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EXHIBIT I Part 1 of 2



APPLICATION FOR UNITED STATES LETTERS PATENT

SPECIFICATION

FU-KUEN LIN "PRODUCTION OF ERYTHROPOIETIN"

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December 13, 1983, 582,165, filed February 21, 655,841, filed September 28, 1984

BACKGROUND

10 The present invention relates generally to the manipulation of genetic materials and, more particularly, to recombinant procedures making possible the production of polypeotides possessing part or all of the primary structural conformation and/or one or more of the biolo-15 gical properties of naturally-occurring erythropoietin.

A. Manipulation Of Genetic Materials

Genetic materials may be broadly defined as those chemical substances which program for and guide the 20 manufacture of constituents of cells and viruses and direct the responses of cells and viruses. A long chain polymeric substance known as deoxyribonucleic acid (DNA) comprises the genetic material of all living cells and viruses except for certain viruses which are programmed 25 by ribonucleic acids (RNA). The repeating units in DNA polymers are four different nucleotides, each of which consists of either a purine (adenine or guanine) or a pyrimidine (thymine or cytosine) bound to a deoxyribose Sugar to which a phosphate group is attached. Attachment 30 of nucleotides in linear polymeric form is by means of fusion of the 5' phosphate of one nucleotide to the 3' hydroxyl group of another. Functional DNA occurs in the form of stable couble stranded associations of single strands of nuclectides (known as deoxyoligonucleotides),

which associations occur by means of hydrogen bonding between purine and pyrimidine bases [i.e., "complementary" associations existing either between adenine (A) and thymine (T) or quanine (G) and cytosine 5 (C)]. By convention, nucleotides are referred to by the names of their constituent purine or pyrimidine bases, and the complementary associations of nucleotides in double stranded DNA (i.e., A-T and G-C) are referred to as "base pairs". Ribonucleic acid is a polynucleotide 10 comprising adenine, guanine, cytosine and uracil (III) rather than thymine, bound to ribose and a phosphate group.

Most briefly put, the programming function of DNA is generally effected through a process wherein spe-15 cific DNA nucleotide sequences (genes) are "transcribed" into relatively unstable messenger RNA (mRNA) polymers. The mRNA, in turn, serves as a template for the formation of structural, regulatory and catalytic proteins from amino acids. This mRNA "translation" process involves 20 the operations of small RNA strands (tRNA) which transport and align individual amino acids along the mRNA strand to allow for formation of polypeptides in proper amino acid sequences The mRNA "message", derived from DNA and providing the basis for the tRNA supply and 25 orientation of any given one of the twenty amino acids for polypeptide "expression", is in the form of triplet "codons" -- sequential groupings of three nucleotide bases. In one sense, the formation of a protein is the ultimate form of "expression" of the programmed genetic 30 message provided by the nucleotide sequence of a gene. "Promoter" DNA sequences usually "precede" a gene in a DNA polymer and provide a site for initiation of the transcription into mRNA. "Regulator" DNA sequences, also usually "upstream" of (i.e., preceding) a gene 35 in a given DNA polymer, bind proteins that determine the frequency (or rate) of transcriptional initiation.

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Collectively referred to as "promoter/regulator" or "control" DNA sequence, these sequences which precede a selected gene (or series of genes) in a functional DNA polymer cooperate to determine whether the transcription 5 (and eventual expression) of a gene will occur. DNA sequences which "follow" a gene in a DNA polymer and provide a signal for termination of the transcription into mRNA are referred to as transcription "terminator" sequences.

10 A focus of microbiological processing for the last decade has been the attempt to manufacture industrially and pharmaceutically significant substances using organisms which either do not initially have genetically coded information concerning the desired product 15 included in their DNA, or (in the case of mammalian cells in culture) do not ordinarily express a chromosomal gene at appreciable levels. Simply put, a gene that specifies the structure of a desired polypeptide product is either isolated from a "donor" organism or chemically synthe-20 sized and then stably introduced into another organism which is preferably a self-replicating unicellular organism such as bacteria, yeast or mammalian cells in culture. Once this is done, the existing machinery for gene expression in the "transformed" or "transfected" 25 microbial host cells operates to construct the desired product, using the exogenous DNA as a template for transcription of mRNA which is then translated into a continuous sequence of amino acid residues.

The art is rich in patent and literature publi-30 cations relating to "recombinant DNA" methodologies for the isolation, synthesis, purification and amplification of genetic materials for use in the transformation of selected nost organisms. U.S. Letters Patent No. 4,237,224 to Cohen, et al., for example, relates to 35 transformation of unicellular host organisms with _ "hybrid" viral or circular plasmid DNA which includes

selected exogenous DNA sequences. The procedures of the Cohen, et al. patent first involve manufacture of a transformation vector by enzymatically cleaving viral or circular plasmid DNA to form linear DNA strands.

- 5 Selected foreign ("exogenous" or "heterologous") DNA strands usually including sequences coding for desired product are prepared in linear form through use of similar enzymes. The linear viral or plasmid DNA is incubated with the foreign DNA in the presence of ligating 10 enzymes capable of effecting a restoration process and "hybrid" vectors are formed which include the selected exogenous DNA segment "spliced" into the viral or circular DNA plasmid.
- Transformation of compatible unicellular host 15 organisms with the hybrid vector results in the formation of multiple copies of the exogenous DNA in the host cell population. In some instances, the desired result is simply the amplification of the foreign DNA and the "product" harvested is DNA. More frequently, the goal of 20 transformation is the expression by the host cells of the exogenous DNA in the form of large scale synthesis of isolatable quantities of commercially significant protein or polypeptide fragments coded for by the foreign DNA. See also, e.g., U.S. Letters Patent Nos. 4,264,731 (to 25 Shine), 4,273,875 (to Manis), 4,293,652 (to Cohen), and European Patent Application 093,619, published November 9, 1983.

The development of specific DNA sequences for splicing into DNA vectors is accomplished by a variety of 30 techniques, depending to a great deal on the degree of "foreignness" of the "donor" to the projected host and the size of the polypeptide to be expressed in the host. At the risk of over-simplification, it can be stated that three alternative principal methods can be employed: (1) 35 the "isolation" of double-stranded DNA sequence from the genomic DNA of the donor; (2) the chemical manufacture of



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a DNA sequence providing a code for a polypeptide of interest; and (3) the in vitro synthesis of a doublestranded DNA sequence by enzymatic "reverse transcription" of mRNA isolated from donor cells. The 5 last-mentioned methods which involve formation of a DNA "complement" of mRNA are generally referred to as "cDNA" methods.

Manufacture of DNA sequences is frequently the method of choice when the entire sequence of amino acid 10 residues of the desired polypeptide product is known. DNA manufacturing procedures of co-owned, co-pending U.S. Patent Application Serial No. 483,451, by Alton, et al.. (filed April 15, 1983 and corresponding to PCT US83/00605, published November 24, 1983 as W083/04053), 15 for example, provide a superior means for accomplishing such highly desirable results as: providing for the presence of alternate codons commonly found in genes which are highly expressed in the host organism selected for expression (e.g., providing yeast or E.coli "preference" 20 codons); avoiding the presence of untranslated "intron" sequences (commonly present in mammalian genomic DNA sequences and mRNA transcripts thereof) which are not readily processed by procaryotic host cells; avoiding expression of undesired "leader" polypeptide sequences 25 commonly coded for by genomic DNA and cDNA sequences but frequently not readily cleaved from the colypeptide of interest by bacterial or yeast host cells; providing for ready insertion of the DNA in convenient expression vectors in association with desired promoter/regulator and 30 terminator sequences; and providing for ready construction of genes coding for polypeptide fragments and analogs of the desired polypeptides.

when the entire sequence of amino acid residues of the desired polypeptide is not known, direct manufac-35 ture of DNA sequences is not possible and isolation of DNA sequences coding for the polypeptide by a cDNA method



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becomes the method of choice despite the potential drawbacks in ease of assembly of expression vectors capable of providing high levels of microbial expression referred to above. Among the standard procedures for 5 isolating cDNA sequences of interest is the preparation of plasmid-borne cDNA "libraries" derived from reverse transcription of mRNA abundant in donor cells selected as responsible for high level expression of genes (e.g., libraries of cDNA derived from pituitary cells which 10 express relatively large quantities of growth hormone products). Where substantial portions of the polypeptide's amino acid sequence are known, labelled, singlestranded DNA probe sequences duplicating a sequence putatively present in the "target" cDNA may be employed 15 in DNA/DNA hybridization procedures carried out on cloned copies of the cDNA which have been denatured to single stranged form. [See, generally, the disclosure and discussions of the art provided in U.S. Patent No. 4,394,443 to weissman, et al. and the recent demonstra-20 tions of the use of long oligonucleotide hybridization probes reported in Wallace, et al., Nuc.Acids Res., 6, pp. 3543-3557 (1979), and Reyes, et al., <u>P.N.A.5</u>. (U.S.A.), 79, pp. 3270-3274 (1982), and Jaye, et al., Nuc.Acids Res., 11, pp. 2325-2335 (1983). See also, U.S. 25 Patent No. 4,358,535 to Falkow, et al., relating to DNA/DNA hybridization procedures in effecting diagnosis; published European Patent Application Nos. 0070685 and 0070687 relating to light-emitting labels on single stranded polynucleotide probes; Davis, et al., *A Manual 3D for Genetic Engineering, Advanced Bacterial Genetics", Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y. (1980) at pp. 55-58 and 174-176, relating to colony and plaque hybridization techniques; and, New England Nuclear (Boston, Mass.) brochures for ™Gene Screen™ Hybridization 35 Transfer Membrane materials providing instruction manuals for the transfer and hybridization of DNA and RNA, Catalog No. NEF-972.]



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Among the more signficant recent advances in hybridization procedures for the screening of recompinant clones is the use of labelled mixed synthetic oligonucleotide probes, each of which is potentially the 5 complete complement of a specific DNA sequence in the hybridization sample including a heterogenous mixture of single stranded DNAs or RNAs. These procedures are acknowledged to be especially useful in the detection of cDNA clones derived from sources which provide extremely 10 low amounts of mRNA sequences for the polypeptide of interest. Briefly put, use of stringent hybridization conditions directed toward avoidance of non-specific binding can allow, e.g., for the autoradiographic visualization of a specific cDNA clone upon the event of. 15 hybridization of the target DNA to that single probe within the mixture/which is its complete complement. See generally, wallace, et al., Nuc.Acids Res., 9, pp. 879-897 (1981); Suggs, et al. P.N.A.S. (U.S.A.), 78, pp. 6613-6617 (1981); Choo, et al., Nature, 299, pp. 178-180 20 (1982); Kurachi, et al., P.N.A.S. (U.S.A.), 79, pp. 6461-6464 (1982); Ohkubo, et al., P.N.A.S. (U.S.A.), 80, pp. 2196-2200 (1983); and Kornblintt, et al. P.N.A.S. (U.S.A.), 80, pp. 3218-3222 (1983). In general, the mixed probe procedures of wallace, et al. (1981), 25 supra, have been expanded upon by various workers to the point where reliable results have reportedly been obtained in a cDNA clone isolation using a 32-member mixed "pool" of 16-base-long (16-mer) eligonucleotide probes of uniformly, varying DNA sequences together with 30 a single li-mer to effect a two-site "positive" confirmation of the presence of cDNA of interest. See, Singer-Sam, et al., P.N.A.S. (U.S.A.), 80, pp. 802-806 (1983). The use of genomic DNA isolates is the least

35 common of the three above-noted methods for developing

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specific DNA sequences for use in recombinant procedures. This is especially true in the area of recombinant procedures directed to securing microbial expression of mammalian polypeptides and is due, principally to the 5 complexity of mammalian genomic DNA. Thus, while reliable procedures exist for developing phage-borne libraries of genomic DNA of human and other mammalian species origins [See, e.g., Lawn, et al. Cell, 15, pp. 1157-1174 (1978) relating to procedures for 10 generating a human genomic library commonly referred to as the "Maniatis Library"; Karn, et al., P.N.A.S. (U.S.A.), 77, pp. 5172-5176 (1980) relating to a human genomic library based on alternative restriction endonuclease fragmentation procedure; and Blattner, et al., 15 <u>Science</u>, <u>196</u>, pp. 161-169 (1977) describing construction of a bovine genomic library] there have been relatively few successful attempts at use of hybridization procedures in isolating genomic DNA in the absence of extensive foreknowledge of amino acid or DNA sequences. As 20 one example, Fiddes, et al., J.Mol. and App. Genetics, 1, co. 3-18 (1981) report the successful isolation of a gene coding for the alpha subunit of the human pituitary gly-C cocrotein hormones from the Maniatis Library through use of a "full length" probe including a complete 621 base 25 pair fragment of a previously-isolated cDNA sequence for the alpha subunit. As another example, Das, et al., P.N.A.S. (U.S.A.), 80, pp. 1531-1535 (1983) report isolation of human genomic clones for human HLA-DR using a 175 base pair synthetic oligonucleotide. Finally, Anderson, 30 et al., P.N.A.S. (U.S.A.), 80, pp. 6838-6842 (1983) report the isolation of genomic clone for bovine pancreatic tryosin inhibitor (BPTI) using a single probe 86 base pairs in length and constructed according to the known amino acid sequence of BPTI. The authors note a 35 determination of poor prospects for isolating mRNA suitable for synthesis of a cDNA library due to apparent

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low levels of mRNA in initially targeted parotid gland and lung tissue sources and then address the prospects of success in probing a genomic library using a mixture of labelled probes, stating: "More generally, mixed-5 sequence oligodeoxynucleotide probes have been used to isolate protein genes of unknown sequence from cDNA libraries. Such probes are typically mixtures of 8-32 oligonucleotides, 14-17 nucleotides in length, representing every possible codon compination for a small 10 stretch (5-6 residues) of amino abid sequence. Under stringent hybridization conditions that discriminate against incorrectly base-paired probes, these mixtures are capable of locating specific gene sequences in clone libraries of low-to-moderate complexity. Nevertheless, 15 because of their short length and heterogeneity, mixed probes often lack the specificity required for probing sequences as complex as a mammalian genome. This makes such a method impractical for the isolation of mammalian protein genes when the corresponding mRNAs are 20 unavailable. (Citations omitted).

There thus continues to exist a need in the art for improved methods for effecting the rapid and efficient isolation of cDNA clones in instances where little is known of the amino acid sequence of the polypeptide 25 coded for and where "enriched" tissue sources of mRNA are not readily available for use in constructing cDNA libraries. Such improved methods would be especially useful if they were applicable to isolating mammalian genomic clones where sparse information is available con-30 cerning amino acid sequences of the polypeptide coded for by the gene sought.

B. Erythropoietin As A Polypeptide Of Interest Erythropolesis, the production of red blood 35 cells, occurs continuously throughout the human life span to offset cell destruction. Erythropoiesis is a very



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precisely controlled physiological mechanism enabling sufficient numbers of red blood cells to be available in the blood for proper tissue oxygenation, but not so many that the cells would impede circulation. The formation 5 of red blood cells occurs in the bone marrow and is under the control of the hormone, erythropoietin.

Erythropoietin, an acidic glycoprotein of approximately 34,000 dalton molecular weight, may occur in three forms: α , β and asialo. The α and β forms 10 differ slightly in carbohydrate components, but have the same potency, biological activity and molecular weight. The asialo form is an a or 8 form with the terminal carbonydrate (sialic acid) removed. Erythropoietin is present in very low concentrations in plasma when the body 15 is in a healthy state wherein tissues receive sufficient oxygenation from the existing number of erythrocytes. This normal low concentration is enough to stimulate replacement of red blood cells which are lost normally through aging.

The amount of erythropoletin in the circulation 20 is increased under conditions of hypoxia when oxygen transport by blood cells in the circulation is reduced. Hypoxia may be caused by loss of large amounts of blood through hemorrhage, destruction of red blood cells by 25 over-exposure to radiation, reduction in oxygen intake due to high altitudes or prolonged unconsciousness, or various forms of anemia. In response to tissues undergoing hypoxic stress, erythropoietin will increase red blood cell production by stimulating the conversion 30 of primitive precursor cells in the bone marrow into proerythroblasts which subsequently mature, synthesize hemoglobin and are released into the circulation as red blood cells. When the number of red blood cells in circulation is greater than needed for normal tissue oxygen 35 requirements, erythropoietin in circulation is decreased.

See generally, Yesta, et al., Exp. Hematol., 8(Supp. 8), 144-152 (1980); Tong, et al., J.Biol.Chem.,

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256(24), 12666-12672 (1981); Goldwasser, J.Cell.Physiol., 110(Supp. 1), 133-135 (1982); Finch, Blood, 60(6), 1241-1246 (1982); Sytowski, et al., Experimenatol., 8(Supp. 1982) 8), 52-64 (1960: Naughton, Ann.Clin.Lab.Sci., 13(5), 5 432-438 (1983); Weiss, et al., Am.J. Vet. Res., 44(10),1832-1835 (1983); Lappin, et al., Exp. Hematol.. 11(7), 661-666 (1983); Baciu, et al., Ann.N.Y.Acad.Sci., 414, 66-72 (1983); Murphy, et al., Acta. Haematologica <u>Japonica</u>, <u>46(7)</u>, 1380-1396 (1983); Dessypris, et al.. 10 Brit.J. Haematol., 56, 295-306 (1984); and, Emmanouel, et al., Am.J.Physiol., 247 (1 Pt 2), F168-76 (1984). Because erythropoietin is essential in the process of red blood cell formation, the hormone has potential useful application in both the diagnosis and the -15 treatment of blood disorders characterized by low or defective red blood cell production. See, generally, Pennathur-Das, et al., 81000, 63(5), 1168-71 (1984) and Haddy, Am. Jour. Ped. Hematol. / Docol., 4, 191-196, (1982) relating to erythropoletin in possible therapies for 20 sickle cell disease, and Eschbach, et al. J.Clin.Invest. 74(2), pp. 434-441, (1984), describing a therapeutic regimen for uremic sheep based on in vivo response to erythropoletin-rich plasma infusions and proposing a dosage of 10 U EPO/kg per day for 15-40 days as correc-25 tive of anemia of the type associated with chronic renal failure. See also, Krane, Henry Ford Hosp. Med. J., 31(3), 177-181 (1983).

It has recently been estimated that the availability of erythropoietin in quantity would allow for 30 treatment each year of anemias of 1,600,000 persons in the United States alone. See, e.g., Morrison, "Bioprocessing in Space -- an Overview", pp. 557-571 in The World Biotech Report 1984, Volume 2:USA, (Online Publications, New York, N.Y. 1984). Recent studies have 35 provided a basis for projection of efficacy of erythro-

poletin therapy in a variety of disease states, disorders and states of hematologic irregularity: Vedovato, et al., Acta. Haematol, 71, 211-213 (1984) (beta-thalassemia); Vichinsky, et al., J.Pediatr.,

- 5 105(1), 15-21 (1984) (cystic fibrosis); Cotes, et al... Brit.J.Obstet.Gyneacol., 90(4), 304-311 (1983) (pregnancy, menstrual disorders); Haga, et al., Acta.Pediatr.Scand., 72, 827-831 (1983) (early anemia of prematurity); Claus-walker, et al.,
- 10 Arch.Phys.Med.Rehabil., 65, 370-374 (1984) (spinal cord injury); Dunn, et al., Eur.J.Appl.Physiol., 52, 178-182 (1984) (space flight); Miller, et al., Brit.J.Haematol., 52, 545-590 (1982) (acute blood loss); Udupa, et al., J.Lab.Clin.Med., 103(4), 574-580 and 581-588 (1984); and 15 Lipschitz, et al., <u>Blood</u>, <u>63(3)</u>, 502-509 (1983) (aging); and Dainiak, et al., Cancer, 51(6), 1101-1106 (1983) and Schwartz, et al., <u>Otolaryngol.</u>, <u>109</u>, 269-272 (1983) (various neoplastic disease states accompanied by abnormal erythropoiesis).
- Prior attempts to obtain erythropoietin in good yield from plasma or urine have proven relatively unsuccessful. Complicated and sophisticated laboratory techniques are necessary and generally result in the collection of very small amounts of impure and unstable 25 extracts containing erythropoietin.
 - U.S. Letters Patent No. 3,033,753 describes a method for partially purifying erythropoietin from sheep blood plasma which provides low yields of a crude solid extract containing erythropoietin.
- Initial attempts to isolate erythropoietin from 30 urine yielded unstable, biologically inactive preparations of the hormone. U.S. Letters Patent No. 3,865,801 describes a method of stabilizing the biological activity of a crude substance containing erythropoietin recovered 35 from urine. The resulting crude preparation containing erythropoietin purportedly retains 90% of erythropoietin activity, and is stable.

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Another method of purifying human erythropoietin from urine of patients with aplastic anemia is described in Miyake, et al., J.Biol.Chem., Vol. 252, No. 15 (August 10, 1977), pp. 5558-5564. This seven-step procedure 5 includes ion exchange chromatography, ethanol precipitation, gel filtration, and adsorption chromatography, and yields a pure erythropoietin preparation with a potency of 70,400 units/mg of protein in 21% yield.

U.S. Letters Patent No. 4,397,840 to Takezawa. 10 et al. describes methods for preparing *an erythropoletin product* from healthy human urine specimens with weakly basic ion exchangers and proposes that the low molecular weight products obtained "have no inhibitory effects" against erythropoietin.

15 U.K. Patent Application No. 2,085,887 by Sugimoto, et al., published May 6, 1982, describes a process for the production of hybrid human lymphoblastoid cells, reporting production levels ranging from 3 to 420 Units of erythropoietin per ml of suspension of cells 20 (distributed into the cultures after mammalian host prop: tion/containing up to 107 cells per ml. At the highest production levels asserted to have been obtained, the rate of erythropoietic production could be calculated to be from 40 to about 4,000 Units/10⁶ cells/48 hours in in 25 vitro culture following transfer of cells from in vivo propagation systems. (See also the equivalent U.S. Letters Patent No. 4,377,513.) Numerous proposals have been made for isolation of erythropoietin from tissue sources, including neoplastic cells, but the yields have 30 been quite low. See, e.g., Jelkman, et al., Expt.Hematol., 11(7), 581-588 (1983); Tambourin, et al., P.N.A.S. (U.S.A.), 80, 6269-6273 (1983); Katsuoka, et al., Gann, 74, 534-541 (1983); Hagiwara, et al., Blood, 63(4), 828-835 (1984); and Choppin, et al., Blood, 64(2), 35 341-347 (1984).

Other isolation techniques utilized to obtain putified erythropoietin involve immunological procedures.

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A polyclonal, serum-derived antibody directed against erythropoletin is developed by injecting an animal, preferably a rat or rabbit, with human erythropoietin. The injected human erythropoietin is recognized as a foreign 5 antigenic substance by the immune system of the animal and elicits production of antibodies against the antigen. Differing cells responding to stimulation by the antigenic substance produce and release into circulation antibodies slightly different from those produced by other 10 responding cells. The antibody activity remains in the serum of the animal when its blood is extracted. While unpurified serum or antibody preparations purified as a serum immunoglobulin G fraction may then be used in assays to detect and complex with human erythropoietin, 15 the materials suffer from a major disadvantage. This serum antibody, composed of all the different antibodies produced by individual cells, is polyclonal in nature and will complex with components in crude extracts other than erythropoietin alone.

Of interest to the background of the present 20 invention are recent advances in the art of developing continuous cultures of cells capable of producing a single species of antibody which is specifically immunologically reactive with a single antigenic determinant of 25 a selected antigen. See, generally, Chisholm, High Technology, Vol. 3, No. 1, 57-63 (1983). Attempts have been made to employ cell fusion and hybridization techniques to develop "monoclonal" antibodies to erythropoletin and to employ these antibodies in the isolation 30 and quantitative detection of human erythropoietin. As one example, a report of the successful development of mouse-mouse hybridoma cell lines secreting monoclonal antibodies to human erythropoletin appeared in abstract form in Lee-Huang, Abstract No. 1463 of Fed. Proc., 41, 35 520 (1982). As another example, a detailed description

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of the preparation and use of a monoclonal, antierythropoietin antibody appears in Weiss, et al., P.N.A.S. (U.S.A.), 79, 5465-5469 (1982). See also, Sasaki, <u>Biomed.Biochim.Acta.</u>, <u>42(11/12)</u>, S202-S206 5 (1983); Yanagawa, et al., <u>Blood</u>, <u>64(2)</u>, 357-364 (1984); Yanagawa, et al., J.Biol.Chem., 259(5), 2707-2710 (1984):

and U.S. Letters Patent No. 4,465,624. Also of interest to the background of the invention are reports of the immunological activity of synthe-10 tic peptides which substantially duplicate the amino acid sequence extant in naturally-occurring proteins, glycoproteins and nucleoproteins. More specifically, relatively low molecular weight polypeptides have been shown to participate in immune reactions which are simi-15 lar in duration and extent to the immune reactions of physiologically significant proteins such as viral antigens, polypeptide hormones, and the like. Included among the immune reactions of such polypeptides is the provocation of the formation of specific antibodies in 20 immunologically active animals. See, e.g., Lerner, et al., Cell, 23, 309-310 (1981); Ross, et al., Nature, 294, 654-656 (1981); Walter, et al., P.N.A.S. (U.S.A.), 77, 5197-5200 (1980); Lerner, et al., P.N.A.S. (U.S.A.), 78, 3403-3407 (1981); Walter, et al., P.N.A.S. (U.S.A.), 78, 25 4882-4886 (1981); Wong, et al., P.N.A.S. (U.S.A.), 78, 7412-7416 (1981); Green, et al. Cell, 28, 477-487 (1982); Nigg, et al., P.N.A.S. (U.S.A.), 79, 5322-5326 (1982); Baron, et al., Cell, 28, 395-404 (1982); Dreesman, et al., Nature, 295, 158-160 (1982); and Lerner, Scientific 30 American, 248, No. 2, 66-74 (1983). See, also, Kaiser, et al., Science, 223, pp. 249-255 (1984) relating to biological and immunological activities of synthetic peptides which approximately share secondary structures of pentide hormones but may not share their primary struc-35 tural conformation. The above studies relate, of course, to amino acid sequences of proteins other than erythro-

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poietin, a substance for which no substantial amino acid sequence information has been published. In co-owned, co-pending U.S. Patent Application Serial No. 463,724. filed February 4, 1983, by J. Egrie, published August 22, 5 1984 as European Patent Application No. 0 116 446, there is described a mouse-mouse hybridoma cell line (A.T.C.C. No. HB8209) which produces a highly specific monoclonal, anti-erythropoietin antibody which is also specifically immunoreactive with a polypeptide comprising 10 the following sequence of amino acids:

NH₂-Ala-Pro-Pro-Arg-Leu-Ile-Cys-Asp-Ser-Arg-Val-Leu-Glu-Arg-Tyr-Leu-Leu-Glu-Ala-Lys-COOH. The polypeptide sequence is one assigned to the first twenty amino acid residues of mature human erythropoietin 15 isolated according to the method of Miyake, et al., 3.8iol.Chem., 252, 5558-5564 (1977) and upon which amino acid analysis was performed by the gas phase sequencer (Applied Biosystems, Inc.) according to the procedure of

Hewick, M., et al., J.Siol.Chem., 256, 7990-7997 (1981). 20 See, also, Sue, et al., Proc. Nat. Acad. Sci. (USA), 80, pp. 3651-3655 (1983) relating to development of polyclonal antibodies against a synthetic 26-mer based on a differing amino acid sequence, and Sytowski, et al., J.Immunol. Methods, 69, pp.181-186 (1984).

25 While polyclonal and monoclonal antibodies as described above provide highly useful materials for use in immunoassays for detection and quantification of erythropoietin and can be useful in the affinity purification of erythropoietin, it appears unlikely that these 30 materials can readily provide for the large scale isolation of quantities of erythropoietin from mammalian sources sufficient for further analysis, clinical testing and potential wide-ranging therapeutic use of the substance in treatment of, e.g., chronic kidney disease wherein 35 diseased tissues fail to sustain production of erythro-

poletin. It is consequently projected in the art that

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the best prospects for fully characterizing mammalian erythropoietin and providing large quantities of it for potential diagnostic and clinical use involve successful application of recombinant procedures to effect large 5 scale microbial synthesis of the compound.

While substantial efforts appear to have been made in attempted isolation of DNA sequences coding for human and other mammalian species erythropoietin, none appear to have been successful. This is due principally 10 to the scarcity of tissue sources, especially human tissue sources, enriched in mRNA such as would allow for construction of a cDNA library from which a DNA sequence coding for erythropoietin might be isolated by conventional techniques. Further, so little is known of the 15 continuous sequence of amino acid residues of erythropoietin that it is not possible to construct, e.g., long polynucleotide probes readily capable of reliable use in DNA/DNA hybridization screening of cDNA and especially genomic DNA libraries. Illustratively, the twenty amino 20 acid sequence employed to generate the above-named monoclonal antibody produced by A.T.C.C. No. HB8209 does not admit to the construction of an unambiguous, 60 base oligonucleotide probe in the manner described by Anderson, et al., supra. It is estimated that the human 25 gene for erythropoietin may appear as a "single copy gene" within the human genome and, in any event, the genetic material coding for human erythropoietin is likely to constitute less than 0.00005% of total human genomic DNA which would be present in a genomic library. 30 To date, the most successful of known reported

attempts at recombinant-related methods to provide DNA sequences suitable for use in microbial expression of isolatable quantities of mammalian erythropoietin have fallen far short of the goal. As an example, Farber, et



35 al. Exp. Hematol., 11. Supp. 14, Abstract 101 (1983) report the extraction of mRNA from kidney tissues of

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phenylhydrazine-treated baboons and the injection of the mRNA into Xenopus laevis occytes with the rather transitory result of in vitro production of a mixture of "translation products" which included among them 5 displaying biological properties of erythropoietin. More recently, Farber, et al., Blood, 62, No. 5, Supp. No. 1, Abstract 392, at page 122a (1983) reported the in vitro translation of human kidney mRNA by frog occytes. The resultant translation product mixture was estimated to 10 include on the order of 220 mU of a translation product having the activity of erythropoletin per microgram of injected mRNA. While such levels of in vitro translation of exogenous mRNA coding for erythropoietin were acknowledged to be quite low (compared even to the prior 15 reported levels of baboon mRNA translation into the sought-for product) it was held that the results confirm the human kidney as a site of erythropoietin expression, allowing for the construction of an enriched human kidney cDNA library from which the desired gene might be iso-20 lated. [See also, Farber, Clin.Res., 31(4), 769A (1983).]

Since the filing of U.S. Patent Application Serial Nos. 561,024 and 582,185, there has appeared a single report of the cloning and expression of what is 25 asserted to have been human erythropoietin cDNA in E.coli. Briefly put, a number of cDNA clones were inserted into E.coli plasmids and B-lactamase fusion products were noted to be immunoreactive with a monoclonal antibody to an unspecified "epitope" of human erythro-30 poietin. See, Lee-Huang, Proc. Nat. Acad. Sci. (USA). 81, pp. 2708-2712 (1984).

BRIEF SUMMARY

The present invention provides, for the first 35 time, novel purified and isolated polypeptide products

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having part or all of the primary structural conformation (i.e., continuous sequence of amino acid residues) and one or more of the biological properties (e.g., immunological properties and <u>in vivo</u> and <u>in vitro</u> biological 5 activity) of naturally-occurring erythropoietin, including allelic variants thereof. These polypeptides are also uniquely characterized by being the product of procaryotic or eucaryotic host expression (e.g., by bacterial, yeast and mammalian cells in culture) of exoge-10 nous DNA sequences obtained by genomic or cDNA cloning or by gene synthesis. Products of microbial expression in vertebrate (e.g., mammalian and avian) cells may be further characterized by freedom from association with human proteins or other contaminants which may be assort 15 clated with erythropoletin in its natural mammalian cellular environment or in extracellular fluids such as plasma or urine. The products of typical yeast (e.g., Saccaromyces cerevisiae) or procaryote (e.g., E.coli) host cells are free of association with any mammalian 20 proteins. Depending upon the host employed, palypeptides of the invention may be glycosylated with mammalian or other eucaryotic carbonydrates or may be nonglycosylated. Polypeptides of the invention may also include an initial methionine amino acid residue (at 25 position -1).

Novel glycoprotein products of the invention include those having a primary structural conformation sufficiently duplicative of that of a naturally-occurring (e.g., human) erythropoietin to allow possession of one 30 or more of the biological properties thereof and having an average carbohydrate composition which differs from that of naturally-occurring (e.g., human) erythropoletin. vertebrate (e.g., COS-1 and CHO) cells provided by the present invention comprise the first cells ever 35 available which can be propagated in vitro continuously and which upon growth in culture are capable of producing

in the medium of their growth in excess of 1000(preferably in excess of 500H and most preferably in excess of 1,000 to 5,000u) of erythropoletin per 10^6 cells in 48 hours as determined by radicimmunoassay.

Also provided by the present invention are synthetic polypeptides wholly or partially duplicative of continuous sequences of erythropoietin amino acid residues which are herein for the first time elucidated. These sequences, by virtue of sharing primary, secondary 10 or tertiary structural and conformational characteristics with naturally-occurring erythropoietin may possess biological activity and/or immunological properties in common with the naturally-occurring product such that they may be employed as biologically active or immunole-15 gical substitutes for erythropoletin in therapeutic and immunological processes. Correspondingly provided are monoclonal and polyclonal antibodies generated by standard means which are immunoreactive with such polypeptides and, preferably, also immunoreactive with 20 naturally-occurring erythropoietin.

Illustrating the present invention are cloned DNA sequences of monkey and human species origins and polypeptide sequences suitably deduced therefrom which represent, respectively, the primary structural confor-25 mation of erythropoletins of monkey and human species origins.

Also provided by the present invention are novel biologically functional viral and circular plasmid DNA vectors incorporating DNA sequences of the invention and 30 microbial (e.g., bacterial, yeast and mammalian cell) host organisms stably transformed or transfected with such vectors. Correspondingly provided by the invention are novel methods for the production of useful polypeptides comprising cultured growth of such transformed or 35 transfected microbial hosts under conditions facilitative of large scale expression of the exogenous, vector-borne

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DNA sequences and isolation of the desired polypeptides from the growth medium, cellular lysates or cellular membrane fractions.

Isolation and purification of microbially 5 expressed polypeptides provided by the invention may be by conventional means including, e.g., preparative chromatographic separations and immunological separations involving monoclonal and/or polyclonal antibody prepara-

10 Having herein elucidated the sequence of amino acid residues of erythropoletin, the present invention provides for the total and/or partial manfucture of DNA sequences coding for erythropoietin and including such advantageous characteristics as incorporation of codons 15 $^{\rm H}{\rm preferred^{\rm H}}$ for expression by selected non-mammalian. hosts, provision of sites for cleavage by restriction endonuclease enzymes and provision of additional initial, terminal or intermediate DNA sequences which facilitate construction of readily expressed vectors. Corres-20 pondingly, the present invention provides for manufacture (and development by site specific mutagenesis of cDNA and genomic DNA) of DNA sequences coding for microbial expression of polypeptide analogs or derivatives of erythropoietin which differ from naturally-occurring 25 forms in terms of the identity or location of one or more amino acid residues (i.e., deletion analogs containing less than all of the residues specified for EPO and/or substitution analogs wherein one or more residues specified are replaced by other residues and/or addition 30 analogs wherein one or more amino acid residues is added to a terminal or medial portion of the polypeptide); and which share some or all the properties of naturally-

Novel TNE sequences of the invention include all 35 sequences useful in securing expression in procesyatic or eucaryotic host cells of polypeptide products having at

occurring forms.

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least a part of the primary structural conformation and one or more of the biological properties of erythropoletin which are comprehended by: (a) the DNA sequences set out in replica v and VI herein or their complementary 5 strands; $(\hat{\mathbf{b}})$ DNA sequences which hybridize (under hybridization conditions such as illustrated herein or more stringent conditions) to DNA sequences defined in (a) or fragments thereof; and (c) DNA sequences which, but for the degeneracy of the genetic code, would hybridize to 10 DNA sequences defined in (a) and (b) above. Specifically comprehended in part (b) are genomic DNA sequences encoding allelic variant forms of monkey and human erythropoletin and/or encoding other mammalian species of erythropoletin. Specifically comprehended by part (c-) 15 are manufactured DNA sequences encoding EPO, EPO fragments and EPO analogs which DNA sequences may incorporate codons facilitating translation of messenger RNA in non-vertebrat= hosts.

Comprehended by the present invention is that 20 class of polypeptides coded for by portions of the DNA complement to the top strand human genomic DNA sequence of toole vi herein, i.e., "complementary inverted proteins" as described by Tramontano, et al., Nucleic Acids Research, 12, pp. 5049-5059 (1984).

25 Also comprehended by the invention are pharmaceutical compositions comprising effective amounts of polypeptide products of the invention together with suitable diluents, adjuvants and/or carriers which allow for provision of erythropoietin therapy, especially in 30 the treatment of anemic disease states and most especially such anemic states as attend chronic renal failure.

Polypeptide products of the invention may be "labelled" by covalent association with a detectable 35 marker substance (e.g., radiolabelled with ^{125}I) to provide reagents useful in detection and quantification of

erythropoietin in solid tissue and fluid samples such as blood or urine. DNA products of the invention may also be labelled with detectable markers (such as radiolabels and non-isotopic labels such as biotin) and employed in 5 DNA hybridization processes to locate the erythropoletin gene position and/or the position of any related gene family in the human, monkey and other mammalian species chromosomal map. They can also be used for identifying the erythropoietin gene disorders at the DNA level and 10 used as gene markers for identifying neighboring genes and their disorders.

As hereinafter described in detail, the present invention further provides significant improvements in methods for detection of a specific single stranded poly-15 nucleotide of unknown sequence in a heterogeneous cellular or viral sample including multiple single-stranded polynucleotides where

- (a) a mixture of labelled single-stranded polynucleotide probes is prepared having uniformly varying 20 sequences of bases, each of said probes being potentially specifically complementary to a sequence of bases which is putatively unique to the polynucleotide to be detected.
 - (b) the sample is fixed to a solid substrate.
- 25 (c) the substrate having the sample fixed thereto is treated to diminish further binding of polynucleotides thereto except by way of hybridization to polynucleotides in said sample,
- (d) the treated substrate having the sample 30 fixed thereto is transitorily contacted with said mixture of labelled probes under conditions facilitative of hybridization only between totally complementary polynucleotides, and,
- (a) the specific polynucleotide is detected by 35 monitoring for the presence of a hybridization reaction between it and a totally complementary probe within said

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mixture of labelled probes, as evidenced by the presence of a higher density of labelled material on the substrate at the locus of the specific polynucleotide in comparison to a background density of labelled material resulting 5 from non-specific binding of labelled probes to the substrate.

The procedures are especially effective in situations dictating use of 64, 128, 256, 512, 1024 or more mixed polynucleotide probes having a length of 17 to 10 20 bases in DNA/DNA or RNA/RNA or DNA/RNA hybridizations.

As described infra, the above-noted improved procedures have illustratively allowed for the identification of cDNA clones coding for erythropoletin of monkey species origins within a library prepared from _ 15 anemic monkey kidney cell mRNA. More specifically, a mixture of 128 uniformly varying 20-mer probes based on amino acid sequence information derived from sequencing fractions of human erythropoietin was employed in colony hybridization procedures to identify seven "positive" 20 erythropoietin cONA clones within a total of 200,000 colonies. Even more remarkably, practice of the improved procedures of the invention have allowed for the rapid isolation of three positive clones from within a screening of 1,500,000 phage plaques constituting a human 25 genomic library. This was accomplished through use of the above-noted mixture of 128 20-mer probes together with a second set of 128 17-mer probes based on amino acid analysis of a different continuous sequence of human erythropoietin.

The above-noted illustrative procedures consti-30 tute the first known instance of the use of multiple mixed oligonucleotide probes in DNA/DNA hybridization processes directed toward isolation of mammalian genomic clones and the first known instance of the use of a mix-35 ture of more than 32 oligonucleotide probes in the isolation of cDNA clones.

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Numerous aspects and advantages of the invention will be apparent to those skilled in the art upon consideration of the following detailed description which provides illustrations of the practice of the invention in its presently preferred embodiments.

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DETAILED DESCRIPTION

According to the present invention, DNA 10 sequences encoding part or all of the polypeptide sequence of human and monkey species erythropoietin (hereafter, at times, ${}^{\mathrm{M}}\mathsf{EP0}^{\mathrm{M}}$) have been isolated and characterized. Further, the monkey and human origin DNA has been made the subject of eucaryotic and procaryotic 15 expression providing isolatable quantities of polypeptides displaying biological (e.g., immunological) properties of naturally-occurring EPO as well as both in vivo and in vitro biological activities of EPO.

The DNA of monkey species origins was isolated 20 from a cDNA library constructed with mRNA derived from kidney tissue of a monkey in a chemically induced anemic state and whose serum was immunologically determined to include high levels of EPO compared to normal monkey serum. The isolation of the desired cDNA clones con-25 taining EPO encoding DNA was accomplished through use of DNA/DNA colony hybridization employing a pool of 128 mixed, radiolabelled, 20-mer oligonucleotide probes and involved the rapid screening of 200,000 colonies. Design of the oligonucleotide probes was based on amino acid 30 sequence information provided by enzymatic fragmentation and sequencing a small sample of human EPO.

The DNA of human species origins was isolated from a human genomic DNA library. The isolation of clones containing EPG-encoding DNA was accomplished 35 through DNA/DNA plaque hybridization employing the abovenoted pool of 129 mixed 20-mer oligonucleotide probes and

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a second pool of 128 radiolabelled 17-mer/probes whose sequences were based on amino acids sequence information obtained from a different enzymatic human EPO fragment.

Positive colonies and plaques were verified by 5 means of dideoxy sequencing of clonal DNA using a subset of 16 sequences within the pool of 20-mer probes and selected clones were subjected to nucleotide sequence analysis resulting in deduction of primary structural conformation of the EPO polypeptides encoded thereby. 10 The deduced polypeptide sequences displayed a high degree of homology to each other and to a partial sequence generated by amino acid analysis of human EPO fragments.

. A selected positive monkey cDNA clone and a selected positive human genomic clone were each inserted 15 in a "shuttle" DNA vector which was amplified in E.coli and employed to transfect mammalian cells in culture. Cultured growth of transfected host cells resulted in culture medium supernatant preparations estimated to contain as much as 3000 mU of EPO per ml of culture fluid.

The following examples are presented by way of illustration of the invention and are specifically directed to procedures carried out prior to identification of EPO encoding monkey cDNA clones and human genomic clones, to procedures resulting in such iden-25 tification, and to the sequencing, development of expression systems and immunological verification of EPO expression in such systems.

More particularly, Example 1 is directed to amino acid sequencing of human EPO fragments and con-30 struction of mixtures of radiolabelled probes based on the results of this sequencing. Example 2 is generally directed to procedures involved in the identification of positive monkey cDNA clones and thus provides information concerning animal treatment and preliminary radioim-35 munoassay (RIA) analysis of animal sera. Example 3 is

directed to the preparation of the cDNA library, colony

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hybridization screening and verification of positive clones. DNA sequencing of a positive cDNA clone and the generation of monkey EPO polypeptide primary structural conformation (amino acid Sequence) information. Example 5 4 is directed to procedures involved in the identification of positive human genomic clones and thus provides information concerning the source of the genomic library, plaque hybridization procedures and verification of positive clones. Example 5 is directed to DNA 10 sequencing of a positive genomic clone and the generation of human EPO polypeptide amino acid sequence information including a comparison thereof to the monkey EPO sequence information. Example 6 is directed to procedures for construction of a vector incorporating EPO-encoding DNA 15 derived from a positive monkey cDNA clone, the use of the vector for transfection of COS+1 cells and cultured growth of the transfected cells. Example 7 is directed to procedures for construction of a vector incorporating EPO-encoding DNA derived from a positive human genomic 20 clone, the use of the vector for transfection of COS-1 cells and the cultured growth of the transfected cells. Example 8 is directed to immunoassay procedures performed on media supernatants obtained from the cultured growth of transfected cells according to anahole 6 and 7. 25 Example 9 is directed to in vitro and in vivo biological activity of microbially expressed EPO of Examples 6 and 7.

Example 10 is directed to a development of mammalian host expression systems for monkey species EPO 30 cDNA and human species genomic DNA involving Chinese hamster ovary ("CHO") cells and to the immunological and biological activities of products of these expression systems as well as characterization of such products. Example 11 is directed to the preparation of manufactured 35 genes encoding human species EPO and EPO analogs, which genes include a number of preference codons for

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expression in E.coli and yeast host cells, and to expression systems based thereon. Example 12 relates to the immunological and biological activity profiles of expression products of the systems of Example 11.

EXAMPLE 1

A. Human EPO Fragment Amino Acid Sequencing

Human EPO was isolated from urine and subjected 10 to tryptic digestion resulting in the development and isolation of 17 discrete fragments in quantities approximating 100-150 picomoles.

Fragments were arbitrarily assigned numbers and were analyzed for amino acid sequence by microsequence. 15 analysis using a gas phase sequencer (Applied Biosystems) to provide the sequence information set out in Table I, below, wherein single letter codes are employed and "x" designates a residue which was not unambiguously deter-

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Sequence Analysis Result

T48	A-P-P-R
T4b	G+K-L-K
19	A-L-G-A-Q-K
T13	V-L-E-R
T16	A-V-S-G-L-R
T18	L-F-R
T21	K-L-F-R
T25	Y-L-L-E-A-K
T26a	L-I-C-D-S-R
T26b	L-Y-T-G-E-A-C-R
T27	T-I-T-A-D-T-F-R
T28	E-A-I-S-P-P-D-A-A-M-A-A-P-L-
T30	E-A-E-X-I-T-T-G-X-A-E-H-X-S-
	N-E-X-I-T-V-P
T31	V-Y-5-N-F-L-R
T33	S-L-T-T-L-L-R
T35	V-N-F-Y-A-W-K
T38	G-Q-A-L-L-Y-X-S-S-Q-P-W-
	E-P-L-Q-L-H-V-D-K

B. Design and Construction of Oligonucleotide Probe Mixtures

The amino acid sequences set out in Table I were reviewed in the context of the degeneracy of the genetic 5 code for the purpose of ascertaining whether mixed probe procedures could be applied to DNA/DNA hybridization procedures on cDNA and/or genomic DNA libraries. This analysis revealed that within Fragment No. T35 there existed a series of 7 amino acid residues

- 10 (Val-Asn-Phe-Tyr-Ala-Trp-Lys) which could be uniquely characterized as encoded for by one of 128 possible DNA sequences spanning 20 base pairs. A first set of 128 20-mer oligonucleotides was therefore synthesized by Standard phosphoamidite methods (See, e.g., Beaucage, et
- 15 al., <u>Tetrahedron Letters</u>, <u>22</u>, pp. 1859-1862 (1981) on a solid support according to the sequence set out in Table II, below.

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Further analysis revealed that within fragment No. T38 there existed a series of 6 amino acid residues (Gln-Pro-Trp-Glu-Pro-Leu) on the basis of which there could be prepared a pool of 128 mixed olignucleotide 30 17-mer probes as set out in Table III, below.

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Oligonucleotide probes were labelled at the 5' end with gamma = 32 P-ATP, 7500-8000 Ci/mmole (ICN) using Ta polynucleotide kinase (NEN).

EXAMPLE 2

A. Monkey Treatment Procedures and Ala Analysis Female Cynomolgus monkeys Macaca fascicularias (2.5-3 kg, 1.5-2 years old) were treated subcutaneously 10 with a pH 7.0 solution of phenylhydrazine hydrochloride at a dosage level of 12.5 mg/kg on days 1, 3 and 5. The hematocrit was monitored prior to each injection. On day 7, or whenever the hematocrit level fell below 25% of the initial level, serum and kidneys were harvested after

15 administration of 25 mg/kg doses of ketamine hydroch-loride. Harvested materials were immediately frozen in liquid mitrogen and stored at -70.0.

B. RIA for EPO

20 Radioimmunoassay procedures applied for quantitative detection of EPO in samples were conducted according to the following procedures:

An erythropoietin standard or unknown sample was incubated together with antiserum for two hours at 37.C. 25 After the two hour incubation, the sample tubes were cooled on ice, $\frac{125}{1-labelled}$ erythropoletin was added, and the tubes were incubated at O.C for at least 15 more hours. Each assay tube contained 500 µl of incubation mixture consisting of 50 µl of diluted immune sera. 30 10.000 cpm of 1251-erythropoietin, 5 ul trasvlol and 0-250 pl of either EPO standard or unknown sample, with PBS containing 0.1% 95A making up the remaining volume. The antiserum used was the second test bleed of a rabbit

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immunized with a 1% pure preparation of human urinary erythropoietin. The final antiserum dilution on the assay was adjusted so that the antibody-bound $^{125}\mathrm{I}_{-\text{EPO}}$ did not exceed 10-20% of the input total counts. In 5 general, this corresponded to a final antiserum dilution of from 1:50,000 to 1:100,000.

The antibody-bound \$125I-erythropoletin was precipitated by the addition of 150 μ l Staph A. After a 40 min. incubation, the samples were centrifuged and the 10 pellets were washed two times with 0.75 ml 10 mM Tris-HCl pH 8.2 containing 0.15M NaCl, 2mM EDTA, and 0.05% Triton X-100. The washed pellets were counted in a gamma counter to determine the percent of \$125I_erythropoietin bound. Counts bound by pre-immune sera were subtracted 15 from all final values to correct for nonspecific precipitation. The erythropoietin content of the unknown samples was determined by comparison to the standard CUTVE.

The above procedure was applied to monkey serum 20 obtained in Part A, above, as well as to the untreated monkey serum. Normal serum levels were assayed to contain approximately 36 mU/ml while treated monkey serum contained from 1900 to 1700 mu/ml.

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EXAMPLE 3

A. Monkey cDNA Library Construction

Messenger RNA was isolated from normal and anemic monkey kidneys by the quanidinium thiocyanate proce-30 dure of Chirgwin, et al., Biochemistry, 18, p. 5294 (1979) and poly (A)* mRNA was purified by two runs of oligo(dT)-cellulose column chromatography as described at pp. 197-198 in Maniatis, et al., "Molecular Cloning, A Laboratory Manual* (Cold Springs Harbor Laboratory, Cold 35 Springs/ Harbor, N.Y., 1982). The cONA library was constructed according to a modification of the general pro-



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cedures of Okayama, et al., Mol. and Cell.Biol., 2, pp. 161-170 (1982). The key features of the presently preferred procedures were as follows: (1) pUC8 was used as the sole vector, cut with $\underline{\textit{Pst}}$ I and then tailed with 5 oligo dT of 60-80 bases in length; (2) HincII digestion was used to remove the oligo dT tail from one end of the vector; (3) first strand synthesis and oligo dG tailing was carried out according to the published procedure; (\underline{a}) BamHI digestion was employed to remove the oligo dG tail 10 from one end of the vector; and (5) replacement of the RNA strand by DNA was in the presence of two linkers [GATCTAAAGACCGTCCCCCCC and ACGGTCTTTA] in a three-fold molar excess over the oligo dG tailed vector.

15 B. Colony Hybridization Procedures For Screening Monkey cDNA Library

Transformed E.coli were spread out at a density of 9000 colonies per 10×10 cm plate on nutrient plates containing 50 micrograms/ml Ampicillin. GeneScreen 20 filters (New England Nuclear Catalog No. NEF-972) were pre-wet on a BHI-CAM plate (Bacto brain heart infusion 37 g/L, Casamino acids 2 g/L and agar 15 g/L, containing 500 micrograms/ml Chloramphenicol) and were used to lift the colonies off the plate. The colonies were grown in the 25 same medium for 12 hours or longer to amplify the plasmid copy numbers. The amplified colonies (colony side up) were treated by serially placing the filters over 2 pieces of Whatman 3 MM paper saturated with each of the following solutions:

/(1) 50 mm glucose - 25 mm Tris-HCl (pH 8.0) -10 mm EDTA (pH 8.0) for five minutes;

(2) 0.5 M NaDH for ten minutes; and

(3) 1.0 M Tris-HCl (pH 7.5) for three minutes.

The filters were then air dried in a vacuum over

35 at 80°C for two hours.

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The filters were then subjected to Proteinase K

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digestion through treatment with a solution containing 50 micrograms/ml of the protease enzyme in Buffer K [0.1 M Tris-HC1 (pH'8.0) - 0.15M NaC1 - 10 mm EDTA (pH 8.2) -0.2% SDS]. Specifically, 5 ml of the solution was added 5 to each filter and the digestion was allowed to proceed at 55°C for 30 minutes, after which the solution was removed.

The filters were then treated with 4 ml of a prehybridization buffer (5 x SSPE - 0.5% SDS - 100 10 micrograms/ml SS $\underline{E.coli}$ DNA = 5 x BFP). The prehybridization treatment was carried out at 55°C, generally for 4 hours or longer, after which the prehybridization buffer was removed.

The hybridization process was carried out in the 15 following manner. To each filter was added 3 ml of hybridization buffer (5 x SSPE - 0.5% SDS - 100 micrograms/ml yeast tRNA) containing 0.025 picomoles/of each of the 128 probe sequences of Table II (the total mixture being designated the EPV mixture) and the filters 20 were maintained at 48°C for 20 hours. This temperature was 2°C less than the lowest of the calculated dissociation temperatures (Td) determined for any of the pro-

Following hybridization, the filters were washed 25 three times for ten minutes on a shaker with 6 x 550 -0.1% SDS at room temperature and washed two to three times with 6 \times SSC - 1% SDS at the hybridization temperature (48°C).

Autoradiography of the filters revealed seven 30 positive clones among the 200,000 colonies screened. Initial sequence analysis of one of the putative monkey cDNA clones (designated clone 83) was performed for verification purposes by a modification of the procedure of Wallace, et al., Gene, 16, pp. 21-26 (1981). 35 driefly, plasmid DNA from monkey cDNA clone 83 was linearized by digestion with EcoRI and denatured by

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heating in a boiling water bath. The nucleotide sequence was determined by the dideoxy method of Sanger, et al., P.N.A.S. (U.S.A.), 74, pp. 5463-5467 (1977). A subset of the EPV mixture of probes consisting of 16 sequences was 5 Used as a primer for the sequencing reactions.

C. Monkey EPO cDNA Sequencing

Nucleotide sequence analysis of clone 83 was carried out by the procedures of Messing, Methods in 10 Enzymology, 101, pp. 20-78 (1983). Set out in Table IV is a preliminary restriction map analysis of the approximately 1600 base pair EcoRI/HindIII cloned fragment of clone 83. Approximate locations of restriction endonuclease enzyme recognition sites are provided in terms 15 of number of bases 3' to the EcoRI site at the 5' end of the fragment. Nucleotide sequencing was carried out by sequencing individual restriction fragments with the intent of matching overlapping fragments. For example, an overlap of sequence information provided by analysis 20 of nucleotides in a restriction fragment designated C113 (Sau3A at ~111/SmaI at ~324) and the reverse order sequencing of a fragment designated C73 (AluI at -424/BstEII at -203).

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f	Restriction Enzyme	
	Recognition Site	Approximate Location(s)
5	<u>Eco</u> ri	1
	<u>Sau</u> 3A	111
	<u>Sma I</u>	180
	<u>BstE</u> II	203
	<u>Sma</u> I	324
10	<u>Kpn</u> I	371
	AsaI	372
	<u>Alu</u> I	424
	<u>Pst</u> I	426
	<u>Al u</u> I	430
15	<u>Mpa</u> I	466
	<u>Alu</u> I	546
	<u>Pst</u> I	601
	<u>Pvu</u> II	604
	<u>Alu</u> I	605
20	AluÏ	782
	<u>Alu</u> I	788
	<u>Rsa</u> I	792
	<u>Pst</u> I	807
	AluI	841
25	<u>Alu</u> I	927
	<u>Nco</u> I	946
	<u>Sau</u> 3A	1014
	<u>Alu</u> I	1072
	<u>Alu</u> I	1115
30	<u>Alu</u> I	1223
	<u>Pst</u> I	1301
	RsaI	1343
	<u>Alu</u> I	1384
	<u>mind</u> III	1449
35	<u>Alu</u> I	1450
	<u> Hind</u> III	1585

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Sequencing of approximately 1342 base pairs (within the region spanning the Sau3A site 3' to the EcoRI site and the HindIII site) and analysis of all possible reading frames has allowed for the development of DNA and amino acid sequence information set out in Table v. In the later the putative initial amino acid residue of the amino terminal of mature EPO (as verified by correlation to the previously mentioned sequence analysis of twenty amino terminal residues) is designated by 10 the numeral +1. The presence of a methionine-specifying ATG codon (designated -27) *upstream* of the initial amino terminal alanine residue as the first residue designated for the amino acid sequence of the mature protein is indicative of the likelihood that EPO is ini-15 tially expressed in the cytoplasm in a precursor form. including a 27 amino acid "leader" region which is excised prior to entry of mature EPO into circulation. Potential glycosylation sites within the polypeptide are designated by asterisks. The estimated molecular weight 20 of the translated region was determine to be 21,117 daltons and the M.W. of the 165 residues of the polypeptide constituting mature monkey EPO was determined to be

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19,236 daltons.

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GAA Pro CC1 61y 666 Leu CTT Ala GCC val GTC Ser Gla 61 y 660 Thr Asn C1u GAG 20 Leu CIC Ser TCC Ser Act Ser Met Leu CTG Leu CTC Ser Ala GCC Tyr TAC Lys Arg AAG AGG Leu Ala CTG GCC 35 TABLE V (continuted) Arg Ala 8 9 9 61n 61 y 7.p 7.66 Ala GCT Phe 11C Ser rcg 140 Leu CTC Tyr IAI 1rp 166 Ala GCC A 1a CCC Lys Val GTC GIN Leo CTG Ala GCG Cys 160 G17 GGC Gln CAG Thr ACT Arg CGC Val GIA Va1 G11 Thr 캶 cic cic 90 Pro 120 Leu CTC C.A.C Va J GTC Glo Pr ACC Ser Ala CCT Ser TCC Gla Ala CC 1 Phe 110 Asp 1. P. C. T

CTGCCTGTGCCACACCCTCCTCACCACTCCGAACCCCATGAAGGGGGCTCTCAGCTAAG CGCCAGCCTGTCCCATGGACACTCCAGTGCCAAACATCTCJGGGGCCAGAGGAAC GAGCTCACTCGCCACCTGCAAAATTTGATGCAGGACACGÅTTTGGAGGCAATTTACCTG FGFCCAGAGCACAACTCTGAGATCTAAGGATG<u>YCGCAA</u>QGGCCAAGGTTGAGGGCCCAGAGC ITGCAATCTGCAGCAGGAAAATTACGGACAGGTTTTGGAGGTT\\$GAGGGTACTTGACAG aggaagcaiicagaggagciiiaaacicaggaggagaaaigcagggaaaarcact IIIIIGCACCIACCAICAGGACAGGAIGAÇIGGAGAACIIÀGGIGGCAAGCIGIGACIT PACACTGAGAGATATT GTGTGTGGGGAAGCAGGGGGGTAGGGGTGGAATGCGAGT\\\ \angle AGAACCGTGAAGAC AGGATGGGGGTGGCTCTGGTTCTCGTGGGGTCCAAGCTT
HIndili CICAAGGCCTCACGGGACTCCCTTGGTGGCAAGAGCCCCCTT

TABLE V (continued)

165 GGG GAC AGA 1GA CCAGGIGCGICCAGCIGGCACAJCCAGCACCICCCICACCAACA

Leu Arg Gly Lys Leu Lys Leu Tyr Thr Gly Glu Ala Cys Arg Arg Crc CGG GGA AAG CTG AAG CTG TAC ACG GGG GAG GCC TGC AGG AGA

B

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The polypeptide sequence of Fairle be subjected to analysis for the presence of highly hydrophilic regions and/or secondary conformational characteristics indicative of potentially highly immuno-5 genic regions by, e.g., the methods of Hopp, et al.. P.N.A.S. (U.S.A.), 78, pp. 3824-3828 (1981) and Kyte et al., J.Mol.Biol., 157, pp. 105-132 (1982) and/or Chou, et al., Biochem., 13, pp. 222-245 (1974) and Advances in Enzymology, 47, pp. 45-47 (1978). Computer-assisted ana-

10 lysis according to the Hopp, et al. method is available by means of a program designated PEP Reference Section 6.7 made available by Intelligenetics, Inc., 124 University Avenue, Palo Alto, California.

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EXAMPLE 4

A. Human Genomic Library

A Ch4A phage-borne human fetal liver genomic library prepared according to the procedures of Lawn, et 20 al., Cell, 10 533-549 (1979) was obtained and maintained for use in a plaque hybridization assay.

8. Plaque Hybridization Procedures For Screening Human Genomic Library

Phage particles were lysed and the DNAs were fixed on filters (50,000 plaques per filter) according to the procedures of Woo, Methods In Enzymology, 68, pp. 389-395 (1979) except for the use of GeneScreen_Plus filters (New England Nuclear Catalog No. NEF-97,6) and 30 NZYAM plates (NaCl) 5g; MgCl2-6H2O, 2 g; NZ-Amine A, 10g; yeast extract, 5g; casamino acids, 2 g; maltose; 2g; and agar, 15g per liter).

The air-dried filters were baked at 80°C for 1 hour and then digested with Proteinase K as described in 35 Example 3, Part B. Prehybridization was carried out with a 1M NaCl - 1% SDS buffer for 55°C for 4 hours or more,

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after which the buffer was removed. Hybridization and post-hybridization washings were carried out as described in Example 3, Part 8. Both the mixture of 128 20-mer probes designated and the mixture of 128 17-mer pro-5 bes of Table III (designated the EPQ mixture) were employed. Hybridization was carried out at 48°C using the EPV probe mixture. EPQ probe mixture hybridization was carried out at 46°C -- 4 degrees below the lowest calculated Td for members of the mixture. Removal of the 10 hybridized probe for rehybridization was accomplished by boiling with 1 x SSC - 0.1% SDS for two minutes. Autoradiography of the filters revealed three positive clones (reactive with both probe mixtures) among the 1,500,000 phage plaques screened. Verification of the 15 positive clones as being EPO-encoding was obtained through DNA sequencing and electron micrographic visualization of heteroduplex formation with the monkey cDNA of Example 3. This procedure also gave evidence of multiple introns in the genomic DNA sequence.

EXAMPLE 5

Nucleotide sequence analysis of one of the positive clones (designated $\lambda hE1$) was carried out and results 25 obtained to date are set out in Table VI.

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ALE VI

ANGCIICIGGGCIICCAGACCCAGGIACIIIGCGGÅRCICAGCAACCCAGGCATCICIGAGICTCGGCCA

AGACCUGGATGCCCCCAGGGGAGGTGTCCGGGAGCC\AGCCTTCCCAGATAGCACGCTCCGCCAGTCCC

ATTGGCCAAGAGGTGGCTGGUTICAAGGACCGGCGARTTGICAAGGACCCCGGAAGGGGGGGGGGGGGGGGG

IABLE VI (cont'd.)

GCAGCC I CCACG I GCGGGGGG T I GGGGGAG I TC I I YGGGGA I GGCAAAAACC I GGCG I GI I GAGGGGCA !! UCACACGCACAGA!CAA!AAGCCAGGCAGCACC!GAG\GGTIGCA!GGTIGGACAGGAAGGACGAG -10
Leu Ser teu Pro teu Gly Leu Pro Val Leu Gly Ala Pro Pro Arg Leu 11e Cys S Jes Leu Leu Ser Leu ASP SET ATG VALLEU GIU ATG TYT LEU LEU GIU Ala LYS GIU Ala GIU ASA Ile GAC AGC CCA GTC CTG GAG AGG TAC CTC TTG GAG GCC AAN GAG GCC GAG AAT ATG CIGGGCCACAGACGIGGGGAIGAAGGAAGCIGICCIICCAGAGCTACCIIGACCCCIIGACCCICGCCCGCCCGACICI 26 Thr ACG GIGAGACCCCTICCCCAGCACATICCACAGAACTCACGCTCAGGGCTTCAGGGGAACTCCTCCCAGAT CCAGGAACCTGGCACTTGGTTTTGGGAGTTGGGAAGCTAGACACTGCCCCCd\ACATAAGAATAAGTC CAGITIGGGGIIGGGGAGGTIIGGGGTICIGCIGIGÒAGIIGIGGGTIGICGTIGICAGIGICICG[I.S.] Glu Cys Pro Ara CAGCCTGCCTATCTGTTCTAG

TABLE VI (cont'd.)

GAA TGGTGGCCCCAAACCATACCTGAAACTAGGCAAGGÀGCAAAGCCAGGAGATCCTACGCCTGTGGGCCAGGG HIS CYS SET LEU ASH GIU ASH ITE THE VAT PO ASP THE LYS VAL ASH PHE TYE GGAGTTICAGACCAACCTAGGCAGGATAGIGAGATCCCCCATCTCTAC\AAGATTTAAAAAATTAGTCAG TGCGAGCCTGATTTGGATGAAAGGGAGAATGATCGGGGAAAGG\AAAATGGAGCAGCAGAGATGAGGCT GCC I GGGCGCAGAGGC I CACGIC I AI AA I CCCAGGC I GAGA I GGCC OMGA I GGGAGAA I I GC I I GAGCCC I GIGAAGIGGIGCAIGGIGGIAGICCCAGAIAITIGGAAGGCIGAGGCGGGAGGAICGCIIGAGCCCAGGAA 11 IGAGGC IGCAGIGAGC IGAICACACCAC IGCAC ICCAGCC ICAGIGAÇAGAGIGAGGCCC IGIC ICA 30 Cys Ala 1G1 GC1 27 Thr G1y ACG GGC CCAGAGCCIICAGGGACCCIIGACICCCGGGCIGIGIGÇAITICAG

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IABLE VI (cont'd.)

- 47 -

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ACACAATATGAC

AXGATXGGGGCTGGCCTCTGGCGTCCATGGGGTCCAAGTTTTGTGTATTCT&AACCTATTGACAGACTGAA

В

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In Table VI, the initial continuous DNA sequence designates a top strand of 620 bases in what is apparently an untranslated sequence immediately preceding a translated portion of the human EPO gene. More speci-5 fically, the sequence appears to comprise the 5' end of the gene which leads up to a translated DNA region coding for the first four amino acids (-27 through -24) of a leader sequence ("presequence"). Four base pairs in the sequence prior to that encoding the beginning of the 10 leader have not yet been unambiguously determined and are therefore designated by an "x". There then follows an intron of about 639 base pairs (439 base pairs of which have been sequenced and the remaining 200 base pairs of which are designated "I.S.") and immediately preceding a codon for substantial which has been designated as residue -23 of the translated polypeptide. The exon sequence immediately following is seen to code for amino acid residues through an alanine residue (designated as the +1 residue of the amino acid sequence of mature human EPO) 20 to the codon specifying threonine at position +26, whereupon there follows a second intron consisting of 256 bases as specifically designated. Following this intron is an exon sequence for amino acid residues 27 through 55 and thereafter a third intron comprising 612 base pairs 25 commences. The subsequent expn codes for residues 56 through 115 of human EPO and there then commences a fourth intron of 134 bases as specified. Following the fourth intron is an exon coding for residue Nos. 116 C through 166 and a "stop" codon (TGA). Finally, 30 identifies a sequence of 568 base pairs in what appears to be an untranslated 3' region of the human EPO gene, two base pairs of which ("X") have not yet been unambiguously sequenced.

Are the vi thus serves to identify the primary

35 structural conformation (amino acid sequence) of mature human EPO as including 166' specified amino acid residues

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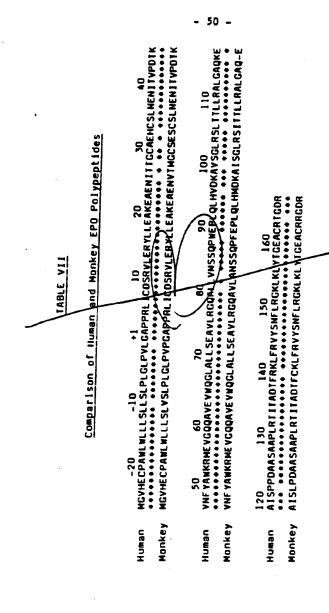
(estimated M.W. = 18,399). Also revealed in the the DNA sequence coding for a 27 residue leader sequence along with 5' and 3' DNA sequences which may be significant to promoter/operator functions of the human gene operon. Sites for potential glycosylation of the mature human EPO polypeptide are designated in the asterisks. It is worthy of note that the specific amino acid sequence of likely constitutes that of a naturally occurring allelic form of human erythropoietin. 10 Support for this position is found in the results of continued efforts at sequencing of urinary isolates of human erythropoietin which provided the finding that a significant number of erythropoletin molecules therin have a methionine at residue 126 as opposed to a serine as shown

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VID below, illustrates the extent of polypeptide sequence homology between human and monkey EPO. In the upper continuous line of the reste, single letter designations are employed to represent the deduced 20 translated polypeptide sequences of human EPO commencing with residue -27 and the lower continuous line shows the deduced polypeptide sequence of monkey EPO commencing at assigned residue number -27. Asterisks are employed to highlight the sequence homologies. It should be noted 25 that the deduced human and monkey EPO sequences reveal an "additional" lysine (κ) residue at (human) position 116. Cross-reference to rest ve indicates that this residue is at the margin of a putative mRNA splice junction in the genomic sequence. Presence of the lysine residue in 30 the human polypectide sequence was further verified by sequencing of a cDNA human sequence clone prepared from

mRNA isolated from COS-1 cells transformed with the human

genomic DNA in Example 7, <u>infra</u>.



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EXAMPLE 6

The expression system selected for initial attempts at microbial synthesis of isolatable quantities 5 of EPO polypeptide material coded for by the monkey cDNA provided by the procedures of Example 3 was one involving mammalian host cells (i.e., COS-1 cells, A.T.C.C. No. CRL-1650). The cells were transfected with a "shuttle" vector capable of autonomous replication in $\underline{\text{E.coli}}$ host 10 (by virtue of the presence of pBR322-derived DNA) and the mammalian hosts (by virtue of the presence of 5V40 virusderived DNA).

More specifically, an expression vector was constructed according to the following procedures. The 15 plasmid clone 83 provided in Example 3 was amplified in E.coli and the approximately 1.4kb mankey EPO-encoding DNA was isolated by $\underline{\mathsf{Eco}}\mathsf{RI}$ and $\underline{\mathsf{Hind}}\mathsf{III}$ digestion. Separately isolated was an approximately 4.0 kb, HindIII/SalI fragment from pBR322. An approximately 30 20 bp, <a>EcoRI/SalI **linker** fragment was obtained from Ml3mplO RF DNA (P and L Laboratories). This linker included, in series, an **EcoRI** sticky end, followed by Sst1, SmaI, BamHI and XbaI recognition sites and a SalI sticky end. The above three fragments were ligated to 25 provide an approximately 5.4 kb intermediate plasmid ("pERS") wherein the EPO DNA was flanked on one side by a "bank" of useful restriction endanuclease recognition sites. pERS was then digested with HindIII and SalI to yield the EPO DNA and the $\frac{\text{EcoR}\, \text{I}}{\text{Lo}}$ to $\frac{\text{Sal}\, \text{I}}{\text{Sal}\, \text{I}}$ (Ml3mplO) linker. 30 The 1.4 kb fragment was ligated with an approximately 4.0 kb <u>BamHI/Sal</u>I of pBR322 and another M13mplO <u>HindIII/Bam</u>HI RF fragment linker also having approximately 30 bp. The Ml3 linker fragment was characterized by a HindIII sticky end, followed by PstI, SalI, XbaI recognition sites and a 35 BamHI sticky end. The ligation product was, again, a useful intermediate plasmid ("pBR-EPO") including the EPO DNA flanked on both sides by banks of restriction site.

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The vector chosen for expression of the EPO DNA in COS-1 cells ("pDSYL1") had previously been constructed to allow for selection and autonomous replication in E.coli. These characteristics are provided by the origin 5 of replication and Ampicillin resistance gene DNA sequences present in the region spanning nucleotides 2448 through 4362 of pBR322. This sequence was structurally modified by the addition of a linker providing a $\frac{1}{2}$ recognition immediately adjacent nucleotide 2448 prior to 10 incorporation into the vector. Among the selected vector's other useful properties was the capacity to autonomously replicate in COS-1 cells and the presence of a viral promoter sequence functional in mammalian cells. These characteristics are provided by the origin of -15 replication DNA sequence and "late gene" viral promoter DNA sequence present in the 342 bp sequence spanning nucleatide numbers 5171 through 270 of the SV40 genome. A unique restriction site (BamHI) was provided in the vector and immediately adjacent the viral promoter 20 sequence through use of a commercially available linker sequence (Collaborative Research). Also incorporated in the vector was a 237 base pair sequence (derived as nucleotide numbers 2553 through 2770 of 5V40) containing the "late gene" viral mRNA polyadenylation signal 25 (commonly referred to as a transcription terminator). This fragment was positioned in the vector in the proper orientation vis-a-vis the "late gene" viral promoter via the unique BamHI site. Also present in the vector was another mammalian gene at a location not material to 30 potential transcription of a gene inserted at the unique BamHI site, between the viral promoter and terminator sequences. [The mammalian gene comprised an approximately 2,500 bp mouse dihydrofolate reductase (DHFR) minigene isolated from plasmid pMG-1 as in Gasser, et al., 35 P.N.A.S. (U.S.A.), 79, pp. 6322-6526, (1982).] Again,

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the major operative components of plasmid pDSVL1 comprise nucleatides 2448 through 4362 of pBR322 along with nucleotides 5171 through 270 (342bp) and 2553 through 2770 (2370p) of SV40 DNA.

Following procedures described, e.g., in Maniatis, et al., (supra,) the EPO-encoding DNA was isolated from plasmid pBR-EPO as a BamHI fragment and ligated into plasmid pDSVLl cut with BamHI. Restriction enzyme analysis was employed to confirm insertion of the 10 EPO gene in the correct orientation in two of the resulting cloned vectors (duplicate vectors H and L). See Figure 2, illustrating plasmid pDSVL-MkE. Vectors with EPO genes in the wrong orientation, were saved for use as negative controls in transfection experiments 15 designed to determine EPO expression levels in hosts transformed with vectors having EPO DNA in the correct orientation.

Vectors H. L. F. X and G were combined with carrier DNA (mouse liver and spleen DNA) were employed to 20 transfect duplicate 60mm plates by calcium phosphate microprecipitate methods. Duplicate 60 mm plates were also transfected with carrier DNA as a "mock" transformation negative control. After five days all culture media were tested for the presence of polypeptides 25 possessing the immunological properties of naturallyoccurring EPO.

EXAMPLE 7

30 A. Initial EPO Expression System Involving COS-1 Cells

The system selected for initial attempts at microbial synthesis of isolatable quantities of human EPO polypeptide material coded for by the human genomic DNA 35 EPO clone, also involved expression in mammalian host cells (i.e., COS-1 cells, A.T.C.C. No. CRL-1650). The



human EPO gene was first sub-cloned into a "shuttle" vector which is capable of autonomous replication in both $\underline{\mathsf{E.coli}}$ hosts (by virtue of the presence of pBR322 derived DNA) and in the mammalian cell line COS-1 (by virtue of 5 the presence of SV40 virus derived DNA). The shuttle vector, containing the EPO gene, was then transfected into COS-1 cells. EPO polypeptide material was produced in the transfected cells and secreted into the cell culture media.

10 More specifically, an expression vector was constructed according to the following procedures. DNA isolated from lambda clone AhEl, containing the human genomic EPO gene, was digested with BamHI and HindIII restriction endonucleases, and a 5.6 Kb DNA fragment 15 known to contain the entire EPO gene was isolated. This fragment was mixed and ligated with the bacterial plasmid puC8 (Bethesda Research Laboratories, Inc.) which had been similarly digested, creating the intermediate plasmid "pUC8-HuE", providing a convenient source of this 20 restriction fragment.

The vector chosen for expression of the EPO DNA in COS-1 cells (pSV4SEt) had previously been constructed. Plasmid pSV4SEt contained DNA sequences allowing selection and autonomous replication in E.coli. These charac-25 teristics are provided by the origin of replication and Ampicillin resistance gene DNA sequences present in the region spanning nucleotides 2448 through 4362 of the bacterial plasmid pBR322. This sequence was structurally modified by the addition of a linker providing a HindIII 30 recognition site immediately adjacent to nucleotide 2448. Plasmid pSV4SEt was also capable of autonomous replication in COS-1 cells. This characteristic was provided by a 342 bp fragment containing the SV40 virus origin of replication (nucleotide numbers 5171 through 270). This fragment had been modified by the addition of a linker providing an $\frac{\text{conl}}{\text{recognition}}$ recognition site adjacent to

nucleotide 270 and a linker providing a $\{Sall\}$ recognition site adjacent nucleotide 5171. A 1061 op fragment of SV40 was also present in this vector (nucleotide numbers 1711 through 2772 plus a linker providing a Sail/recogni-5 tion site next to nucleotide number 2772). Within this fragment was an unique $\underline{\mathsf{Bam}}\mathsf{HI}$ recognition sequence. In summary, plasmid pSV4SEt contained unique BamHI and Hind III recognition sites, allowing insertion of the numan EPO gene, sequences allowing replication and selec-10 tion in $\underline{\text{E.coli}}$, and sequences allowing replication in COS-1 cells.

In order to insert the EPO gene into pSV4SEt, plasmid puCB-muE was digested with Bankl and HindIII C restriction endonucleases and the 5.6 kb EPO encoding DNA C 15 fragment isolated. pSv4SEt was also digested with and <u>Hind</u>III and the major 2513 bp fragment isolated (preserving all necessary functions). These fragments were mixed and ligated, creating the final yector "pSvgHuEPO". (See, Figure 3.) This vector was propa-20 gated in $\underline{\text{E.coli}}$ and vector DNA isolated. Restriction enzyme analysis was employed to confirm insertion of the EPO gene.

Plasmid pSVgHuEPO DNA was used to express human EPO polypeptide material in COS-1 cells. More specifi-25 cally, pSVgHuEPO DNA was combined with carrier DNA and transfected into triplicate 60 mm plates of COS-1 cells. As a control, carrier DNA alone was also transfected into COS-1 cells. Cell culture media were sampled five and seven days later and tested for the presence of polypep-30 tides possessing the immunological properties of naturally occurring human EPO.

B. Second EPO Expression System Involving COS-1 Cells

35 Still another system was designed to provide improved production of human EPO polypeptide material

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coded by the human genomic DNA EPO clone in COS-1 cells (A.T.C.C. No. CRL-1650).

In the immediately preceding system, $\ensuremath{\mathsf{EP0}}$ was expressed in COS-1 cells using its own promoter which is 5 within the 5.6 Kb $\underline{\text{BamHI}}$ to $\underline{\text{HindIII}}$ restriction fragment. In the following construction, the EPO gene is altered so that it is expressed using the SV40 late promoter.

More specifically, the cloned 5.6 Kb $\underline{\mathsf{Bam}}\mathsf{HI}$ to HindIII genomic human EPO restriction fragment was 10 modified by the following procedures. Plasmid pUC8-HUE, as described above, was cleaved with $\underline{\mbox{Bam}}\mbox{HI}$ and with BStEII restriction endonucleases. BstEII cleaves within the 5.6 Kb EPO gene at a position which is 44 base pairs 5' to the initiating ATG coding for the pre-peotide and 15 approximately 680 base pairs 3' to the <u>Mind</u>III restriction site. The approximately 4900 base pair fragment was isolated. A synthetic linker DNA fragment, containing SalI and <u>BstEII</u> sticky ends and an internal <u>Bam</u>HI recognition site was synthesized and purified. The two 20 fragments were mixed and ligated with plasmid pBR322 which had been cut with $\underline{\mathsf{Sal}}\mathsf{I}$ and $\underline{\mathsf{Bam}}\mathsf{H}\mathsf{I}$ to produce the intermediate plasmid pargHE. The genomic human EPO gene can be isolated therefrom as a 4900 base pair BamHI digestion fragment carrying the complete structural gene 25 with a single ATG 44 base pairs 3' to BamHI site adjacent the amino terminal coding region.

This fragment was isolated and inserted as a BamHI fragment into BamHI cleaved expression vector plasmid pDSVL1 (described in Example 6). The resulting 30 plasmid, pSVLgHuEPO, as illustrated in Figure 4, was used to express EPO polypeptide material from COS-1 cells, as described in Examples 6 and 7A.

EXAMPLE 8

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Culture media from growth of the six transfected COS-1 cultures of Example 6 were analyzed by radioim-

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munoassay according to the procedures set forth in Example 2, Part 8. Each sample was assayed at 250, 125. 50, and 25 microliter aliquot levels. Supernatants from growth of cells mock transfected or transfected with vec-5 tors-having incorrect EPO gene orientation were unambiguously negative for EPO immunoreactivity. For each sample of the two supernatants derived from growth of COS-1 cells transfected with vectors ((H and L) having the EPO DNA in the correct orientation, the % inhibition of 10^{-125} I-EPO binding to antibody ranged from 72 to 88%, which places all values at the top of the standard curve. The exact concentration of EPO in the culture supernatant could not then reliably be estimated. A quite conservative estimate of 300 mU/ml was made, however, from the 15 value calculation of the largest aliquot size (250 microliter).

A representative culture fluid according to Example & and five and seven day culture fluids obtained according to Example 7A were tested in the RIA in order 20 to compare activity of recombinant monkey and human EPO materials to a naturally-occurring human EPO standard and the results are set out in graphic form in Figure 1. Briefly, the results expectedly revealed that the recombinant monkey EPO significantly competed for anti-human 25 EPO antibody although it was not able to completely inhibit binding under the test conditions. The maximum percent inhibition values for recombinant human EPO, however, closely approximated those of the human EPO standard. The parallel nature of the dose response 30 curves suggests immunological identity of the sequences (epitopes) in common. Prior estimates of monkey EPO in culture fluids were re-evaluated at these higher dilution levels and were found to range from 2.91 to 3.12 U/ml. Estimated human EPO production levels were correspon-35 dingly set at 392 mU/ml for the five-day growth sample

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and 567 mU/ml for the seven day growth sample. Estimated monkey EPO production levels in the Example 78 expression system were on the same order or better.

EXAMPLE 9

Culture fluids prepared according to Examples 6 and 7 were subjected to an <u>in vitro</u> assay for EPO activity according to the procedure of Goldwasser, et al., 10 Endocrinology, 97, 2, pp. 315-323 (1975). Estimated monkey EPO values for culture fluids tested ranged from 3.2 to 4.3 U/ml. Human EPO culture fluids were also active in this in vitro assay and, further, this activity could be neutralized by anti-EPO antibody. The recom-. 15 binant monkey EPO culture fluids according to Example 6 were also subjected to an assay for in vivo biological activity according to the general procedures of Cotes, et al., Nature, 191, pp. 1065-1067 (1961) and Hammond, et al., Ann.N.Y.Acad.Sci., 149, pp. 516-527 (1968) and acti-20 vity levels ranged from 0.94 to 1.24 U/ml.

EXAMPLE 10

In the previous examples, recombinant monkey or 25 human EPO material was produced from vectors used to transfect COS-1 cells. These vectors replicate in COS-1 cells due to the presence of 5V40 T antigen within the cell and an SV40 origin of replication on the vectors. Though these vectors produce useful quantities of EPO in 30 COS-1 cells, expression is only transient (7 to 14 days) due to the eventual loss of the vector. Additionally, only a small percentage of COS-1 became productively transfected with the vectors. The present example describes expression systems employing Chinese hamster 35 overy (CHO) DHFR cells and the selectable marker, DHFR. [For discussion of related expression systems, see



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U.S. Letters Patent No. 4,399,216 and European Patent Applications 117058, 117059 and 117060, all published August 29, 1984.]

CHO DHFR cells (Dux-811) CHO K1 cells, Urlaub, 5 et al., Proc. Nat. Acad. Sci. (U.S.A.), Vol. 77, 4461 (1980) lack the enzyme dihydrofolate reductase (DHFR) due to mutations in the structural genes and therefore require the presence of glycine, hypoxanthine, and thymidine in the culture media. Plasmids pOSVL-MKE (Example 10 6) or pOSVL-gHuEPO (Example 78) were transfected along with carrier DNA into CHO DHFR* cells growing in media containing hypoxanthine, thymidine, and glycine in $60\ \mathrm{mm}$ culture plates. Plasmid pSVgHuEPO (Example 7A) was mixed with the plasmid pMG2 containing a mouse dihydrofolate 15 reductase gene cloned into the bacterial plasmid vector pBR322 (per Gasser, et al., supra.) The plasmid mixture and carrier DNA was transfected into CHO DHFR" cells. (Cells which acquire one plasmid will generally also acquire a second plasmid). After three days, the cells 20 were dispersed by trypsinization into several 100 mm culture plates in media lacking hypoxanthine and thymidine. Only those cells which have been stably transformed with the DHFR gene, and thereby the EPO gene, survive in this media. After 7-21 days, colonies of sur-25 viving cells became apparent. These transformant colonies, after dispersion by trypsinization can be

continuously propagated in media lacking hypoxanthine and thymidine, creating new cell strains (e.g., CHO pDSVL-MkEPO, CHO pSVgHuEPO, CHO-pDSVL-gHuEPO). 30 Culture fluids from the above cell strains were tested in the RIA for the presence of recombinant monkey or human EPO. Media for strain CHO 605VI MEPO contained

EPO with immunological properties like that obtained from

COS-1 cells transfected with plasmid pDSVL-MKEPO A 35 representative 65 hour culture fluid contained monkey EPO at 0.60 U/ml.

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Culture fluids from CHO pSVgHuEPO and CHO pDSVL-gHuEPO contained recombinant human EPO with immunological properties like that obtained with 605-1 cells transfected with plasmid pSvgHuEPO pt pDSVL-gHuEPO. A 5 representative 3 day culture fluid from CHO psyghuEPO contained 2.99 U/ml of human EPO and a 3 day sample from CHO posyl-ghuEPO had 18.2 U/ml of human EPO as measured by the RIA.

The quantity of EPO produced by the cell strains 10 described above can be increased by gene amplification giving new cell strains of greater—productivity. The enzyme dihydrofolate reductase (OHFR) which is the product coded for by the DHFR gene can be inhibited by the drug methotrexate (MTX). More specifically, cells propa-15 gated in media lacking hypoxanthine and thymidine are inhibited or killed by MTX. Under the appropriate conditions, (e.g., minimal concentrations of MTX) cells resistant to and able to grow in MTX can be obtained. These cells are found to be resistent to ue to an 20 amolification of the number of their OHER ordes, resulting in increased production of DHFR engyme. The surviving cells can, in turn, be treated with increasing concentrations of wix resulting in cell strains containing greater numbers of DHFR genes. "Passenger genes" 25 (e.g., EPO) carried on the expression vector along with the DHER gene or transformed with the DHFR gene are frequently found also to be increased in their gene copy number.

As examples of practice of this amplification 30 system, cell strain CHO (pDSV) MkF was subjected to increasing MIX concentrations (0 nm. 30 nm and 100 nm). Representative 65-hour culture media samples from each amplification step were assayed by RIA and determined to contain 0.60, 2.45 and 6.10 U/ml, respectively. Cell 35 strain CHO pDSVL-gHuEPO was subjected to a series of increasing (MTX)concentrations of 30 nm, 50 nm, 100 nm,

200 nM, 1 µM, and 5 µM MTX. A representative 3-day culture media sample from the 100 nm MTX step contained human EPC at 3089 ± 129 u/ml as judged by RIA. Representative 48 hour cultural medium samples from the 5 100 nM and 1 yM MTX steps contained, respectively, human EPO at 466 and 1352 U/ml as judged by RIA (average of triplicate assays). In these procedures, 1×10^6 cells were plated in 5 ml of media in 60 mm culture dishes. Iwenty-four hours later the media were removed and 10 replaced with 5 ml of serum-free media (high glucose DMEM supplemented with O.1 mM non-essential amino acids and L-glutamine). EPO was allowed to accumulate for 48 hours in the serum-free media. The media was collected for RIA assay and the cells were trypsinized and counted. The 15 average RIA values of 467 U/ml and 1352 U/ml for cells grown at 100 nm and 1 um MTX, respectively, provided actual yields of 2335 b/blate and 6750 U/blate. The average cell numbers per plate were 1.94×10^6 and 3.12×10^6 cells, respectively. The effective production 20 rates for these culture conditions were thus 1264 and 2167 U/10⁶ cells/48 hours.

The cells in the cultures described immediately above are a genetically heterogeneous population. Standard screening procedures are being employed in an nomb function 25 attempt to isolate genetically benegenaous clones with the highest production capacity. See, Section A, Part ?, of "Points to Consider in the Characterization of Cell Lines Used to Produce Biologics*, June 1, 1984, Office of Biologics Research Review, Center for Drugs and 30 Biologics, U.S. Food and Drug Administration.

The productivity of the EPO producing CHO cell lines described above can be improved by appropriate cell culture techniques. The propagation of mammalian cells in culture generally requires the presence of serum in 35 the growth media. A method for production of erythropoletin from CHO cells in media that does not contain

serum greatly facilitates the purification of erythropoietin from the culture medium. The method described below is capable of economically producing erythropoietin in serum-free media in large quantities sufficient for 5 production.

Strain CHO pOSVL-gHuEPO cells, grown in standard cell culture conditions, are used to seed spinner cell culture flasks. The cells are propagated as a suspension cell line in the spinner cell culture flask in media con-10 sisting of a 50-50 mixture of high glucose DMEM and Ham's F12 supplemented with 5% fetal calf serum, L-glutamine, Penicillin and Streptomycin, 0.05 mM non-essential amino acids and the appropriate concentration of methotrexate. Suspension cell culture allows the EPO-produc-15 ing CHO cells to be expanded easily to large volumes. CHO cells, grown in suspension, are used to seed roller bottles at an initial seeding density of 1.5 \times 10 7 viable cells per 850 ${\rm cm}^2$ roller bottle in 200 ml of media. The cells are allowed to grow to confluency as an adherent 20 celf line over a three-day period. The media used for this phase of the growth is the same assused for growth in suspension. At the end of the three-day growth period, the serum containing media is removed and Creplaced with 100 ml of serum-free media; 50-50 mixture 25 of high glucose DMEM and Ham's F12 supplemented with 0.05 Ombalon-essential amino acids and L-glutamine. The **Coller bottles are returned to the roller bottle incuba**tor for a period of 1-3 hours and the media again is removed and replaced with 100 ml of fresh serum-free 30 media. The 1-3 hour incubation of the serum-free media reduces the concentration of contaminating serum proteins. The roller bottles are returned to the incubator for seven days during which erythropoietin accumulates in the serum-free culture media. At the end of the seven-35 day production phase, the conditioned media is removed

and replaced with fresh serum-free medium for a second

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production cycle. As an example of the practice of this production system, a representative seven-day, serum-free media sample contained human erythropoletin at 3892±409 U/ml as judged by the RIA. Based on an estimated cell 5 density of 0.9 to 1.8 \times 10⁵ cells/cm², each 850 cm 2 roller bottle contained from 0.75 to 1.5 x 10^8 cells and thus the rate of production of EPO in the 7-day, 100 ml culture was 750 to 1470 $U/10^6$ cells/48 hours.

Culture fluids from cell strain CHO pDSVL_MkEPO 10 carried in 10 nm MTX were subjected to RIA \underline{in} vitro and in vivo EPO activity assays. The conditioned media sample contained 41.2 : 1.4 U/ml of MkEPO as measured by the RIA, 41.2 \pm 0.064 U/ml as measured by the in vitro biological activity assay and 42.5 \pm 5 U/ml as measured 15 by the <u>in vivo</u> biological activity assay. Amino acid sequencing of polypeptide products revealed the presence of EPO products, a principle species having 3 residues of the "leader" sequence adjacent the putative amino terminal alanine. Whether this is the result of incorrect 20 membrane processing of the polypeptide in CHO cells or reflects a difference in structure of the amino terminus of monkey EPO vis-a-vis human EPO, is presently unknown.

Culture fluids from cell strain CHO pDSVL-gHuEPO were subjected to the three assays. A 5.5 day sample 25 contained recombinant human EPO in the media at a level of 18.2 U/ml by RIA assay, 15.8 ± 4.6 U/ml by in vitro assay and 16.8 ± 3.0 U/ml by in vivo assay.

Culture fluid from CHO pDSVL-gHuEPO cells prepared amplified by stepwise 100 nm MTX_mere subjected to 30 the three assays. A 3.0 day sample contained recombinant human EPO at a level of 3089 ± 129 U/ml by RIA, 2589 ± 71.5 U/ml by in vitro assay, and 2040 \pm 160 U/ml by in vivo assay. Amino acid sequencing of this product reveals an amino terminal corresponding to that 35 designated in Fable VI.

Cell conditioned media from CHO cells transfected with plasmid pDSVL-MkE in 10 nm MTX were pooled,

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and the MTX dialyzed out over several days, resulting in media with an EPO activity of 221 ± 5.1 U/ml (EPO-CCM). To determine the in vivo effect of the EPO-CCM upon hematocrit levels in normal Balb/C mice, the following 5 experiment was conducted. Cell conditioned media from untransfected CHO cells (CCM) and EPO-CCM were adjusted with PBS. CCM was used for the control group (3 mice) and two dose levels of EPO-CCM -- 4 units per injection and 44 units per injection -- were employed for the 10 experimental groups (2 mice/group). Over the course of 5 weeks, the seven mice were injected intraperitoneally, 3 times per week. After the eighth injection, average hematocrit values for the control group were determined to be 50.4%; for the 4U group, 55.1%; and, for the 44U 15 group, 67.9%.

Mammalian cell expression products may be readily recovered in substantially purified form from culture media using HPLC ($\mathbf{C}_{\underline{\mathbf{A}}}$) employing an ethanol gradient, preferably at pH7.

20 A preliminary attempt was made to characterize recombinant glycoprotein products from conditioned medium of COS-1 and CHO cell expression of the human EPO gene in comparison to human urinary EPO isolates using both Western blot analysis and SDS-PAGE. These studies indi-25 cated that the CHO-produced EPO material had a somewhat higher molecular weight than the COS-1 expression product which, in turn, was slightly larger than the pooled source human urinary extract. All products were somewhat heterogeneous. Neuraminidase enzyme treatment to remove 30 sialic acid resulted in COS-1 and CHO CHOSTAGE DIOducts of approximately equal molecular weight which were both nonetheless larger than the resulting asialo human urinary extract. Endoglycosidase F enzyme (EC 3.2.1) treatment of the recombinant CHO product and the urinary 35 extract product (to totally remove carbohydrate from

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both) resulted in substantially homogeneous products having essentially identical molecular weight characteristics.

Purified human urinary EPO and a recombinant, 5 CHO cell-produced, EPO according to the invention were subjected to carbohydrate analysis according to the procedure of Ledeen, et al. Methods in Enzymology, 83(Part D), 139-191 (1982) as modified through use of the hydrolysis procedures of Nesser, et al., Anal.Biochem., 10 142, 58-67 (1984). Experimentally determined carbohydrate constitution values (expressed as molar ratios of carbohydrate in the product) for the urinary isolate were as follows: Hexoses, 1.73; N-acetylglucosamine, 1; N-acetylneuraminic acid, 0.93; Fucose, 0; and N-acetyle 15 galactosamine, O. Corresponding values for the recombinant product (derived from CHO pDSVL-gHuEPO 3-day culture media at 100 nm MTX) were as follows: Hexoses. 15.09; N-acetylolucosamine, 1; N-acetylneuraminic acid, 0.998; Fucose, 0; and N-acetylgalactosamine, 0. These 20 findings are consistent with the Western blot and SDS-PAGE analysis described above.

Glycoprotein products provided by the present invention are thus comprehensive of products having a primary structural conformation sufficiently duplicative 25 of that of a naturally-occurring erythropoietin to allow possession of one or more of the biological properties thereof and having an average carbohydrate composition which differs from that of naturally-occurring erythropoietin.

EXAMPLE 11

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The present example relates to the total manufacture by assembly of nucleotide bases of two structural genes encoding the human species EPO sequence of 35 and incorporating, respectively "preferred" codons for expression in E.coli and yeast (S.cerevisiae) cells.

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Also described is the construction of genes encoding analogs of human EPO. Briefly stated, the protocol employed was generally as set out in the previously noted disclosure of Alton, et al. (WO 83/04053). The genes were 5 designed for initial assembly of component oligonuclectides into multiple duplexes which, in turn, were assembled into three discrete sections. These sections were designed for ready amplification and, upon removal from the amplification system, could be assembled sequentially 10 or through a multiple fragment ligation in a suitable

expression vector. 15 and 7 illustrate the design and assembly of a manufactured gene encoding a human EPO translation product lacking any leader or pre-15 sequence but including an initial methionine residue at position -1. Moreoever, the gene incorporated in substantial part £.coli preference codons and the construction was therefore referred to as the "ECEPO" gene.

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TABLE VIII ECEPO SECTION 1 OL/GONUCLEOTIDES l. AATTCTAGAAACCATGAGGGTAAJAAAATA 2. CCATTATTTTATTACCCTCATGGTTTCTAG 3. ATGGCTCCGCCGCGTCTGATC/TGCGAC CTCGAGTCGCAGATCAGACGCGGCGGAG 4. 5. TCGAGAGTTCTGGAACGTT/ACCTGCTG CTTCCAGCAGGTAACGTVCCAGAACT GAAGCTAAAGAAGCTG#AAACATC 10 9. ACCACTGGTTGTGD#GAACACTGTTC 10. 11. 12. 15 TABLE IX ECEPO SECTION 1 EcoR I ECORI AAACCATGAG GGTAATAAAA TAATGGCTCC GCCGCGTCTG GATC TITGGTACTC CCATTATTTT ATTACGGAGG CGGCGCAGAC 20 ATCTGCGACT CGAGAGTTCT GGAACGTTAC CTGCTGGAAG CTAAAGAAGC TAGACGCTGA GCTCTCAAGA CCTTGCAATG GACGACCTTG GATTICITEG <u>6</u> TGAAAACATC ACCACTGGTT GTGCTGAACA CTGTTCTTTG AACGAAAACA ACTTTTGTAG TGGTGACCAA CACGACTTGT GACAAGAAAC TTGCTTTTGT 10 8 ∕ <u>Bam</u>HI KpnI TTACGGTACC G AATGCCATGG CCTAG 12

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AATTCGGTACCAGACACCAAGGT 2. GTTAACCTTGGTGTCTGGTACCE 3. TAACTTCTACGCTTGGAAACGTAT TTCCATACGTTTCCAAGCGTAGAA GGAAGTTGGTCAACAAGPAGTTGAAGT CCAAACTTCAACTGCTTGTTGACCAAC 6. 7. 10 8. 9. 10. GCAGTGCCTGGCACGCAGTACA 11. CTGCTGCTAAACTCCTCTCAGCCGT 12. TTCCCACGGCTGAGAGGAGTTTACCA 15 13. GGGAACCOCTGCAGCTGCATGTTGAC 14. GCTTTG/CAACATGCAGCTGCAGCGG 15. AAAGCAGTATCTGGCCTGAGATCTG GATCÉAGATCTCAGGCCAGATACT 16.

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