EXHIBIT H-11

11

AM670167860 AM-ITC 00952527

BECEIVED

OCT 03 1986

GROUP 120
IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Application of PRODUCTION OF ERYTHROPOIETIN"

FU-KUEN LIN

Serial No. 675,298

Filed: November 30, 1984

Filed: November 30, 1984

J. Geisser

Supplemental Information Disclosure Statement Under 37 C.F.R. \$1.97 Including Form PTO-1449

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In compliance with Title 37 of the Code Federal Regulations, Sections 1.97, et seq., applicant hereby files a Form PTO-1449 listing references not previously cited in prosecution of this application.

Reference Cl35 is an Abstract presented by applicant and his co-workers in 1984 and is believed to be pertinent, if at all, in the same manner as the Abstract cited as Reference "S" in the most recent Office Action. -

Reference C136 is a 1980 publication crossreferenced (as reference item No. 10) in the Lee-Huang
Reference "R" cited in the most recent Office Action. It is
believed to be relevant to patentability only in the context
of indicating the "non-enabling" nature of the Lee-Huang
P.N.A.S. reference. More specifically, the document
supports the conclusion that the text of the reference does
not disclose (directly or by cross-reference to another
publication) the procedures needed to obtain high purity
erythropoietin employed to produce monoclonal antibody
7A7.

got, 223

230

AM670167861

Reference B-15 is a PCT Application published May 9, 1985 which does not relate to erythropoietin but does reflect an opinion by its authors that genomic cloning to isolate a specific DNA sequence by means of short hybridization probes was "inventive" as of its 1984 filing date and, possibly, as of the earlier priority dates listed.

Reference B-16 is a PCT Application relating to erythropoietin DNA published June 19, 1986, nearly 29 months after the December 13, 1983 filing date of Applicant's first parent U.S. Patent Application. It is relevant, if at all, for its notation of three U.S. "priority" patent applications, all of which were filed after the present application. The earliest of these U.S. applications is asserted to have been filed nearly 12 months after Applicant's aforementioned first application describing successful cloning and expression of human and monkey erythropoietin genes.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BICKNELL

Michael F. Borun (Reg. No. 25,447)

A Member of the Firm Attorneys for Applicants Two First National Plaza Chicago, Illinois 60603 (312) 346-5750

Chicago, Illinois

-y-342 224

231