## EXHIBIT 3 PART 2 OF 3

$\cdots$


This is a communication from the examiner in charge of your application.
COMMISSIONER OF PATENTS AND TRADEMARKS

## OFFICE ACTION SUMMARY

Responsive to communication(s) filed on $\qquad$
This action is FINAL.Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 D.C. 11; 453 O.G. 213.
A shortened statutory period for response to this action is set to expire_H_ Hirec, month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR t.136(a).

## Disposition of Claims

| Claim(s) | $1-26$ | $\qquad$ is/are pending in the application. is/are withdrawn from consideration. |
| :---: | :---: | :---: |
| Of the above, claim(s) | NONE |  |
| $\square$ Claim(s) |  | is/are allowed. |
| Claim(s) | $1-26$ | _ is/are rejected. |
| $\square$ Claim(s) |  | is/are objected to. |

## Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.The drawing(s) filed on $\qquad$ is/are objected to by the Examiner.The proposed drawing correction, filed on $\qquad$ is $\square$$\qquad$ approved $\square$ disapproved.The specification is objected to by the Examiner.The oath or declaration is objected to by the Examiner.
Priority under 35 U.S.C. § 119Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).AllSome*None of the CERTIFIED copies of the priority documents have beenreceived.received in Application No. (Series Code/Serial Number) $\qquad$ .received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
*Certified copies not received: $\qquad$ _.Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § $119(\mathrm{e})$.

## Attachment(s)

X Notice of Reference Cited, PTO-892
$\square$ Information Disclosure Statement(s), PTO-1449, Paper No(s) $\qquad$Interview Summary, PTO-413Notice of Draftsperson's Patent Drawing Review, PTO-948Notice of Informal Patent Application, PTO-152

Serial Number: 08/727,085

## Part III DETAILED ACTION

1. This action is responsive to communications: application, filed on 10/8/96.
2. Claims 1-25 are pending in the case. Claims $1,7,18,23$ and 25 are independent claims.

## Drawings

3. This application has been filed with informal drawings which are acceptable for examination purposes only. Formal drawings will be required when the application is allowed.

The drawings submitted with this application were declared informal by the applicant: Accordingly they have not been reviewed by a draftsperson at this time. When formal drawings are submitted, the draftsperson will perform a review. Any inquiries concerning drawing review should be directed to the Drawing Review Branch at (703) 305-8404.

## Specification

4. The title of the invention is not descriptive. A new title is required that is clearly indicative of the invention to which the claims are directed.
5. Examiner requests that Applicant review the application carefully for informalities including typographical errors.

## Claim Rejections - 35 USC § 112

6. Claims 1-6 are rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Independent claim 1 claims both apparatus and method (in line 1, "A computer implemented method and system") as the subject matter. The hybrid structure renders the claim indefinite, since it is unclear what subject matter is actually being claimed. See Ex.

Parte Lyell, 17 USPQ2d 1548.
Claims that are noted above as being rejected but not specifically cited below are rejected based on their dependency on rejected independent claims.

Claim Rejections-35 USC § 101
7. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter or any new and useful improvement thereof, may obtain a patent therefore, subject to the conditions and requirements of this title.
8. Claim 1, 7 and 18 are rejected under 35 U.S.C. 101 because the claims are directed to "Manipulation of Abstract Ideas Without a Claimed Practical Application" (the below paragraphs are from MPEP § 2106 Patentable Subject Matter - Computer - Related

## Inventions).

A process that consists solely of the manipulation of an abstract idea without any limitation to a practical application is nonstatitory. E.g., Warmerdam, 33 F.3d at 1360, 31 USPQ2d at 1759. See also Schrader, 22 F.3d at 295, 30 USPQ2d at 1459 . Office personnel have the burden to establish a prima facie case that the claimed invention taken as a whole is directed to the manipulation of abstract ideas without a practical application.

In order to determine whether the claim is limited to a practical application of an abstract idea, Office personnel must analyze the claim as a whole, in light of the specification, to understand what subject matter is being manipulated and how it is being manipulated. During this procedure, Office personnel must evaluate any statements of intended use or field of use, any data gathering step and any post - manipulation

Art Unit: 2772
activity. See section IV.B.2(d) above for how to treat various types of claim language. Only when the claim is devoid of any limitation to a practical application in the technological arts should it be rejected under 35 U.S.C. 101. Further, when such a rejection is made, Office personnel must expressly state how the language of the claims has been interpreted to support the rejection.

## (b) Statutory Process Claims

A claim that requires one or more acts to be performed defines a process. However, not all processes are statutory under 35 U.S.C. 101. To be statutory, a claimed computer - related process must either: (1) result in a physical transformation outside the computer for which a practical application in the technological arts is either disclosed in the specification or would have been known to a skilled artisan (discussed in (i) below), or (2) be limited by the language in the claim to a practical application within the technological arts (discussed in (ii) below). See Diamond v. Diehr , 450 U.S. at $183-84,209$ USPQ at 6 (quoting Cochrane v. Deener, 94 U.S. 780, 787-88 (1877)) ("A [statutory] process is a mode of treatment of certain materials to produce a given result. It is an act, or a series of acts, performed upon the subject - matter to be transformed and reduced to a different state or thing . . . . The process requires that certain things should be done with certain substances, and in a certain order; but the tools to be used in doing this may be of secondary consequence."). See also Alappat, 33 F.3d at 1543, 31 USPQ2d at 1556 57 (quoting Diamond $v$. Diehr, 450 U.S. at 192, 209 USPQ at 10). See also id. at 1569,31 USPQ2d at 1578-79 (Newman, J., concurring) ("unpatentability of the principle does not defeat patentability of its practical applicants") (citing O: Reilly v. Morse, 56 U.S. (15 How.) at 114-19). The claimed practical application must be a further limitation upon the claimed subject matter if the process is confined to the internal operations of the computer. If a physical transformation occurs outside the computer, it is not necessary to claim the practical application. A disclosure that permits a skilled artisan to practice the claimed invention, i.e., to put it to a practical use, is sufficient. On the other hand, it is necessary to claim the practical application if there is no physical transformation or if the process merely manipulates concepts or converts one set of numbers into another.

Accordingly, the presently pending independent claims 1, 7 and 18 are non-statutory, since it neither: "(1) result in a physical transformation outside the computer for which a practical application in the technological arts is either disclosed in the specification or would have been known to a skilled artisan" or "(2) be limited by the language in the claim to a practical application within the technological arts".

The claims presently recite the steps of "receiving... information", "parsing said... information..." and then "selecting...site identifiers" which are all performed inside of a computer without any transformation outside the computer. Furthermore, none of those limitations constitutes a "practical application". As Examiner understands, the practical application in the Applicant's invention is using the "identifier" to retrieve data from the
remote internet sites which are then displayed on screen. Thus, the independent claims must be limited by a language in the claims to such practical application within the technological àts.

## Claim Rejections - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --
(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
10. Claims 1-5, 7-11 and 13-22 are rejected under 35 U.S.C. 102(a) as being anticipated by Applicant's admitted known prior art.

On page 3 of the specification, in the Background Information section, applicant admits that "Yahoo" search engine was a well known prior art.

As per independent claim 1, Applicant admits that the following claimed steps in a method for retrieving information from network was well known in the art:

- receiving a first file of information which includes site identifiers and other information (in FIG.4, at the bottom of the figure, the dialog box shows input area for the user to type in keywords for search, and item 406 in FIG. 5 shows the window with the received results.);
- parsing said 1st file of information to extract a list comprising site identifiers (since the "NETSCAPE" browser in FIGs. 4 and 5, parses the HTML document and underlines the URL hotlinks.);
- responsive to a jump command, determining which of the list of site identifiers is currently selected and automatically selecting an other of said site identifier form said list (e.g., the Yahoo search engine shown in FIG.5C, item 588 "Next 20 " shows where the user activates the next page request, and in view of the current page, the next page (i.e., site) identifier is automatically chosen.).

As per dependent claims 2 and 3, which are dependent on claims 1 and 2 , respectively, the prior art further shows accessing and displaying a 2 nd file (since the browser displays the second file containing the "Next 20" items.).

As per dependent claims 4 and 5, which are dependent on claims 1 and 3, respectively, the prior art further shows that the identifiers comprise URLs (as Applicant admits on page 3 of the specification, line 21, "A hot-link comprises ... a corresponding URL").

Independent claim 7 and its dependent claims 8-11 are for computer readable medium comprising the methods of claims $1-5$, respectively, and are similarly rejected under the same rationale.

Independent claim 13 and its dependent claims 14-17 recite substantially similar limitations as claims $1-5$, respectively, and are similarly rejected under the same rationale. Furthermore, Applicant's admitted prior art discloses the additional feature of "automatically sending a plurality of jump commands to the browser", since the Yahoo search engine (in FIG.5C, item '406) shows a plurality of URL links and the user activation of those links are automatically translated to the browser as the request to retrieve files from the URLs.

Independent claim 18 and its dependent claims 19-22 are for computer readable medium comprising the methods of claims 13-22, respectively, and are similarly rejected under the same rationale.

## Claim Rejections - 35 USC § 103

11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103 (c) and potential 35 U.S.C. 102 (f) or (g) prior art under 35 U.S.C. 103(a).
12. Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Applicant's admitted prior art in view of AltaVista, "http:/www.altavista.com", 2/20/98, screen printouts pp.1-2. Note that although the printouts of the AltaVista search engine was made on $2 / 20 / 98$, as Applicant admits on page 4 , line 7 of the specification, it was available to public before the filing date of the present application.

As per dependent claim 6, which is dependent on claim 5, Applicant's admitted prior art discloses the limitations of claim 5 , but does not explicitly teach the following additional limitations: automatically selecting from a group consisting of: a next site identifier, a prior site identifier, a first site identifier and a last site identifier. In the Applicant's admitted prior art of the Yahoo search engine, this feature does not seem to be shown. However, another
well known search engine called AltaVista discloses this feature (see page 2 of the print out, as the "Prev" icon, "Next" icon, "1" icon and " 20 " icon). It would have been obvious to a person of ordinary skill in the art at the time the invention was made to have incorporated the feature of AltaVista into Yahoo, since they both taught accessing the site identifiers resulted from a search, and AltaVista taught the features which improved user interface for the navigation.

Dependent claims 12 is for computer readable medium comprising the methods of claim 6 , and is similarly rejected under the same rationale.
13. Claims 23-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of CNN Interactive, "http:/cnn.com/index", 2/20/98, screen printout pp.1-7. It is noted that although the screen printout date of the CNN web site is $2 / 20 / 98$, Examiner takes an Official Notice that the CNN Interactive web site was available to the public before the filing date of the present application.

As per independent claim 23, the CNN Interactive teaches the following claimed limitations:

- receiving into a browser window a 1st file of information network (on page 1 of the printout, as the content of the CNN page is received.);
- displaying a jumper window (on page 1 , on the left most.column, the jumper window containing the index image of "WORLD", "U.S. ", "LOCAL"...);
- selecting and receiving one of said 1st site identifier from said browser (on page 5, see the jumper window as the "EARTH" was chosen and the right window shows that the "EARTH MAIN PAGE" is retrieved.);
- selecting and receiving an other of said 1st file identifier from said jumper window (on päge 5 of the printout, since each of the "WORLD", "U.S", "LOCAL", indexes is accessible.).

However, the claimed invention differs from the prior art of CNN Interactive in that the prior art fails to show the steps of: parsing the 1st file of the information to extract a list comprised of said 1st file site identifiers and then receiving into said jumper window said set of 1 st file site identifiers. Regarding this feature, the Applicant's admitted prior art at least shows the feature of parsing the 1st file retrieved, as FIG.5B shows that the URL links are underlined by the browser after the browser parsed the 1st file and recognized the existence of the URLs. Therefore, the issue is whether or not it would have been obvious to a person of ordinary skill in the art at the time the invention was made to have provided, to the Yahoo, the jumper window like that of CNN Interactive. In view of the prior art teachings as a whole, such feature would have been obvious to a person of ordinary skill in the art at the time the invention was made, since the CNN-like jumper window in the Yahoo search engine would have given the user the concurrent access to the URL indexes while viewing the contents of an index.

Dependent claim 24, which is dependent on claim 23, recites substantially similar limitations as claim 5 , and is similarly rejected under the same rationale.

Independent claim 25 and its dependent claim 26 are for computer readable medium comprising the methods of claims 23 and 24 , respectively, and are similarly rejected under the same rationale.

## Conclusion

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Steve Hong whose telephone number is (703) 308-5465. The examiner can normally be reached on Monday-Friday from 8:00 AM-5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Heather Herndon, can be reached on (703) 305-9701.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Any response to this action should be mailed to:
Commissioner of Patents and Trademarks Washington, D.C. 20231
or faxed to:
(703) 308-9051, (for formal communications intended for entry)

Or:
(703) 305-9724 (for informal or draft communications, please label "PROPOSED" or "DRAFT")
Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington. VA., Sixth Floor (Receptionist).

Effective November 16, 1997, the Examiner handling this application will be assigned to a new Art Unit as a result of the consolidation into Technology Center 2700. See the forth coming Official Gazette notice dated November 11, 1997. For any written or facsimile communication submitted ON OR AFTER November 16, 1997, this Examiner, who was assigned to Art Unit 2412, will be assigned to Art Unit 2772. Please include the new Art Unit in the caption or heading of any communication submitted after the November 16, 1997 date. Your cooperation in this matter will assist in the timely processing of the submission and is'appreciated by the Office.


Patent Examiner
February 20, 1998



## UNITED STATE EPARTMENT OF COMMERCE

 Patent and Trademark OfficeAddress: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C: 20231


Please find below and/or attached an Office communicatlon concerning this application or proceeding.

## Commissioner of Patents and Trademarks

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UNTEDSI ES DEPARTMENT OF COMMERCE Patent and Trademark Office
Address: CDMMISSIONER OF PATENTS AND TRADEMARK Washington, D.C. 20231



All participants (applicant applicants


(2) Stephen Hong (Examme:) (4)


Date of Interview


Type: B Telephonic
$\square$ Televideo Conference $\square$ Personal (copy is given to $\square$ applicant $\square$ applicant's representative)为

Exhibit shown or demonstration conducted: $\square$ Yes: $\qquad$


Description of the general nature of what was agreed to if an agreement was reached, or any other comments:
Discussed the invention with respell. the clatinal Imitations inn the Draft Amendineit Use attached) I.

[^0]Examiner Note: You must sign this form unless it is an attachment to another form.


## MESSAGE:

PLEASE DELIVER TO EXAMINER HONG. APPLICATION NO. 08/727,085 -
PROPOSED AMENDMENT.

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## DRAFT

## DRAFT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of |  |
| :--- | :--- |
| Gilbert Borman et al. |  |
| Application No. $08 / 727,085$ | ) |
| Filed: October 8,1996 | ) |
| Title: $\operatorname{INTERNET}$ SEARCH Art Unit: 2772 |  |

## AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 2023I

Sir:
Responsive to the Official Action of March 3, 1998, Applicant respectfully requests reconsideration of the above-identified application in view of the following amendments and remarks.

## IN THE SPECIFICATION:

## IN THE TITLE:

Please delete the title "Internet Search Tools" and amend to read -- METHOD AND APPARATUS FOR RETRIEVTNG DATA FROM A NETWORK USING LOCATION IDENTIFIERS--

## N THE CLAIMS:

Please cancel claims 2-3, 8-9, 14-15 and 19-20.
Please amend the remaining claims $1,4-7,10-13,16-18$ and 21-26 as follows:

1. (Amended) A computer implemented method [and system for retrieving information from] for searching on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing location identifiers for others of the data files, and the method for searching comprising the [following steps] acts performed on the local computer of [; ] :

2. (Amended) The computer implemented method of claim 1 wherein;

2 said [1st] initial data file comprises information in a markup language; and
3 said [site] location identifiers comprise URLs.

1 5. (Amended) The computer implemented method of claim [3] 1 wherein:
snid [1at] initiad file and said [2td] first data file comprise information in a markup language; and
said [site] location identifiers tomprise URLs.
6. (Amended) The computer implemented method of claim [5] 1 wherein said [responsive step] retrieving act further comprises;
retrieving the first data file somespondine to the one of the location identifiers in the sfored initial List [automatically selecting] selected from a group consisting of: a next [site] location identifier, a prior [site] location identifier, a first [site] location identifier and a last [site] location identifier, [said other of said site identifiers from said list.] together with displaying the first data file in the search window responsiye to a selection of the second. icon
7.(Amended) A computer usable medium having computer readable program code means embodied therein for searching on a local combuter [causing a retrieval of information from] a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containine location identifiers for others of the data files, the computer readable program code means in said article of manufacture comprising:
computer readable prostam code means for eausing a computer to construct a search window on a display screen of the local computer:-
computer readable program code means for causing a computer to display a first and a second icon on said display screen:
computer readable program code means for causing a computer to [receive] retrieye [a 1st] aninitial data file [of information] from the network and displaying the initial data file in the search window, and the initialdata file [which includes site] including location identifiers [and other information];
computer readable program code means for causing a computer to parse the location identifiers from [said 1st] the initial data file [of information] to [extract a] form aninitial list [comprising site] oflocation identifiers together with storing the initial list responsive to a selection of the firsticon;
compuice readable preman code means for causing a compuler to display any of the data files stored on the network in the search window; and
computer readable program code means for causing a computer [responsive to a jump command,] to [determine which of the list of site identifiers is currently selected and to automatically select an other of said site identifiers from said list.] retrieve a first data file comesponding to a selected one of the location identifiers in the stored initial list together with displaying the first data file in the search window, responsive to a selection of the secondicon.
10. (Amended) The computer readable program code means in said article of manufacture of claim 7 comprising:
computer readable program code means for causing a computer to [receive] retrieve [said Ist] the initial data file [of information], wherein said [1st] jnitial data file, comprises: information in a markup language and said [site] lecation identifiers comprise URLs.
11. (Amended) The computer readable program code means in said article of manufacture of claim [9] 1 comprising:
computer readable program code means for causing a computer to [receive] retrieve [a 1st] the initial data file [of information] and [to access a 2nd] the first data file, wherein each of said [ 1 st ] initial and said [2nd] first data files, comprise information in a markup language and said [site] locasion identifiers comprise URLs.

12: (Amended) The computer readable program code means in said article of manufacture of claim [11] 1 comprising:
computer readable program code.means for causing a computer to retrieve the first data file corresponding to the one of the location identifiers in the stored initial list [automatically select] selected [said other of said site identifiers from said list] from a group consisting of: a next [site] location identifier, a prior [site] Location identifier, a first [site] location identifier and a last [site] location identifier[.] together with displaving the first data file in the search window responsive to a selection of the second icon.
13. (Amended) A computer implemented method [of retrieving information] for searchins on a local computer a nework of nodes with data files stored at comesponding ones of the nodes and each of the data files identifiable by a location identifier and seyeral of the data files containing location identifiers for others of the data files, and the method for searching. comprising the [following steps] acts perfomed on the local computer of [i] i construsting a search window on a display screen of the local computer: displaving a first and a second icon on said display screen: [receiving a 1 st file of information] retrieving an initial data file from the network together with displaving the initial data file in the segreh window, and the initial data file [which includes site] including location identifiers [and other information]; parsing [said lst file of infonnation] the location [to extract a list comprising site] identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list. responsive to a selection of the firsticon; [and]
displaying any of the data files stored on the network in the search windowiand automatically [sending a plurality of jump commands to the browser wherein each of said jump commands includes a one of said site identifiers from said list comprising site identifiers, and wherein further responsive to said plurality of jump commands a site corresponding to each of said site identifiers is accessed.] etievingata oredefinedinterval data file corresponding to each of the location identifers in the stored initiallist together with successively displavine the data files in the search window tesponsive to a single selection of the second ifen.
16. (Amended) The computer implemented method of claim 13 wherein: said [1st] initialdata file comprises information in a markup language; and said [site] location identifiers comprise URLs.
17. (Amended) The computer implemented method of claim [15] 13 wherein: said [ 1 st ] initial data file and said first data file [comprises] comprise information in a markup language; and said [site] Location identifiers comprise URLs.

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18. (Amanded) A computer usable medium having computer readable program code means embodied therein for [causing a retrieval of information from] for searching on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data fies identifiable by a location identifier and several of the data files sontaining location identifiers for others of the data files, and the computer readable program code means in said article of manufacture comprising:
computer readable oromam code means for causing a computer to construct a search window on a display screen of the local computer:
computer readable propram code means for causing a computer to display a first and a second icon on said display screen:
computer readable program code means for causing a computer to [receive] retrieve [a 1st ] aninitial data file [of information] from the network together with displaying the initial data file in the search window, and the initial data file [which includes site] including location identifiers [and other information];
computer readable program code means for causing a computer to parse said [1st] initial data file [of information] to [extract] form [a] aninitial list [comprising site] of location identifiers together with storing the initial list responsive to a selection of the first icon;
computer readable program code means for causing a computer to automatically [send a plurality of jump commands wherein each of said jump commands includes a one of said site identifiers from said list comprising site identifiers, and wherein further responsive to said plurality of jump commands, a site cortesponding to each of said site identifiers is accessed.] retrieve at a predefined interyal data files contesponding to each of the location identifiers in the stored initiallist. together with successively displaying the dara files in the search window responsiye to a single selection of the second icon.

[^2]22. (Amonded) The computer readable program code means in said article of manufacture of claim [20] 18 comprising:
computer readable program code means for causing a computer to receive said [1st] initial data file and said first data file [of information], wherein said [1 st] initial data file and said first data file, [comprises] comprise information in a markup language and said [site] lecation identifiers comprise URLs.
23. (Amended) A computer-implemented method [of retrieving information] for searching on a local computer a network of nodes with data files stored at correspondine ones of the nodes and each of the data files identifiable by a location identifier and seyeral of the data files containing location identifiers for others of the data files and the method for searching comprising the [following steps] acts performed on the local computer of:
constructine a browser window on a display screen of the local comouter: displaying a first icon and a list window on said display screen:
[receiving] retrieving into [a] the browser window [a 1 st ] aninitial data file [of information] from the network [which includes site identifiers and other information] together with displaying the initial data file in the browser window, and the initial data file including location identifiers;
parsing [said Ist file of information to extract a] the location identifiers from the initial data file to forman initial list [comprised] of [said 1st file site] location identifiers together. with storine and displaying the initial list in the list window, responsive to a selection of the firsticon;
[displaying a jumper window;
receiving into said jumper window said set of 1 st file site identifiers;]
[selecting a one of said 1 st file site identifiers from said browser window, whercin the browser accesses a location corresponding to said one selected and retrieves from said location a 2nd file which includes side identifiers and other information;
[receiving into said browser window said 2nd fle of information;]
maintaining the list window containing the list of location identifiers while displaving any of the data files stored on the network in the browser window; and
[selecting an other of said Ist file site identifier from said jumper window, wherein the
browser accesses a location corresponding to said ocher selected site identifier and retrieves from said location a 3rd file; and ]
[receiving into said browser said 3rd file.]
retrieving a first data file corresponding to a one of the location identifiers displayed in the list window together with displaying the first data file in the brewser window responsive to a selection of the corresponding one of the lacation identifiers displayed in the list window
24. A computer-implemented method of [retrieving infomation through a browser according tol of claim 23 , wherein:
wherein said [lst] initial data file and said [2nd] first dala file comprise information in a markup language and said [site] location identifiers comprise URLs.
25. (Amended) A computer usable medium having computer readable program code means embodied therein for [causing a retrieval of information from a] for searching on a local computer a network of nodes with data files stored at conresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing locationidentifiers for others of the data files, the computer readable program code means in said article of manufacture comprising;
computer readable program code means for constructing a browser window on a display screen of the local computer:
computer readable program code means for displayine a first icon and a list window on said disolay screenli
computer readable program code means for [causing a computer to receive] retrieving into [a] the browser window [a 1st] aninitial data file [of information which includes site identifiers and other information] from the network together with displaying the initial data file in the browser window, and the initial data file includiog location identifiers;
computer readable program code means for [causing a computer to parse] parsing [said 1 st] the location identifiers from the initial data file [of information] to [extract] fom [a] an initial list [comprised] of [said 1st file site] location identifiers together with stoning and disolaying the initial list in the list window tesoonsive to a selection of the first icon;
[computer readable program code means for causing a computer to display a jumper window;
computer readable program code means for causing a computer to receive into said jumper window said set of 1 st file site identifiers; ]
[computer readable program code means for causing a computer to select a one of said 1st file site identifiers from said browser window, wherein the browser accesses a location corresponding to said one selected and retrieves from said location a 2nd file which includes side identifiers and other information;]
[computer readable program code means for causing a computer to receive into said browser window said 2nd file of information; ]
computer readable program code means for maintaining the list window containing the list of location identifiers while displaying any of the data files stored on the network in the browser window; and [causing a computer to select an other of said 1 st file site identifier from said jumper window, wherein the browser accesses a location corresponding to said other selected and retrieves from sajd location a 3 rd file; and]
computer readable program code means for retrieving a first data file corresponding to a one of the location identifiers displayed in the list window together with displaying the first data file in the browser window, responsive to a selection of the cortesponding one of the location identifiers disolayed in the list withdow. [causing a computer to receive into said browser said 3rd file.]
26. The computer readable program code means in said article of manufacture of claim 25 comprising:
computer readable program code means [for causing a computer] to [receive] retrieve said [1st] initial data file of information, wherein said [1st] initial data file, comprises information in a markup language and said [site] Location identifiers comprise URLs.

## REMARKS

This communication is responsive to Office Action of March 3, 1998. Claims 1-26 are present for examination of which claims $1,7,18,23$ and 25 are independent claims. Examiner has noted that the informal drawings are suitable for examination purposes only. Examiner has objected to the new title as not descriptive. Claims 1-6 stand rejected under 35 U.S.C. § 112 , second paragraph, as being indefinite. Claims 1,7 and 18 are rejected under 35 U.S.C. $\$ 101$ because the claims are directed to "Manipulation of Abstract Ideas Without a Claimed Practical Application.." Claims 1-5, 7-11 and 13-22 are rejected under 35 U.S.C. 102(a) as being anticipated by Applicant's admitted known prior art. Claims 6 and 12 are rejected under 35 U.S.C. I03(a) as being unpatentable over Applicant's admitted prior art in view of AltaVisa, "http:/www.altavista.com", 2/2098, screen printouts pp. 1-2. Claims 2326 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of CNN Interactive, "http:/cnn.com/index", 2/20/98, screen printout pp.1-7.

Applicant has canceled claims 2-3, 8-9, 14-15 and 19-20. Applicant has amended the remaining claims $1,4-7,10-13,16-18$ and 21-26.

## 3. Drazalogs:

Applicant will submit formal drawings at such time as the Examiner indicates allowability of one or more claims

## 4-5: Specification:

The title has been amended to a; --METHOD AND APPARATUS FOR RETRIEVING DATA FROM A NETWORK USING LOCATION DENTIFIERS--. Applicant has reviewed the application for typographical errors.

## 6: Relection Under 35 U.S.C. $\mathbb{\$ 1 1 2 , ~ I 2 ~ o f ~ C l a i m s ~ 1 - 6 : ~}$

Claims 1-6 stand rejected under 35 U.S.C. § 112, second paragraph as being inderinite for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention.

Applicant amends Claims 1-6 to distinctly claim the invention as a "A computer implemented method for searching a network...." (Applicant's amended Claim 1, at lines 1-2)
and requests that tho Examiner reconsider and withdraw the rejection under 35 U.S.C. $\S 112$ in view of the amendments.

## 7-8: Relection Under 35 U.S.C. \& 101 of Claims 1. 7 and 18;

Independent Claims 1,7 and 18 stand rejected under 35 U.S.C. $\S 101$ because the claims are directed to "Manipulation of Abstract Ideas Without a Claimed Practical Application." Applicant has amended each of claims 1,7 , and 18 to include the practical limitation of retrieving data from remote. Intemet sites which are then displayed on a sereen. Applicant requests that the Examiner reconsider and withdraw the rejection under 35 U.S.C. § 101 in view of the amendments.

## 9-10: Reiection Uader 35 U,S.C. \& 102I: of Claims 1-5, 7-11 and 13-22;

Claims 1-5, 7-11 and 13-22 are rejected under 35 U.S.C. 102(a) as being anticipated by Applicant's admitted known prior art. Applicant has amended each of independent claims 1, 7, 13 and 18 to include the limitations performed on a local computer of:
"...retrieving an initial data file from the network ... parsing the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..." (Amended Claim 1, lines 9, 12-14)
"... retrieve an initial data file from the network ...to parse the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..." (Amended Claim 7, lines 11-12, 23-26) "... retrieving an initial dara file from the netwark... parsing the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..."(Amended Claim 13. lines 8, 11-13) "... retrieve an initial data file from the network ... parse said initial data fle to form an initial list of location identifiers together with storing the initial list ... responsive to a selection of the first icon... " (Amended Claim 18, lines 11-12, 15-17)

Examiner has indicated that by underlining the URL hotlinks on each Web page the Netscape Browser parses the initial data file (Office Action at page 5). Assuming, arguendo, that the underlining of URL hotlinks by the Netscape browser involves a parsing step, there are still claimed patentable distinctions between the "parsing" of the Netscape browser and
the parsing clainned in the current invention. First, the Netscape browser does not provide a "first icon" for selecting which of the pages displayed in the search window will be parsed to form an initial list. Second, the Netscape browser does not store the initial list of location identifiers as is claimed by the applicant. In the specification at page 17 the applicant indicates that: "... if a determination is made that the refresh/update button ... has been selected, then an HTML encoded page displayed in the browser view window is parsed into ... hot links... [t]hen the hot-links [are stored] ... in storage segment 230" (Specification at page 17, lines 19-31). Thus the parsing in the applicant's invention is an optional treatment accorded to a web page displayed in the browser's view window, the selection of which option results in the extraction from the selected web page of specific infomation, i.e. hot links, and the storage of that information for later use. There is no corresponding capability in any of the references cited.

The Examiner has indicated that the Yahoo search engine shows where the user activates the next page request, and in view of the current page, the next page (i.e. site identifier is automatically chosen (Office Action, page 6). The navigation tools provided by the Internet search providers such as Yahoo and Alta Vista, i.e. the "next 20 button" and "the 1-10, 10-20, etc. button bars " do not achieve the claimed functionality of the applicant's invention in several respects. First, both Yahoo and Alta-Vista (See Appendix C, line 171188, and Appendix D, line 183-202) hardcode the various permutations of the button bar into the initial and each subsequent data file received from them. Thus, the user has no choice as to the creation of the button bar, it is provided only provided for locations catalogued by Yahoo or Alta-Vista and only within the context of their pages. The button bar is not part of the processes on the local computer, rather it is hardcoded into the pages provided by information indexers such as Yahoo and Alta-Vista. Second, the button bar is NOT stored separately from the initial data file, it is part of the file. Once you visit a location you no longer have any such navigation tool. Thus the button bar is a transient phenomenon, viable only within the confines of the $Y$ ahoo page. Once you visit a site the button bar is gone, and because it is not stored, you must hit the back button on the browser one or more times to rehurn to the Yahoo search, and then select the next site to visit. Third, you can not select a location identifier with the buttons on Yahoo and Alta-Vista, rather you select a set, e.g. "next 20", of location identifiers.

The applicant claims the ability to select a parsing and storage operation responsive to a selection of a first icon, e.g. the update button. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file corresponding to a selected one of the location identifiers in the stored initial list responsive only to the selection of the second icon. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

Independent claims 13 and respective dependent claims 16-17 contain an additional limitation not found in any of the examiners cited references. Each claims the "slide show" feature of automatic site searching.
"... automatically ... retrieve at a predefined interval data files corresponding to each of the location identifiers in the stored initial list, logether with successively displaying the data files in the search window, responsive to a single selection of the second icon." (Amended Claim 18. Line 18, 22-24).

Thus independent claim 13 and dependent claims 16-17 are believed to be allowable because they contain a patentably distinct feature not found in any of the references.

The applicant has amended rejected independent claims $1,7,13$ and 18 to overcome the examiner's rejection under 35. U.S.C. § 102(a). The applicant therefore requests that independent claims $1,7,13$ and 18 be allowed. Remaining rejected dependent claims 4-5, 10-11,16-17 and 21-22 depend directly or indirectly from independent claims 1,7,13 and 18 which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent significance claims 4-5, 10-11,16-17 and 21-22 are believed to be in allowable form and the applicant therefore requests that they be allowed.

## 11-12: ReiectionUnder 35 U.S.C. §103(a) of Claims 6and 12;

Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over. Applicant's admitted priot art in view of AltaVista, "http:/www.altavista.com," 2/20/98, screen printouts pp.1-2. Claims 6 and 12 have been amended to depend directly, from respectively, amended independent claims 1 and 7.

Examiner has cited Alta-Vista as teaching a button bar with previous and next icons.
The Examiner has indicated that the combination of the Alta-Vista button bar into Yahoo
search ongine is obvious and the applicant concurs. However, such a combination does not produce the claimed features of the applicant's invention.

The navigation tools provided by the Internet search providers such as Yalioo and Alta Vista, i.e. the "next 20 button" and "the 1-10, 10-20, etc. button bars" do not achieve singly or in combination the claimed functionality of the applicant's invention in several respects. First, both Yahoo and Alta-Vista (See Appendix C, line 171-188, and Appendix D, line 183202) hardcode the various permutations of the button bar into the initial and each subsequent data file received from them. Thus, the user has no choice as to the creation of the button bar, it is provided only provided for locations catalogued by Yahoo or Alta-Vista and only within the context of their pages. The button bar is not part of the processes on the local computer, rather it is hardcoded into the pages provided by information indexers such as Yahoo and Alta-Vista. Second, the button bar is NOT stored separately from the initial data file, it is part of the file. Once you visit a location you no longer have any such navigation tool. Thus the button bar is a transient phenomenon, viable only within the confines of the Yahoo page. Once you visit a site the button bar is gone, and because it is not stored, you must hit the back button on the browser one or more times to return to the Yahoo search, and then select the next site to visit. Third, you can not select a location identifier with the buttons on Yahoo and Alta-Vista, rather you select a set, e.g. "next 20", of location identifiers.

The applicant claims the ability to select a parsing and storage operation responsive to a selection of a first icon, e.g. the update button. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file corresponding to a selected one of the location identifiers in the stored initial list responsive only to the selection of the second icon. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

The applicant has amended rejected independent claims 1,7 to overcome the examiner's rejection under 35. U.S.C. § $103(\mathrm{a})$. The applicant therefore requests that independent claims 1 and 7 be allowed. Remaining rejected dependent claims 6 and 12 depend directly or indirectly from independent claims 1 and 7 , which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent
significsuce claimis 6 and 12 are belicved to be in allowable form and the applicant therefore requests that they be allowed.

## 13: Relection Under 35 U.S.C. \& 103(a) of Claims 23-26:

Independent Claims 23 and 25 and claims dependent thereon, respectively 24 and 26 are rejected under 35 U.S.C. 103 (a) as being unpatentable over Applicant's admitted prior art in view of CNN interactive, "http:/cnn.com/index," 2/20/98, screen printout pp. 1-7.

Each of claims 23 and 25 contains features not found in any of the cited references. "... maintaining the list window containing the list of location identifiers while displaying any of the data files stared on the network in the browser window ... retrieving a first data file corresponding to a one of the location identifters displayed in the list window together with displaying the first data fle in the browser window, responsive to a selection of the corresponding one of the location identifiers displayed in the list window." (Amended claim 23, line 22-23, 28-31)(Amended claim 25, line 29-31, 34-37).

Examiner has cited the CNN site as displaying a "jumper window containing the index image of WORLD..." (Office Action, page 8). Applicant concurs that CNN displays on each page a uniform index of their site.' Examiner has cited the combination of the CNN site index and the Yahoo search engine is obvious and the applicant concurs. However, such a combination does not produce the claimed features of the applicant's invention.

The navigation tools provided by CNN , i.e. the site index and Yahoo do not achieve singly or in combination the claimed functionality of the applicant's invention in several respects. First, CNN (See Appendix A, line 29-112, and Appendix B, line 31-129) hardcodes the various permutations of the index all the pages of their site: Thus, the user has no choice as to the creation of the index, it is provided only for locations within the CNN site. Neither the index nor its creation is part of the processes on the local computer, rather it is hardcoded into the pages provided by CNN. Second, the index is NOT stored separately from the initial data file, it is part of the file. If you leave the CNN site you no longer have any index. Thus the index is a transient phenomenon, viable only within the confines of the pages of the CNN site. Once you visit angther site the CNN index is gone, and because it is not stored, you must hit the back button on the browser one or more times to retum to the CNN site, and then select the next section of the site to visit.

The applicant claims the ability to select a parsing and storage and display operation responsive to a selection of a first icon, e.g. the update button, in which the hotlinks are displayed in a list window. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file in the browser window responsive only to a selection from the list window of a location identifier corresponding to the first data file. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

The applicant has amended rejected independent claims 23,25 to overcome the examiner's rejection under 35. U.S.C. § 103(a). The applicant therefore requests that independent claims 23 and 25 be allowed. Remaining rejected dependent claims 24 and 26 depend directly from independent claims 23 and 25 , which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent significance claims 24 and 26 are believed to be in allowable form and the applicant therefore requests that they be allowed.

## CONCLUSION

Applicant has canceled claims 2-3, 8-9, 14-15 and 19-20. Applicant has amended each of remaining claims $1,4-7,10-13,16-18$ and $21-26$ into allowable form and requests that they be allowed.

In view of the above remarks, Applicant submits that this application is now ready for allowance. Early notice to this effect is solicited.

Respectfully submitted,

Wilson Sonsini Goodrich \& Rosati

By:
Charles C. Cary
Registration No. 36,764
650 Page Mill Road
Palo Alto, CA 94304-1050
(415) 493-9300.

Date: June 3,1998

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Gray Davis wins California Democratic qubernatorial primary

Lewinsky hires new lapyers

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Deadly sterms return to
Eas!

## 60 dead, hundreds Inlured in high-speed German train crash

At least 60 people were reported to have teen killed and 200 others Injured when a high-speed InterClty Express (ICE) passenger train struck a car that had crashed onto the tracks near the nothem town of Celie Wednesdey moming. officials at the scene said. A


Shuttle TV antenna knocked out Live: NASA-TV
(EUCHESTORY)

## APRENDKX：A

## CNN－MAIN PAGE

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## ＜！－TOP－LEVEL NAVIGATION－－＞

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.com%2FSHOWBLZ" target="_top"><img
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height=62 border=0 alt="ad info"></a></td>-td width="468"><<A
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.roughcut.com" target="_top">-img src="/ads/advertiser/cnn/9805/rcgodzilla468.gif"
border=1 height=60 width=468 alt="TNT Rough Cut and Propecia present the summer
movie monster mash"></a></td></tr></table>
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\section*{APPENDIX: C}

\section*{ALTA VISTA}

Page 1 of ....

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\section*{ARHENDIX:D}

\section*{ALTA VISTA}

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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on: June 4, 1998.

Donna L. Tenting
PATENT
Attorney Docket No. 18041.701
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) )
) Group Art Unit: 2772
)
) Examiner: Stephen Hong
)

AMENDMENT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Official Action of March 3, 1998, Applicant respectfully requests reconsideration of the above-identified application in view of the following amendments and remarks.

\section*{IN THE SPECIFICATION:}

\section*{IN THE TITLE:}

Please delete the title "Internet Search Tools" and amend to read -- METHOD AND APresferATUS FOR RETRIEVING DATA FROM A NETWORK USING LOCATION IDENTIFIERS--.



\section*{IN THE CLAIMS:}

Please cantel claims 2-3/ \(8-9,14-15\) and 19-20.
Please amend the remaining claims \(1,4-7,10-13,16-18\) and 21-26 as follows:
1. (Amended) A computer implemented method [and system for retrieving information from] for searching on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing location identifiers for others of the data files, and the method for searching comprising the [following steps] acts performed on the local computer of \([;]\) :
constructing a search window on a display screen of the local computer:
displaying a first and a second icon separate from the search window on said display screen;
[receiving a 1st file of information] retrieving an initial data file from the network. together with displaying the initial data file in the search window, and the initial data file [which includes site] including location identifiers [and other information]; parsing [said 1st file of information] the location [to extract a list comprising site] identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon; and
[responsive to a jump command, determining which of the list of site identifiers is currently selected and automatically selecting an other of said site identifiers from said list.] retrieving a first data file corresponding to a selected one of the location identifiers in the stored initial list together with displaying the first data file in the search window, responsive to a selection of the second icon.
\begin{tabular}{llll} 
& 1 & 2 & A. (Amended)
\end{tabular} The computer implemented method of claim 1 wherein;

selection of the first icon; and
computer readable program code means for causing a computer [responsive to a jump command,] to [determine which of the list of site identifiers is currently selected and to automatically select an other of said site identifiers from said list.] retrieve a first data file corresponding to a selected one of the location identifiers in the stored initial list together with displaying the first data file in the search window, responsive to a selection of the second icon.
6. information in a markup language and said [site] location identifiers comprise URLs."
7.
11. (Amended) The computer readable program code means in said article of manufacture of claim [9] IX comprising:
computer readable program code means for causing a computer to [receive] retrieve [a 1st] the initial data file [of information] and [to access a 2 nd ] the first data file, wherein each of said [1st] initial and said [2nd] first data files, comprise information in a markup language and said [site] location identifiers comprise URLs.
8.
12. (Amended) The computer readable program code means in said article of manufacture of claim [11] I comprising:
computer readable program code means for causing a computer to retrieve the first data
file corresponding to the one of the location identifiers in the stored initial list [automatically select] selected [said other of said site identifiers from said list] from a group consisting of: a next [site] location identifier, a prior [site] location identifier, a first [site] location identifier and a last [site] location identifier[.] together with displaying the first data file in the search window, responsive to a selection of the second icon.
9.
13. (Amended) A computer implemented method [of retrieving information] for searching
on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing location identifiers for others of the data files, and the method for searching, comprising the [following steps] acts performed on the local computer of [;] :
constructing a search window on a display screen of the local computer; displaying a first and a second icon separate from the search window on said display screen;
[receiving a 1st file of information] retrieving an initial data file from the network together with displaying the initial data file in the search window, and the initial data file [which includes site] including location identifiers [and other information];
parsing [said 1st file of information] the location [to extract a list comprising site] identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon; and
automatically [sending a plurality of jump commands to the browser wherein each of said jump commands includes a one of said site identifiers from said list comprising site identifiers, and wherein further responsive to said plurality of jump commands a site corresponding to each of said site identifiers is accessed.] retrieving at a predefined time interval data files corresponding to each of the location identifiers in the stored initial list. together with successively displaying the data files in the search window, responsive to a single selection of the second icon.
10.
16. (Amended) The computer implemented method of claim 13 wherein:
said \([1 \mathrm{st}]\) initial data file comprises information in a markup language; and
said [site] location identifiers comprise URLs.
11.
17. (Amended) The computer implemented method of claim [15]-13 wherein: said [1st] initial data file and said first data file [comprises] comprise information in a markup language; and
said [site] location identifiers comprise URLs.
12.
18. (Amended) A computer usable medium having computer readable program code means
embodied therein for [causing a retrieval of information from] for searching on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing location identifiers for others of the data files, and the computer readable program code means in said article of manufacture comprising:
computer readable program code means for causing a computer to construct a search window on a display screen of the local computer;
computer readable program code means for causing a computer to display a first and a second icon separate from the search window on said display screen;
computer readable program code means for causing a computer to [receive] retrieve [a 1st ] an initial data file [of information] from the network together with displaying the initial data file in the search window, and the initial data file [which includes site] including location identifiers [and other information];
computer readable program code means for causing a computer to parse said [1st ]initial data file [of information] to [extract] form [a] an initial list [comprising site] of location identifiers together with storing the initial list, responsive to a selection of the first icon; computer readable program code means for causing a computer to automatically [send a plurality of jump commands wherein each of said jump commands includes a one of said site identifiers from said list comprising site identifiers, and wherein further responsive to said plurality of jump commands, a site corresponding to each of said site identifiers is accessed.] retrieve at a predefined time interval data files corresponding to each of the location identifiers in the stored initial list, together with successively displaying the data files in the search window, responsive to a single selection of the second icon.
13.
21. (Amended) The computer readable program code means in said article of manufacture of claim 18 comprising:
computer readable program code means for causing a computer to receive said [1st] initial data file [of information], wherein said [1st] initial data file, comprises information in a markup language and said [site] location identifiers comprise URLs.
14.
22. (Amended) The computer readable program code means in said article of manufacture

[receiving into said browser said 3rd file.]
retrieving a first data file corresponding to a one of the location identifiers displayed in the list window together with displaying the first data file in the browser window, responsive to a selection of the corresponding one of the location identifiers displayed in the list window.
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(Amended)
24. A computer-implemented method of [retrieving information through a browser according to] of claim 23 , wherein:

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wherein said [1st] initial data file and said [2nd] first data file comprise information in a markup language and said [site] location identifiers comprise URLs.
17.
25. (Amended) A computer usable medium having computer readable program code means embodied therein for [causing a retrieval of information from a] for searching on a local computer a network of nodes with data files stored at corresponding ones of the nodes and each of the data files identifiable by a location identifier and several of the data files containing location identifiers for others of the data files, the computer readable program code means in said article of manufacture comprising;
computer readable program code means for constructing a browser window on a display screen of the local computer;
computer readable program code means for displaying a first icon and a list window separate from the browser window on said display screen;
computer readable program code means for [causing a computer to receive] retrieving into [a] the browser window [a 1 st ] an initial data file [of information which includes site identifiers and other information] from the network together with displaying the initial data file in the browser window, and the initial data file including location identifiers;
computer readable program code means for [causing a computer to parse] parsing [said 1 st ] the location identifiers from the initial data file [of information] to [extract] form [a] an initial list [comprised] of [said 1st file site] location identifiers together with storing and displaying the initial list in the list window, responsive to a selection of the first icon;
[computer readable program code means for causing a computer to display a jumper window;
computer readable program code means for causing a computer to receive into said jumper window said set of 1st file site identifiers;]
[computer readable program code means for causing a computer to select a one of said 1st file site identifiers from said browser window, wherein the browser accesses a location corresponding to said one selected and retrieves from said location a 2 nd file which includes side identifiers and other information;]
[computer readable program code means for causing a computer to receive into said browser window said 2nd file of information;]
[computer readable program code means for causing a computer to select an other of said 1st file site identifier from said jumper window, wherein the browser accesses a location corresponding to said other selected and retrieves from said location a 3rd file;] and
computer readable program code means for retrieving a first data file corresponding to a one of the location identifiers displayed in the list window together with displaying the first data file in the browser window, responsive to a selection of the corresponding one of the location identifiers displayed in the list window. [causing a computer to receive into said browser said 3rd file.]
(Amended
26. The computer readable program code means in said article of manufacture of claime25comprising:
computer readable program code means [for causing a computer] to [receive] retrieve. said [1st] initial data file of information, wherein said [1st] initial data file, comprises information in a markup language and said [site] location identifiers comprise URLs.

\section*{REMARKS}

This communication is responsive to Office Action of March 3, 1998. Claims 1-26 are present for examination of which claims \(1,7,18,23\) and 25 are independent claims. Examiner has noted that the informal drawings are suitable for examination purposes only. Examiner has objected to the new title as not descriptive. Claims 1-6 stand rejected under 35 U.S.C. § 112, second paragraph, as being indefinite. Claims 1,7 and 18 are rejected under 35 U.S.C. \(\S 101\) because the claims are directed to "Manipulation of Abstract Ideas Without a Claimed Practical Application.." Claims 1-5, 7-11 and 13-22 are rejected under 35 U.S.C. 102(a) as being anticipated by Applicant's admitted known prior art. Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of AltaVisa, "http:/www.altavista.com", 2/2098, screen printouts pp. 1-2. Claims 2326 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of CNN Interactive, "http:/cnn.com/index", 2/20/98, screen printout pp.1-7.

Applicant has canceled claims 2-3, 8-9, 14-15 and 19-20. Applicant has amended the remaining claims 1, 4-7, 10-13, 16-18 and 21-26.

Applicant gratefully acknowledges the telephonic interview grated by the Examiner on Jüne 4, 1998. Examiner and Applicant discussed inventive features of claims 1,13 and 23.

\section*{3: Drawings:}

Applicant will submit formal drawings at such time as the Examiner indicates allowability of one or more claims.

\section*{4-5: Specification:}

The title has been amended to a: --METHOD AND APPARATUS FOR RETRIEVING DATA FROM A NETWORK USING LOCATION IDENTIFIERS--. Applicant has reviewed the application for typographical errors.

\section*{6: Rejection Under 35 U.S.C. § 112, 12 of Claims 1-6:}

Claims 1-6 stand rejected under 35 U.S.C. § 112, second paragraph as being indefinite for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention.

Applicant amends Claims 1-6 to distinctly claim the invention as a "A computer implemented method for searching a network...." (Applicant's amended Claim 1, at lines 1-2) and requests that the Examiner reconsider and withdraw the rejection under 35 U.S.C. \(\S 112\) in view of the amendments.

\section*{7-8: Rejection Under 35 U.S.C. \(\S 101\) of Claims 1, 7 and 18:}

Independent Claims 1, 7 and 18 stand rejected under 35 U.S.C. \(\S 101\) because the claims are directed to "Manipulation of Abstract Ideas Without a Claimed Practical Application." Applicant has amended each of claims 1, 7, and 18 to include the practical limitation of retrieving data from remote Internet sites which are then displayed on a screen. Applicant requests that the Examiner reconsider and withdraw the rejection under 35 U.S.C. § 101 in view of the amendments.

\section*{9-10: Rejection Under 35 U.S.C. § 102(a) of Claims 1-5, 7-11 and 13-22:}

Claims 1-5, 7-11 and 13-22 are rejected under 35 U.S.C. 102(a) as being anticipated by Applicant's admitted known prior art. Applicant has amended each of independent claịms \(1,7,13\) and 18 to include the limitations performed on a local computer of: "...retrieving an initial data file from the network ... parsing the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..." (Amended Claim 1, lines 9, 12-14) " ... retrieve an initial data file from the network ...to parse the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..." (Amended Claim 7, lines 11-12, 23-26) "... retrieving an initial data file from the network... parsing the location identifiers from the initial data file to form an initial list of location identifiers together with storing the initial list, responsive to a selection of the first icon..."(Amended Claim 13, lines 8, 11-13) " ... retrieve an initial data file from the network ... parse said initial data file to form an initial list of location identifiers together with storing the initial list ... responsive to a selection of the first icon..." (Amended Claim 18, lines 11-12, 15-17)

Examiner has indicated that by underlining the URL hotlinks on each Web page the Netscape Browser parses the initial data file (Office Action at page 5). Assuming, arguendo,
that the underlining of URL hotlinks by the Netscape browser involves a parsing step, there are still claimed patentable distinctions between the "parsing" of the Netscape browser and the parsing claimed in the current invention. First, the Netscape browser does not provide a "first icon" for selecting which of the pages displayed in the search window will be parsed to form an initial list. Second, the Netscape browser does not store the initial list of location identifiers as is claimed by the applicant. In the specification at page 17 the applicant indicates that: "... if a determination is made that the refresh/update button ... has been selected, then an HTML encoded page displayed in the browser view window is parsed into ... hot links... [t]hen the hot-links [are stored] ... in storage segment 230" (Specification at page 17, lines 19-31). Thus the parsing in the applicant's invention is an optional treatment accorded to a web page displayed in the browser's view window, the selection of which option results in the extraction from the selected web page of specific information, i.e. hot links, and the storage of that information for later use. There is no corresponding capability in any of the references cited.

The Examiner has indicated that the Yahoo search engine shows where the user activates the next page request, and in view of the current page, the next page (i.e: site identifier is automatically chosen (Office Action, page 6). The navigation tools provided by the Internet search providers such as Yahoo and Alta Vista, i.e. the "next 20 button"-and "the 1-10, 10-20, etc. button bars " do not achieve the claimed functionality of the applicant's. invention in several respects. First, both Yahoo and Alta-Vista (See Appendix C, line 171188, and Appendix D, line 183-202) hardcode the various permutations of the button bar into the initial and each subsequent data file received from them. Thus, the user has no choice as to the creation of the button bar, it is provided only provided for locations catalogued by Yahoo or Alta-Vista and only within the context of their pages. The button bar is not part of the processes on the local computer, rather it is hardcoded into the pages provided by information indexers such as Yahoo and Alta-Vista. Second, the button bar is NOT stored separately from the initial data file, it is part of the file. Once you visit a location you no longer have any such navigation tool. Thus the button bar is a transient phenomenon, viable only within the confines of the Yahoo page. Once you visit a site the button bar is gone, and because it is not stored, you must hit the back button on the browser one or more times to return to the Yahoo search, and then select the next site to visit. Third, you can not select a
location identifier with the buttons on Yahoo and Alta-Vista, rather you select a set, e.g. "next 20 ", of location identifiers.

The applicant claims the ability to select a parsing and storage operation responsive to a selection of a first icon, e.g. the update button. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file corresponding to a selected one of the location identifiers in the stored initial list responsive only to the selection of the second icon. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

Independent claims 13 and respective dependent claims 16-17 contain an additional limitation not found in any of the examiners cited references. Each claims the "slide show" feature of automatic site searching.
"... automatically ... retrieve at a predefined time interval data files corresponding to each of the location identifiers in the stored initial list, together with successively displaying the data files in the search window, responsive to a single selection of the second icon." (Amended Claim 18, Line 18, 22-24).

Thus, independent claim 13 and dependent claims 16-17 are believed to be allowable because they contain a patentably distinct feature not found in any of the references.

The applicant has amended rejected independent claims 1, 7, 13 and 18 to overcome the examiner's rejection under 35. U.S.C. § 102(a). The applicant therefore requests that independent claims \(1,7,13\) and 18 be allowed. Remaining rejected dependent claims 4-5, 10-11,16-17 and 21-22 depend directly or indirectly from independent claims 1,7, 13 and 18 which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent significance claims 4-5, 10-11,16-17 and 21-22 are believed to be in allowable form and the applicant therefore requests that they be allowed.

\section*{11-12: Rejection Under 35 U.S.C. § 103(a) of Claims 6 and 12:}

Claims 6 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of AltaVista, "http:/www.altavista.com," 2/20/98, screen printouts pp.1-2. Claims 6 and 12 have been amended to depend directly, from respectively, amended independent claims 1 and 7.

Examiner has cited Alta-Vista as teaching a button bar with previous and next icons. The Examiner has indicated that the combination of the Alta-Vista button bar into Yahoo search engine is obvious and the applicant concurs. However, such a combination does not produce the claimed features of the applicant's invention.

The navigation tools provided by the Internet search providers such as Yahoo and Alta Vista, i.e. the "next 20 button" and "the 1-10, 10-20, etc. button bars " do not achieve singly or in combination the claimed functionality of the applicant's invention in several respects. First, both Yahoo and Alta-Vista (See Appendix C, line 171-188, and Appendix D, line 183202) hardcode the various permutations of the button bar into the initial and each subsequent data file received from them. Thus, the user has no choice as to the creation of the button bar, it is provided only provided for locations catalogued by Yahoo or Alta-Vista and only within the context of their pages. The button bar is not part of the processes on the local computer, rather it is hardcoded into the pages provided by information indexers such as Yahoo and Alta-Vista. Second, the button bar is NOT stored separately from the initial data file, it is part of the file. Once you visit a location you no longer have any such navigation tool. Thus the button bar is a transient phenomenon, viable only within the confines of the Yahoo page. Once you visit a site the button bar is gone, and because it is not stored, you must hit the back button on the browser one or more times to return to the Yahoo search, and then select the next site to visit. Third, you can not select a location identifier with the buttons on Yahoo and Alta-Vista, rather you select a set, e.g. "next 20", of location identifiers.

The applicant claims the ability to select a parsing and storage operation responsive to a selection of a first icon, e.g. the update button. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file corresponding to a selected one of the location identifiers in the stored initial list responsive only to the selection of the second icon. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

The applicant has amended rejected independent claims 1,7 to overcome the examiner's rejection under 35. U.S.C. § 103(a). The applicant therefore requests that independent claims 1 and 7 be allowed. Remaining rejected dependent claims 6 and 12 depend directly
or indirectly from independent claims 1 and 7, which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent significance claims 6 and 12 are believed to be in allowable form and the applicant therefore requests that they be allowed.

\section*{13: Rejection Under 35 U.S.C. § 103 (a) of Claims 23-26:}

Independent Claims 23 and 25 and claims dependent thereon, respectively 24 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Applicant's admitted prior art in view of CNN interactive, "http:/cnn.com/index," 2/20/98, screen printout pp. 1-7.

Each of claims 23 and 25 contains features not found in any of the cited references. "... retrieving a first data file corresponding to a one of the location identifiers displayed in the list window together with displaying the first data file in the browser window, responsive to a selection of the corresponding one of the location identifiers displayed in the list. window." (Amended claim 23, line 22-23, 28-31)(Amended claim 25, line 29-31, 34-37):

Examiner has cited the CNN site as displaying a "jumper window containing the index image of WORLD..." (Office Action, page 8). Applicant concurs that CNN displays on each page a uniform index of their site. Examiner has cited the combination of the CNN site index and the Yahoo search engine is obvious and the applicant concurs. However, such a combination does not produce the claimed features of the applicant's invention.

The navigation tools provided by CNN, i.e. the site index and Yahoo do not achieve singly or in combination the claimed functionality of the applicant's invention in several respects. First, CNN (See Appendix A, line 29-112, and Appendix B, line 31-129) hardcodes the various permutations of the index all the pages of their site. Thus, the user has no choice as to the creation of the index, it is provided only for locations within the CNN site. Neither the index nor its creation is part of the processes on the local computer, rather it is hardcoded into the pages provided by CNN. Second, the index is NOT stored separately from the initial data file, it is part of the file. If you leave the CNN site you no longer have any index. Thus, the index is a transient phenomenon, viable only within the confines of the pages of the CNN site. Once you visit another site the CNN index is gone, and because it is not stored, you must hit the back button on the browser one or more times to return to the CNN site, and then select the next section of the site to visit.

The applicant claims the ability to select a parsing and storage and display operation responsive to a selection of a first icon, e.g. the update button, in which the hot-links are displayed in a list window. The applicant claims that the subsequent display of any of the data files stored on the network in the search window, any files from any site, will not prevent the display of a first data file in the browser window responsive only to a selection from the list window of a location identifier corresponding to the first data file. This ability to perform a two dimensional traversal to next site on initial list is a unique feature of the applicant's invention.

The applicant has amended rejected independent claims 23,25 to overcome the examiner's rejection under 35. U.S.C. § 103(a). The applicant therefore requests that independent claims 23 and 25 be allowed. Remaining rejected dependent claims 24 and 26 depend directly from independent claims 23 and 25 , which amended independent claims are believed to be in allowable form. For this reason and for other reasons of independent significance claims 24 and 26 are believed to be in allowable form and the applicant therefore requests that they be allowed.

\section*{CONCLUSION}

Applicant has canceled claims 2-3, 8-9, 14-15 and 19-20. Applicant has amended each of remaining claims \(1,4-7,10-13,16-18\) and 21-26 into allowable form and requests that they be allowed.

In view of the above remarks, Applicant submits that this application is now ready for allowance. Early notice to this effect is solicited.

Respectfully submitted,

By:

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Date: June 4, 1998```


[^0]:    ( A fuller description, iffnecessary and a copy of the amendments, if available, which the examiner agreed would render the claims'allowable must be attached. Also, where no copy of the amendments which would render the claims allowable is available, a summary thereof must be attached,
    [Bits not necessary for applicant to provide a separate record of the substance of the interview if,
    Unless the paragraph above has been checked to indicate to the contrary. A FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION IS NOT, WAIVED AND MUST. INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See;MPEP: Section 713.04): If. a reply to the last Office SUBSTANCE OF TH been filed; APPLICANT:IS GIVEN ONE MONTH FROM THIS INTERVIEW:DATETO FILE A STATEMENT:OF THE MH SUBSTANCE OF THE INTERVIEW

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[^2]:    21. (Amended) The computer readable program code means in said article of manufacture of claim 18 comprising:
    computer readable program code means for causing a computer to receive said [lst] initial data file [of information], wherein said [1st] initial data file, comprises information in a markup language and said [site] decation identifiers comprise URLs.
