

EXHIBIT 6

Exhibit 10

Opposition ./. EP 209 539

DECLARATION OF THOMAS W. STRICKLAND

UEXKÜLL & STOLBERG

Beselerstr. 4, 22607 Hamburg

I, Thomas W. Strickland, solemnly declare as follows:

1. I make this declaration in support of the oppositions against EP 209539 entitled "Homogeneous Erythropoietin" to Genetics Institute.
2. Since April 1984, I have been employed by Amgen Inc., located in Thousand Oaks, California, and I currently hold the position of Research Scientist. I received my doctorate degree (Ph.D.) in Biochemistry from Vanderbilt University in 1981 and did my thesis on glycoprotein hormones. From February 1981 to March 1984, I held the position of Assistant Research Biological Chemist, Department of Biological Chemistry, University of California, Los Angeles (UCLA). My research activities at UCLA included studies on biosynthesis and protein folding of glycoprotein hormones and the chemical modification, isolation and receptor cross-linking of glycoprotein hormones. A copy of my curriculum vitae is attached as Exhibit A.
3. Since joining Amgen in 1984, one of my responsibilities has been to work on the purification of recombinant erythropoietin ("rEPO"). During my years at Amgen, I have also been extensively involved in the analyses of erythropoietin including both recombinant and urinary-derived EPO.
4. I have reviewed the disclosure of EP 209539, and I am familiar with its contents. I was involved in the litigation in the United States between Amgen and Genetics Institute in which the U.S. counterpart to EP 209539 (U.S. Patent No. 4,677,195) was held invalid.
5. I am also familiar with the disclosure of EP 148605 entitled "Production of Erythropoietin" to Kirin-Amgen. In particular, Example 10 of that patent discloses the production of recombinant EPO using Chinese Hamster Ovary (CHO) cells which have been transfected with a plasmid containing the DNA sequence encoding human EPO. Example 10 provides a description of this production process. Amgen's past and current commercial process for producing erythropoietin follows the procedures of Example 10 in the expression of rEPO from CHO cells. Example 10 discloses the use of serum free cell culture medium in order to facilitate the

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purification of the rEPO. See EP 148605, page 28, lines 20-24. Example 10 further indicates that "Mammalian cell expression products may be readily recovered in substantially purified form from culture media using HPLC (C4) employing an ethanol gradient, preferably at pH7." EP 148605, page 29, lines 4-5. A C4 HPLC column using an ethanol gradient is a reverse phase HPLC column. EP 148605 also discloses that other conventional purification processes may be used. See, page 9, lines 1-3, EP 148605.

6. In order to demonstrate the viability of the specific disclosure of Example 10 of EP 148605, reverse phase HPLC was used to purify rEPO directly from cell culture media in which the rEPO had been expressed from CHO cells as described in Example 10. The results show that by following the disclosure of Example 10 homogeneous erythropoietin is obtained that meets all the requirements of claim 2 of EP 209539, *i.e.*, (a) movement as a single peak on a reverse phase-HPLC; (b) a molecular weight of about 34,000 daltons on SDS-PAGE; and (c) a specific activity of about 160,000 IU per absorbance at 280 nm as indicated by *in vivo* assay.

7. A 100 ml sample of cell conditioned media was obtained following the disclosure of Example 10 of EP 148605 which describes the expression of rEPO from CHO cells in serum free cell culture media. The conditioned media sample was purified utilizing a single-step reverse phase column employing an ethanol gradient at pH 7 as described in Example 10 of EP 148605. As the first step in the HPLC procedure, the sample of conditioned media was filtered through a 0.45 micron cellulose nitrate filter prior to running the sample on the reverse phase HPLC column. The Ethanol/Tris gradient buffers were also filtered through a 0.45 micron Nylon-66 solvent resistant filter as required prior to use on the column. Following the loading of the 100 ml conditioned media sample to the 1 X 25 cm Vydac C4 column, the column was developed with a gradient from 20% Ethanol/10 mM Tris-HCl, pH 7 to 80% Ethanol/10 mM Tris-HCl, pH 7 at a rate of approximately 0.13% Ethanol per minute at a flow rate of 1 ml/minute. The absorbance profile at 280 nm of the purification chromatogram is attached as Exhibit B. Fractions of 3 ml each were collected into 12 ml of water in order to dilute the ethanol. In order to remove the ethanol and the water, the fractions were dried overnight using a Speedvac (vacuum device in conjunction with low speed centrifugation) and resuspended in water the next day.

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8. The EPO containing fractions indicated by the bar in Exhibit B were pooled and then assayed by polyacrylamide gel electrophoresis in the presence of SDS (SDS-PAGE) to indicate an apparent molecular weight with respect to BioRad broad range molecular weight standards. The resulting gels, one run with reduction of the protein disulfide bonds and one run in the absence of reductant, are shown in Exhibit C. The EPO containing fraction (indicated as "Test Sample" on the gels) falls between the markers for 31 kDa and 45 kDa and migrates in the same region as Amgen's commercial rEPO product. In my opinion, the Test Sample migrates on SDS-PAGE within the range encompassed by the criterion "about 34,000 daltons" as indicated in Claim 2 of EP 209539.
9. In order to provide a solvent control for subsequent analytical HPLC analysis, a blank gradient of Ethanol/Tris buffer was run on the 1 X 25 cm reverse phase column. This run was identical to the purification described above except that no sample was loaded. Fractions collected from this gradient were treated as for the purification run (*i.e.* diluted with water, dried in Speedvac, and redissolved in water). The blank run fractions corresponding to the fractions pooled from the purification run were themselves pooled in order to provide the appropriate solvent blank. These pooled fractions from the blank run were then analyzed on the analytical RP-HPLC under the conditions described in EP 209539 to provide an analysis of the blank carrier.
10. The sample of EPO containing fractions was then analyzed using a reverse phase HPLC column under the solvent and gradient conditions disclosed in EP 209539 using a 0.46 X 25 cm Vydac C4 column in order to demonstrate that the purified fraction is homogeneous EPO and moves as a single peak on reverse phase HPLC as required by Claim 2 of EP 209539. The resulting absorbance at 280 nm of the analytical chromatogram is shown in Exhibit D and clearly shows a single peak. As can be seen, the minor peaks before and after the EPO peak are due entirely to the blank carrier.
11. Finally, the purified EPO sample was assayed by the exhypoxic polycythemic mouse *in vivo* bioassay. Assay samples were prepared by first diluting the purified sample to the appropriate extent and then preparing a two-fold dilution series (yielding a total of four sample dilutions). Each dilution was then injected into five mice. The samples were assayed by Dr. Peter Dukes' laboratory at Children's

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Hospital of Los Angeles in the exhypoxic polycythemic mouse bioassay essentially according to the method of Cotes and Bangham (*Nature*, **191**:1065-1067 (1961)). Dr. Dukes has been performing this assay for Amgen since the early 1980's. The purified sample was assayed in four successive assays and the results were as follows:

Assay	U/A ₂₈₀
1	147,600
2	181,900
3	146,700
4	152,200
Average	<u>157,100 ± 16,700</u>

12. I have read the submission of Professor Kula and I agree that the culture media must first be freed from particulate matter prior to loading the sample on a HPLC column. The need to filter any sample prior to injecting it onto an HPLC column has been recognized as a required preliminary step since the early use of HPLC. Even the gradient buffers used in the HPLC procedure must be filtered prior to use. Consequently, this filtering step is simply a procedure to be performed in operating the HPLC column.
13. The results discussed above show that a homogeneous EPO having the properties as disclosed and claimed by Genetics Institute was obtained following the procedures of Example 10 of EP 148605.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true.

Date: May 19, 1994

Thomas W. Strickland
Thomas W. Strickland

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EXHIBIT A

Curriculum Vitae

THOMAS WAYNE STRICKLAND

Date of Birth: 09/14/54

Place of Birth: Pensacola, Florida

Home Address: 13450 E. Cedarpine
Moorpark, California
(805) 523-8740

Office Address: Amgen Inc., Amgen Center
Thousand Oaks, California 91320
(805) 499-5725 (x3088)

Education:

University of West Florida	B.S., 1976 (Biology)
University of West Florida	B.S., 1976 (Chemistry)
Vanderbilt University	Ph.D., 1981 (Biochemistry)

Ph.D. Thesis:

Contribution of Subunits to Glycoprotein Hormone Function: A Study Utilizing Hybrids

Work Experience:

April 1984 - present

Research Scientist, Protein Chemistry Department, Amgen Inc. Research principally involved in the purification and characterization of urinary and recombinant human erythropoietin

February 1981 - March 1984

Assistant Research Biological Chemist, Department of Biological Chemistry, University of California Los Angeles. Research included studies on biosynthesis and protein folding of glycoprotein hormones; chemical modification, isolation, and receptor cross-linking of glycoprotein hormones

Honors and Offices:

Pensacola Junior College Faculty Scholarship Award (1974)
Foundation Scholarship, University of West Florida (1974-76)
National Science Foundation Undergraduate Research Fellowship (1975)
Monsanto Award for Chemistry (1976)
Graduate Summa Cum Laude, University of West Florida
National Institutes of Health Predoctoral Traineeship (1976-77)
National Science Foundation Predoctoral Fellowship (1977-1980)
Harold Sterling Vanderbilt Graduate Scholarship (1976-1980)

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17. The beta-subunits of glycoprotein hormones - formation of three-dimensional structure during cell-free biosynthesis of lutropin-beta. T.W. Strickland and J.G. Pierce. *Journal of Biological Chemistry* 260, 5816-5819 (1985).
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22. Megakaryocyte colony stimulating activity of recombinant human and monkey erythropoietin. P.O. Dukes, J.C. Egrie, T.W. Strickland, J.K. Browne and F.K. Lin. *Prog. Clin. Biol. Res.* 215, 105-109 (1986).
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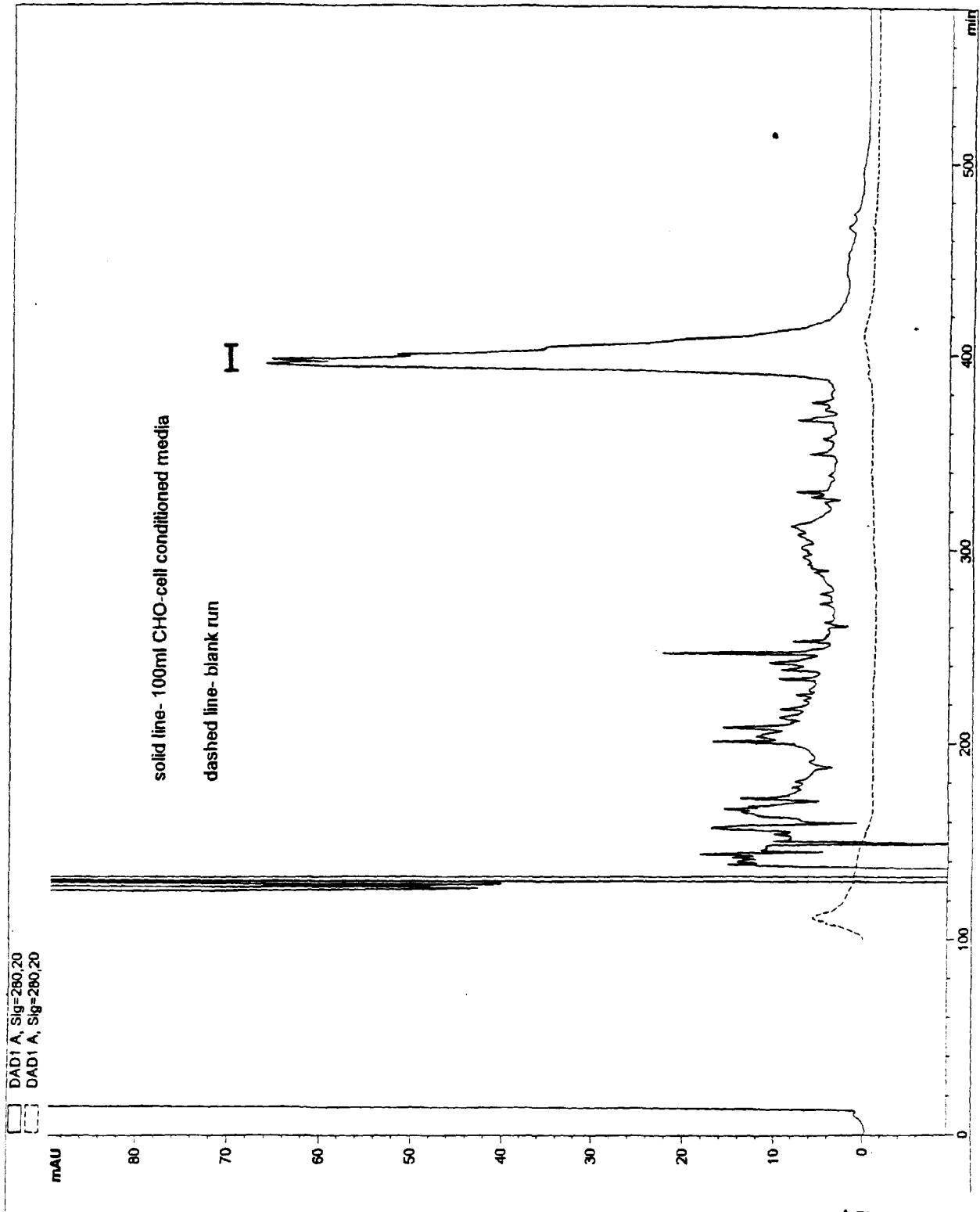
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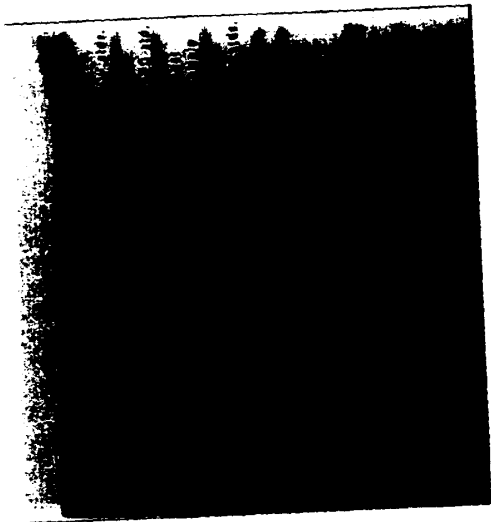
EXHIBIT B



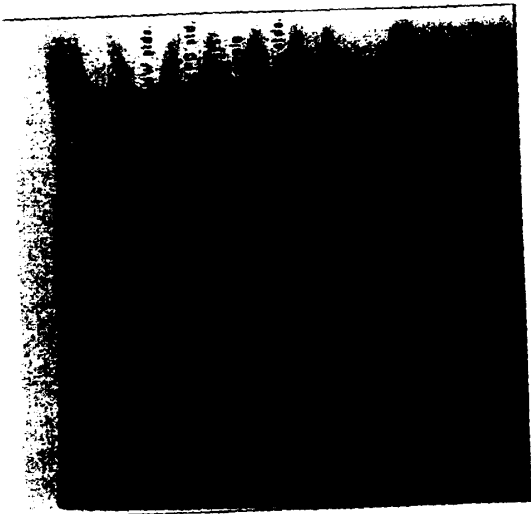
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EXHIBIT C

Non-reducing

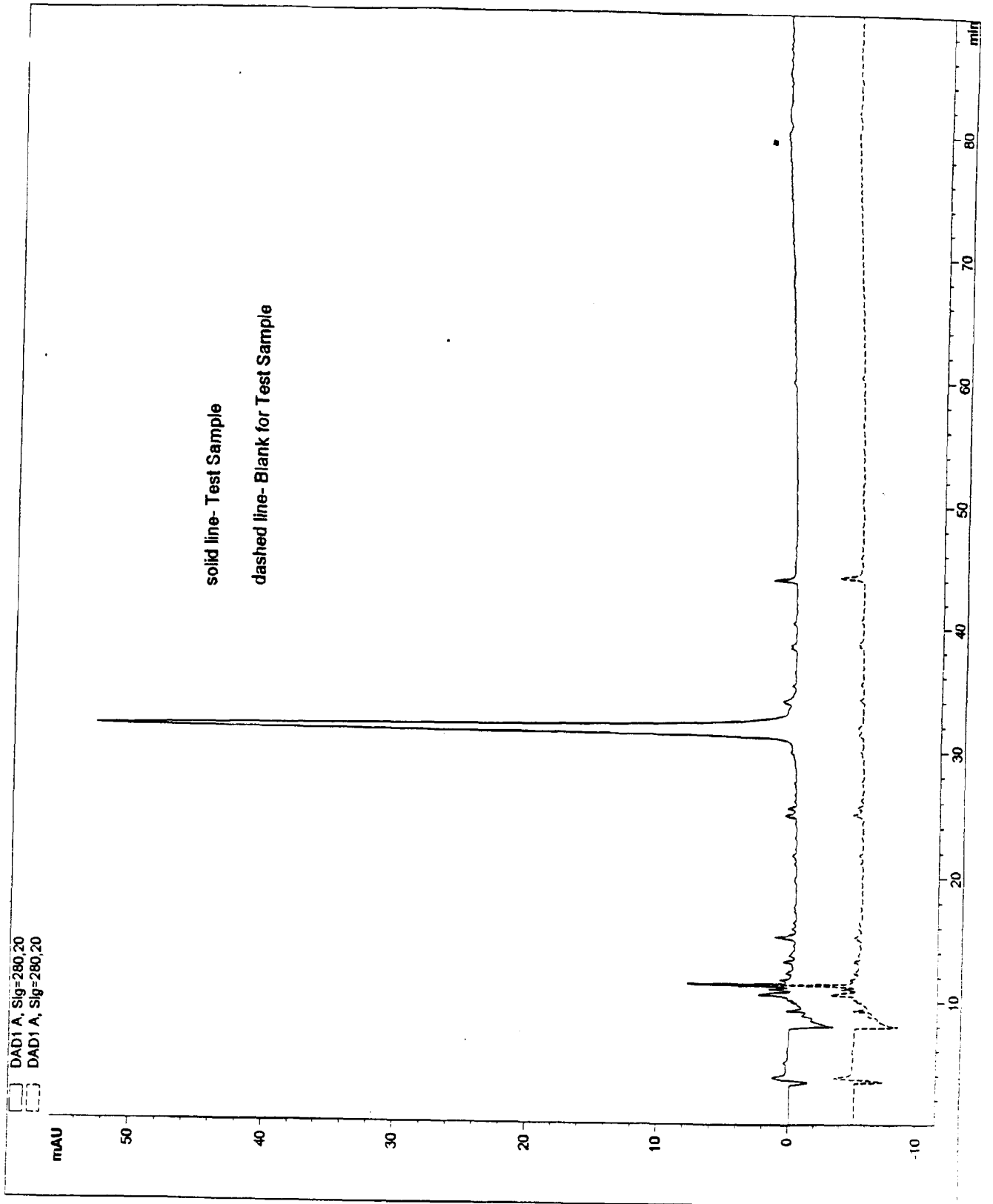


Reducing



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EXHIBIT D



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