Amgen Inc. v. F. Hoffmann-LaRoche LTD et al

Doc. 547 Att. 30

# **EXHIBIT 29**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

LIN, Fu-Kuen

MADEN Filed: October 23, 1987

For: "PRODUCTION OF ERYTHROPOIETIN"

Group Art Unit: 1805

Examiner: Examiner Hodges

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C., 20231, on this date:

January 3, 1994

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Michael F. Borun (Reg. No. 25,447) Attorney for Applicant

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. \$1.97 ET SEQ. INCLUDING FORM PTO-1449

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

In compliance with 37 C.F.R. §1.97 et seq., Applicant hereby files with this statement a Patent and Trademark Office Form PTO-1449. A copy of each document, except C196 and C236, is submitted herewith.

Applicant has also provided a "Source Code" on the Form PTO-1449, which details from where the reference was found:

CODE	SOURCE
A	References of record in the parent applications of U.S. Pat. Appln. No. 07/113,179
В	References of record in U.S. Pat. Appln. No. 07/113,179, which were not previously listed on Form PTO-1449.
С	References of record in U.S. Pat. Appln. 07/113,178, including those listed on Form PTO-1449.

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Defendants' 35 U.S.C. §282 Notice from the Amgen Inc. v. Chugai and G.I., C.A. No. 87-2617-Y, District Court proceedings in Boston, MA regarding parent U.S. Patent No. 4,703,008

Exhibits admitted in the Amgen Inc. v. Chugai and G.I. District Court proceedings.

> References of record in the European Opposition Proceedings regarding European Patent EPO 148605

Please note that Reference C208 does not have a Source Code. Although Reference C208 was listed on Defendants' Exhibit list for the Amgen Inc. v. Chugai and G.I. District Court proceedings, it was not admitted as a trial exhibit.

A check in the amount of \$200.00 is attached in payment of the fee for filing of this information disclosure statement under 37 C.F.R. §1.97(c).

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN

Michael F. Borun (Registration No. 25,447) A Member of the Firm 6300 Sears Tower 233 South Wacker Drive

Chicago, Illinois 60606-6402 (312) 474-6300

Chicago, Illinois January 3, 1994

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Fc n FTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket ive. 11009/D8272	Serial No. 07/113,179
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	U.S. PATENTIBLEOMENTS							
*Examiner Initials		Document #	Issue Date	Name	Class	Subclass	Source	Filing Date
11/	A1	3,033,753	5/8/62	White et al.	530 167	74 395	A,F	
111	A2	3,865,801	2/11/75	Chiba et al.	530 <del>260</del>	112 397	ARECE	IVED
112	A3	4,237,224	12/2/80	Cohen et al.	435	<del>68</del> 69.1	A, JAN 3	1994
111	A4	4,254,095	3/3/81	Fisher et al.	424	X <b>8</b> 8	<b>FGROUP</b>	1800
1/2	A5	4,264,731	4/28/81	Shine	435	91.41	Α	
IM	<b>A</b> 6	4,273,875	6/16/81	Manis	435	253 <b>.5</b>	Α	
<i>[[]</i> ].	<b>A</b> 7	4,293,652	10/6/81	Cohen	435	172.3	A	
11)	A8	4,303,650	12/1/81	Takezawa et al.	424	<del>177</del> - <b>5</b> 45	С	
IM.	A9	4,338,397	7/6/82	Gilbert et al.	435	<del>68</del> 69.1	A	
nl	A10	4,358,535	11/9/82	Falkow et al.	435	5	A	
W	A11	4,377,513	3/22/83	Sugimoto et al.	260°	412 395	A,C,F	
11/	A12	4,394,443	7/19/83	Weissman et al.	435	6	Α	
1/	A13	4,397,840	8/9/83	Takezawa et al.	4240	<del>99</del> 399	A,C,F	
111	A14	4,399,216	8/16/83	Axel et al.	435	6	A,D	
ilit	A15	4,411,994	10/25/83	Gilbert et al.	435	X 69.7	A	
M	A16	4,442,205	4/10/84	Hamer et al.	435	68 69.1	A	
///	A17	4,465,624	8/14/84	Chiba et al.	260	112 <sup>395</sup>	A,F	
11	A18	4,468,464	8/28/84	Cohen et al.	435	317 320.	Α.	
M	A19	4,503,151	3/5/85	Paddock	435	68 69.1	A	
111	A20	4,558,005	12/10/85	Goldwasser et al.	435	7.92	A,C	
K	A21	4,558,006	12/10/85	Egrie	435	7.94	A,C,D,E	
K	A22	4,568,488	2/4/86	Lee-Huang	2 <del>53</del> 0	112-380	A,C,D	
M.	A23	4,677,195	6/30/87	Hewick et al.	530	397	С	
A	A24	4,703,008	10/27/87	Lin	435	240.2	B,C	
1/	A25	4,710,473	12/1/87	Morris	435	320.1	A	

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SHEET 2 OF 25 Form PTO-1449 Atty. Docket No. 11009/D8272 U.S. Department of Commerce Patent and Trademark Office Serial No. 07/113,179 INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Fu-Kuen Lin Group 184 1805 (Use several sheets if necessary) October 23, 1987

<del></del>	U.S. PATENTIMENTS							
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M	A26	4,757,006	7/12/88	Toole et al.	435	76 69.6	D,E	
	A27	483,451	4/15/83	Alton et al. (net- enclosed)			<b>A</b>	
	-A28-	487,753		Bitter (not enclosed)			A	1
	A29	693,258	1/22/85	Fritsch et al. (not enclosed)			<del>D,E</del>	

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K.	B1	0070685	1/26/83	EPO	A	Subclass	I es	N <sub>i</sub>
N	B2	0070687	1/26/83	EPO	A		<del> </del>	-
WI	В3	0077670	4/27/83	ЕРО	A			-
14	B4	0093619	11/9/83	EPO	A,B,D			
12	B5	0116446	8/22/84	EPO	A,F			
jil	В6	0117058	8/29/84	EPO	A A			
M	B7	0117059	8/29/84	EPO	A,B			
M	B8	0117060	8/2/84 8/29/84	EPO	A,B			
Ŋ	<b>B</b> 9	0123294	4/19/84 19/31/84	EPO	A			
2/	B10	0136490	4/10/85	EPO	A			
M.	B11	2085887	5/6/82	UK	A,F			
1/4/	B12	83/04053	11/24/83	PCT	A			
41	B13	85/01961	5/9/85	PCT	A			<u> </u>
W_	B14	85/03079	7/18/85	PCT	A,D			<del></del> -
M	B15	85/04419	10/10/85	PCT	A			
M	B16	86/03520	(100101	PCT	A			

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Form PTO-1449  U.S. Department of Commerce  Patent and Trademark Office	Atty. Docket No. 11009/D8272	Serial No. 07/113,179
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		<del>-  </del>	OTHER OCCUPATION (Including Author, Title, Date, Pertinent Pages, etc.) [SOURCE]			
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_	111	C10 Bennetzen et al., "Codon Selection in Yeast," J. Biol. Chem., 257(6), 3026-3031 (March 25, 1982) [A]				
_	W	C11 Bentley et al., "Human immunoglobulin variable region genes-DNA sequences of two V <sub>k</sub> genes and a pseudogene," Nature 288, 730-733 (December 1980) [F]				
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<u> </u>	<del></del>	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) [SOURCE]
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Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 11009/D8272	Serial No. 07/113,179
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Form PTO-144	19	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 11009/D8272	Serial No. 07/113,179
INFO	RMAT	ION DISCLOSURE STATEMENT	Applicant Fu-Kuen Lin	<b>T</b>
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Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 11009/D8272	Serial No. 07/113,179
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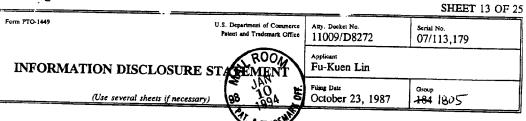
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				SHEET 14 O	
Form PTO-1	449	U.S. Department of Commerce Patent and Trademark Office	Auy. Dockes No. 11009/D8272	Serial No. 07/113,179	
INFO	ORMATI	ION DISCLOSURE STATEMENT	Applicant Fu-Kuen Lin		
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1 OHA 7 10-11	<b></b> ,	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 11009/ID8272	Serial No. 07/113,179
INFO	DRMAT	TON DISCLOSURE STATEMENT	Applicant Fu-Kuen Lin	
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