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UNITED STATES DISTRICT COURT DISTRICT OF NEVADA

9 CHRISTOPHER WENTZELL,

Petitioner,

11 vs.

12 D.W. NEVEN, *et al.*,

Respondents.

Case No. 2:10-cv-01024-RLH-GWF **ORDER**

This action is a petition for a writ of habeas corpus filed pursuant to 28 U.S.C. § 2254 by a Nevada state prisoner represented by counsel. Pending before the Court is respondents' motion to dismiss the amended petition. (ECF No. 48).

I. Procedural History

In the Sixth Judicial District Court for the State of Nevada, County of Humboldt, petitioner and two co-defendants were charged with solicitation to commit murder, principal to attempted murder, and principle to theft. (Exhibit 6).¹ Pursuant to negotiations with the State, petitioner waived his right to a preliminary hearing and entered into a plea agreement. (Exhibits 4 & 5). On January 17, 1996, petitioner entered pleas of guilty to the following: Count I, solicitation to commit murder; Count II, principal to attempted murder; and Count III, principal to theft. (Exhibits 7 & 8). On April 29, 1996, the state district court sentenced petitioner to ten years on Counts I and III, and

¹ The exhibits referenced in this order are found in the Court's record at ECF Nos. 39-42, unless otherwise specified.

twenty years on Count II, with all sentences running consecutively. (Exhibit 11). The judgment of
 conviction was entered on April 29, 1996. (Exhibit 13).

On June 12, 1996, petitioner filed a "notice of appeal to modify sentence." (Exhibit 16). On
August 20, 1996, in Case No. 28882, the Nevada Supreme Court filed an order dismissing the
appeal as untimely. (Exhibit 19).

On January 13, 1997, petitioner filed a "petition for writ of error (coram nobis)." (Exhibit
21). Petitioner claimed that his trial counsel was ineffective for failing to file a timely notice of
appeal. Petitioner claimed that the court failed to advise him of his right to appeal or his right to an
attorney on appeal during plea proceedings. Petitioner also claimed that the court failed to advise
him of his collateral rights. On February 12, 1997, the state district court denied the petition for writ
of error (coram nobis). (Exhibit 22).

On June 18, 1997, petitioner filed a notice to seek a belated direct appeal. (Exhibit 23). By
order filed November 7, 1997, in Case No. 30610, the Nevada Supreme Court dismissed the appeal
for lack of jurisdiction. (Exhibit 25). Remittitur issued on December 1, 1997. (Exhibit 26).

15 On February 11, 1998, petitioner dispatched a pro se federal habeas corpus petition to this Court and the petition was filed in Case No. CV-N-98-cv-00079-ECR-PHA. (Respondents' Exhibit 16 17 28). Petitioner raised three claims: (1) counsel was ineffective for failing to advise petitioner of his 18 appellate rights; (2) petitioner was deprived of due process and equal protection due to the state 19 district court's failure to find that counsel was ineffective in failing to advise him of his appellate 20 rights; and (3) counsel was ineffective for failing to advise the court that petitioner could not afford 21 counsel on appeal. The State filed a motion to dismiss the petition as untimely. (Id.). On February 22 12, 1999, the Magistrate Judge filed a report and recommendation finding that the petition was 23 untimely. (Respondents' Exhibit 40). On March 12, 1999, the late United States District Judge 24 Edward C. Reed issued an order approving and adopting the report and recommendation. 25 (Respondents' Exhibit 43). Judgment was entered on March 12, 1999. (Respondents' Exhibit 44). Petitioner filed a notice of appeal and request for certificate of appealability. (Respondents' 26 27 Exhibits 46 & 47). This Court denied the request for a certificate of appealability. (Respondents' 28 Exhibit 48). The Ninth Circuit Court of Appeals also denied petitioner's request for a certificate of

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appealability. The United States Supreme Court denied the petition for writ of *certiorari*. (Docket,
 Case No. CV-N-98-cv-00079-ECR-PHA).

On July 15, 1999, petitioner filed a motion to vacate judgment of conviction in the Sixth
Judicial District Court for the State of Nevada. (Exhibit 31). On October 21, 1999, the state district
court denied the motion. (Exhibit 34).

On January 7, 2000, petitioner filed a second post-conviction habeas petition and a
memorandum of points and authorities in support of the petition in the state district court. (Exhibits
35 & 36). On August 30, 2000, the state district court denied the petition. (Exhibit 43). Petitioner
appealed. (Exhibit 44). On February 14, 2002, in Case No. 36739, the Nevada Supreme Court
affirmed the denial of post-conviction habeas petition. (Exhibit 52).

11 On September 11, 2008, petitioner filed a third post-conviction habeas petition in the Sixth 12 Judicial District Court. (Exhibit 64). The State filed an answer to the petition, which included a 13 motion to dismiss. (Exhibit 70). Petitioner filed a reply and opposition. (Exhibit 71). On June 22, 14 2009, the state district court granted the State's motion to dismiss, in part, and granted the petition, 15 in part. (Exhibit 72). The state district court found the petition untimely and successive. (Id.). 16 Despite the fact that the petition was untimely and successive, the court found that state law barred 17 petitioner's conviction for both solicitation to commit murder and principal to attempted murder. 18 (Id.). The state district court dismissed Count I, solicitation to commit murder. (Id.). An amended 19 judgment of conviction was filed on June 30, 2009. (Exhibit 73).

Petitioner filed two notices of appeal. The first notice of appeal was filed on July 13, 2009,
seeking to appeal the state district court's order granting in part and denying in part, the habeas
petition. (Exhibit 74). Petitioner's second notice of appeal, filed July 15, 2009, was a direct appeal
from the amended judgment of conviction. (Exhibit 75).

In the appeal from the amended judgment of conviction, in Case No. 54189, on August 25, 2009, the Nevada Supreme Court dismissed the appeal. (Exhibit 78). The Nevada Supreme Court ruled that: "Because the amended judgment of conviction did not otherwise alter the judgment of conviction, appellant is not an aggrieved party. Only an aggrieved party may appeal. NRS 177.015." (*Id.*, at p. 1). The Court further ruled that, to the extent petitioner sought to appeal from

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the original judgment of conviction, the notice of appeal was untimely because it was filed more
 than 13 years after entry of the original judgment of conviction. (*Id.*, at pp. 1-2). Remittitur issued
 on September 22, 2009. (Exhibit 81).

In the appeal from the district court's order granting in part and denying in part the habeas
petition, on February 4, 2010, in Case No. 54171, the Nevada Supreme Court found that the petition
was subject to procedural bars as untimely, successive, and an abuse of the writ. (Exhibit 82). The
Court further found that petitioner had not established good cause or actual innocence to overcome
the procedural bars. (*Id.*). The Nevada Supreme Court affirmed the judgment of the state district
court. (*Id.*). Remittitur issued on March 2, 2010. (Exhibit 83).

10 On June 23, 2010, petitioner dispatched a pro se federal habeas petition to this Court, which 11 was filed in the instant case. (Petition, ECF No. 3, at p. 1, item 5). In the petition, petitioner raised 42 claims, including ineffective assistance of counsel claims for failing to advise petitioner of and 12 13 perfect a direct appeal from the conviction, failing to advise of the facts and law causing petitioner's guilty plea to be unknowing, unintelligent and involuntary, and for failing to tell petitioner that he 14 15 could not be convicted of both solicitation to commit murder and attempted murder. (ECF No. 3). On July 9, 2010, this Court dismissed the petition as untimely pursuant to 28 U.S.C. § 2244(d), and 16 17 alternatively, as a second or successive petition pursuant to 28 U.S.C. § 2244(b). (ECF No. 2). The 18 Court's order also denied petitioner a certificate of appealability. (Id.). The Clerk entered judgment 19 on July 9, 2010. (ECF No. 4). Petitioner appealed this Court's order and judgment. (ECF No. 5).

On January 21, 2011, the Ninth Circuit Court of Appeals granted petitioner a certificate of appealability on two issues: (1) Whether the district court erred in summarily denying the petition as untimely without first offering appellant an opportunity to justify the facially untimely filing; and (2) whether the petition was properly dismissed on the alternative grounds as an unauthorized second or successive petition, in light of the amended judgment. (ECF No. 10). The panel appointed counsel to represent petitioner. (ECF No. 10, at p. 2). By order filed January 27, 2011, this Court appointed the Federal Public Defender to represent petitioner in the appeal. (ECF No. 11).

On April 2, 2012, the Ninth Circuit Court of Appeals filed a published opinion. *Wentzell v. Neven*, 674 F.3d 1124 (9th Cir. 2012); ECF No. 16. The Court of Appeals ruled that this Court erred

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when it dismissed the petition as untimely without first providing petitioner notice and an
 opportunity to respond. *Wentzell*, 674 F.3d at 1126. The Court of Appeals also ruled that the
 second federal habeas petition was not successive because it attacked a separate judgment than the
 first federal habeas petition – the amended judgment of conviction. *Id.* at 1127-28.

Respondents filed a petition for rehearing and suggestion for rehearing en banc, which the
Ninth Circuit denied on June 22, 2012. (ECF No. 18). Respondents filed a petition for a writ of *certiorari* in the United States Supreme Court. On May 13, 2013, the United States Supreme Court
denied respondents' petition for a writ of *certiorari*. (ECF No. 26). The Ninth Circuit issued its
mandate on June 6, 2013. (ECF No. 27). This Court filed an order on June 11, 2013, reopening this
case and directing the filing of an amended petition. (ECF No. 30).

11 On February 11, 2014, acting through counsel, petitioner filed an amended petition (ECF 12 No. 43) and exhibits in support of the amended petition (ECF Nos. 39-42). The amended petition 13 contains two grounds for relief. In Ground 1, petitioner alleges that trial counsel was ineffective for 14 failing to inform him of his right to file a direct appeal, by failing to consult with petitioner 15 regarding his substantive appellate rights and state law procedural time limitations, and by failing to file a notice of appeal despite repeated requests to do so by petitioner and his family. (ECF No. 43, 16 17 at pp. 10-13). In Ground 2, petitioner alleges that his guilty pleas were not knowingly and 18 voluntarily entered because they were not the product of effective assistance of counsel and because 19 petitioner was not properly informed of the charges against him by the charging document or by the 20 state district court's plea canvass. (Id., at pp. 13-17). On April 30, 2014, respondents filed a motion 21 to dismiss the amended petition. (ECF No. 48). Petitioner filed a response to the motion to dismiss 22 on July 23, 2014. (ECF No. 56). Respondents filed a reply to the response on August 13, 2014, 23 along with a motion to file a late pleading. (ECF Nos. 61 & 62). Respondents' motion for leave to 24 file a late reply is granted, nunc pro tunc.

25 II. Discussion

Respondents assert that the claims in the amended petition were procedurally defaulted on
independent and adequate state grounds, and that therefore the claims are procedurally barred from
federal review.

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A. Procedural Default Principles

In Coleman v. Thompson, 501 U.S. 722 (1991), the United States Supreme Court held that a 3 state prisoner's failure to comply with the state's procedural requirements in presenting his claims is barred from obtaining a writ of habeas corpus in federal court by the adequate and independent state 4 5 ground doctrine. Coleman, 501 U.S. at 731-32 ("Just as in those cases in which a state prisoner fails 6 to exhaust state remedies, a habeas petitioner who has failed to meet the State's procedural 7 requirements for presenting his federal claims has deprived the state courts of an opportunity to 8 address those claims in the first instance."). Where such a procedural default constitutes an 9 adequate and independent state ground for the denial of habeas corpus relief, the default may be excused only "if a constitutional violation has probably resulted in the conviction of one who is 10 actually innocent," or if the prisoner demonstrates cause for the default and prejudice resulting from 11 it. Murray v. Carrier, 477 U.S. 478, 496 (1986). 12

A state procedural bar is "adequate" if it is "clear, consistently applied, and well-established 13 at the time of the petitioner's purported default." Calderon v. United States District Court (Bean), 14 96 F.3d 1126, 1129 (9th Cir. 1996) (quoting Wells v. Maass, 28 F.3d 1005, 1010 (9th Cir. 1994)); see 15 also King v. Lamarque, 464 F.3d 963, 966-67 (9th Cir. 2006). A state procedural bar is 16 17 "independent" if the state court "explicitly invokes the procedural rule as a separate basis for its decision." Vang v. Nevada, 329 F.3d 1069, 1074 (9th Cir. 2003). A state court's decision is not 18 "independent" if the application of the state's default rule depends on the consideration of federal 19 law. Park v. California, 202 F.3d 1146, 1152 (9th Cir. 2000); see also Coleman, 501 U.S. at 735 2021 (there is no independent state ground for a state court's application of procedural bar when the 22 court's reasoning rests primarily on federal law or is interwoven with federal law).

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B. The Issues Raised in the Federal Amended Petition Were Procedural Defaulted in State Court on Independent and Adequate State Grounds

In the amended petition, petitioner asserts that the claims raised in the amended federal petition were raised in his third state post-conviction habeas petition filed in the Sixth Judicial District Court for the State of Nevada. (ECF No. 43, at p. 8 (citing Exhibits 64 & 67)). In an order filed February 4, 2010, the Nevada Supreme Court ruled that the third state post-conviction habeas petition was untimely pursuant to NRS 34.726(1) and successive pursuant to NRS 34.810(2).
 (Exhibit 82).

3 A state procedural bar is "adequate" if it is "clear, consistently applied, and well-established at the time of the petitioner's purported default." Calderon v. United States District Court (Bean), 4 96 F.3d 1126, 1129 (9th Cir. 1996) (quoting Wells v. Maass, 28 F.3d 1005, 1010 (9th Cir. 1994)). In 5 Bennett v. Mueller, 322 F.3d 573, 585-86 (9th Cir. 2003), the Ninth Circuit announced a burden-6 7 shifting test for analyzing adequacy. Under Bennett, the State carries the initial burden of 8 adequately pleading "the existence of an independent and adequate state procedural ground as an 9 affirmative defense." Id. at 586. The burden then shifts to the petitioner "to place that defense in 10 issue," which the petitioner may do "by asserting specific factual allegations that demonstrate the 11 inadequacy of the state procedure, including citation to authority demonstrating inconsistent 12 application of the rule." Id. However, where the Ninth Circuit has already made a determination on the adequacy of a rule, the petitioner must cite cases "demonstrating subsequent inconsistent 13 application" to meet his burden under Bennett. King v. LaMarque, 464 F.3d 963, 967 (9th Cir. 14 2006). If the petitioner meets this burden, "the ultimate burden" of proving the adequacy of the 15 state bar rests with the State, which must demonstrate "that the state procedural rule has been 16 17 regularly and consistently applied in habeas actions." Bennett v. Mueller, 322 F.3d 586; see also *King v. Lamarque*, 464 F.3d 963, 966-67 (9th Cir. 2006). 18

19 As stated, by order filed February 4, 2010, the Nevada Supreme Court ruled that petitioner's third state post-conviction habeas petition was untimely under NRS 34.726(1) and successive under 2021 NRS 34.810(2). (Exhibit 82). The Ninth Circuit has held that the Nevada Supreme Court's 22 application of the timeliness rule in NRS 34.726(1) is an independent and adequate state law ground for procedural default. Moran v. McDaniel, 80 F.3d 1261, 1268-70 (9th Cir. 1996); see also Valerio 23 v. Crawford, 306 F.3d 742, 778 (9th Cir. 2002). The Ninth Circuit also has held that, at least in non-24 capital cases, application of the successive petition rule of NRS 34.810(2) is an independent and 25 adequate state ground for procedural default. Vang v. Nevada, 329 F.3d 1069, 1074 (9th Cir. 2003); 26 Bargas v. Burns, 179 F.3d 1207, 1210-12 (9th Cir. 1999). 27

In his opposition, petitioner states that the Nevada Supreme Court's procedural default of his
claims was inadequate to foreclose federal habeas review. (ECF No. 56, at pp. 8-12). However,
petitioner fails to cite any cases or make any specific factual allegations showing subsequent
inconsistent application of the procedural bars at NRS 34.726(1) and NRS 34.810(2). Therefore
petitioner has not met his burden of calling into question the adequacy of the state court procedural
rules at NRS 35.726(1) and NRS 35.810(2). *See Bennett v. Mueller*, 322 F.3d 586; *see also King v. Lamarque*, 464 F.3d at 966-67.

8 Moreover, petitioner's discussion of the purported inadequacy of the procedural bar at NRS 9 177.015 is not relevant, because the Nevada Supreme Court's order resolving petitioner's third state 10 post-conviction petition did not rely on NRS 177.015. (ECF No. 56, at pp. 7-10; Exhibit 82). 11 Petitioner's assertion that the Nevada Supreme Court's order, filed August 25, 2009, resolved his third state post-conviction habeas petition is incorrect. Rather, the Nevada Supreme Court's order 12 13 filed August 25, 2009, resolved the direct appeal from the amended judgment of conviction. 14 (Exhibit 78). That order did invoke the procedural bar of NRS 177.015, but that order is not at 15 issue, because petitioner clearly states that the claims in his federal amended petition are based on his third state post-conviction habeas petition, which was resolved by the Nevada Supreme Court's 16 17 order filed February 4, 2010. (ECF No. 43, at p. 8; Exhibit 82).

18 This Court finds that the Nevada Supreme Court's application of the procedural bars of NRS 19 34.726(1) and NRS 34.810(2) in its order filed February 4, 2010 constitutes independent and 20 adequate grounds for the Court's denial of petitioner's appeal from the state district court's order 21 resolving the third state post-conviction habeas petition. Because the federal habeas petition asserts 22 the same claims made in the procedurally defaulted third state post-conviction habeas petition, the 23 federal petition is procedurally barred from federal review and will be dismissed with prejudice unless petitioner can show cause and prejudice to excuse the procedural bar, or that failure to 24 25 consider the defaulted claim will result in a fundamental miscarriage of justice.

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C. Cause and Prejudice/Fundamental Miscarriage of Justice

To overcome a claim that was procedural defaulted in state court, a petitioner must establish either (1) cause for the default and prejudice attributable thereto or (2) that failure to consider the

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defaulted claims will result in a "fundamental miscarriage of justice." *Harris v. Reed*, 489 U.S. 255,
 262 (1989) (citations omitted).

3 To prove a "fundamental miscarriage of justice," petitioner must show that the constitutional error of which he complains "has probably resulted in the conviction of one who is actually 4 5 innocent." Bousley v. United States, 523 U.S. 614, 623 (1998) (citing Murray v. Carrier, 477 U.S. at 496). "Actual innocence" is established when, in light of all of the evidence, "it is more likely 6 7 than not that no reasonable juror would have convicted [the petitioner]." Bousley v. United States, 8 523 U.S. at 623 (quoting Schlup v. Delo, 513 U.S. 298, 327-28 (1995)). "[A]ctual innocence' 9 means factual innocence, not mere legal insufficiency." Bousley v. United States, 523 U.S. at 623. 10 Petitioner can make a showing of "actual innocence" by presenting the court with new evidence which raises a sufficient doubt as "to undermine confidence in the result of the trial." Schlup v. 11 Delo, 513 U.S. at 324. In the instant case, petitioner has not made a showing of actual innocence or 12 otherwise shown that a fundamental miscarriage of justice will occur if his claims are barred from 13 federal review. 14

15 To demonstrate cause for a procedural default, the petitioner must "show that some objective factor external to the defense impeded" his efforts to comply with the state procedural rule. Murray, 16 17 477 U.S. at 488. For cause to exist, the external impediment must have prevented the petitioner 18 from raising the claim. See McClesky v. Zant, 499 U.S. 467, 497 (1991). With respect to the 19 prejudice prong, the petitioner bears "the burden of showing not merely that the errors [complained 20 of constituted a possibility of prejudice, but that they worked to his actual and substantial 21 disadvantage, infecting his entire [proceeding] with errors of constitutional dimension." White v. Lewis, 874 F.2d 599, 603 (9th Cir. 1989), citing United States v. Frady, 456 U.S. 152, 170 (1982). If 22 23 the petitioner fails to show cause, the court need not consider whether the petitioner suffered actual 24 prejudice. Engle v. Isaac, 456 U.S. 107, 134 n.43 (1982); Roberts v. Arave, 847 F.2d 528, 530 n.3 25 (9th Cir. 1988). In the instant case, petitioner has not addressed, much less proven, the existence of cause and prejudice to excuse the procedural default. This Court finds that the issues raised in the 26 27 federal amended petition were procedurally defaulted in state court, and petitioner has failed to 28 show either a fundamental miscarriage of justice, or cause and prejudice to excuse the procedural

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default. As such, the amended petition is barred from review by this Court and will be dismissed
 with prejudice.

3 III. Certificate of Appealability

4 District courts are required to rule on the certificate of appealability in the order disposing of 5 a proceeding adversely to the petitioner or movant, rather than waiting for a notice of appeal and 6 request for certificate of appealability to be filed. Rule 11(a). In order to proceed with his appeal, 7 petitioner must receive a certificate of appealability. 28 U.S.C. § 2253(c)(1); Fed. R. App. P. 22; 9th Cir. R. 22-1; Allen v. Ornoski, 435 F.3d 946, 950-951 (9th Cir. 2006); see also United States v. 8 9 Mikels, 236 F.3d 550, 551-52 (9th Cir. 2001). Generally, a petitioner must make "a substantial 10 showing of the denial of a constitutional right" to warrant a certificate of appealability. Id.; 28 U.S.C. § 2253(c)(2); Slack v. McDaniel, 529 U.S. 473, 483-84 (2000). "The petitioner must 11 demonstrate that reasonable jurists would find the district court's assessment of the constitutional 12 claims debatable or wrong." Id. (quoting Slack, 529 U.S. at 484). In order to meet this threshold 13 14 inquiry, the petitioner has the burden of demonstrating that the issues are debatable among jurists of 15 reason; that a court could resolve the issues differently; or that the questions are adequate to deserve encouragement to proceed further. Id. In this case, no reasonable jurist would find this Court's 16 17 dismissal of the amended petition as procedurally barred debatable or wrong. The Court therefore 18 denies petitioner a certificate of appealability.

19 IV. Conclusion

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IT IS THEREFORE ORDERED that respondents' motion to file a late reply (ECF No. 61) is **GRANTED** *nunc pro tunc*.

IT IS FURTHER ORDERED that respondents' motion to dismiss (ECF No. 48) is
 GRANTED to the extent that the amended petition is DISMISSED WITH PREJUDICE as
 procedurally barred.

25 IT IS FURTHER ORDERED that petitioner is DENIED A CERTIFICATE OF
26 APPEALABILITY.

1	IT IS FURTHER ORDERED that the Clerk of Court SHALL ENTER JUDGMENT
2	ACCORDINGLY.
3	Dated this 23rd day of March, 2015.
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5	ROBERT D HUNT
6	UNITED STATES DISTRICT JUDGE
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