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5	UNITED STAT	ES DISTRICT COURT	
6 7	UNITED STATES DISTRICT COURT DISTRICT OF NEVADA		
7 8	DISTRIC		
8 9	BARBARA A. PINKSTON,		
10	Petitioner,	2:07-cv-01305-KJD-LRL	
11	vs.	ORDER	
12	SHERYL FOSTER, et al.,		
13	Respondents.		
14			
15	This habeas matter under 28 U.S.C. § 2254 comes before the Court for a decision on		
16	the remaining claims. The Court reaches only Ground 3 of the amended petition.		
17	Background		
18	Petitioner Barbara Pinkston seek	s to set aside her 1997 Nevada state conviction,	
19	pursuant to a jury verdict, of first degree murder with the use of a deadly weapon.		
20	Pinkston was convicted of the murder of Greg Payne on Father's Day, June 18, 1995.		
21	It was undisputed that Pinkston shot Payr	ne twice with a .380 caliber semiautomatic handgun	
22	as he was walking toward his truck. Th	e dispute at trial focused upon the circumstances	
23	leading up to the shooting, Pinkston's state of mind, and her defense that she was a domestic		
24	violence victim and reasonably believed	that Payne intended to kill her. <sup>1</sup>	
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26	summary in the text is intended only as an overvi	1-42 & 47 (defense opening statement). The following ew of the basic factual particulars of the case in order to	
27		Any absence of mention of specific evidence in this overview ignored that evidence in considering a particular issue.	
28		(continued)	

(continued...)

Pinkston met Payne initially when he responded to her ad in October 1992 looking for a roommate to help with the rent on her house. The roommate relationship began as a platonic one, but Pinkston and Payne became romantically involved in November 1992. The romantic relationship was a rocky one, however, basically from its inception. The relationship also produced a daughter even as it initially unraveled. In addition to producing a child, the relationship lead to a number of judicial proceedings concerning, *inter alia*, protection orders and child visitation.<sup>2</sup>

A court hearing was scheduled for Monday, June 19, 1995, to address custody and
 visitation of their daughter.<sup>3</sup>

<sup>1</sup>(...continued)

The Court makes no credibility findings or other factual findings regarding the truth or falsity of evidence or statements of fact in the state court. The Court summarizes same solely as background to the issues presented in this case. No statement of fact made in describing statements, testimony or other evidence in the state court, whether in this overview or in the discussion of a particular issue, constitutes a finding by this Court.

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<sup>2</sup>See,e.g., #18, Ex. 40, at 49-78 (Pinkston's trial testimony providing her account of the early part of the relationship). While the term "roommate" commonly used in this context also was used at trial, the lodger in this instance was to reside in another part of the house rather than the same room. Pinkston would have been approximately 40 years old in 1992. See #18, Ex. 40, at 39-40.

18 Much of the trial evidence pertained to the multiple domestic judicial proceedings and the charges and counter-charges made by both sides therein, as it bore upon the contested issue of Pinkston's state of 19 mind at the time that she shot Payne. The evidence, in a sense, echoed the "he-said, she-said" disputes from the domestic judicial proceedings, with Payne of course no longer being alive to speak for himself. The 20 State sought to portray Pinkston as an obsessed, controlling, and manipulative figure reminiscent of the Glenn Close character in the movie "Fatal Attraction" who lied to the courts and others in an effort to get what 21 she wanted, as to which she herself often was conflicted in her own mind. The defense sought to portray Pinkston instead as the victim of a physically and verbally abusive Payne who posed a threat of death or 22 serious physical harm to Pinkston, their daughter, and her mother and also, allegedly, presented a threat of sexual abuse to their daughter. 23

The Court does not seek to diminish the significance of such evidence in the brief summary set forth herein. The Court has not extensively catalogued the back and forth of the domestic dispute evidence because the bottom line remains that the jury was presented with two dramatically different pictures of the circumstances that preceded the shooting as they related to Pinkston's state of mind at the time. The critical point, as discussed herein, is that – under the jury instructions given and the arguments made to the jury – the jury could believe Pinkston's explanation of the evidence that the State relied upon to establish that she preplanned the killing and still potentially find her guilty of first-degree murder.

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<sup>3</sup>E.g., #17, Ex. 35, at 272-74.

In personal journal entries in early June 1995, Pinkston discussed her frustration with 1 the court proceedings and her belief that the presiding judge in the upcoming June 19, 1995, 2 hearing had turned against her.<sup>4</sup> In an undated document prepared at some point after May 3 25, 1995 and before June 18, 1995, Pinkston outlined possible options as follows: 4 5 Option No. 1 is to do nothing, to let the courts place us in danger and have Kaitlyn scarred emotionally and probably 6 physically abused, to willingly give her to someone who does not have her best interests at heart and who is now using her as a 7 vehicle to further continue this very sick relationship. 8 Option No. 2 is to run away, to go underground, but if I'm 9 located I will be sent to prison for child stealing, and Greg will be given Kaitlyn permanently. 10 No. 3 is to commit suicide, but Kaitlyn will be given to Greg 11 permanently. And Option No. 4 is to kill Greg. 12 #17, Ex. 35, at 163-64, 221-24 & 230-31. 13 14 Pinkston maintained at trial -- through her own testimony and in part through that of a nursing student counselor that she saw at the time – that this "options memo" was merely a 15 self-counseling "problem solving exercise" where all alternatives, no matter how unrealistic, 16 were written down. Pinkston had a bachelors in sociology, and at the time she was in a 17 masters program for sociology at UNLV. She testified that she had been writing personal 18 19 journals every year of her life since she was thirteen years old. Pinkston maintained that the 20option stated as to killing Payne did not reflect something that she actually intended to do and that each one of the options discussed was "absolutely impossible."<sup>5</sup> 21 22 At some point after 12:30 p.m. on the afternoon of the shooting, Pinkston went to a 23 notarial service and had a guardianship document notarized. The document stated that it was 24 Pinkston's desire to have her daughter remain in the custody and care of Pinkston's mother 25 26 <sup>4</sup>See.e.g., #18, Ex. 44, at 154 (State's recital of journal entries in closing argument). 27 <sup>5</sup>#18, Ex. 38, at 7-11 & 14-16 (Consuella Freeman); *id.*, Ex. 40, at 39-40 & 167-73 (Pinkston); *id.*, Ex. 28 41, at 4-6 (same).

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in the event of Pinkston's death "or under any unfortunate circumstance which would prevent
 me from taking care of" her daughter itself.<sup>6</sup>

Pinkston maintained at trial that she had the guardianship document notarized because she was afraid that Payne was about to kill her, following an alleged threat by Payne on the Friday night before Father's Day. She testified that she planned to file the guardianship document at the court proceeding the next day on Monday. According to Pinkston, she stopped at a copy store and made copies of the notarized document, returned home and put one copy in her notebook, and then put the original and three copies in an envelope in preparation for filing the document the next day while at court.<sup>7</sup>

On the afternoon of the shooting, Pinkston showed up at a facility called the Discovery
 Zone where Payne was having a supervised visitation of their then nineteen-month old
 daughter with Pinkston's mother present. Pinkston herself was not supposed to be present.

Thomas Page testified as follows in regard to what he observed while taking two 13 cigarette breaks outside the Discovery Zone. He saw Pinkston initially approach on foot from 14 15 what he perceived to be the direction of the parking lot for a neighboring business, Mountasia. About 30 to 45 minutes later, he heard and saw Pinkston and Payne arguing outside the 16 facility. While he was not trying to listen to their heated conversation, and heard only "bits and 17 18 pieces," he heard references to protective orders and the fact that neither was supposed to 19 be near the other. Page did not hear Payne make any threats toward Pinkston. Page did not see Payne make any combative or assaultive physical gestures toward her. Page, again, did 20 not hear every exchange between the two.8 21

Jack Wilkins walked out to and from his vehicle briefly during the time that Pinkston
 and Payne were arguing. Wilkins observed Pinkston standing over Payne who was sitting on
 the curb with his head down, fidgeting with grass or gravel on the ground. Wilkins perceived

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- 27 <sup>7</sup>#18, Ex. 40, at 173-75, 182 & 183-88 (Pinkston).
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<sup>8</sup>#17, Ex. 33, at 50-60, 70-71, 81-87 & 90-92; cf. *id.*, at 91 ("I was not trying to hear it.").

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<sup>&</sup>lt;sup>6</sup>FN #17, Ex. 35, at 45-67 (notary); *id.*, at 157-60 (detective).

Pinkston to be acting aggressively and that Payne was not. Wilkins heard Payne say at one
point: "That's why your father blew his f—ing head off." Pinkston responded: "Come on, you
know," with the intonation of "Give me a break." Wilkins did not hear the specifics of any
other part of the argument during the brief interval that he was within earshot.<sup>9</sup>

Eventually, Thomas Page heard Payne state to Pinkston "something to the effect of,
'I'm out of here. I'm leaving. You shouldn't be here.'" Payne walked toward his truck, and
Page testified that it "appeared the he was putting his keys in his truck to leave."<sup>10</sup>

8 Pinkston testified that she thought Payne was going to his truck for a gun that she 9 maintained that he kept under the seat. According to Pinkston, Payne kept trying during their 10 discussion that day to get her to meet with him at a park in a remote area that she had been 11 to the day before with her mother and daughter. Pinkston testified that Payne said to her immediately before walking toward the truck for her to "stand right there," that she was dead, 12 and that he was going "to blow [her] f ing head off." She testified that she believed that 13 he was going to follow through on multiple threats allegedly made to her starting in late 14 October 1994.<sup>11</sup> 15

16 Thomas Page saw Pinkston walk quickly up to about six to eight feet behind Payne, 17 pull a gun, assume a stance with her feet shoulder width apart, and fire. Payne dropped or

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 <sup>11</sup>See #18, Ex. 40, at 205-24 (day of incident); *id.*, at 134-45, 160-62 & 173 (detailing prior alleged threats).
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A Pinkston coworker, Robert Schmidt, testified for the defense that Payne said to him on the phone in late December 1994, when Schmidt was being evasive as to Pinkston's whereabouts or availability: "Well, you just tell her next time you see her, she can run but she can't hide." #17, Ex. 37, at 106-07.

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A Pinkston neighbor, Mary Marineau, testified for the defense that she observed an incident shortly before Christmas Eve, 1994, where a man arguing with Pinkston shouted at Pinkston in the driveway of her residence: "You can't run far enough, bitch," and "You're dead meat, and so is that brat of yours." According to Marineau, Pinkston drove away and the man went to his pickup truck, retrieved a handgun, and then tried to drive after Pinkston while handling the steering wheel with the gun in hand. Marineau identified Payne at trial as the man that she saw from a photograph presented to her by defense counsel. Marineau testified that she did not report the incident to the police at the time because she did not get a license number. #18, Ex. 38, at 86-100.

<sup>&</sup>lt;sup>9</sup>#17, Ex. 33, at 151-81.

<sup>20 &</sup>lt;sup>10</sup>#17, Ex. 33, at 60-62.

collapsed "like a sack of potatoes" after the shot. Page ran inside the Discovery Zone to tell
 someone to call 911 after the shot.<sup>12</sup>

At one point after Thomas Page came back outside, he made eye contact with Pinkston, who was walking away, at which point she accelerated her pace back toward the neighboring business, Mountasia.<sup>13</sup>

John Egbert testified as follows. He was working at Mountasia disposing of waste in
the dumpster enclosure in the rear. He heard a loud noise like a gunshot or a tire blowout.
He stepped outside the enclosure, looked around, and then returned inside to continue his
task. About ten seconds after the first noise, he heard a second noise, with it being more
clear that the two noises sounded like gunshots. About twenty seconds later, he stepped out
of the enclosure again and observed a woman moving at a jog from the Discovery Zone
toward the front of Mountasia.<sup>14</sup>

Pinkston testified that as Payne walked toward his truck she was terrified. According to Pinkston, there was a very loud noise in her ears, and she felt "like pushing on my face." She testified that she got the gun out of her purse but that she did not mean to pull the trigger. According to Pinkston, after the first shot, Payne "just stood there" and turned away from the truck door, and she thought that the shot had missed. She testified that she heard the second shot but did not remember when she fired it.<sup>15</sup>

The apparent first shot hit Payne in the right back. The bullet traveled through his right
lung, passed through the aorta, traveled through his right lung, and then exited his left chest
before penetrating the driver's side window of his truck, possibly hitting and denting the

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28 <sup>15</sup>#18, Ex. 40, at 224-26.

<sup>&</sup>lt;sup>12</sup>#17, Ex. 33, at 62-67, 72-81 & 94-103. Defense counsel maintained in closing argument that the surveillance video tended to establish that Page initially heard rather than saw the first shot. #18, Ex. 44, at 82-86. Be that as it may, Page testified, including on cross-examination, that he saw rather than merely heard the first shot and that he then saw Payne drop immediately "like a rock" or "like a sack of potatoes."

<sup>26 &</sup>lt;sup>13</sup>#17, Ex. 33, at 65-68 & 87-103.

<sup>27 &</sup>lt;sup>14</sup>#17, Ex. 33, at 134-150.

painted headliner and coming to rest on the seat cushion on the passenger side. The
apparent second shot entered through Payne's right cheek near the ear, passed through a
number of nonvital tissues, exited on the back of his left neck, reentered his body in his upper
left shoulder or back, and came to rest under the skin in his left back.<sup>16</sup>

A lay witness who tried, unsuccessfully, to find a pulse before the police and medical responders arrived observed Payne laying on the ground with the left side of his face on the ground and the right side of his face up. The first responding fire rescue crew similarly found Payne, with no respiration, pulse or cardiac activity, laying with the left side of his face on the ground. The lividity, or blood pooling, and pattern of final blood flow from the wounds observed during the autopsy additionally tended to establish that Payne was laying on his back when he died.<sup>17</sup>

12 The medical examiner opined that Payne was standing either next to his truck or fairly close to it when the apparent first bullet struck him and he fell backwards. The examiner 13 opined that the trajectory of the apparent second shot was consistent with Payne then being 14 shot while he was laying on the ground, with the left side of his head turned toward the 15 ground. The medical examiner acknowledged that this was not the only possible scenario as 16 17 to Payne's position at the time of the second shot, but his conclusion based upon the physical 18 evidence was that Payne was on his back when the second shot hit him. The defense expert 19 opined that this scenario as to the second shot was only one of many possible scenarios reflected by the forensic evidence.<sup>18</sup> 20

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<sup>&</sup>lt;sup>16</sup>#17, Ex. 35, at 79-85 & 101 (medical examiner); *id.*, Ex. 36, at 91, 148 & 152-53 (crime scene technicians); *id.*, at 213 & 218-19 (firearm and tool mark examiner); #18, Ex. 38, at 56-57 & 75-76 (defense crime scene reconstruction expert). As testified to by the medical examiner, the aorta is the very large artery through which the blood going to the rest of the body passes through after exiting the heart.

 <sup>&</sup>lt;sup>17</sup>#17, Ex. 34, at 11-37 (John Barr, who testified at one point that the right side of the face was to the ground, but this testimony was corrected thereafter); *id.*, at 147-58 (fire rescue); *id.*, Ex. 35, at 87-89 (medical examiner).

<sup>&</sup>lt;sup>18</sup>#17, Ex. 35, at 100-02 & 110-15 (medical examiner); #18, Ex. 38, at 65-71 & 78-85 (defense crime scene reconstruction expert).

The State's evidence tended to establish that the apparent first shot killed Payne almost immediately, within a span of approximately twenty to thirty seconds, by penetrating the aorta. The apparent second shot did not pass through any vital structures, such as a major blood vessel or the spinal cord, before exiting the back of the neck and passing through similarly nonvital tissue in Payne's back.<sup>19</sup>

A third unfired and ejected cartridge that matched the two fired rounds also was found 6 at the scene. The tool markings on the ejected cartridge established conclusively only that 7 the round had been loaded into and then cycled through the semiautomatic pistol (*i.e.*, 8 9 chambered and then extracted from the firing chamber) at some point without being fired. 10 The markings were not inconsistent with the round having jammed during an unsuccessful 11 firing attempt and having been cleared, but the markings did not conclusively establish that the round had jammed. The markings also were consistent with the cartridge simply having 12 13 been loaded and then cycled through the pistol normally without firing. The markings thus also were not inconsistent with a person pulling the slide back and chambering a round when 14 a cartridge already was in the firing chamber, which would result in the previously-chambered 15 round being ejected without being fired. Neither the State nor the defense expert could opine 16 17 as to whether the unfired cartridge was ejected before, between, or after the two shots that were fired.<sup>20</sup> 18

19At 4:24 p.m. on June 18, 1995, Pinkston left a message on her home answering20machine for her infant daughter. Pinkston said, *inter alia*: "I didn't know what else to do."21

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<sup>&</sup>lt;sup>19</sup>#17, Ex. 35, at 81-82, 91, 105 & 110 (medical examiner). The defense crime scene reconstruction
expert stated variously that he would defer to the medical examiner as to whether the second shot could have
been fatal, that he did not have a position on that issue, and that he "would assume" that the second shot
"certainly would have that capability as well." Regardless of this qualified assumption, the defense expert
was neither tendered nor qualified as an expert in forensic pathology. #18, Ex. 38, at 74-75.

<sup>&</sup>lt;sup>20</sup>#17, Ex. 36, at 130-33 & 145-48 (crime scene technician); *id.*, at 211-12, 222-25 & 227-35 (firearm and tool mark examiner); #18, Ex. 38, at 58-61, 76-77 & 81-82 (defense crime scene reconstruction expert).

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<sup>&</sup>lt;sup>21</sup>#17, Ex. 35, at 200-03.

1 At approximately 5:00 p.m., Pinkston turned herself in at a police station in downtown Las Vegas. She responded affirmatively that she had committed a crime of violence and that 2 the victim was at the Discovery Zone. She informed the desk sergeant that the weapon was 3 in the trunk of her car. When her vehicle was later impounded and searched, the .380 4 handgun and a seven-round magazine holding four live rounds was found in a purse in the 5 trunk of the vehicle. As of that time, there was nothing else in the purse other than the 6 handgun and magazine. The hard gun case for the handgun was in a brown paper bag in the 7 trunk.<sup>22</sup> 8

9 When interviewed shortly thereafter by detectives, Pinkston stated, after learning that 10 Payne had died, that she had shot Payne twice. According to the interviewing detective, she 11 said that "she didn't think she knew what she was doing when she fired the first shot but she did when she fired the second shot." She stated that "she did not know what was going on 12 in her mind." According to the detective's testimony on direct examination, Pinkston did not 13 state to the detectives at that time that she was in fear for her life, that Payne had threatened 14 her prior to the shooting, that she believed that Payne had a gun, or that she fired to protect 15 herself. According to the detective, Pinkston instead said that "she did not know what was 16 going to happen when he got to the truck."<sup>23</sup> 17

However, when defense counsel went back through the transcript of the statement with the detective on cross-examination, there were numerous exchanges, including key exchanges presented as unequivocal statements on direct, where Pinkston did not complete sentences and/or her voice trailed off as she broke down. The detective testified that Pinkston appeared upset and was crying "on and off" throughout the interview, with the detective stating to Pinkston at the time that she was "acting devastated." When defense counsel went back through the transcript, Pinkston's statements were not necessarily as

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<sup>23</sup>#18, Ex. 42, at 85-95 & 114-15 (detective).

 <sup>26 &</sup>lt;sup>22</sup>#17, Ex. 33, at 119-34 (desk sergeant); *id.*, Ex. 35, at 131-36 (detective); *id.*, Ex. 36, at 94-95 & 136-42 (crime scene technicians); *id.*, at 194-95 (firearm and tool mark examiner); #18, Ex. 42, at 96-97 & 172-72 (detectives).

unequivocal as the detective's testimony on direct indicated. Pinkston specifically stated: "I
 just felt, I did have a gun with me, and I just felt if he had gotten in that car that he was, that
 would be the end of me."<sup>24</sup>

The police did not find a gun in Payne's truck following the shooting. When the crime
scene technicians continued processing the scene after examining and photographing
Payne's body, both doors to the truck were locked and the keys were on the ground next to
Payne's body. The driver's side window was broken apparently from a gunshot.<sup>25</sup>

Nor did the police find a gun when they searched Payne's residence two days later.
Las Vegas police records did not show that a gun was registered to Payne. A local ordinance
requires handgun owners to register their weapons with the local police.<sup>26</sup> The State called
a number of landlords, employers and/or friends who testified that they had not seen Payne
with a gun and/or had not heard him speak of having a gun.<sup>27</sup>

When the police executed a search warrant of Pinkston's residence, her mother unilaterally directed the police to a three-ring binder. The binder included materials pertaining to the domestic dispute litigation between Pinkston and Payne. The first page in the binder was dated June 16, 1995, and stated, *inter alia*:

To mom, my attorney or whoever has possession of this book. . . . You may use any contents in my defense or in my behalf . . . .

19 **#17**, Ex. 35, at 152-55 & 215-16.

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<sup>24</sup>#18, Ex. 42, at 98-114 & 115-17 (detective).

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<sup>25</sup>#17, Ex. 36, at 90-92 & 135-36.

<sup>26</sup>#17, Ex. 35, at 121 (search of Payne residence); #18, Ex. 42, at 130-31 (absence of entry in local firearm registration records). Pinkston had not registered her handgun following her return to Las Vegas after purchasing the gun while living in Carson City in March, 1995. She testified that she was not aware of the Clark County local registration requirement. #17, Ex. 33, at 104-18 (Pinkston's gun purchase in Carson City); *id.*, Ex. 35, at 182-86 (materials associated with Pinkston's handgun found at her residence).

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27#18, Ex. 42, at 125 (Helen Redford); *id.*, at 136-38 (James Schollard, who testified specifically about what he saw in Payne's truck); *id.*, at 151 (Jacques Jasmin); *id.*, at 155 (Lee Bennett).

1 Pinkston maintained that the cover page to the binder had been there continuously prior to June 1995 and that the binder contained "all the family court papers." She testified 2 that she had updated the cover page with a June 16, 1995, date because the prior cover page 3 had worn out.28 4 5 The binder included, *inter alia*, the guardianship document that Pinkston had notarized on June 18, 1995.<sup>29</sup> 6 The police also found a spiral bound notebook that included the "options" memo in 7 8 which Pinkston discussed various options.<sup>30</sup> 9 At trial, the state district court instructed the jury, *inter alia*, as follows: 10 Murder of the first degree is murder which is perpetrated by any kind of wilful, deliberate, and premeditated killing of 11 another human being. Premeditation is a design, a determination to kill, distinctly 12 formed in the mind at any moment before or at the time of the killing. 13 The law does not undertake to measure in units of time the 14 length of the period during which premeditation is formed. It may be as instantaneous as successive thoughts of the mind. The test is not the duration of time, but rather the actual formation of premeditation. If the State proves beyond a reasonable doubt that the act constituting the killing has been preceded by and has been the result of premeditation, no matter how rapidly the 15 16 17 premeditation is followed by the act constituting the killing, it is willful, deliberate and premeditated murder. 18 19 #18, Ex. 47, Instruction Nos. 7 and 8 (emphasis added). The trial court rejected a proposed defense instruction that instead would have charged 20 the jury as follows: 21 22 Premeditation is a design -- a determination to kill, 23 distinctly formed in the mind at any moment before or at the time of the killing. 24 25 <sup>28</sup>#18, Ex. 40, at 175-79. 26 27 <sup>29</sup>#17, Ex. 35, at 155-59. 28 <sup>30</sup>#17, Ex. 35, at 161-65. -11-

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2	Deliberate means formed or arrived at or determined upon as a result of careful thought and weighing of considerations for	
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4	The law does not undertake to measure in units of time the length of the period during which the thought must be pondered before it can ripen into an intent to kill which is truly <i>deliberate and</i>	
5	premeditated. The time will vary with different individuals and	
6	under varying circumstances.	
7	The true test is not the duration of the time, but rather the extent of the reflection. A cold, calculated judgment and decision may be arrived at in a short period of time, but a mere	
8	unconsidered and rash impulse, even though it include an intent to kill, is not such deliberation and premeditation as will fix an	
9	unlawful killing as murder of the first degree.	
10	#18, Ex. 45, at electronic docketing page 7 (emphasis added).	
11	During closing argument, the State relied upon evidence, such as the options memo,	
12	that the prosecution maintained established that Pinkston planned to murder Payne and went	
13	to the Discovery Zone specifically to carry out this purpose.	
14	The State further argued, however, pursuant to Jury Instruction No. 8, that Pinkston	
15	would be guilty of first-degree murder even if she had formed an intent to kill Payne	
16	instantaneously. The prosecutor argued:	
17	Some lay persons come to court with the notion that	
18	premeditation requires extensive planning. Judge Leavitt clarifies this issue. He continues in Instruction No. 8, the law does not undertake to measure in units of time the length of the period	
19	undertake to measure in units of time the length of the period during which premeditation is formed. It may be as instantaneous as successive thoughts of the mind.	
20	I'd like to give you an example. I'm sure we've all been in	
21	this situation We're going 45 miles an hour in a 35 zone, and we are confronted with a yellow light and you need to decide,	
22	do you go through the light or do you stop? But what happens? The first thing you do is look down at your speedometer. How	
23	fast am I speeding now? Do I speed up even more? You look around. Any cops here? You look in your rear-view mirror. Is	
24	there a big semi behind me? If I slam on my brakes, am I going to be in an accident?	
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26	That total thought process is done within a second. That is sufficient for premeditation	
27	#18, Ex. 44, at 40-41.	
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The prosecutor accordingly likened the amount of prior intention required to convict for
 first-degree murder to the literally split-second decision to speed through an intersection
 against a yellow light.

In the rebuttal argument, the State again relied upon evidence that it maintained
established preplanning. The prosecutor reiterated, however, four paragraphs from the end
of the argument:

7 8 .... Premeditation and deliberation need not be for a day, an hour, or even a second. It can be as instantaneous as successive thoughts in the mind.....

9 #18, Ex. 44, at 162.

## Discussion

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The Court reaches only Ground 3 of the amended petition.

In Ground 3, petitioner alleges that she was denied due process in violation of the Fifth 12 and Fourteenth Amendments because the trial court's jury instructions allegedly failed to 13 adequately distinguish between the elements of malice aforethought, premeditation, and 14 deliberation. Petitioner maintains, inter alia, that the premeditation instruction used in her 15 case constituted what is referred to under Nevada state practice as a Kazalyn instruction, as 16 a substantially similar instruction first appeared in a published decision in Kazalyn v. State, 17 108 Nev. 67, 825 P.2d 578 (1992).<sup>31</sup> The Supreme Court of Nevada later concluded in 18 19 Byford v. State, 116 Nev. 215, 994 P.2d 700 (2000), that the Kazalyn instruction "blur[red] the distinction between first- and second-degree murder" by not sufficiently distinguishing 20 21 between the distinct elements of deliberation and premeditation. See 116 Nev. at 235, 994 22 P.2d at 713. The state supreme court subsequently held, however, that Byford did not signify 23 that the giving of the Kazalyn instruction violated any constitutional rights, such that the Byford holding was not a holding of constitutional dimension that must be retroactively applied. 24 25 Garner v. State, 116 Nev. 770, 6 P.3d 1013, 1025 (2000), overruled on other grounds by Sharma v. State, 118 Nev. 648, 56 P.3d 868 (2002). The Ninth Circuit has held, however, 26

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<sup>&</sup>lt;sup>31</sup>Compare #18, Ex. 47, Instruction No. 8, *with Kazalyn*, 108 Nev. at 75, 825 P.2d at 583.

that the giving of a *Kazalyn* instruction deprives a defendant of due process, subject to
 harmless error analysis. *Polk v. Sandoval*, 503 F.3d 903, 909-11 (9<sup>th</sup> Cir. 2007).

In a prior ruling in the present case, the Court held that an independent substantive
federal constitutional claim of jury instruction error in this regard – including specifically a
federal constitutional claim based upon the *Kazalyn* error in failing to distinguish between
premeditation and deliberation – had not been fairly presented in the state courts through to
the state supreme court.<sup>32</sup>

The Court held that, *inter alia*, Ground 3 was constructively exhausted, however, because it was more probable than not that the state supreme court would apply law of the case to the only conceivable basis for overcoming state procedural bars to the substantive claim - i.e., reliance upon ineffective assistance of appellate counsel to establish cause - if petitioner were to return to state court to exhaust the claim. On state post-conviction review, the Supreme Court of Nevada clearly addressed and rejected a claim that appellate counsel

<sup>&</sup>lt;sup>32</sup> In the answer, respondents urge that federal Ground 3 presents only a claim of jury instruction error based upon a failure to distinguish between malice aforethought and premeditation/deliberation and not a claim of error based upon a failure to distinguish between premeditation and deliberation. Respondents maintain that the *Byford* decision thus is inapposite to the claim of jury instruction error purportedly actually presented in federal Ground 3.

Respondents' argument misses the mark. Petitioner does indeed present allegations in federal Ground 3 asserting that the instructions did "not distinguish between the concepts of express malice and premeditation/deliberation." #22-2, at 10, lines 4-5. Ground 3 further alleges, however, specifically and expressly invoking *Byford*, that the instructions given in her case further impermissibly blurred the distinction between premeditation and deliberation. *Id.*, at 10-11. This Court accordingly properly construed federal Ground 3 in its prior ruling as including a claim specifically of *Kazalyn* error. #29, at 8-9 & 11. An independent substantive claim of federal constitutional error based upon the *Kazalyn* error was not fairly presented to the state courts through to the state supreme court. Such a claim is constructively exhausted, however, as discussed in the text.

In this same vein, respondents urge in the answer that federal Ground 3 presents only a state law claim of jury instruction error that has no federal constitutional implications. Under controlling Ninth Circuit precedent, however, petitioner clearly presents a federal constitutional claim. Indeed, the Ninth Circuit held in *Polk* that the Nevada Supreme Court's conclusion that the error did not give rise to a federal due process violation was contrary to clearly established federal law as determined by the United States Supreme Court. 503 F.3d at 911. Petitioner thus not only clearly presents a federal claim, she presents a federal due process claim that, subject to harmless error analysis, is a viable claim under Ninth Circuit precedent that is binding on this Court.

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Respondents' effort to recast petitioner's claim as one that does not present a *Kazalyn* error and that further does not present a federal due process claim thus is unpersuasive.

was ineffective for failing to raise a claim of *Kazalyn* error as recognized in *Byford* as a federal constitutional claim.<sup>33</sup> This Court concluded that the chances were "virtually nil" that the Supreme Court of Nevada would not apply law of the case to its prior rejection of this claim of ineffective assistance of appellate counsel if petitioner sought to exhaust the underlying independent substantive claim. The Court therefore held that, given that there was no chance now that the substantive claim would be heard on the merits due to the application of state procedural bars, the claim was constructively exhausted.<sup>34</sup>

8 Respondents, per the scheduling order entered for the case, then moved to dismiss, 9 *inter alia*, Ground 3 on the basis of procedural default. The Court rejected petitioner's 10 arguments for avoiding the procedural default of Ground 3 with the exception of her reliance 11 upon ineffective assistance of appellate counsel to establish cause and prejudice excusing 12 the default. The Court deferred further consideration of this issue until after the filing of an 13 answer and reply that more fully addressed the underlying facts and law pertaining to whether 14 petitioner was denied effective assistance of appellate counsel in this regard.<sup>35</sup>

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<sup>18</sup> <sup>35</sup>#33. Petitioner reurges in the reply arguments that the Court considered and rejected in the prior order (#33). The prior order was not an invitation to reurge procedural default arguments that the Court already had rejected and as to which petitioner already had made her record. The Court instead was looking for argument directed to the merits of Ground 3 as it pertained to whether petitioner was denied effective assistance of appellate counsel in failing to raise the claim as establishing cause and prejudice excusing the procedural default of Ground 3.

To reiterate, the Court never has held herein that the independent substantive claim in Ground 3 itself would be barred by law of the case. As stated in #33, "[t]he Court instead held that "[t]he likelihood that the Supreme Court of Nevada would revisit its holding on the *ineffective assistance claim* rather than apply the law of the case doctrine to a *claim of cause and prejudice based upon such alleged ineffective assistance* is virtually nil." #33, at 4 (emphasis in original). Petitioner's continued reliance in the reply upon the Supreme Court's *YIst* and *Cone* decisions thus is misplaced. The holdings in these cases do not resolve the issue of whether the substantive claim in Ground 3 should be barred by procedural default. The Nevada law of the case doctrine is relevant here only to establish that the Supreme Court of Nevada is not likely to revisit the ineffective assistance claim relied upon by petitioner to establish cause and prejudice. See #33, at 3-4.

Petitioner's contention that the state supreme court adjudicated the merits of Ground 3 when it considered whether she was denied ineffective assistance of appellate counsel in failing to raise the claim (continued...)

<sup>&</sup>lt;sup>33</sup>#20, Ex. 101, at 8-9.

<sup>&</sup>lt;sup>34</sup>#29, at 8-12.

The Court now reaches the procedural default issue reserved in the prior order. That 1 2 is, the Court reaches the question of whether petitioner can establish ineffective assistance 3 of appellate counsel in failing to raise the claims in Ground 3 on direct appeal, as a basis for establishing cause and prejudice excusing the procedural default of the underlying 4 5 independent substantive claims in Ground 3.

6 Under the procedural default doctrine, federal review of a habeas claim may be barred 7 if the state courts rejected the claim on an independent and adequate state law ground due 8 to a procedural default. Federal habeas review will be barred on claims rejected on an 9 independent and adequate state law ground unless the petitioner can demonstrate either: (a) 10 cause for the procedural default and actual prejudice from the alleged federal law violation; or (b) that a fundamental miscarriage of justice will result absent review. See, e.g., Bennet v. 11 *Mueller*, 322 F.3d 573, 580 (9<sup>th</sup> Cir. 2003). To demonstrate cause for a procedural default, 12 the petitioner must establish that some external and objective factor impeded her efforts to 13 comply with the state's procedural rule. *E.g., Hivala v. Wood*, 195 F.3d 1098, 1105 (9<sup>th</sup> Cir. 14 15 1999). To satisfy the prejudice requirement, she must show that the alleged error resulted in actual harm. E.g., Vickers v. Stewart, 144 F.3d 613, 617 (9th Cir. 1998). 16

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A petitioner may seek to establish cause by showing that the procedural default

resulted from a constitutional deprivation of effective assistance of counsel under the

<sup>&</sup>lt;sup>35</sup>(...continued)

<sup>20</sup> similarly is unpersuasive. A determination of whether a petitioner has been prejudiced by alleged ineffective assistance of appellate counsel in failing to raise a substantive claim - and/or of whether the petitioner can 21 establish cause and prejudice to overcome a procedural bar to the claim - does not constitute an adjudication of the merits of the underlying substantive claim which overcomes a procedural default. If the procedural 22 default of an underlying substantive claim could be avoided based upon this circular argument any time that a state court considered a claim of prejudice on an ineffective assistance claim and/or on an effort to overcome 23 a state procedural bar, the procedural default doctrine would be largely dead letter. In any event, it is firmly 24 established law that review of a defaulted claim will be barred even if the state court also rejected the claim on the merits in the same decision. See, e.g., Bennet v. Mueller, 322 F.3d 573, 580 (9th Cir. 2003).

The controlling question under this Court's prior rulings at this point instead is whether petitioner was 26 denied effective assistance of appellate counsel when direct appeal counsel failed to raise the claims in federal Ground 3 on direct appeal. That is the only question as to procedural default that was left open for 27 further argument in the answer and reply. Petitioner, again, already had made her record as to her other arguments, and - absent a motion for reconsideration - continued argument as to procedural default issues 28 that had been fully briefed and decided was not contemplated or sanctioned by the Court's prior order.

Strickland<sup>36</sup> standard. See,e.g., Murray v. Carrier, 477 U.S. 478, 488, 106 S.Ct. 2639, 2645,
 91 L.Ed.2d 397 (1986).

3 The Third and Sixth Circuits have held that when a federal habeas court evaluates a claim of ineffective assistance of counsel for purposes of determining whether the petitioner 4 5 can establish cause to overcome a procedural default, the court applies de novo review rather than deferential review under the AEDPA. See Hall v. Vasbinder, 563 F.3d 222, 236-37 (6th 6 Cir.2009); Joseph v. Coyle, 469 F.3d 441, 459 (6th Cir.2006); Fischetti v. Johnson, 384 F.3d 7 140, 154-55 (3d Cir.2004). The Court finds the reasoning behind these decisions as 8 9 articulated by the Third Circuit in *Fischetti* persuasive and accordingly considers the issue de 10 novo herein.

Under Strickland, a petitioner must establish that (1) her counsel's performance was 11 deficient, and (2) she suffered prejudice as a result. 466 U.S. at 687, 104 S.Ct. at 2064. To 12 be deficient, an attorney's conduct must fall below an "objective standard of reasonableness" 13 established by "prevailing professional norms." 464 U.S. at 687-88, 104 S.Ct. at 2064-65. To 14 15 demonstrate prejudice, the petitioner does not need to show that her counsel's deficient performance more likely than not affected the outcome of the case. Rather, she must 16 17 demonstrate only a "reasonable probability that, but for counsel's unprofessional errors, the 18 result of the proceeding would have been different." 464 U.S. at 694, 104 S.Ct. at 2068. A "reasonable probability" is "a probability sufficient to undermine confidence in the outcome." 19 ld. 20

When evaluating claims of ineffective assistance of appellate counsel, the performance and prejudice prongs of the *Strickland* standard substantially overlap. *E.g., Bailey v. Newland*, 263 F.3d 1022, 1028-29 (9<sup>th</sup> Cir. 2001); *Miller v. Keeney*, 882 F.2d 1428, 1434 (9<sup>th</sup> Cir. 1989). On the one hand, the failure to present a weak argument on direct appeal neither falls below an objective standard of competence nor causes prejudice to a petitioner for the same reason – because the omitted issue had little or no likelihood of

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<sup>&</sup>lt;sup>36</sup>Strickland v. Washington, 466 U.S. 668, 106 S.Ct. 2639, 91 L.Ed.2d 397 (1986).

success. *Id.* On the other, the failure to present a strong issue on appeal can both constitute
deficient performance and cause prejudice also for the same reason – because appellate
counsel failed to pursue an issue that had a reasonable probability of changing the outcome
of the proceeding. Accordingly, the reviewing court must look to the merits of the omitted
issue to properly address a claim of ineffective assistance of appellate counsel. *E.g., Moormann v. Ryan*, 628 F.3d 1102, 1106-07 (9<sup>th</sup> Cir. 2010).

The Court therefore looks to the merits of Ground 3, in seeking to determine whether
petitioner was deprived of effective assistance of appellate counsel in order to determine, in
turn, whether she can establish cause and prejudice to overcome the procedural default of
the claims in Ground 3.

The Supreme Court of Nevada concluded in *Byford*, which was on appeal at the same 11 time as Pinkston's appeal, that the *Kazalyn* instruction erroneously "blur[red] the distinction 12 between first- and second-degree murder" by failing to adequately distinguish between the 13 distinct elements of deliberation and premeditation required for a conviction for first-degree 14 murder as opposed to lesser homicide offenses. 116 Nev. at 234-36 & n.4, 994 P.2d at 713-15 14 & n.4. The state supreme court approved a jury instruction in lieu of the Kazalyn 16 17 instruction that expressly and specifically distinguished between the three separate elements 18 of willfulness, deliberation and premeditation. The instruction approved in *Byford*, *inter alia*, 19 carried forward the concept that premeditation "may be as instantaneous as successive thoughts of the mind." The instruction further stated, however, that "[a] mere unconsidered 20 21 and rash impulse is not deliberate, even though it includes the intent to kill." The approved 22 instruction concluded: "A cold, calculated judgment and decision may be arrived at in a short 23 period of time, but a mere unconsidered and rash impulse, even though it includes an intent to kill, is not deliberation and premeditation as will fix an unlawful killing as murder of the first 24 degree." 116 Nev. at 236-37, 994 P.2d at 714-15. 25

The instruction approved in *Byford* was substantially similar to the instruction requested by defense counsel and rejected by the state district court at Pinkston's trial. The defense proposed instruction concluded, *verbatim*, with the same sentence quoted above that

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concludes the instruction approved in *Byford*.<sup>37</sup> At Pinkston's trial, the state district court 1 instead gave the Kazalyn instruction. That instruction included no language precluding a 2 3 conviction for first-degree murder for a killing committed following "a mere unconsidered and rash impulse." The instruction instead included only the language that premeditation could 4 be "as instantaneous as successive thoughts of the mind." The instruction directed the jury 5 that "[i]f the State proves beyond a reasonable doubt that the act constituting the killing has 6 been preceded by and has been the result of premeditation, no matter how rapidly the 7 8 premeditation is followed by the act constituting the killing, it is wilful, deliberate, and premeditated murder.<sup>38</sup> 9

The Supreme Court of Nevada subsequently held that the giving of a Kazalyn 10 11 instruction does not give rise to a federal due process violation. Garner, supra. The Ninth Circuit has held, however, that the state supreme court's holding rejecting the federal due 12 process claim was contrary to clearly established federal law -- based entirely upon controlling 13 United States Supreme Court precedent decided prior to Pinkston's trial and appeal. See 14 *Polk*, 503 F.3d at 909-11. The role that the *Kazalyn* charge played in the charge as a whole 15 and the exacerbating effect of the State's reliance upon the Kazalyn instruction in closing 16 argument make this case virtually indistinguishable from *Polk* in this regard. See id. This 17 18 Court of course is bound by the Ninth Circuit's holding that the state supreme court's 19 conclusion that there is no federal due process violation is contrary to clearly established federal law as determined by the United States Supreme Court.<sup>39</sup> 20

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<sup>37</sup>#18, Ex. 46, at electronic docketing page 9.

<sup>38</sup>#18, Ex. 47, Instruction No. 8 (emphasis added).

<sup>24</sup> <sup>39</sup>To the extent that the Nevada Supreme Court disagrees with *Polk*'s analysis of the constitutional issue in *Nika, supra,* this Court is bound by the Ninth Circuit's *Polk* decision as to the application of clearly established federal law rather than the analysis in the Nevada Supreme Court's *Nika* decision. While the Nevada Supreme Court has sought to recast the *Kazalyn* issue as – in one fashion or another – a purely state law issue in *Garner* and then in *Nika,* the Ninth Circuit to date has been unpersuaded, at least following *Garner. See,e.g., Polk,* 503 F.3d at 911 ("Instead of acknowledging the violation of Polk's due process right, the Nevada Supreme Court concluded that giving the *Kazalyn* instruction in cases predating *Byford* did not (continued...)

Such a federal due process violation, however, is subject to harmless error analysis. 1 2 Polk, 503 F.3d at 911. A defendant or petitioner will be entitled to relief only if "the error had a substantial and injurious effect or influence in determining the jury's verdict." Polk, 503 F.3d 3 at 911 (quoting Brecht v. Abrahamson, 507 U.S. 619, 637, 113 S.Ct. 1710, 123 L.Ed.2d 353 4 (1993)). If the record leaves the reviewing court in "grave doubt" as to whether the error had 5 such an effect, the defendant or petitioner is entitled to relief. Id.<sup>40</sup> 6

7 For example, in *Polk*, the evidence of deliberation consisted of the following: (1) Polk 8 had threatened and fought with the victim two months before, (2) there was a loud argument 9 shortly before gunshots were heard; and (3) Polk wore a bulletproof vest on the evening of the murder. The Ninth Circuit concluded that this evidence "was not so great that it precluded 10 a verdict of second-degree murder." The court noted that prior statements made as a result 11 of agitation or emotional distress do not always connote an intent to actually commit violence, 12 that an argument prior to the homicide actually tended to support a conclusion that the killing 13 was not done with "coolness and reflection," and that donning a bulletproof vest potentially 14 reflected a defensive step as opposed to deliberation to commit murder. When these 15 competing inferences were coupled with a jury instruction and prosecutorial argument that 16 all that was required was successive thoughts of the mind, the court stated that "we simply 17 18 cannot conclude that the Kazalyn error was harmless." 503 F.3d at 912-13.

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<sup>&</sup>lt;sup>39</sup>(...continued)

<sup>20</sup> constitute constitutional error. In doing so, the Nevada Supreme Court erred by conceiving of the Kazalyn instruction issue as purely a matter of state law."); see also Chambers v. McDaniel, 549 F.3d 1191, 1190 & 21 n.1 (9th Cir. 2008)(declining to reach respondents' argument that Polk was wrongly decided because the subsequent panel was bound by the prior panel opinion in Polk). 22

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Respondents' rely upon Instruction No. 10 in this case as clarifying the distinction between first- and second-degree murder. #35, at 21. The instruction in question, however, defines second-degree murder in pertinent part as an unlawful killing where there is malice aforethought, "but the evidence is insufficient to 24 establish premeditation and deliberation." #18, Ex. 47, Instruction No. 10. If the charges specifying what 25 evidence is sufficient "to establish premeditation and deliberation" are defective, Instruction No. 10 then merely incorporates and perpetuates the same error rather than corrects the error.

<sup>&</sup>lt;sup>40</sup>The Court notes that the standard applied by the Supreme Court of Nevada while addressing the 27 claim of ineffective assistance of appellate counsel was not the same as the Brecht harmless error standard. The state supreme court instead looked to whether the evidence supporting the first-degree murder charge 28 was "significant," which is not the same as the Brecht harmless error standard. #20, Ex. 101, at 8-9.

In the subsequent decision in *Chambers v. McDaniel*, 549 F.3d 1191 (9<sup>th</sup> Cir. 2008), 1 the Ninth Circuit addressed the issue of harmless error as to a Kazalyn error in a case where 2 3 the State relied upon evidence "that Chambers stabbed Chacon seventeen times; that the wounds penetrated three inches into the body and were located in two separate clusters of 4 wounds; and that Chambers was not mentally disturbed, but at the most merely drunk." The 5 court concluded that this evidence did "not demonstrate the key feature of the element of 6 deliberation" as the evidence "[i]f anything . . . seems to weigh in favor of second-degree 7 murder committed while in the throes of a heated argument." The court noted the state 8 9 supreme court's description of the evidence that "Chambers murdered the victim in a drunken 10 state, which indicated no advanced planning, during an emotionally charged confrontation in which Chambers was wounded and his professional tools were being ruined." The Ninth 11 Circuit concluded that "'[s]ince we are left 'in grave doubt' about whether the jury would have 12 found deliberation on [Chambers'] part if it had been properly instructed, we conclude that the 13 error had a substantial and injurious effect or influence on the jury's verdict." 549 F.3d at 14 1200-01. 15

In the present case, the trial record similarly gives rise to grave doubt for a reviewing 16 17 court in considering whether the Kazalyn error had a substantial and injurious effect on the 18 jury's verdict. To be sure, a jury potentially could infer deliberation from the options memo 19 - prepared up to three weeks prior to the incident - and Pinkston's having the guardianship document notarized on the day of the shooting. Yet, as in *Polk* and *Chambers*, this evidence 20 21 did not preclude a verdict of second-degree murder. Under the law and closing arguments 22 presented, the jury could have convicted Pinkston of first-degree murder even if it believed both: (a) Pinkston's position that the options memo was merely an effort to work through her 23 problems in writing one day, consistent with her sociology training, rather than a declaration 24 25 of an actual intent to kill Payne; and (b) that she notarized the guardianship document that day because she was concerned for her own safety and she was preparing to file the 26 27 document in court the next day. All that the jury needed to believe to convict Pinkston of first-28 degree murder on the law given and arguments made at her trial was that Pinkston formed

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the intent to kill Payne as she fired, as all that was required was a state of mind "as
 instantaneous as successive thoughts of the mind."<sup>41</sup>

3 In this regard, the fact that Pinkston fired a second time after an interval potentially of ten seconds is not in and of itself determinative. The defendant in *Polk* fired multiple times, 4 5 hitting the victim twice, and, similar to the present case, the prosecution relied upon the multiple shots to establish the requisite state of mind for first degree murder. *Compare* 503 6 7 F.3d at 905 with #18, Ex. 44, at 43. And, as noted above, the defendant in *Chambers* struck the victim multiple times with the knife. In neither case was the fact of multiple shots or knife 8 9 strikes determinative of the harmless error issue. Moreover, in the present case, the 10 evidence tended to establish that it was the first rather than the second shot that dropped 11 Payne "like a rock" and killed him. Pinkston's state of mind when she fired the second shot did not establish her state of mind when she fired the first and fatal shot. 12

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The *Kazalyn* error accordingly was not harmless error in Pinkston's case under the *Brecht* standard.<sup>42</sup>

Pinkston's appellate counsel thus failed to raise a federal due process claim as to jury instruction error that was supported by then-existing established United States Supreme Court precedent and that went to the very heart of the case – state of mind. If adjudicated on the merits, the issue is a winning issue on the merits on federal habeas review, even following the adoption of deferential review under the AEDPA. The Ninth Circuit has held that

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<sup>41</sup>#18, Ex. 44, at 40 (State's closing argument).

<sup>42</sup>The Court additionally notes that a cold and calculated judgment to commit murder would have been more reflected by a killer staying out of view and laying in wait for Payne to exit the building, walking 23 directly up and shooting him, and promptly seeking to leave the scene before she could be identified. 24 Pinkston instead went up to a business in broad daylight, directly interacted with at least one potential witness, had a discussion and argument with Payne over an extended period in plain view of numerous 25 witnesses at a facility with multiple surveillance cameras, fairly precipitously shot Payne as he was walking to his truck following that argument, left fairly quickly but with a trail of witnesses in her wake, and turned herself 26 into the police a relatively short time after the shooting. While a first-degree murder verdict by a properlyinstructed jury perhaps might have withstood a challenge to the sufficiency of the evidence, that is not the 27 standard for determining harmless error. Given the highly debatable and hotly contested issue of state of mind in the case and the arguable competing inferences that could be drawn from the evidence relied upon 28 the State to establish that state of mind, the Kazalyn error was not harmless under the Brecht standard.

1 the state supreme court's failure to recognize the federal due process error is contrary to clearly established federal law, based upon United States Supreme Court precedent that was 2 3 on the books at the time of Pinkston's trial. It clearly was deficient performance to not raise such a strong federal constitutional issue on direct appeal. Even if, arguendo, the state of 4 Nevada decisional law as to what instruction the state supreme court approved was not clear, 5 the requirements of federal due process in this context were clear, under clearly established 6 7 Supreme Court precedent at the time of Pinkston's trial. The jury instructions could not 8 eliminate the State's burden of proof on an element that must be proved to establish first-9 degree murder, and the Kazalyn instruction did so as to deliberation. Polk, 503 F.3d at 911.

Appellate counsel therefore provided deficient performance in failing to raise the claims
 in federal Ground 3 on direct appeal.<sup>43</sup>

12 On the prejudice prong, the Supreme Court of Nevada – to this day – does not recognize the presence of a federal due process violation arising from the giving of a Kazalyn 13 instruction. The state supreme court has held that the giving of a *Kazalyn* instruction does 14 not violate federal due process guarantees, a stance that the Ninth Circuit has held is contrary 15 to clearly established federal law as determined by the United States Supreme Court. *Polk*, 16 supra. The result on Pinkston's direct appeal therefore would not have been different even 17 18 if a federal claim based upon the Kazalyn error had been presented and fully briefed by 19 appellate counsel.

The prejudice prong of *Strickland*, however, requires that there be a reasonable probability that the result of the "proceeding" would have been different, with a reasonable

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1999). A belief that counsel could present a serious and substantial federal due process claim by failing to cite apposite United States Supreme Court authority and instead only referring conclusorily to a right to a "fair trial" in a generic concluding sentence in a reply brief fell below an objective standard of reasonableness established by prevailing professional norms.

<sup>&</sup>lt;sup>43</sup>The attorney who briefed the appeal acknowledged at the state post-conviction evidentiary hearing that federal case law as to jury instruction error, "undoubtedly existed," but he did not cite it. Counsel testified that he believed that he adequately presented a federal issue merely by stating in the conclusion paragraph of the reply brief that petitioner was deprived of her right to a "fair trial." See #20, Ex. 87, at 6-12. This belief clearly was erroneous and objectively unreasonable when counsel briefed the appeal in July 1998 and February 1999. See,e.g., Gray v. Netherland, 518 U.S. 152, 162-63, 116 S.Ct. 2074, 2081, 135 L.Ed.2d 457 (1996); Johnson v. Zenon, 88 F.3d 828 (9<sup>th</sup> Cir. 1996); see also Hivala v. Wood, 195 F.3d 1098, 1106 (9<sup>th</sup> Cir.

probability being a probability sufficient to undermine confidence in the outcome of the 1 "proceeding." 464 U.S. at 694, 104 S.Ct. at 2068. While the proceeding in question in this 2 3 context generally will be the appeal itself, the Court is persuaded by the Seventh Circuit's holding that the pertinent proceeding extends to later discretionary review and/or federal 4 5 habeas review, such that the failure to preserve a claim on direct appeal that would lead to a different outcome on later review establishes the requisite prejudice under Strickland. See 6 7 Freeman v. Lane, 962 F.3d 1252, 1258-59 (7<sup>th</sup> Cir. 1992). The outcome of a direct appeal 8 in this instance would not have been different only because the state supreme court has 9 rejected the federal claim based upon a holding that is contrary to clearly established federal 10 law. It would be a strange result indeed if a petitioner could not establish prejudice from 11 appellate counsel's failure to preserve the federal claim in that situation on an ineffective assistance claim reviewed *de novo*.<sup>44</sup> 12

The Court accordingly holds that appellate counsel provided ineffective assistance of
 counsel. Counsel's failure to raise a federal due process claim based upon the *Kazalyn* error
 constituted deficient performance and petitioner sustained prejudice as a result of counsel's
 deficient performance.

The ineffective assistance of appellate counsel establishes cause for the procedural default. *Murray v. Carrier, supra*. The Court further concludes that prejudice has been established as to the procedural default. The prejudice that demonstrates ineffective assistance of counsel also demonstrates prejudice as to a procedural default.<sup>45</sup> Moreover, in all events, consistent with the discussion above, the Court concludes that the underlying

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- <sup>44</sup>As the Seventh Circuit acknowledged in *Freeman*, and this Court acknowledges herein, the Supreme Court of Nevada of course is not bound to follow the decisions of a federal circuit court. This Court, however, is bound by the Ninth Circuit precedent in *Polk* when considering a claim of ineffective assistance of counsel in connection with a claim of cause and prejudice to overcome a procedural default. Under that binding circuit precedent, the state supreme court's federal law holding is contrary to clearly established federal law as determined by the United States Supreme Court.
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 <sup>&</sup>lt;sup>45</sup> See Johnson v. Sherry, 586 F.3d 439, 447 n.7 (6<sup>th</sup> Cir. 2009), cert. denied, \_\_\_\_ U.S. \_\_\_, 131 S.Ct.
 87, 178 L.Ed.2d 242 (2010)(standards overlap); Joseph v. Coyle, 469 F.3d 441, (6<sup>th</sup> Cir. 2006) (Strickland prejudice establishes prejudice for purposes of cause and prejudice); Prou v. United States, 199 F.3d 37, 49
 (1<sup>st</sup> Cir. 1999)("one and the same"); Mincey v. Head, 206 F.3d 1106, 1147 n.86 (11<sup>th</sup> Cir. 2000)(same).

Kazalyn error clearly worked to petitioner's actual and substantial disadvantage, infecting her 1 entire trial with error of constitutional dimensions, satisfying the relevant prejudice standard.<sup>46</sup> 2

3 The Court accordingly considers Ground 3 despite the procedural default of the independent substantive claim in the state courts. Because the state courts did not review 4 5 the procedurally barred substantive claim on the merits, it is reviewed *de novo* in federal court. E.g., Chaker v. Crogan, 428 F.3d 1215, 1221 (9th Cir. 2005). For the reasons 6 7 discussed above, the Court concludes on *de novo* review of Ground 3 that the use of the 8 Kazalyn charge in Pinkston's case deprived petitioner of due process of law and did not 9 constitute harmless error.

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The Court therefore will grant a conditional writ of habeas corpus as further specified below. The Court thus does not reach the remaining claims presented.<sup>47</sup> 11

IT THEREFORE IS ORDERED that the petition for a writ of habeas corpus is 12 conditionally GRANTED and that, accordingly, the state court judgment of conviction hereby 13 is VACATED and petitioner shall be released from custody within thirty (30) days of the later 14 of the conclusion of any proceedings seeking appellate or *certiorari* review of the Court's 15 judgment, if affirmed, or the expiration of the delays for seeking such appeal or review, unless 16 17 the State files a written election in this matter within the thirty day period to retry petitioner and 18 thereafter commences jury selection in the retrial within one hundred twenty (120) days 19 following the election to retry petitioner, subject to reasonable request for modification of the time periods in the judgment by either party pursuant to Rules 59 or 60. 20

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<sup>46</sup>See Murray v. Carrier, 477 U.S. at 494, 106 S.Ct. at 2648 (prejudice standard).

<sup>47</sup>The Court's alternative dispute resolution resources are available to the parties at any time. At the 24 time of the offense, a defendant convicted of first-degree murder with the use of a dangerous weapon and 25 sentenced to consecutive sentences of life with the possibility of parole would be eligible for consideration for parole on each sentence after a minimum of ten years had been served on the sentence. A defendant 26 convicted instead of second-degree murder with the use of a deadly weapon and sentenced to consecutive sentences of life or less would be eligible for consideration for parole on each sentence after a minimum of 27 five years had been served on each sentence. See N.R.S. 200.030, as amended through 1989 Laws, ch. 408, § 1, p. 865, ch. 631, § 1, p. 1451. It would appear that petitioner has been incarcerated approximately

<sup>28</sup> fourteen years at this juncture.

1	The Clerk of Court shall enter final judgment accordingly in favor of petitioner and
2	against respondents, conditionally granting the petition for a writ of habeas corpus as
3	provided above.
4	The Clerk further shall provide a copy of this order and the judgment to the Clerk of
5	the Eighth Judicial District Court, in connection with that court's No. C130789.
6	DATED: March 22, 2011
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10	KENT J. DAWSON
11	United States District Judge
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