Document 999-5

Filed 09/05/2007

Page 1 of 4

# **EXHIBIT**

4

Address: BOX INTERFERENCE Commissioner of Patents and Trademarks Washington, D.C. 2023 1

Interference No. 102,097

Fritsch et al

MAILED

FEB 9 1990

PAT. & T.N. OFFICE COARD OF PATENT APPEAL: AND INTERFERENCES

Lin

2/16/70 1 2127190

#### Decision on Motions

The following motions have been filed:  $\frac{1}{2}$ 

AA. to terminate the interference and award priority in view of a decision rendered by the U.S. District Court for the District of Massachusetts (Civil Action No. 87-2617-Y).

- H. for judgment of unpatentability to Lin of claims corresponding to the count under 35 USC 102(e) and/or 103.
- for judgment of unpatentability to Lin of claim 65 corresponding to the count under 35 USC 112, first paragraph mode" and/or "best ("written description", "enablement" requirements).
- to attack the benefit accorded to Lin of the filing date of its earlier applications on the grounds that those applications fail to satisfy the "written description" and/or "enablement" requirements of 35 USC 112.
- to attack the benefit accorded to Lin of the filing dates of its earlier applications on the grounds that those applications fail to satisfy the "best mode" requirement of 35 USC 112.

FORM PTOL-78B (REV 3-85)

AM 17 005577 CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER

<sup>1/</sup> Following the convention adopted by the parties, the preliminary motions of Fritsch (Paper No. 26) are identified by the letters H through Q. The motion under \$1.635 filed by Lin (Paper No. 33) is identified by the designation "AA".

Interference No. 102,097

Motion M

Consideration of the motion is <u>deferred</u> to final hearing provided the matter is raised by Fritsch in its brief. \$1.639(c). Matters not raised in the brief are ordinarily regarded as abandoned. <u>Photis</u> v. <u>Lunkenheimer</u>, 225 USPQ 948 (Bd.Pat.Int. 1984). The question of whether the applicant himself invented the subject matter at issue, and thus does not run afoul of 35 USC 102(f), is a question which ordinarily requires the taking of testimony for an adequate determination of the relevant facts. Cf. <u>Weil v. Fritz</u>, 601 F.2d 551, 202 USPQ 447 (CCPA 1979).

#### Motion 0

The motion is <u>dismissed</u>. The present count is considered to be directed to a separate patentable invention relative to the invention defined in the proposed count and Fritsch has not shown otherwise. Accordingly, substitution of one for the other would be inappropriate. Additionally, it is noted that Lin has made no claim to the broader invention defined by the proposed count and Fritsch has not proposed that such a claim be added to Lin's involved application in accordance with \$1.637(c)(1)(iii). As the proponent of the proposition that the existing count be replaced with a count of broader scope, the burden falls on Fritsch to establish that both relate to the same patentable invention. Fritsch has not done so.

#### Motion N

This motion is  $\underline{\text{dismissed}}$  as moot in view of the dismissal of related motion O.

### Motion P

The motion is  $\underline{\text{denied}}$  essentially for the reasons set forth in the Decision on Motions in interference 102,096 with regard to motion E.

AM 17 005580 CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER

- 4 -

- 6 -

cooperate in allowing reasonable time for a bona fide attempt to arrive at a settlement of outstanding issues in the interferences.

Should any party have a question regarding any aspect of this interference proceeding, that party should contact the undersigned directly by telephone (via a conference call) in the interest of orderly procedure so that the matter can be resolved in the most expeditious manner. §1.640(b).

## Summary of Times Running

- 1. Service of Preliminary Statements due by: February 16, 1990.
- 2. Pre-Trial Conference Call on February 27, 1990 at 1:00 PM EST.

Marc L. Caroff // Examiner-in-Chief (703) 557-4009

MLC/mjg

B.P.A.I. FAX NO. IS: (703) 557-8642 SEE 1108 OG 15 (NOV. 14, 1989)

AM 17 005582 CONFIDENTIAL SUBJECT TO PROTECTIVE ORDER