Document 732-2 Filed 07/16/2007

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## **EXHIBIT 1**

1	UNITED STATES DISTRICT COURT
2	DISTRICT OF MASSACHUSETTS
3	
4	AMGEN, INC.,
5	Plaintiff,
	CASE NO. 05-CV-12237-WGY
6	vs.
7	F. HOFFMAN-LAROCHE, LTD., a (This transcript contains
	Swiss Company, ROCHE testimony designated as per
8	DIAGNOSTICS GmbH, a German the protective order in this
	Company and HOFFMAN LAROCHE, matter)
9	INC., a New Jersey Corporation,
10	Defendants.
11	
12	oOo
13	CONFIDENTIAL
14	VIDEOTAPED DEPOSITION OF STEPHEN G. KUNIN, ESQ.
15	Wednesday, June 27, 2007
16	00
17	
18	SHEILA CHASE & ASSOCIATES
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13	October 1987, Bates-stamped
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<b></b> -⊤	Exhibit 10 United States Patent Lin 209
25	5,547,933

INDEX OF EXHIBITS (Continued) **PAGE DESCRIPTION** Exhibit 11 File history for the '422 patent Exhibit 12 November 1st, 1990 memo from S. Hershenson and N.E. Gabriel ---oOo----

- 1 Q. I'm just asking if you remember anything else
- 2 other than what you've already stated --
- 3 MR. MAMMEN: Objection. Asked and answered.
- 4 BY MR. FORCHHEIMER: Q. -- without having the
- 5 manual.
- 6 MR. MAMMEN: Objection. Asked and answered.
- 7 Argumentative.
- 8 THE WITNESS: I would have to look.
- 9 BY MR. FORCHHEIMER: Q. Could you look at
- 10 paragraph 323.
- 11 You -- in this paragraph, you have some
- 12 discussion about whether or not the special status of
- 13 the '179 application was revoked.
- 14 You state that the patent office suspended
- 15 prosecution of the application for six months during
- 16 interference so it could consider whether or not to
- 17 declare an interference.
- And you say, At that point, therefore, the
- 19 patent office was no longer treating the application as
- 20 special as far as timeliness of action based upon
- 21 applicant's prior correspondence.
- 22 Did the patent office or the examiner ever
- 23 explicitly revoke a special status?
- 24 MR. MAMMEN: I'm going to object to the
- 25 guestion on the basis that this section of the report

- 1 responds to a portion of Mr. Sofocleous's report that is
- 2 not reflected in Roche's first amended answer and is,
- 3 therefore, not a subject matter that's been properly
- 4 pled in this case.
- 5 BY MR. FORCHHEIMER: Q. Do you need me to
- 6 repeat the question?
- 7 A. I did not see any specific indication of an
- 8 express revocation of the treatment of the application
- 9 as special. In fact, in my experience, I've never seen
- 10 one.
- 11 Q. Can you look at paragraph 330.
- 12 A. I have that in front of me.
- 13 Q. If you read the first seven lines or so, you
- 14 talk about the fact that Amgen's counsel identified the
- 15 '619 EP application to the patent office in the text of
- the '298 application and that the Amgen's counsel next
- 17 identified the publication on a PTO-1449.
- 18 Do you see that?
- 19 A. Yes.
- 20 Q. Would you agree that by submitting that
- 21 reference at two different points in the '298
- 22 application, that applicants or applicant himself
- 23 believes that the reference was potentially material?
- 24 MR. MAMMEN: Objection. Calls for speculation.

25 Lacks foundation.

- 1 THE WITNESS: Absolutely not. In fact, the
- 2 rule specifically goes to the contrary.
- 3 BY MR. FORCHHEIMER: Q. Can you explain
- 4 further?
- 5 A. If you look at the Rules 1.56 and duty of
- 6 disclosure rules, it basically says by a submission of
- 7 information in an information disclosure statement, it
- shall not act as an admission that the information is 8
- 9 material.
- 10 Q. Well, I'm not saying that it's an admission
- 11 that it was material. I'm asking if by virtue of
- submitting that reference, the applicant at least 12
- 13 thought it was potentially material.
- MR. MAMMEN: Objection. Asked and answered. 14
- 15 Argumentative.
- 16 And I will reassert my objection that the
- 17 questioning on this section of the report is outside the
- 18 scope of the issues in this case.
- 19 And perhaps in the interest of expediency, I'll
- just make that a standing objection and won't need to 20
- 21 renew it for every question.
- THE WITNESS: Well, as I answered before, 22
- 23 consistent with the rule, no submission is an admission
- of materiality. I'm not a mind reader, so I have no 24
- 25 idea what the thought process is. But by operation of

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- 1 specific circumstances. I've given you two examples
- 2 that I'm aware of.
- 3 Q. Okay. You can put that aside for now.
- 4 Can you turn to paragraph 375 of your report.
- 5 You note in paragraph 375 that as Judge Young
- found in TKT, applicant attached a declaration by 6
- 7 Dr. Cummings to an amendment filed on February 16th,
- 8 1995, and attached a copy of the Browne publication.
- 9 Do you notice that?
- 10 A. I see that.
- 11 MR. MAMMEN: I'm going to object to the
- 12 questioning concerning this section of Mr. Kunin's
- 13 report on this basis of my standing objection concerning
- 14 the issues that are properly within the litigation in
- 15 view of Judge Young's denial of a motion to amend.
- 16 MR. FORCHHEIMER: I would just note that in
- 17 view of Judge Young's denial to amend, I believe this
- 18 issue still remains in the case, but I will continue
- 19 with questioning regardless.
- 20 MR. MAMMEN: Well, I think the question is open
- to dispute. But you're free to continue your 21
- 22 questioning.
- 23 BY MR. FORCHHEIMER: Q. Is it your contention
- 24 that applicants fulfilled their duty of disclosure by
- 25 attaching a copy of the Browne publication even though

- 1 his report.
- 2 THE WITNESS: I'm -- I'm not a technical
- 3 expert. I'm just not familiar with the technology.
- 4 BY MR. FORCHHEIMER: Q. Are you aware that
- 5 Judge Young in TKT held that Claim 7 covers COS host
- 6 cells?
- 7 A. He may have very well have done that.
- 8 Q. Are you aware that Amgen's position in this
- 9 case is that Claim 7 covers COS host cells?
- 10 A. That could very well be the case.
- 11 Q. Can you look at page -- at paragraph 430 of
- 12 your report.
- 13 In the indented portion at the bottom, you note
- 14 that a claim defining the host cell as a COS cell was
- 15 cancelled from the '178 application on January 10th,
- 16 1990.
- 17 Do you see that?
- 18 A. I see that.
- 19 Q. Do you know if a claim covering a host cell as
- 20 a COS cell was ever reintroduced?
- 21 MR. MAMMEN: I'm going to object to these
- 22 questions as well. The questions concerning this
- 23 section of Mr. Kunin's report also is pertaining to
- 24 subject matter that's not properly at issue in the
- 25 litigation due to the denial of the motion to amend.

- 1. BY MR. FORCHHEIMER: Q. Can you turn in your
- 2 supplemental report to paragraph 31.
- 3 Do you see paragraph 31?
- 4 A. I have that in front of me.
- Q. And do you see, midway through the page, 5
- 6 Because -- the paragraph, Because Dr. Goldwasser's
- 7 urinary EPO preparation had been shown to lack the
- 8 therapeutic effectiveness of Lin's claimed EPO, the fact
- 9 that Goldwasser's EPO, like so many EPO preparations
- 10 before it, had also been combined with human serum
- 11 albumin was of no material significance to the
- 12 patentability of Lin's claimed invention, a
- 13 pharmaceutical composition comprised of therapeutically
- 14 effective human EPO.
- 15 Do you see that?
- 16 A. I see that.
- 17 MR. MAMMEN: And I'm going to object to -- on
- the basis of the claims that are properly in suit to any 18
- of the theories discussed in Mr. Kunin's supplemental 19
- 20 report as not being properly part of this case due to
- 21 the denial of Roche's motion to amend.
- 22 BY MR. FORCHHEIMER: Q. Is the statement that
- 23 I just quoted a recitation of Amgen's argument in the
- 24 TKT case?
- 25 A. My recollection is that was my understanding of

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