGCTCTCTGGTTT
- 841 CTTAATTTCTATGCCTGGAAGAGGATGGAGGTCGGGCAGCAGGCCCTAGAAGTCTGGCAG CAATTAAAGATACGGACCTTCTCCTACCTCCAGCCCGTCGTCGGCCATCTTCAGACCGTC
- 96: GGCCTGGCCCTGCTGGGAAGCTGTCCTGCGGGGCCAGCCCCTGTTGGTCAACTCTTCC CCGGACCGGGACGACACCCTTCGACACGACGCCCCGCTCCGGGACAACCACTTGAGAAGG 949 HINC11,
- 961 CAGCCGTGGGAGCCCCTGCAGCTGCATCTGGATAAAGCCCGTCACTGGCCTTCGCAGCCTC CTCGGCACCCTCGGGGACGTCGACGTACACCTATTTCGGCAGTCACCGGAACCCTCGGAG 970 HGJJ11, 976 PST1, 979 PVU11, 984 NSPC1, 1005 HAE1.
- 1021 ACCACTCTGCTTCGGGGCTCTGGGAGCCCAGAAGGAAGCCATCTCCCCTCCAGATGCGCCC TGGTGAGACGAGCCCGAGACCCTCGGGTCTTCCTTCGGTAGAGGGGGAGGTCTACGCCGG 1034 HGIJ11, 1043 HGIJ11,
- 1081 TCAGCTGCTCCACTCCGAACAATCACTGCTGACACTTTCCGCAAACTCTTCCGAGTCTAC ACTCGACCACCTCAGGCTTCTTAGTGACGACTGTGAAAGGCCTTTGAGAAGGCTCAGATG 1082 PVU11, 1135 ACC1,
- 1141 TCCAATTTCCTCCGGGGAAAGCTGAAGCTGTACACAGGGGGGGCCTGCAGGACAGGGCAC AGGTTAAAGGAGGCCCCTTTCGACTTCGACATGTGTCCCCTCCGGACGTCCTGTCCCCTG 246 260 1181 HAE1 STUL, 1185 PST1.

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- 1201 AGATGACCAGGTGTGTCACCTGGGCATATCCACCACCTC CLCACCAACATTCCTTGTG TCTACTGGTCC/ CAGGTGGACCCGTATAGGTGGTGGF AGTGGTTGTAACGAACAC 1253 TTHI112.
- GGTGTGGGAGGGGGGGGGTGAGGACTTGGGCCAGCTCCCGAGAGTCGAGTCGCCGTCCGA 1297 HGIJ11, 1310 HAE11,
- 1321 GTCCCATGGACACTCCAGTGCCAGCAATGACATCTCAGGGGCCAGAGGAACTGTCCAGAG CAGGGTACCTGTGAGGTCACGGTCGTTACTGTAGAGTCCCGGGTCTCCTTGACAGGTCTC 1324 NCO1 STY1,
- 1391 BGL11 XHO2, 1421 APA1 HGIJ11, 1437 BSM1,
- TCAGAGAGCAGCTTTAAACTCAGGGACAGAGCCCATGCTGGGAAGACGCCTCACCTCACTC AGTETETEGTEGAAATTTGAGTECCTGTETEGGTACGACCETTCTGCGGACTEGAGTGAC 1453 AHA111, 1484 ACT1, 1491 HGIA HGIJ11 SAC1,
- 1501 GGCACCCTGCAAAATTTGATGCCAGGACACGCTTTGGAGGCGATTTACCTGTTTTCGCAC CCGTGGGACCTTTTAAACTACGGTCCTGTGCGAAACCTCCGCTAAATGGACAAAAGCGTG 1501 HGIC:.
- 156: CTACCATCAGGGACAGGATGACCTGGAGAACTTAGGTGGCAAGCTGTGACTTCTGGAGGT GATGGTAGTCCCTGCTACTGGACCTCTTGAATCCACCGTTCGACACTGAAGAGGTCCA
- 1621 CTCACGGCATGGGCACTCCCTTGGTGGCAAGAGCCCCCTTGACACCGGGGTGGTGGGAA GAGTGCCCGTACCCGTGAGGGAACCACCGTTCTCGGGGCGAACTGTGGCCCCACCACCCTT 1640 STY1, 1652 HGIJ11,
- 168: CCATGAGGATGGGGGGTGGCCTCTGGCTCTCATGGGGTCCAACTTTTGTGTATTC GGTACTTCTGTCCTACCCCGGACCGGAGCCGAGAGTACCCCAGGTTCAAAACACATAAG 1702 HAE1,

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Exhibit No. 2

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Isolation of Biologically Active Ribonucleic Acid from Sources Enriched in Ribonuclease[†]

John M. Chirg: Alan E. Przybyła, Raymond J. MacDonald, and William J. Rutter*

ABSTRACT: Intact ribonucleic acid (RNA) has been prepared from tissues rich in ribonuclease such as the rat puncreas by efficient homogenization in a 4 M solution of the potent protein denaturant guanidinium thiocyanate plus 0.1 M 2-mercaptoethanol to break protein disulfide bonds. The RNA was iso-

lated free of protein by ethanol precipitation or by sedimentation through cenium chloride. Rat pancreas RNA obtained by these means has been used as a source for the purification of a-amylase messenger ribonucleic acid.

The preparation of undegraded ribonucleic acid from a numher of cell types is hindered by the presence of active nucleases. An extreme example of this is the rat pancreas which contains over 200 µg of ribonuclease A per g of tissue wet weight (Beintema et al., 1973). Within the pancreatic exocrine cells, ribonuclease A as well as other digestive enzymes and zymogens appears to be synthesized on ribosomes bound to the cytoplasmic face of the endoplasmic reticulum, extruded directly into the disternal side, and subsequently packaged in secretory granules. Thus, the functions of the cytosol are effectively sequestered from these strong hydrolytic activities. Disruption of the cells, however, inevitably results in rapid mixing of RNA and RNase.^{1,2} One way to eliminate number of the cells of cleolytic degradation of RNA is to denature all of the cellular proteins including RNase. This approach would be successful only if the rate of denaturation exceeds the rate of RNA hydrolysis by RNase. Deproteinization procedures using guanidine hydrochloride (Cox. 1968) or phenol even in the presence of RNase inhibitors such as heparin, iodoacetate, and detergent (Parish, 1972) are insufficiently effective to yield intact RNA from the pancreas.

*From the Department of Biochemistry and Biophysics. University of California. San Francisco, California. 94143. Received June 11, 1979. revised manuscript received August 27, 1979. The work was supported by a grant from the National Science Foundation I BMST-002221. by a Heerin Hay Whitney Foundation Postdoctoral Fellowship to A. E. P. and by American Cancer Society and National Institutes of Health postdoctoral fellowships to J.M.C.

1 Present address. Department of Anatomy. Washington University School of Medicine. St. Louis. WO 63110

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Present address. Department of Biochemistry, University of Texas. Health Science Center, Dallas, TX 75235

We describe here a generally applicable method for the quantitative isolation of intact RNA. The rate of dematuration is maximized by the combined use of a strong denaturant, guanidinium thiocyanate, in which both cation and anion are potent chaotropic agents (Jencks, 1969), and a reductant to break protein disulfide bonds which are essential for RNase activity (Sela et al., 1956). This method has been employed in the isolation of intact biologically functional RNA from rat pancreas and the purification of mRNA for a-amylase, the most abundant pancreas-specific protein (Sanders & Rutter. 1972).

Experimental Procedure

Chemicals and Solutions. All glassware was rendered nuclease free by overnight treatment at 180 °C. Whenever possible [see Ehrenberg et al. (1974)], stock solutions were treated for 20 min with 0.2% diethyl pyrocarbonate and then thoroughly boiled to remove traces of the reagent. Buffers such as tris(hydroxymethyl)aminomethane, which contains a primary amine that reacts with diethyl pyrocarbonate, were avoided.

Guanidinium thiocyanate stock (4 M) was prepared by mixing 50 g of Fluka purum grade guanidinium thiocyanate (Tridom, Inc., Hauppage, NY) with 0.5 g of sodium N-lauroy/sarcosine (final concentration 0.5%), 2.5 mL of 1 M so-dium citrate, pH 7.0 (25 mM), 0.7 mL of 2-mercaptoethanol (0.1 M), and 0.33 mL of Sigma 30% Antiform A (0.1%).

0006-2960/79/0418-5294501.0010 c 1979 American Chemical Society

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A brief note describing a version of this method has been published

Abbreviations used: RNase, ribonuclease; mRNA, messeager ribonucleic acid; cDNA, complementary deoxyribonucleic acid

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VOL. 15, NO. 24, 1979 5291

Desonized water was added with was ming and stirring until the volume equaled 100 mL at room temperature. The solution, which contained some insoluble material, was filtered. its pH was adjusted to 7 with a small amount of 1 N NaOH. and it was stored tightly closed for up to 1 month at room temperature. All handling of this solution and the initial homogeniza.... -... done in a tume nood, and all equipment which came contact with 2-mercaptoethanol was subsequently treates with dilute aqueous hypochlorite solution (chlorine laundry bleach).

Guanidine hydrochloride (Sigma practical grade) was made up to 7.5 M, filtered, neutralized to pH 7.0, buffered with 0.025 volume of 1 M sodium citrate, pH 7.0, made 5 mM in either dithiothrestol or dithioerythrstol, and stored for up to I month at room temperature.

Standard Guaridinum Thiocyanate Extraction Procedure. Freshly removed pancreases were trimmed free of lymph nodes, ganglia, and fat, weighed (the pancreas from a 300-g female rat weighs ~ | g), and then individually dropped into 16 mL of guanidinium throcyanate stock solution in a 55-mL Potter-Elvehjem homogenizer tube and immediately homogenized for 30-60 s at full speed with an 18-mm diameter Tissumizer homogenizer (Tekmar Industries, Cincinnati, OH). The homogenates of two pancreases were combined in a 50-mL tube and centrifuged for 10 min at 8000 rpm in a Sorvall HB4 swinging bucket rotor at 10 °C to sediment particulate material. The supernatants were decanted into a flask and mixed with 0.025 volume (relative to the original volume of homogenizing buffer) of 1 M acetic acid to lower the pH from 7 to 5 and 0.75 volume of absolute ethanol. The flask was capped, shaken thoroughly, and placed at -20 °C overnight to precipitate nucleic acid. The material was sedimented by centrifugation for 10 min at -10 °C and 6000 rpm in an HB4 rotor. The tubes were drained of supernatant and any material which did not form a firm pellet. The pellet was then resuspended by vigorous shaking in 0.5 volume (relative to the original volume of homogenization buffer) of buffered guanidine hydrochloride stock solution. If necessary, the samples were briefly warmed in a 68 °C water bath to ensure complete dispersion of the pellets. RNA was reprecipitated by adding (relative to the amount of guanidine hydrochloride) 0.025 volume of 1 M acetic acid and 0.5 volume of ethanol. The solution was kept for at least 3 h at -20 °C and centrifuged as perore. A final reprecipitation from guantime hydrocatoride was performed in the same way, with a further halving of the total volume. This reprecipitated material was centrifuged for only 5 min at 6000 rpm. From this point onward all procedures were carried out under sterile conditions to prevent nuclease contamination.

The final pellets were dispersed in ethanol at room temperature, triturated if necessary to extract excess guanidine hydrochloride, and again centrifuged for 5 min at 6000 rpm. Ethanol was removed from the pellet by a stream of nitrogen. and the RNA was dissolved with vigorous shaking in 1.0 mL of sterile water per g of original tissue. This solution was centrifuged for 10 min at 13 000 rpm and 10 °C to sediment insoluble material. The supernatants containing the RNA were decanted and saved, while the insoluble material was reextracted twice with 0.5 mL of sterile water per g of original tissue wet weight, followed by centrifugation for 10 min at 13000 rpm and 10 °C. The combined aqueous solution was mixed with 0.1 volume of 2 M potassium acetate, pH 5, and 2 volumes of ethanol and left overnight at -20 °C

RNA was sedimented from the ethanol suspension by centrifugation for 20 min at 10 000 rpm and ~10 °C in Corex

tubes in an H. . The pellets were thoroughly washed with 95% ethanol, cried with nitrogen, and dissolved in 1.0 mL of sterile water per g of starting tissue. Absorbance measurements were obtained by diluting the RNA solutions into 10 mM triethanolamine hydrochloride, pH 7.4. An $E_{\rm loss}$ of 200 at 260 nm was used to determine the concentration of RNA. The RNA samples were routinely stored as 70% ethanol suspension at pH 5 and -20 °C.

All of the extraction procedures were routinely carried out in polyethylene, polypropylene, or Corex centrifuge tubes. Exposure to guanidinium thiocyanate solutions resulted in a high rate of failure for polycarbonate tubes.

In view of the large amounts of ribonuclesse in the guanidine homogeneses and supernatants, it was imperative that no contamination of any glassware or chemicals by these solutions be permitted. Dilution of solutions of denatured R Nase results in renaturation of the active enzyme (Sela et al., 1956).

A modification to the above procedure in which the RNA is separated from the guanidinium thiocyanate homogenate by ultracentrifugation through a dense cushion of ce chloride (Glisin et al., 1974) was suggested by Dr. A. Ullrich. For this procedure, technical grade cesium chloride was made up to 5.7 M. buffered with 0.1 M sodium ethylenediamine-tetrazectate, pH 7, or 25 mM sodium acetate or citrate, pH 5, sterilized with 0.2% diethyl pyrocarbonate, and passed through a 0.45-um Millipore filter. Small amounts of tissue were homogenized in 4 M. filtered guanidinium thiocyanate with a small Tissumizer or Potter-Elvehjem and layered into ultracentrifuge tubes one-quarter filled with 5.7 M cesium chloride. In a typical experiment, a Beckman SW50.1 rutor was centrifuged for 12 h at 36000 rpm and 20 °C. Dissolution of the RNA peliets in water was facilitated by brief heating in a 68 °C water bath or by first extracting excess cessum chloride with ethanol and drying with nitrogen. Since the supernatants in these experiments could contain large amounts of renaturable nuclease, great care was taken not to contaminate the pellets during their dissolution. This danger could be circumvented by suspending the pellets in a small volume of buffered guanidine hydrochloride stock solution and precipitating the RNA with ethanol as described above. Cesium chloride has been used for the preparation of samples of less than 100 ag of embryonic RNA (Harding et al., 1978) and for the isolation of rat parotid RNA free from polysaccharides (Swain and Rutter, unpublished experiments). When the maximum rotor speeds permissable for dense cesium chloride solutions are calculated, allowance must be made for the specific gravity of the guanidinium thiocyanate homogenates. which is between 1.1 and 1.2 g/mL.

Procedural Anecdotes and Variations. A large number of different experimental procedures were tested before reaching those described above. A summary of our experience is given here to facilitate adaptation of the procedure to other systems. First, the prevention of degradation by ribonuclease is dependent upon the efficiency of the initial seconds of the homogenization. For this reason, we have used the high-speed Tissumizer: the similar Polytron (Brinkmann Instruments. Westbury, NY) undoubtedly would be satisfactory. The use of a conventional biender or the homogenization of tissue which has been frozen and thawed or of tissue which has been pulverized in liquid nitrogen results in degradation of the RNA. as detected by the diminution in the 28S peak height and the concomitant appearance of lower molecular weight species on electrophoresis in denaturing gels. However, rat pancreases which have been lyophilized after pulverization in dry ice or liquid nitrogen can be satisfactorily extracted with the guani-

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dinium thiocyanate procedure. So lacking significant nucleolytic activity, conditions 1: ...mogenization are less ses lacking significant stringent. Embryonic pancreases can be prepared with a small Potter-Elvehjem homogenizer, and for some cultured cells no homogenization is needed since the cells lyse upon addition of the guanidine solution (Harding et al., 1977; Strohman et al., 1977).

The reprecipitations of the RNA aid to eliminate already denatured ribonuclease from the nucleic acid peliets. Thus, these steps can be varied according to the specific circumstances. The pH and temperature of the initial homogenization, 7.0 and ~20 °C, are optimal, but some variations can be tolerated. The use of the detergent sodium A-laurovisarcosine is not essential but gives a cleaner initial precipitate of RNA and may accelerate the initial dissolution of the tissue. The 2-mercaptoethanol is essential for tissue containing R.Nase, but increasing its concentration beyond 0.1 M final concentration has no effect. Dithiothreitol can be used with the guanidine hydrochloride stock as a disulfide bond reductant, but it undergoes a chemical reaction with the thiocyanate anion to produce hydrogen sulfide and a green color.

The use of pH 7 and room temperature to dissolve the RNA and of pH 5. -20 °C, and the addition of 0.5 volume of etha to precipitate it follow the recommendation of Cox (1968) for guanidine hydrochloride. It is essential to determine empirically the time necessary for complete precipitation of a given RNA sample at -20 °C [viz... Strohman et al. (1977)]. Cooling to this temperature can be accelerated by the use of a 3:1 crushed see-rock salt bath. It is also advisable to maintain RNA concentrations above 25 µg/mL in guanidine solution. Tissue can be homogenized in as little as 4 volumes of 4 M guanidinium thiocyanate, but the resultant solution may be too viscous to permit easy sedimentation of the RNA. The initial precipitation described above uses 0.75 volume of ethanol relative to guanidinium thiocyanate stock; this precipitates some DNA (eliminated by the reprecipitations) as well as RNA (Cox. 1968) but is necessary to prevent guantdinium thiocyanate from crystallizing out of solution at -20 *C. It is convenient to decrease the volumes of the successive precipitations to concentrate the RNA. Inclusion of a final organic solvent extraction step, for example, with phenol or chloroform, or of a 3 M sodium acetate precipitation at pH (Kirby, 1968) is unnecessary.

Some tissues may contain non-RNA molecules which coprecipitate with RNA by the methods described, necessitating further purification. We have, however, not encountered such spleen, or muscle. Under the described conditions of centrifugation, yeast 'H-labeled tRNA (provided by Dr. L. De Gennaro) was not sedimented. Similarly, in the standard procedure (RNAs (and DNA) are not precipitated from guanidine hydrochloride plus 0.5 volume of ethanol, as noted by Cox (1968)

Since the early steps of the procedure are always carried out in the presence of denaturants, sterile procedures and glassware are unnecessary, but as soon as the RNA is no longer in the presence of guanidine, stringent precautions against adventitious nucleases must be taken.

Preparation of Polyadenylated RNA. Polyadenylated species were separated from rRNA by two cycles of binding to oligo(dT)-cellulose (Type T-2, Collaborative Research, Waltnam, MA). The procedure of Aviv & Leder (1972) was modified by the use of 0.5 M lithium chloride, 0.2% dodecyl sulfate, and 10 mM triethanolamine hydrochloride, pH 7.4, as the binding buffer. For minimization of nonspecific ribo-

somal contamin. of the polyadenylated RNA, the samples were heated for a min at 68 °C at a concentration of no more than 2.5 mg/mL in sterile water and then rapidly quenched on ice immediately prior to the other additions listed above and application to the column. Bound polyadenylated RNA was eluted with 10 mM triethanolamine hydrochloride, pH 7.4, without an intermediate 0.1 M salt wash

Resolution Analysis of Isolated RNA Species. The mR -A preparations were subjected to gel electrophoresis in 3% agarose, 6 M area, and 25 mM sodium curate, pH 3.5, according to a modification of the procedure of Woo et al. (1975). Agarone was dissolved in buffered 6 M urea plus 0.02% Antifoam A by holding in a boiling water bath until uniformly in solution and free of bubbles. The solution was poured at 30 °C and allowed to get overnight at 5 °C. Cylindrical gets were removed partially from their tubes, cut ... to 98-mm lengths, returned to the tubes, and held in place with gauze After application of a 20-ug sample to a 5-mm diameter gel in buffered urea plus 10% sucrose, electrophoresis was conducted for 4 h at 100 V and room temperature with rapid recirculation of reservoir buffer (25 mM citrate, pH 3.5). The gets were washed for at least 1 h in sterile 25% glycerol and scanned at 260 nm.

For analysis of electrophoretically resolved mRNAs, agarone gels were cut with a razor blade immediately after being scanned and the slices were extracted 3 times each with a volume of oligo(dT)-cellulose binding buffer equal to the volume of the gel slices at room temperature for 24 h. RNA was recovered from the combined extracts by ethanol precip itation. The samples were dissolved in water, centrifuged to remove particles of agarose, and analyzed by translation either directly or after rebending to and elution from oligo(dT)-cel-

The RNA preparations were tested for biological activity by translations in a cell-free system as described by MacDonaid et al. (1977) after nuclease pretreatment according to Pelham & Jackson (1976). In addition, cDNA was prepared from these samples and hybridization analyses were performed as described by Harding et al. (1977).

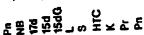
Our interest in specific pancreatic genes and their expression has led to rather extensive investigations of methods for preparation of biologically functional RNA from tissues rich in R.Nase. A number of methods were tested; some were useful. but none were found to be completely satisfactory.

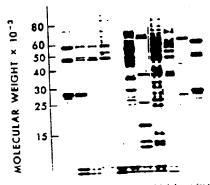
An extensive analysis of the hybridization characteristics ...t pancreas RNA and cDNA made from it with reverse transcriptase has been published by Harding et al. (1977). These experiments were conducted with RNA prepared by a precursor to the present procedure, one in which the tissues were homogenized in 7.5 M guanidine hydrochloride plus 1% diethyl pyrocarbonate (Zsindely et al., 1970). This procedure gave undegraded RNA which, however, appeared to be partially modified by the diethyl pyrocarbonate (Ehrenberg et al., 1974). When rat pancreas RNA prepared with guanidine hydrochloride plus diethyl pyrocarbonate was translated in vitro, there was a marked lower efficiency of synthesis of higher molecular weight polypeptides, especially amylase. This effect was much more pronounced in the reticulocyte lysate than in the wheat germ cell-free system of Roberts & Paterson (1973). Attempts to circumvent this effect by scavenging unreacted diethyl pyrocarbonate by adding excess 2-mercaptoethanol 30 s after the start of homogenization were unsuccessful. Decreasing the initial concentration of diethyl pyrocarbonate only resulted in partially degraded RNA. These deleterious effects





PROUBE 1: Scan at 260 mm of electropheress of RNA on 4% acrylamete get in 98% formannde (Pinder et al., 1974). Direction of migration was from left to right. Upper trace: RNA prepared with funcyanate plus 2-meruporstantol by sthanol precipitation. Lower trace: RNA prepared by dropping a paircras into a hieroter running at full speed at 1 °C consistent 0.1 M solid accesses, pH 5.5 mM indoorcute. 2 mg/mL heparin selfate, and 0.5% sedium dodesyl sulfate plus an equal volume of buffer-assurated phenol.





PICIRE 2: Autoraciogram of [PS] methonine-labeled peptides synthesized from puritied RNAs in a rabbit reticulocyte Pisate (Petham & Jackson, 1976). Analysis was as described by MacDonald et al. 1977). Total RNA samples tall from rati were the following: Pri. adult pancreas (24 mg): NB, newborn pancreas (23 mg): 17d, 17-day embryonic pancreas (24 mg): L5d, 15-day embryonic pancreas (21 mg): 15d, 15-day embryonic pancreas (21 mg): 15d, 15-day embryonic pancreas (21 mg): 15d, 15-day embryonic gut (22 mg): L, adult liver (40 mg): S, adult submaxillary giand (41 mg): HTC, hepatoma cell line (37 mg): K, adult kidney (27 mg): Pr. adult paroxid gland (21 mg).

of diethyl pyrocarbonate led us to develop the improved guandinium thiocyanate method described here.

The recovery of RNA from adult rat pancreas by the

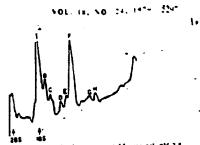


FIGURE 3: Scan at 260 nm of a 3% agarose—6 M urea get, pH 3.5 (Woo et al., 1975). A 40-sg sample of polyadenylated rat pencreas RNA was run on a 6 × 98 mm get, which was sliced as indicated



FIGURE 4: Translational specificity of pancreasic mRNAs resolved by agaroos get electrophorasis. Assumatiogram was prepared as in Figure 2. The in vitio translations were performed on the entire extracted aliquots of RNA from the sitoss indicated in Figure 3, except for bands A and F of which only half of the aliquot was translated Lane T contains the translation products of enfractionated pancreatic polyadenyisted RNA.

presence of intact 28S and 18S ribosomal RNAs in the sample prepared with guanidinium thiocyanate. The lower trace in Figure 1 demonstrates the degradation of these species when isolated by conventional phenol extraction in the presence of a panoply of ribonuclease inhibitors: heparin, iodoaccrate, and sodium dodecyl sulfate. Electrophoresis in 3% agarose gets in 6 M urea, pH 3.5 (Woo et al., 1975), gave results (not shown) very similar to those found with formamide gets. Between 1.0 and 1.5% of the total RNA was contained in the polyadenylated fraction after two passages over oligo(dTicellulose (Aviv & Leder, 1972).

The products of translation in vitro of RNAs isolated from

The products of translation in vitro of RNAs isolated from a variety of rat tissues with guanidinium thiocyanate are displayed in Figure 2. The discrete, tissue-specific products seen for embryonic and adult rat pancreas, liver, kidney, submaxiliary, parotid, and HTC cell (a rat hepatoma cell line) RNAs indicate that the guanidinium thiocyanate procedure yields RNA suitable for protein synthesis.

The relatively simple set of proteins synthesized in vitro in Figure 2, lane Pn, suggested that polyadenyiated pancreatic RNA should contain a limited number of discrete messages. This was borne out by the profile of oligo(dT)-cellulose-bound RNA on a denaturing agarose—urea gel (Figure 3). When the indicated RNA peaks were eluted from the gel, they were found to be enriched in their template activities for specific polypeptide bands (Figure 4). The major protein band in lanc

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Measurement of the Transcription of Nuclear Single-Copy Deoxyribonucleic Acid during Chloroplast Development in Euglena gracilist

Stephanie E. Curtis and James R. Y. Rawson*

ABSTRACT: The fraction of nuclear single-copy deoxyribonucleic acid (DNA) transcribed at different stages of chloroplast development in Euglena gracilis (Z strain) was measured by RNA-DNA hybridization. Fuglent rells were grown in a heterotrophic medium in the dark to stationary phase and transferred to the light. Total cell RNA was isolated at various stages of chloroplast development and hybridized in a vast excess to 1251-labeled single-copy DNA. The fraction of 1251labeled single-copy DNA in the form of a duplex was measured by using SI nuclease. The amount of RNA-DNA hybrid in the duplex mixture was determined by correcting for the contribution of DNA-DNA renaturation. The fraction of singie-copy DNA transcribed was calculated by multiplying by 2 the amount of DNA in the form of an RNA-DNA hybrid and correcting for the reactivity of the single-copy DNA probe with total DNA. In dark-grown cells (i.e., prior to the initiation of chloroplast development), the complexity of total cell RNA derived from single-copy DNA was 8.0 × 10² nucleotides. After initiation of chloroplast development, the complexity of the total cell RNA derived from single-copy DNA first increased slightly to 8.9 × 107 nucleotides and then progressively decreased to 7.4 × 10° and 6.4 × 10° nucleotides after 12, 48, and 72 h of exposure to light, respectively. Total cell RNA isolated from cells which had never been cultured in the dark had a complexity of 6.5×10^7 nucleotides.

Chloropiasts are complex organilles which require a multitude of membrane structures, enzymes, and electron-transport

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constituents to carry out photosynthesis. The development of a functional chloroplast from a propiastid, the progenitor of chloroplasts, presents an interesting example of the need for the coordinate expression and interaction of two distinct genomes within the plant cell. Both the chloroplast and the nuclear DNAs contribute genetic information required for the production of a photosynthetically competent organelle (Schiff,

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5298 BIOCHEMISTRY

CHIRGWIL, PRZY A. MACDONALD, AND RUTTER

A has been demonstrated to be a : ್ರ-or (58 000 molecular weight) of e-amylase by specific is.....inoprecipitation (Mac-Donald et al., 1977; Przybyła et al., 1979). The RNA coding for amylase (Figure 3, peak A) comigrated with ISS rRNA. On the basis of the in vitro translation data and cDNAmRNA hybridization complexity (Harding & Rutter, 1978). the isolated amylase mRNA was judged to be greater than 20% pure.

sly not possible in the system to compare Since it is obv the quality of the RNAs prepared by the suanidinum thioate and conventional phenol methods, we have perform comparative experiments using dog pancreas, which lacks detectable levels of RNase A (Zendzian & Barnard, 1967). Total polysomal RNA was prepared by conventional phenolchioroform extraction by MacDonald et al. (1977). The data obtained for dog pancreas were very similar to those sho in Figures 1-3 for rat pancress. In both species, a-amylase was the dominant tissue-specific protein and its RNA comi-grated with IBS rRNA. The patterns of the translation products and of the polyadenylated RNAs -ere similar. When opied with reverse transcriptase, rat pa....eas RNA gave the highest incorporation of nucleotides per gram when the RNA had been prepared with guanidinium thiocyanate (0.13 amol of [3H]dCMP per ug of polyadenylated RNA) and the lowest when prepared with guanidine hydrochloride plus diethyl pyrocarbonate (0.06 amoi of [3H]dCMP per ug). In comparison, henol-prepared dog RNA gave an incorporation of 0.11 nmol of [PH]dCMP per ug. All pancreaux cDNAs displayed the same size distribution on alkaline sucrose gradients. When phenol-extracted dog pancreas RNA was hybridized

in excess to cDNAs made from RNA templates prepared either with phenol or guanidine hydrochloride plus 1% diethyl pyrocarbonate, indistinguishable results were obtained. The curves were very similar to those seen for rat pancreas RNAcDNA hybridizations (Harding et al., 1977).

Because of the high concentrations of RNase and RNA in the rat pancreas, polyanionic competitive inhibitors of RNase such as heparin, polyvinyl sulfate, and macaloid (Parish, 1972) cannot be brought practically to high enough concentrations to be useful. Similar limits to attainable concentration preclude the use of antibodies against or protein inhibitors of RNase (Brown et al., 1959; Gribnau et al., 1969). The well characterized covalent inactivators of bovine pancreatic RNase A such as 3-bromopyruvate and iodoacetate react much too slowly to be of use (Heinrikson et al., 1965). Diethyl pyrocarbonate is an effective active-site histidine reagent against pancreatic R.Nase, but unfortunately this reagent also modifies nucleic acids (Ehrenberg et al., 1974). Such modification may account for the loss of amylase mRNA translation activity and template activity for RNA-directed DNA polymerase described above. Diethyl pyrocarbonate has been reported to destroy ovalbumin message activity (Palmiter, 1974). As demonstrated by Figure 1, phenol plus sodium dodecyl sulfate does not denature RNase sulficiently rapidly to prevent massive degradation of pancreatic RNA.

Although ribonuclease is the bane of molecular biologists, it has been a boon to physical biochemists. It is a thoroughly investigated model of protein denaturation. The transition state for denaturation of pancreatic RNase A is close to the denatured state, so that reagents of increasing effectiveness for equilibrium denaturation will denature with increasing rapidity (Tanford, 1968). Thus, the half-life of RNase is 3 min in 8 M urez (Barnard, 1964) and 10 s in 4 M guanidine hydrochloride (Miller & Bolen, 1978). Both Von Hippel &

Wang (1964) at. astellino & Barker (1968) found that diment this canate was about 2.5-fold more effective on a molar basis that, guanidine hydrochloride as an equilibrium denaturant. In the former salt both causen and anion are strong chaotropes, while in the latter only the guanidinium cation is chaotropic and hence active in denaturation (Jencks, 1969). Thus, it was expected on the basis of the rate dependency up denaturant strength that guanidinium thiocyanate would be a much more rapid denaturant of RNase than guanidine hydrochloride, thus permitting the isolation of intact rat pan-

creatic RNA without recourse to tension of the RNA prepared from pancreas with guanidinium thiocyanate and be translated in vitro to give products (Figure 2) very similar to those seen for phenol-isolated dog pancreas RNA (MacDonald et al., 1977) and very similar to the contents of ncreatic secretory granules (Przybyla et al., 1979). Since o-amylase is the major secretory product of the pancreas, its message should be an abundant polyadenylated species. Fig-ures 3 and 4 suggest that this is the case. The 18S polyadenyla: ... I RNA (Figure J. peak A) is the predominant componen: ...olved, although its abundance may be ... aggerated by contaminating rRNA. Hybridization between this purified amylase mRNA and the cDNA made from it indicates that the message is more than 80% composed of a sequence of 1500-nucleotide complexity, just large enough to code for the pre-amylase polypeptide (Harding & Rutter, 1978).

In addition to hybridization experiments with purified rat

pancreatic amylase mRNA, total rat pancreas RNA from adults and developing embryos has been analyzed by cDNA hybridization kinetics (Harding et al., 1978). In no case is there any indication that isolation with guanidinium thiocyanate plus 2-mercaptoethanol introduces any artifactual modifications into RNA or causes the selective loss of any species other than 4S RNA. Recovery of RNA from the organ was quantitative within the experimental uncertainty of such determinations (Schneider, 1946).

RNA prepared with guanidinium thiocyanate from rat islets of Langerhans has been used to synthesize double-stranded cDNA. When this DNA was inserted into a bacterial plasmed. cloned, and analyzed, it was found to contain nucleotide sequences correctly coding for the complete amuno acid sequence of rat proinsulin ! (Ullrich et al., 1977), thereby confirming that the information content of the starting RNA was retained during the procedure.

The predicted utility of guanidinium thiocyanate was fully confirmed by the results described above: RNA isolated with the reagent was physically intact and fully active in translation. specific message purification, hybridization, and recombinant DNA experiments. The variety of tissues from which active RNA has been obtained with this method (Figure 2) suggests that the guanidinium thiocyanate procedure offers a useful alternative to phenol-based methods, particularly for nuclease-containing cells.

Acknowledgments

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25/ 278

human Epo vs. Phe 91 in monkey Epo). This result . points out the extreme specificity of mixed-sequence short oligo probes and the requirement for exact as sequence data.

Epo obtained from mice, rate, sheep, rabbits, dogs and beboons has been previously shown to compete with human Epo for binding to anti-human Epo antibodies (Garcia et al., 1979). It is also well known that human Epo is biologically active in mice and rate (Anagnoston et al., 1978; Miyake et al., 1977). These findings imply that some functional domain(s) and antigenic determinant(s) of Epo have been highly conserved during the course of mammalian evolution. With the exception of an 28-35 and 83-123, the as sequences of monkey and human Epo are highly conserved. The conserved regions may be involved in determining the function and antigenic determinants of the Epo molecule.

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