

1 Before filing the suit, counsel for Plaintiffs wrote a letter to Annette Bradley, Esq., Defendants'
2 Executive Director for Risk Management, putting UMC on notice of a potential lawsuit, requesting that
3 the Defendant preserve all of the Plaintiffs' records, and requesting a copy of the same. The letter
4 enclosed a medical authorization release. On the same day, Ms. Bradley acknowledged receiving the
5 letter and request for records, and asked that the request for medical records be made on Defendants'
6 form. On December 16, 2009, counsel for Plaintiff, Mr. Hafter, returned an executed request on
7 UMC's form. On December 29, 2009, Mr. Hafter was notified that Plaintiffs' records were copied and
8 ready upon payment of \$64.80. Mr. Hafter paid the requested amount and received Plaintiffs' medical
9 records which were accompanied by a certificate of medical records custodian indicating that the
10 custodian had examined all of the Plaintiffs' records and made a true and exact copy of them, which
11 consisted of 104 pages.

12 After the Complaint was filed, Plaintiff received Defendants' initial disclosures. The initial
13 disclosures consisted of ninety-nine (99) total pages, ninety-three (93) pages of which were Plaintiffs'
14 medical records. The initial disclosures also contained a certificate of medical records custodian dated
15 January 12, 2010, executed by Shiela Espinosa, indicating that the attached records were a "true and
16 exact copy" of the Plaintiffs' medical records.

17 On August 9, 2010, Plaintiff served Defendants' counsel with a copy of Plaintiffs' expert report
18 which noted the lack of any uterine monitoring records in the Plaintiffs' medical records. Three (3)
19 days later on August 12, 2010, Plaintiffs received a supplement to Defendants' disclosures which
20 included approximately forty-six (46) pages of medical records. Included within the supplement are six
21 (6) pages of pathology records, and what appear to Plaintiffs' counsel to be uterine monitoring records.
22 Plaintiff seeks to strike these records, and to preclude the Defendants from using them because they
23 were only produced after Plaintiffs' expert report was served on opposing counsel. The expert report
24 noted that there was no evidence that, when the Plaintiff presented to the emergency room in labor,
25 uterine monitoring or cervical dilation exams were completed.

26 Plaintiffs ask that the court exclude the records because they were not timely disclosed. Counsel
27 for Plaintiff requested a complete copy of all of the Plaintiffs' medical records from UMC before filing
28 suit, and in a formal request for production of documents. Defendants' initial disclosures omitted these

1 documents, and Defendants responded to Plaintiffs' request for production of documents seeking all of
2 Plaintiffs' and her unborn fetus' files. Defendants objected, but answered that all of the medical
3 records were previously produced in Defendants' initial disclosures, bate-stamped UMC 001-004, and
4 UMC 2001-2089.

5 After initially asking the court to deny the motion, Defendant UMC withdrew its opposition.
6 Additionally, counsel for Defendants did not appear for the scheduled hearing.

7 Accordingly, **IT IS ORDERED** that:


- 8 1. Plaintiffs' Motion to Strike Records (Dkt. #29) is **GRANTED** and Defendants shall be
9 precluded from using or relying upon medical records not timely disclosed, and
10 specifically, the fetal/uterine monitoring records served after Plaintiffs served Plaintiffs'
11 expert report on counsel for Defendants.
- 12 2. Plaintiffs' Motion for Sanctions (Dkt. #31) is **GRANTED**, and sanctions in the form of
13 reasonable costs and attorneys fees incurred for the necessity of filing this motion shall
14 be imposed.
- 15 3. Counsel for Plaintiffs shall, no later than 15 days from entry of this order, serve and file
16 a memorandum, supported by the affidavit of counsel, establishing the amount of
17 attorneys' fees and costs incurred in bringing its motion. The memorandum shall
18 provide a reasonable itemization and description of the work performed, identify the
19 attorney(s) or other staff member(s) performing the work, the attorney(s) or staff
20 member(s) customary fee for such work, and the experience, reputation and ability of the
21 attorney performing the work. The attorney's affidavit shall authenticate the information
22 contained in the memorandum, provide a statement that the bill has been reviewed and
23 edited, and a statement that the fees and costs charged are reasonable.
- 24 4. Counsel for Defendants shall have 14 days from service of the memorandum of costs
25 and attorneys' fees in which to file a responsive memorandum addressing the
26 reasonableness of the costs and fees sought, and any equitable considerations deemed

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1 appropriate for the court to consider in determining the amount of costs and fees which
2 should be awarded.

3 Dated this 24th day of September, 2010.

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6 Peggy A. Feen
7 United States Magistrate Judge
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