

APPENDIX B
(U.S. Patent No. 5,547,933)
Part 2 of 4

FIG. 6E

130 Pro Leu Arg Thr Ile Thr Ala Asp Thr Phe Arg Lys Leu Phe Arg Val Tyr Ser
CCA CTC CGA ACA ATC ACT GCT GAC ACT TTC CGC AAA CTC TTC CGA GTC TAC TCC
140
150 Asn Phe Leu Arg Gly Lys Leu Lys Leu Tyr Thr Gly Glu Ala Cys Arg Thr Gly
AAT TTC CTC CGG GGA AAG CTG AAG CTG TAC ACA GGG GAG GCC TGC AGG ACA GGG
160
166 Asp Arg OP
GAC AGA TGA CCAGGTGTGTCCACCTGGGCATATCCACCCTCCCTCACCAACATTTGTTGCCACA
CCCTCCCCGCACTCCTGTAAACCCCTCGAGGGGCTCTCAGCTCAGGCCCAAGCCCTGTCCCATGGACACTCC
AGTCCACGCATGACATCTCAGGGGCCAGAGGAACTGTCCAGAGAGCACTCTGAGATCTAAGGATGTGAC
AGGCCCACTTGAAGGCCCCAGAGCAGGAAGCATTCAGAGAGCAGCTTTAAACTCAGGGACAGGCCATGC
TGGGAAAGACCCCTGAGCTCACTCGGCACCCCTGCAAAATTTGATGCCAGGACACGGCTTTGGAGGCCGTTTAC
CTGTTTTCGCACCTACCATCAGGGACAGGATGACCTGGAGAACTTAGGTGGCAAGCTGTGACTTCTCCAGG
TCTCACGGGCATGGGCATCTCCCTTGTGTGGCAAGAGCCCCCTGTACACCAGGGGTGTGGAAACCATGAAAGAC
AXGATXGGGGCTGGCCCTCTGGCTCTCATGGGGTCCAAAGTTTTGTGTATTTCTCAACCTATTGACAGACTGAA
ACACATATGAC

AM 27 006196
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 7

			-1 1	
	<u>XbaI</u>		<u>NotIa</u>	
CTAG	AAACCATGAG	GGTAATAAAA	TAATGGCTCC	GCCGCCTCTG
	TTGGTACTC	CCATTATTTT	ATTACCGAGG	CGCGCGACAC
ATCTGCGACT	CGAGAGTTCT	GGAACGTTAC	CTGCTGGAAG	CTAAGAAGC
TAGACGCTGA	GCTCTCAAGA	CCTTGCAATG	GACGACCTTC	GATTTCTTCG
TGAAAACATC	ACCACTGGTT	GTGCTGAACA	CTGTTCTTTG	AACGAAAACA
ACTTTTGTAG	TGGTGACCAA	CACGACTTGT	GACAAGAAAC	TTGCTTTTGT
TTACGGTACC	AGACACCAAG	GTAACTTCT	ACGCTTGGAA	ACGTATGGAA
AATGCCATGG	TCTGTGGTTC	CAATTGAAGA	TGCGAACCTT	TGCATACCTT
GTTGGTCAAC	AAGCAGTTGA	AGTTTGGCAG	GGTCTGGCAC	TGCTGAGCGA
CAACCAGTTG	TTCGTCAACT	TCAAACCGTC	CCAGACCGTG	ACGACTCGCT
GGCTGTACTG	CGTGGCCAGG	CACTGCTGGT	AAACTCCTCT	CAGCCSTGGG
CCGACATGAC	GCACCGGTCC	GTGACGACCA	TTTGAGGAGA	GTCCGCCACCC
AACCGCTGCA	GCTGCATGTT	GACAAAGCAG	TATCTGGCCT	GAGATCTCTG
TTGGCGACGT	CGACGTACAA	CTGTTTCGTC	ATAGACCGGA	CTCTAGAGAC
ACTACTCTGC	TGCGTGCTCT	GGGTGCACAG	AAAGAGGCTA	TCTCTCCGCC
TGATGAGACG	ACGCACGAGA	CCCACGTGTC	TTTCTCCGAT	AGAGAGGCCG
GGATGCTGCA	TCTGCTGCAC	CGCTGCCTAC	CATCACTGCT	GATACCTTCC
CCTACGACGT	AGACGACGTG	GCGACGCATG	GTAGTGACGA	CTATCGAAGG
GCAAACGTGT	TCGTGTATAC	TCTAACTTCC	TGCGTGGTAA	ACTGAAACTG
CGTTTGACAA	AGCACATATG	AGATTGAAGG	ACGCACCATT	TGACTTTGAC
TATACTGGCG	AAGCATGCCG	TACTGGTGAC	CGCTAATAG	
ATATGACCGC	TTCGTACGGC	ATGACCACTG	GCGATTATCA	GCT

SaI

AM 27 006197
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

U.S. Patent

Aug. 20, 1996

Sheet 14 of 27

5,547.933

FIG. 8

	-1 +1			
<u>HindIII</u>	<u>ArgAla</u>			
AGCTTGGATA	AAAGAGCTCC	ACCAAGATTG	ATCTGTGACT	CGAGAGTTTT
ACCTAT	TTTCTCGAGG	TGGTTCTAAC	TAGACACTGA	GCTCTCAAAA
GGAAAGATAC	TTGTTGGAAG	CTAAAGAAGC	TGAAAACATC	ACCACTGGTT
CCTTTCTATG	AACAACCTTC	GATTTCTTCG	ACTTTTGTAG	TGGTGACCAA
GTGCTGAACA	CTGTTCTTTG	AACGAAAACA	TTACGGTACC	AGACACCAAG
CACGACTTGT	GACAAGAAAC	TTGCTTTTGT	AATGCCATGG	TCTGTGGTTC
GTTAACTTCT	ACGCTTGGAA	ACGTATGGAA	GTTGGTCAAC	AAGCTGTTGA
CAATTGAAGA	TGCGAACCTT	TGCATACCTT	CAACCAGTTG	TTGACAACT
AGTTTGGCAA	GGTTTGGCCT	TGTTATCTGA	AGCTGTTTTG	AGAGGTCAAG
TCAAACCGTT	CCAAACCGGA	ACAATAGACT	TCGACAAAAC	TCTCCAGTTC
CCTTGTTGGT	TAACTCTTCT	CAACCATGGG	AACCATTCGA	ATTGCACGTC
GGACAACCA	ATTGAGAAGA	GTTGGTACCC	TTGGTAACGT	TAACGTGCAG
GATAAAGCCG	TCTCTGGTTT	GAGATCTTTG	ACTACTTTGT	TGAGAGCTTT
CTATTTCCGGC	AGAGACCAAA	CTCTAGA AAC	TGATGAAACA	ACTCTCGAAA
GGGTGCTCAA	AAGGAAGCCA	TTTCCCCACC	AGACGCTGCT	TCTGCCGCTC
CCCACGAGTT	TTCTTCGGT	AAAGGGGTGG	TCTGCGACGA	AGACGGCGAG
CATTGAGAAC	CATCACTGCT	GATACCTTCA	GAAAGTTATT	CAGAGTTTAC
GTAACTCTTG	GTAGTGACGA	CTATGGAAGT	CTTTCAATAA	GTCTCAAATG
TCCAACTTCT	TGAGAGGTAA	ATTGAAGTTG	TACACCGGTG	AAGCCTGTAG
AGGTTGAAGA	ACTCTCCATT	TAAC TTCAAC	ATGTGGCCAC	TTGGGACATC
AACTGGTGAC	AGATAAGCCC	GACTGATAAC	AACAGTGTAG	
TTGACCACTG	TCTATTCGGG	CTGACTATTG	TTGTCAATC	

SalI

ATGTAACAAA G
TACATTGTTT CAGCT

AM 27 006198
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 9

	-20	-10	+1	10	20	30	40
Human	MGVHECPAWLWLLSLSLPLGLPVLGAPPRLLCDSRVLLERLYLLEAKEAENITTGCAEHCSLNENITVPTDK						
	*****	*****	*****	*****	*****	*****	*****
Monkey	MGVHECPAWLWLLSLSLPLGLPVPGAPPRLLCDSRVLLERLYLLEAKEAENVTMGSESCSNENITVPTDK						

	50	60	70	80	90	100	110
Human	VNIFYAKRMEVGGQAVEVWQGLALLSEAVLRGQALLVNSSQWPEPLQLHVVDKAVSGLRSLTLLRALGAQKE						
	*****	*****	*****	*****	*****	*****	*****
Monkey	VNIFYAKRMEVGGQAVEVWQGLALLSEAVLRGQAVLANSSQPFPEPLQLHMDKAI SGLRSITLLRALGAQ-E						

	120	130	140	150	160
Human	AISPPDAASAAPLRTITADTFRKLFVYBNFLRGKLLKLYTGEACRTGDR				
	***	*****	*****	*****	***
Monkey	AISLPPDAASAAPLRTITADTFCCKLFVYBNFLRGKLLKLYTGEACRRGDR				

AM 27 006199
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 10

1. **AATTCTAGAAACCATGAGGGTAATAAAATA**
2. **CCATTATTTTATTACCCTCATGGTTTCTAG**
3. **ATGGCTCCGCCGCGTCTGATCTCCGAC**
4. **CTCGAGTCGCAGATCAGACGCCGGCGGAG**
5. **TCGAGAGTTCTGGAACGTTACCTGCTG**
6. **CTTCCAGCAGGTAACGTTCCAGAACT**
7. **GAAGCTAAAGAAGCTGAAAACATC**
8. **GTGGTGATGTTTTTCAGCTTCTTTAG**
9. **ACCACTGGTTGTGCTGAACACTGTTC**
10. **CAAAGAACAGTGTTTCAGCACAACCA**
11. **TTTGAACGAAAACATTACGGTACCG**
12. **GATCCGGTACCGTAATGTTTTTCGTT**

AM 27 006200
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

U.S. Patent

Aug. 20, 1996

Sheet 18 of 27

5,547,933

FIG. 12

1. **AATTCGGTACCAGACACCAAGGT**
2. **GTTAACCTTGGTGTCTGGTACCG**
3. **TAACTTCTACGCTTGGAAACGTAT**
4. **TTCCATACGTTTCCAAGCGTAGAA**
5. **GGAAGTTGGTCAACAAGCAGTTGAAGT**
6. **CCAAACTTCAACTGCTTGTTGACCAAC**
7. **TTGGCAGGGTCTGGCACTGCTGAGCG**
8. **GCCTCGCTCAGCAGTGCCAGACCCTG**
9. **AGGCTGTACTGCGTGGCCAGGCA**
10. **GCAGTGCCTGGCCACGCAGTACA**
11. **CTGCTGGTAAACTCCTCTCAGCCGT**
12. **TTCCCACGGCTGAGAGGAGTTACCA**
13. **GGGAACCGCTGCAGCTGCATGTTGAC**
14. **GCTTTGTCAACATGCAGCTGCAGCGG**
15. **AAAGCAGTATCTGGCCTGAGATCTG**
16. **GATCCAGATCTCAGGCCAGATACT**

AM 27 006202
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

AM-ITC 00445954

FIG. 13

ECORI **KpnI** **1** **3**
A **ATTCCGTACC** **AGACACCAG** **GTAACTTCT** **ACGCTTGA** **ACGTATGGA**
GCCATGG **TCTGTGTTTC** **CAATTGAAGA** **TGGAACTT** **TGCATACCTT**

5 **7**
GTGGTCAAC **AAGCAGTTGA** **AGTTTGGCAG** **GTCTGGCAC** **TGCTGAGCGA**
CAACCACCTG **TTCGTCAACT** **TCMAACCGTC** **CCAGACCGTG** **ACCACTCGCT**

2 **11**
GGCTGTACTG **CGTGGCCAGG** **CACGTCTGTT** **AAACTCCTCT** **CAGCCGTGGG**
CCGACATGAC **GCACCCTCC** **GTGACGACCA** **TTTGGGAGA** **GTCCGACCCC**

13 **15** **BglIII** **BamHI**
AACCGCTGCA **GCTGCATGTT** **GACAAAGCAG** **TATCTGGCCT** **GAGATCTG**
TTGGCGACGT **CGACGTACA** **CTGTTTCTGTC** **ATAGACCGGA** **CTCTAGACCTAC**

AM 27 006203
 CONFIDENTIAL
 SUBJECT TO PROTECTIVE ORDER

U.S. Patent

Aug. 20, 1996

Sheet 20 of 27

5,547,933

FIG. 14

1. **GATCCAGATCTCTGACTACTCTGC**
2. **ACGCAGCAGAGTAGTCAGAGATCTG**
3. **TGCGTGCTCTGGGTGCACAGAAAGAGG**
4. **GATAGCCTCTTTCTGTGCACCCAGAGC**
5. **CTATCTCTCCGCCGGATGCTGCATCT**
6. **CAGCAGATGCAGCATCCGGCGGAGA**
7. **GCTGCACCGCTGCGTACCATCACTG**
8. **ATCAGCAGTGATGGTACGCAGCGGTG**
9. **CTGATACCTTCCGCCAAACTGTTTCG**
10. **ATACACGAAACAGTTTGCGGAAGGT**
11. **TGTATACTCTAACTTCCTGCGTGGTA**
12. **CAGTTTACCACGCAGGAAGTTAGAGT**
13. **AACTGAAACTGTATACTGGCGAAGC**
14. **GGCATGCTTCGCCAGTATACAGTTT**
15. **ATGCCGTACTGGTGACCGCTAATAG**
16. **TCGACTATTAGCGGTCACCAGTAC**

AM 27 006204
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 15

BamHI BglII
GA TCCAGATCTCTG
GTCTAGAGAC

1 ACTACTCTGC TGCCTGCTCT 3 GGGTGCACAG AAAGAGGCTA 5 TCTCTCCGCC
TGATGAGACG ACGCACGAGA CCCACGTGTC TTTCTCCGAT AGAGAGGCCG

GGATGCTGCA TCTGCTGCAC 7 CGCTGCGTAC CATCACTGCT 9 GATACCTTCC
CCTACGACGT AGACGACGTG GCGACGCATG GTAGTGACGA CTATGGAAGG

GCAAAGTGT TCGTGTATAC 11 TCTAACTTCC TCGGTGGTAA 13 ACTGAAACTG
CGTTTGACAA AGCACATATG AGATTGAAGG ACGCACCATT TGACTTTGAC

TATACTGGCG AAGCATGCCG 15 TACTGGTGAC CGCTAATAG SaII
ATATGACCGC TTCGTACGGC ATGACCACTG GCGATTATC AGCT

AM 27 006205
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 16

1. AATTCAAGCTTGGATAAAAGAGCT
2. GTGGAGCTCTTTTATCCAAGCTTG
3. CCACCAAGATTGATCTGTGACTC
4. TCTCGAGTCACAGATCAATCTTG
5. GAGAGTTTTGGAAAGATACTTGTTG
6. CTTCCAACAAGTATCTTTCCAAAC
7. GAAGCTAAAGAAGCTGAAAACATC
8. GTGGTGATGTTTTTCAGCTTCTTTAG
9. ACCACTGGTTGTGCTGAACACTGTTC
10. CAAAGAACAGTGTTTCAGCACAACCA
11. TTTGAACGAAAACATTACGGTACCG
12. GATCCGGTACCGTAATGTTTTTCGTT

AM 27 006206
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 17

EcoRI HindIII 1
 AATTCAGCTTGGATA
 G TTCGAACCTAT
2

3
 AAAGAGCTCC ACCAAGATTG ATCTGTGACT CGAGAGTTTT
 TTTCTCGAGG TGGTTCTAAC TAGACACTGA GCTCTCAAAA
4

5 7
 GGAAAGATAC TTGTTGGAAG CTAAAGAAGC TGAAAACATC ACCACTGGTT
 CCTTTCTATG AACAACTTC GATTTCTTCG ACTTTTGTAG TGGTGACCAA
6 8

9 11 KpnI BamHI
 GTGCTGAACA CTGTTCTTTG AACGAAAACA TTACGGTACC G
 CACGACTTGT GACAAGA AAC TTGCTTTTGT AATGCCATGG CCTAG
12

AM 27 006207
 CONFIDENTIAL
 SUBJECT TO PROTECTIVE ORDER

U.S. Patent

Aug. 20, 1996

Sheet 24 of 27

5,547,933

FIG. 18

1. **AATTCGGTACCAGACACCAAGGT**
2. **GTTAACCTTGGTGTCTGGTACCG**
3. **TAACTTCTACGCTTGGAAACGTAT**
4. **TTCCATACGTTTCCAAGCGTAGAA**
5. **GGAAGTTGGTCAACAAGCAGTTGAAGT**
6. **CCAAACTTCAACTGCTTGTTGACCAAC**
7. **TTGGCAAGGTTTGGCCTTGTTATCTG**
8. **GCTTCAGATAACAAGGCCAAACCTTG**
9. **AAGCTGTTTTGAGAGGTGAAGCCT**
10. **AACAAGGCTTGACCTCTCAAACA**
11. **TGTTGGTTAACTCTTCTCAACCATGGG**
12. **TGGTTCCCATGGTTGAGAAGAGTTAACC**
13. **AACCATTGCAATTGCACGTCGAT**
14. **CTTTATCGACGTGCAATTGCAA**
15. **AAAGCCGTCTCTGGTTTGGAGATCTG**
16. **GATCCAGATCTCAAACCAGAGACGG**

AM 27 006208
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

U.S. Patent

Aug. 20, 1996

Sheet 26 of 27

5,547,933

FIG. 20

1. GATCCAGATCTTTGACTACTTTGTT
2. TCTCAACAAAGTAGTCAAAGATCTG
3. GAGAGCTTTGGGTGCTCAAAGGAAG
4. ATGGCTTCCTTTTGAGCACCCAAAGC
5. CCATTTCCCCACCAGACGCTGCTT
6. GCAGAAGCAGCGTCTGGTGGGGAA
7. CTGCCGCTCCATTGAGAACCATC
8. CAGTGATGGTTCTCAATGGAGCG
9. ACTGCTGATACCTTCAGAAAGTT
10. GAATAACTTTCTGAAGGTATCAG
11. ATTCAGAGTTTACTCCAACTTCT
12. CTCAAGAAGTTGGAGTAAACTCT
13. TGAGAGGTAAATTGAAGTTGTACAC
14. ACCGGTGTACAACCTTCAATTTACCT
15. CGGTGAAGCCTGTAGAACTGGT
16. CTGTCACCAGTTCTACAGGCTTC
17. GACAGATAAGCCCGACTGATAA
18. GTTGTTATCAGTCGGGCTTAT
19. CAACAGTGTAGATGTAACAAAG
20. TCGACTTTGTTACATCTACACT

AM 27 006210
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

FIG. 21

BaHI BqII 1
 GATC CAGATCTTTG ACTACTTTGT TGAGAGCTTT
 GTCTAGAAAC TGATGAAACA ACTCTCGAAA
2

3 5
 GGGTGCTCAA AAGGAAGCCA TTTCCCACC AGACGCTGCT TCTGCCGCTC
 CCCACGAGTT TTCCTTCGGT AAAGGGGTGG TCTGCGACGA AGACGGCGAG
4 6

7 9 11
 CATTGAGAAC CATCACTGCT GATACCTTCA GAAAGTTATT CAGAGTTTAC
 GTAACTCTTG GTAGTGACGA CTATGGAAGT CTTTCAATAA GTCTCAAATC
8 10 12

13 15
 TCCAACTTCT TGAGAGGTAA ATTGAAGTTG TACACCGGTG AAGCCTGTAG
 AGGTTGAAGA ACTCTCCATT TAACTTCAAC ATGTGGCAAC TTCGGACATC
14 16

17 19
 AACTGGTGAC AGATAAGCCC GACTGATAAC AACAGTGTAG
 TTGACCACTG TCTATTCGGG CTGACTATTC TTGTCACATC

SaII
 ATGTAACAAA G
 TACATTGTTT CAGCT
20

AM 27 006211
 CONFIDENTIAL
 SUBJECT TO PROTECTIVE ORDER

5,547,933

1

PRODUCTION OF ERYTHROPOIETIN

This is a continuation of application Ser. No. 08,202,874, filed Feb. 28, 1994, and now abandoned which was a continuation of U.S. application Ser. No. 07/113,178, filed Oct. 23, 1987, now abandoned, which was a continuation of U.S. application Ser. No. 06/675,298, filed Nov. 30, 1984, and issued Oct. 27, 1987 as U.S. Pat. No. 4,703,008 which was a continuation-in-part of U.S. Ser. No. 06/655,841, filed Sep. 28, 1984, and now abandoned, which was a continuation-in-part of U.S. application Ser. No. 06/582,185, filed Feb. 21, 1984, and now abandoned, which was a continuation-in-part of U.S. application Ser. No. 06/561,024, filed Dec. 13, 1983, and now abandoned.

BACKGROUND

The present invention relates generally to the manipulation of genetic materials and, more particularly, to recombinant procedures making possible the production of polypeptides possessing part or all of the primary structural conformation and/or one or more of the biological properties of naturally-occurring erythropoietin.

A. Manipulation Of Genetic Materials

Genetic materials may be broadly defined as those chemical substances which program for and guide the manufacture of constituents of cells and viruses and direct the responses of cells and viruses. A long chain polymeric substance known as deoxyribonucleic acid (DNA) comprises the genetic material of all living cells and viruses except for certain viruses which are programmed by ribonucleic acids (RNA). The repeating units in DNA polymers are four different nucleotides, each of which consists of either a purine (adenine or guanine) or a pyrimidine (thymine or cytosine) bound to a deoxyribose sugar to which a phosphate group is attached. Attachment of nucleotides in linear polymeric form is by means of fusion of the 5' phosphate of one nucleotide to the 3' hydroxyl group of another. Functional DNA occurs in the form of stable double stranded associations of single strands of nucleotides (known as deoxyribonucleotides), which associations occur by means of hydrogen bonding between purine and pyrimidine bases [i.e., "complementary" associations existing either between adenine (A) and thymine (T) or guanine (G) and cytosine (C)]. By convention, nucleotides are referred to by the names of their constituent purine or pyrimidine bases, and the complementary associations of nucleotides in double stranded DNA (i.e., A-T and G-C) are referred to as "base pairs". Ribonucleic acid is a polynucleotide comprising adenine, guanine, cytosine and uracil rather than thymine, bound to ribose and a phosphate group.

Most briefly put, the programming function of DNA is generally effected through a process wherein specific DNA nucleotide sequences (genes) are "transcribed" into relatively unstable messenger RNA (mRNA) polymers. The mRNA, in turn, serves as a template for the formation of structural, regulatory and catalytic proteins from amino acids. This mRNA "translation" process involves the operations of small RNA strands (tRNA) which transport and align individual amino acids along the mRNA strand to allow for formation of polypeptides in proper amino acid sequences. The mRNA "message" derived from DNA and providing the basis for the tRNA supply and orientation of any given one of the twenty amino acids for polypeptide "expression", is in the form of triplet "codons"—sequential groupings of three nucleotide bases. In one sense, the formation of a protein is the ultimate form of "expression"

2

of the programmed genetic message provided by the nucleotide sequence of a gene.

"Promoter" DNA sequences usually "precede" a gene in a DNA polymer and provide a site for initiation of the transcription into mRNA. "Regulator" DNA sequences, also usually "upstream" of (i.e., preceding) a gene in a given DNA polymer, bind proteins that determine the frequency (or rate) of transcriptional initiation. Collectively referred to as "promoter/regulator" or "control" DNA sequences, these sequences which precede a selected gene (or series of genes) in a functional DNA polymer cooperate to determine whether the transcription (and eventual expression) of a gene will occur. DNA sequences which "follow" a gene in a DNA polymer and provide a signal for termination of the transcription into mRNA are referred to as transcription "terminator" sequences.

A focus of microbiological processing for the last decade has been the attempt to manufacture industrially and pharmaceutically significant substances using organisms which either do not initially have genetically coded information concerning the desired product included in their DNA, or (in the case of mammalian cells in culture) do not ordinarily express a chromosomal gene at appreciable levels. Simply put, a gene that specifies the structure of a desired polypeptide product is either isolated from a "donor" organism or chemically synthesized and then stably introduced into another organism which is preferably a self-replicating unicellular organism such as bacteria, yeast or mammalian cells in culture. Once this is done, the existing machinery for gene expression in the "transformed" or "transfected" microbial host cells operates to construct the desired product, using the exogenous DNA as a template for transcription of mRNA which is then translated into a continuous sequence of amino acid residues.

The art is rich in patent and literature publications relating to "recombinant DNA" methodologies for the isolation, synthesis, purification and amplification of genetic materials for use in the transformation of selected host organisms. U.S. Pat. No. 4,237,224 to Cohen, et al., for example, relates to transformation of unicellular host organisms with "hybrid" viral or circular plasmid DNA which includes selected exogenous DNA sequences. The procedures of the Cohen, et al. patent first involve manufacture of a transformation vector by enzymatically cleaving viral or circular plasmid DNA to form linear DNA strands. Selected foreign ("exogenous" or "heterologous") DNA strands usually including sequences coding for desired product are prepared in linear form through use of similar enzymes. The linear viral or plasmid DNA is incubated with the foreign DNA in the presence of ligating enzymes capable of effecting a restoration process and "hybrid" vectors are formed which include the selected exogenous DNA segment "spliced" into the viral or circular DNA plasmid.

Transformation of compatible unicellular host organisms with the hybrid vector results in the formation of multiple copies of the exogenous DNA in the host cell population. In some instances, the desired result is simply the amplification of the foreign DNA and the "product" harvested is DNA. More frequently, the goal of transformation is the expression by the host cells of the exogenous DNA in the form of large scale synthesis of isolatable quantities of commercially significant protein or polypeptide fragments coded for by the foreign DNA. See also, e.g., U.S. Pat. Nos. 4,264,731 (to Shine), 4,273,875 (to Manis), 4,293,652 (to Cohen), and European Patent Application 093,619, published Nov. 9, 1983.

The development of specific DNA sequences for splicing into DNA vectors is accomplished by a variety of tech-

AM 27 006212
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

AM-ITC 00445964

5.547.933

3

niques, depending to a great deal on the degree of "foreignness" of the "donor" to the projected host and the size of the polypeptide to be expressed in the host. At the risk of over-simplification, it can be stated that three alternative principal methods can be employed: (1) the "isolation" of double-stranded DNA sequence from the genomic DNA of the donor; (2) the chemical manufacture of a DNA sequence providing a code for a polypeptide of interest; and (3) the in vitro synthesis of a double-stranded DNA sequence by enzymatic "reverse transcription" of mRNA isolated from donor cells. The last-mentioned methods which involve formation of a DNA "complement" of mRNA are generally referred to as "cDNA" methods.

Manufacture of DNA sequences is frequently the method of choice when the entire sequence of amino acid residues of the desired polypeptide product is known. DNA manufacturing procedures of co-owned, co-pending U.S. patent application Ser. No. 483,451, by Alton, et al., (filed Apr. 15, 1983 and corresponding to PCT U.S.83/00605, published Nov. 24, 1983 as WO83/04053), for example, provide a superior means for accomplishing such highly desirable results as: providing for the presence of alternate codons commonly found in genes which are highly expressed in the host organism selected for expression (e.g., providing yeast or *E. coli* "preference" codons); avoiding the presence of untranslated "intron" sequences (commonly present in mammalian genomic DNA sequences and mRNA transcripts thereof) which are not readily processed by procaryotic host cells; avoiding expression of undesired "leader" polypeptide sequences commonly coded for by genomic DNA and cDNA sequences but frequently not readily cleaved from the polypeptide of interest by bacterial or yeast host cells; providing for ready insertion of the DNA in convenient expression vectors in association with desired promoter/regulator and terminator sequences; and providing for ready construction of genes coding for polypeptide fragments and analogs of the desired polypeptides.

When the entire sequence of amino acid residues of the desired polypeptide is not known, direct manufacture of DNA sequences is not possible and isolation of DNA sequences coding for the polypeptide by a cDNA method becomes the method of choice despite the potential drawbacks in ease of assembly of expression vectors capable of providing high levels of microbial expression referred to above. Among the standard procedures for isolating cDNA sequences of interest is the Preparation of plasmid-borne cDNA "libraries" derived from reverse transcription of mRNA abundant in donor cells selected as responsible for high level expression of genes (e.g., libraries of cDNA derived from pituitary cells which express relatively large quantities of growth hormone products). Where substantial portions of the polypeptide's amino acid sequence are known, labelled, single-stranded DNA probe sequences duplicating a sequence putatively present in the "target" cDNA may be employed in DNA/DNA hybridization procedures carried out on cloned copies of the cDNA which have been denatured to single stranded form. [See, generally, the disclosure and discussions of the art provided in U.S. Pat. No. 4,394,443 to Weissman, et al. and the recent demonstrations of the use of long oligonucleotide hybridization probes reported in Wallace, et al., *Nuc.Acids Res.*, 6, pp. 3543-3557 (1979), and Reyes, et al., *P.N.A.S. (U.S.A.)*, 79, pp. 3270-3274 (1982), and Jaye, et al., *Nuc.Acids Res.*, 11, pp. 2325-2335 (1983). See also, U.S. Pat. No. 4,358,535 to Falkow, et al., relating to DNA/DNA hybridization procedures in effecting diagnosis; published European Patent Application Nos. 0070685 and 0070687 relating to light-

4

emitting labels on single stranded polynucleotide probes. Davis, et al., "A Manual for Genetic Engineering, Advanced Bacterial Genetics", Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. (1980) at pp. 55-58 and 174-176, relating to colony and plaque hybridization techniques; and, New England Nuclear (Boston, Mass.) brochures for "Gene Screen" Hybridization Transfer Membrane materials providing instruction manuals for the transfer and hybridization of DNA and RNA. Catalog No. NEF-972.]

Among the more significant recent advances in hybridization procedures for the screening of recombinant clones is the use of labelled mixed synthetic oligonucleotide probes, each of which is potentially the complete complement of a specific DNA sequence in the hybridization sample including a heterogenous mixture of single stranded DNAs or RNAs. These procedures are acknowledged to be especially useful in the detection of cDNA clones derived from sources which provide extremely low amounts of mRNA sequences for the polypeptide of interest. Briefly put, use of stringent hybridization conditions directed toward avoidance of non-specific binding can allow, e.g., for the autoradiographic visualization of a specific cDNA clone upon the event of hybridization of the target DNA to that single probe within the mixture which is its complete complement. See generally, Wallace, et al., *Nuc.Acids Res.*, 9, pp. 879-897 (1981); Suggs, et al. *P.N.A.S. (U.S.A.)*, 78, pp. 6613-6617 (1981); Choo, et al., *Nature*, 229, p. 178-180 (1982); Kurachi, et al., *P.N.A.S. (U.S.A.)*, 79, pp. 6461-6464 (1982); Ohkubo, et al., *P.N.A.S. (U.S.A.)*, 80, pp. 2196-2200 (1983); and Kornblihtt, et al. *P.N.A.S. (U.S.A.)*, 80, pp. 3218-3222 (1983). In general, the mixed probe procedures of Wallace, et al. (1981), supra, have been expanded upon by various workers to the point where reliable results have reportedly been obtained in a cDNA clone isolation using a 32-member mixed "pool" of 16-base-long (16-mer) oligonucleotide probes of uniformly, varying DNA sequences together with a single 11-mer to effect a two-site "positive" confirmation of the presence of cDNA of interest. See, Singer-Sam, et al., *P.N.A.S. (U.S.A.)*, 80, pp. 802-806 (1983).

The use of genomic DNA isolates is the least common of the three above-noted methods for developing specific DNA sequences for use in recombinant procedures. This is especially true in the area of recombinant procedures directed to securing microbial expression of mammalian polypeptides and is due, principally to the complexity of mammalian genomic DNA. Thus, while reliable procedures exist for developing phage-borne libraries of genomic DNA of human and other mammalian species origins [see, e.g., Lawn, et al. *Cell*, 15, pp. 1157-1174 (1978) relating to procedures for generating a human genomic library commonly referred to as the "Maniatis Library"; Karn, et al., *P.N.A.S. (U.S.A.)*, 77, pp. 5172-5176 (1980) relating to a human genomic library based on alternative restriction endonuclease fragmentation procedure; and Blattner, et al., *Science*, 196, pp. 161-169 (1977) describing construction of a bovine genomic library] there have been relatively few successful attempts at use of hybridization procedures in isolating genomic DNA in the absence of extensive foreknowledge of amino acid or DNA sequences. As one example, Fiddes, et al., *J. Mol. and App. Genetics*, 1, pp. 3-18 (1981) report the successful isolation of a gene coding for the alpha subunit of human pituitary glycoprotein hormones from the Maniatis Library through use of a "full length" probe including a complete 621 base pair fragment of a previously-isolated cDNA sequence for the alpha subunit. As another example, Das, et al., *P.N.A.S. (U.S.A.)*, 80, pp. 1531-1535 (1983) report isolation of human genomic clones

AM 27 006213
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

AM-ITC 00445965

5,547,933

5

for human HLA-DR using a 175 base pair synthetic oligonucleotide. Finally, Anderson, et al., *P.N.A.S. (U.S.A.)*, 80, pp. 6838-6842 (1983) report the isolation of genomic clone for bovine pancreatic trypsin inhibitor (BPTI) using a single probe 86 base pairs in length and constructed according to the known amino acid sequence of BPTI. The authors note a determination of poor prospects for isolating mRNA suitable for synthesis of a cDNA library due to apparent low levels of mRNA in initially targeted parotid gland and lung tissue sources and then address the prospects of success in probing a genomic library using a mixture of labeled probes, stating: "More generally, mixed-sequence oligodeoxynucleotide probes have been used to isolate protein genes of unknown sequence from cDNA libraries. Such probes are typically mixtures of 8-32 oligonucleotides, 14-17 nucleotides in length, representing every possible codon combination for a small stretch (5-6 residues) of amine acid sequence. Under stringent hybridization conditions that discriminate against incorrectly base-paired probes, these mixtures are capable of locating specific gene sequences in clone libraries of low-to-moderate complexity. Nevertheless, because of their short length and heterogeneity, mixed probes often lack the specificity required for probing sequences as complex as a mammalian genome. This makes such a method impractical for the isolation of mammalian protein genes when the corresponding mRNAs are unavailable." (Citations omitted).

There thus continues to exist a need in the art for improved methods for effecting the rapid and efficient isolation of cDNA clones in instances where little is known of the amine acid sequence of the polypeptide coded for and where "enriched" tissue sources of mRNA are not readily available for use in constructing cDNA libraries. Such improved methods would be especially useful if they were applicable to isolating mammalian genomic clones where sparse information is available concerning amine acid sequences of the polypeptide coded for by the gene sought.

B. Erythropoietin As A Polypeptide Of Interest

Erythropoiesis, the production of red blood cells, occurs continuously throughout the human life span to offset cell destruction. Erythropoiesis is a very precisely controlled physiological mechanism enabling sufficient numbers of red blood cells to be available in the blood for proper tissue oxygenation, but not so many that the cells would impede circulation. The formation of red blood cells occurs in the bone marrow and is under the control of the hormone, erythropoietin.

Erythropoietin, an acidic glycoprotein of approximately 34,000 dalton molecular weight, may occur in three forms: α , β and asialo. The α and β forms differ slightly in carbohydrate components, but have the same potency, biological activity and molecular weight. The asialo form is an α or β form with the terminal carbohydrate (sialic acid) removed. Erythropoietin is present in very low concentrations in plasma when the body is in a healthy state wherein tissues receive sufficient oxygenation from the existing number of erythrocytes. This normal low concentration is enough to stimulate replacement of red blood cells which are lost normally through aging.

The amount of erythropoietin in the circulation is increased under conditions of hypoxia when oxygen transport by blood cells in the circulation is reduced. Hypoxia may be caused by loss of large amounts of blood through hemorrhage, destruction of red blood cells by over-exposure to radiation, reduction in oxygen intake due to high altitudes or prolonged unconsciousness, or various forms of anemia. In response to tissues undergoing hypoxic stress, erythro-

6

poietin will increase red blood cell production by stimulating the conversion of primitive precursor cells in the bone marrow into proerythroblasts which subsequently mature, synthesize hemoglobin and are released into the circulation as red blood cells. When the number of red blood cells in circulation is greater than needed for normal tissue oxygen requirements, erythropoietin in circulation is decreased.

See generally, Testa, et al., *Exp.Hematol.*, 8(Supp. 8), 144-152 (1980); Tong, et al., *J.Biol.Chem.*, 256(24), 12666-12672 (1981); Goldwasser, *J.Cell.Physiol.*, 110(Supp. 1), 133-135 (1982); Finch, *Blood*, 60(6), 1241-1246 (1982); Sytowski, et al., *Exp.Hematol.*, 8(Supp. 8), 52-64 (1980); Naughton, *Ann.Clin.Lab.Sci.*, 13(5), 432-438 (1983); Weiss, et al., *Am.J.Vet.Res.*, 44(10), 1832-1835 (1983); Lappin, et al., *Exp.Hematol.*, 11(7), 661-666 (1983); Baciu, et al., *Ann.N.Y.Acad. Sci.*, 414, 66-72 (1983); Murphy, et al., *Acta.Haematologica Japonica*, 46(7), 1380-1396 (1983); Dessypris, et al., *Brit.J.Haematol.*, 56, 295-306 (1984); and, Emmanouel, et al., *Am.J.Physiol.*, 247 (1 Pt 2), F168-76 (1984).

Because erythropoietin is essential in the process of red blood cell formation, the hormone has potential useful application in both the diagnosis and the treatment of blood disorders characterized by low or defective red blood cell production. See, generally, Pennathur-Das, et al., *Blood*, 63(5), 1168-71 (1984) and Haddy, *Am.Jour.Ped.Hematol./Oncol.*, 4, 191-196, (1982) relating to erythropoietin in possible therapies for sickle cell disease, and Eschbach, et al., *J.Clin.Invest.*, 74(2), pp. 434-441, (1984), describing a therapeutic regimen for uremic sheep based on in vivo response to erythropoietin-rich plasma infusions and proposing a dosage of 10 U EPO/kg per day for 15-40 days as corrective of anemia of the type associated with chronic renal failure. See also, Krane, *Henry Ford Hosp.Med.J.*, 31(3), 177-181 (1983).

It has recently been estimated that the availability of erythropoietin in quantity would allow for treatment each year of anemias of 1,600,000 persons in the United States alone. See, e.g., Morrison, "Bioprocessing in Space—an Overview", pp. 557-571 in *The World Biotech Report 1984*, Volume 2:USA, (Online Publications, New York, N.Y. 1984). Recent studies have provided a basis for projection of efficacy of erythropoietin therapy in a variety of disease states, disorders and states of hematologic irregularity: Vedovato, et al., *Acta.Haematol.*, 71, 211-213 (1984) (beta-thalassemia); Vichinsky, et al., *J.Pediatr.*, 105(1), 15-21 (1984) (cystic fibrosis); Cotes, et al., *Brit.J.Obstet.Gynaecol.* 90(4), 304-311 (1983) (pregnancy, menstrual disorders); Haga, et al., *Acta.Pediatr.Scand.*, 72, 827-831 (1983) (early anemia of prematurity); Claus-Walker, et al., *Arch.Phys.Med.Rehabil.*, 65, 370-374 (1984) (spinal cord injury); Dunn, et al., *Eur.J.Appl.Physiol.*, 52, 178-182 (1984) (space flight); Miller, et al., *Brit.J.Haematol.*, 52, 545-590 (1982) (acute blood loss); Udupa, et al., *J.Lab.Clin.Med.*, 103(4), 574-580 and 581-588 (1984); and Lipschutz, et al., *Blood*, 63(3), 502-509 (1983) (aging); and Daniak, et al., *Cancer*, 51(6), 1101-1106 (1983) and Schwartz, et al., *Otolaryngol.*, 109, 269-272 (1983) (various neoplastic disease states accompanied by abnormal erythropoiesis).

Prior attempts to obtain erythropoietin in good yield from plasma or urine have proven relatively unsuccessful. Complicated and sophisticated laboratory techniques are necessary and generally result in the collection of very small amounts of impure and unstable extracts containing erythropoietin.

U.S. Pat. No. 3,033,753 describes a method for partially purifying erythropoietin from sheep blood plasma which

AM 27 006214
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

AM-ITC 00445966

5.547.933

7

provides low yields of a crude solid extract containing erythropoietin.

Initial attempts to isolate erythropoietin from urine yielded unstable, biologically inactive preparations of the hormone. U.S. Pat. No. 3,865,801 describes a method of stabilizing the biological activity of a crude substance containing erythropoietin recovered from urine. The resulting crude preparation containing erythropoietin purportedly retains 90% of erythropoietin activity, and is stable.

Another method of purifying human erythropoietin from urine of patients with aplastic anemia is described in Miyake, et al., *J. Biol. Chem.*, Vol. 252, No. 15 (Aug. 10, 1977), pp. 5558-5564. This seven-step procedure includes ion exchange chromatography, ethanol precipitation, gel filtration, and adsorption chromatography, and yields a pure erythropoietin preparation with a potency of 70,400 units/mg of protein in 21% yield.

U.S. Pat. No. 4,397,840 to Takezawa, et al. describes methods for preparing "an erythropoietin product" from healthy human urine specimens with weakly basic ion exchangers and proposes that the low molecular weight products obtained "have no inhibitory effects" against erythropoietin.

U.K. Patent Application No. 2,085,887 by Sugimoto, et al., published May 6, 1982, describes a process for the production of hybrid human lymphoblastoid cells, reporting production levels ranging from 3 to 420 Units of erythropoietin per ml of suspension of cells (distributed into the cultures after mammalian host propagation) containing up to 10^7 cells per ml. At the highest production levels asserted to have been obtained, the rate of erythropoietin production could be calculated to be from 40 to about 4,000 Units/ 10^9 cells/48 hours in in vitro culture following transfer of cells from in vivo propagation systems. (See also the equivalent U.S. Pat. No. 4,377,513.) Numerous proposals have been made for isolation of erythropoietin from tissue sources, including neoplastic cells, but the yields have been quite low. See, e.g., Jelkman, et al., *Expt. Hematol.*, 11(7), 581-588 (1983); Tambourin, et al., *P.N.A.S. (U.S.A.)*, 80, 6269-6273 (1983); Katsuoka, et al., *Gann*, 74, 534-541 (1983); Hagiwara, et al., *Blood*, 63(4), 828-835 (1984); and Choppin, et al., *Blood*, 64(2), 341-347 (1984).

Other isolation techniques utilized to obtain purified erythropoietin involve immunological procedures. A polyclonal, serum-derived antibody directed against erythropoietin is developed by injecting an animal, preferably a rat or rabbit, with human erythropoietin. The injected human erythropoietin is recognized as a foreign antigenic substance by the immune system of the animal and elicits production of antibodies against the antigen. Differing cells responding to stimulation by the antigenic substance produce and release into circulation antibodies slightly different from those produced by other responding cells. The antibody activity remains in the serum of the animal when its blood is extracted. While unpurified serum or antibody preparations purified as a serum immunoglobulin G fraction may then be used in assays to detect and complex with human erythropoietin, the materials suffer from a major disadvantage. This serum antibody, composed of all the different antibodies produced by individual cells, is polyclonal in nature and will complex with components in crude extracts other than erythropoietin alone.

Of interest to the background of the present invention are recent advances in the art of developing continuous cultures of cells capable of producing a single species of antibody which is specifically immunologically reactive with a single antigenic determinant of a selected antigen. See, generally,

8

Chisholm, *High Technology*, Vol. 3, No. 1, 57-63 (1983). Attempts have been made to employ cell fusion and hybridization techniques to develop "monoclonal" antibodies to erythropoietin and to employ these antibodies in the isolation and quantitative detection of human erythropoietin. As one example, a report of the successful development of mouse-mouse hybridoma cell lines secreting monoclonal antibodies to human erythropoietin appeared in abstract form in Lee-Huang, Abstract No. 1463 of *Fed. Proc.*, 41, 520 (1982). As another example, a detailed description of the preparation and use of a monoclonal, anti-erythropoietin antibody appears in Weiss, et al., *P.N.A.S. (U.S.A.)*, 79, 5465-5469 (1982). See also, Sasaki, *Biomed. Biochim. Acta.*, 42(11/12), S202-S206 (1983); Yanagawa, et al., *Blood*, 64(2), 357-364 (1984); Yanagawa, et al., *J. Biol. Chem.*, 259(5), 2707-2710 (1984); and U.S. Pat. No. 4,465,624.

Also of interest to the background of the invention are reports of the immunological activity of synthetic peptides which substantially duplicate the amino acid sequence extant in naturally-occurring proteins, glycoproteins and nucleoproteins. More specifically, relatively low molecular weight polypeptides have been shown to participate in immune reactions which are similar in duration and extent to the immune reactions of physiologically significant proteins such as viral antigens, polypeptide hormones, and the like. Included among the immune reactions of such polypeptides is the provocation of the formation of specific antibodies in immunologically active animals. See, e.g., Lerner, et al., *Cell*, 23, 309-310 (1981); Ross, et al., *Nature*, 294, 654-656 (1981); Walter, et al., *P.N.A.S. (U.S.A.)*, 77, 5197-5200 (1980); Lerner, et al., *P.N.A.S. (U.S.A.)*, 78, 3403-3407 (1981); Walter, et al., *P.N.A.S. (U.S.A.)*, 78, 4882-4886 (1981); Wong, et al., *P.N.A.S. (U.S.A.)*, 78, 7412-7416 (1981); Green, et al., *Cell*, 28, 477-487 (1982); Nigg, et al., *P.N.A.S. (U.S.A.)*, 79, 5322-5326 (1982); Baron, et al., *Cell*, 28, 395-404 (1982); Dreesman, et al., *Nature*, 295, 158-160 (1982); and Lerner, *Scientific American*, 248, No. 2, 66-74 (1983). See, also, Kaiser, et al., *Science*, 223, pp. 249-255 (1984) relating to biological and immunological activities of synthetic peptides which approximately share secondary structures of peptide hormones but may not share their primary structural conformation. The above studies relate, of course, to amino acid sequences of proteins other than erythropoietin, a substance for which no substantial amino acid sequence information has been published. In co-owned, co-pending U.S. patent application Ser. No. 463,724, filed Feb. 4, 1983, by J. Egrie, published Aug. 22, 1984 as European Patent Application No. 0 116 446, there is described a mouse-mouse hybridoma cell line (A.T.C.C. No. HB8209) which produces a highly specific monoclonal, anti-erythropoietin antibody which is also specifically immunoreactive with a polypeptide comprising the following sequence of amino acids: NH₂-Ala-Pro-Pro-Arg-Leu-Ile-Cys-Asp-Ser-Arg-Val-Leu-Glu-Arg-Tyr-Leu-Leu-Glu-Ala-Lys-COOH. The polypeptide sequence is one assigned to the first twenty amino acid residues of mature human erythropoietin isolated according to the method of Miyake, et al., *J. Biol. Chem.*, 252, 5558-5564 (1977) and upon which amino acid analysis was performed by the gas phase sequencer (Applied Biosystems, Inc.) according to the procedure of Hewick, M., et al., *J. Biol. Chem.*, 256, 7990-7997 (1981). See, also, Sue, et al., *Proc. Nat. Acad. Sci. (USA)*, 80, pp. 3651-3655 (1983) relating to development of polyclonal antibodies against a synthetic 26-mer based on a differing amino acid sequence, and Sytowski, et al., *J. Immunol. Methods*, 69, pp. 181-186 (1984).

While polyclonal and monoclonal antibodies as described above provide highly useful materials for use in immunoas-

AM 27 006215
CONFIDENTIAL
SUBJECT TO PROTECTIVE ORDER

AM-ITC 00445967