

1
2
3
4 UNITED STATES DISTRICT COURT
5 DISTRICT OF NEVADA

6 * * *

7 ZEIDY M. PONCE CONEJO,

Case No. 2:14-cv-01557-MMD-PAL

8 Plaintiff,

ORDER REGARDING REPORT AND
RECOMMENDATION OF
MAGISTRATE JUDGE
PEGGY A. LEEN

9 v.

10 NANCY A. BERRYHILL, Acting
Commissioner of Social Security,

11 Defendant.
12

13 **I. SUMMARY**

14 Before the Court is the Report and Recommendation of United States Magistrate
15 Judge Peggy A. Leen (ECF No. 27) (“R&R” or “Recommendation”) regarding Plaintiff
16 Zeidy M. Ponce Conejo’s Motion for Reversal and/or Remand (“Motion to Remand”) (ECF
17 No. 18) and Defendant Acting Commissioner’s Cross-Motion to Affirm (“Cross-Motion”)
18 (ECF No. 21). The Court has reviewed Plaintiff’s objection to the R&R (ECF No. 28).
19 Defendant did not file a response. The Court has also reviewed the administrative record¹
20 filed by Defendant (ECF Nos. 15, 17-1).²

21 For the following reasons, the Court finds good cause to accept and adopt the R&R
22 in full.

23 **II. BACKGROUND**

24 Plaintiff “protectively applied for a period of Title II disability and Disability Insurance
25 Benefits” on December 30, 2010, “alleging that she had been disabled and unable to work
26

27 ¹For ease of reference, the Court will cite to the administrative record as AR.

28 ²Defendant filed both a physical copy of the administrative record (ECF No. 15) and
an electronic copy (ECF No. 17-1).

1 since July 20, 2010.” (AR 10.) Plaintiff stated in her application that the following conditions
2 limited her ability to work: cervical fusion, titanium disc in neck, pain in left arm, constant
3 pain in spine, and depression. (AR 168.)

4 Plaintiff’s application was initially denied in a letter dated October 17, 2011 (AR 86),
5 and again on reconsideration in a letter dated May 25, 2012 (AR 95). An administrative
6 law judge (“ALJ”) held a hearing on May 9, 2013 (AR 40) and subsequently found that
7 Plaintiff was not disabled in a decision dated June 12, 2013. (AR 7-33.) The Appeals
8 Council denied review on August 5, 2014. (AR 1-4.)

9 In denying Plaintiff’s application, the ALJ applied the five-step disability evaluation
10 process set forth in 20 C.F.R. § 404.1520. *See Lester v. Chater*, 81 F.3d 821, 828 n.5 (9th
11 Cir. 1995) (describing the five-step process). The ALJ found that Plaintiff passed the
12 hurdles set at steps one and two of the five-step process because she was not engaging
13 in substantial gainful activity and she suffered from a number of severe impairments,
14 including degenerative disc disease of the cervical and lumbar spine, seizure disorder,
15 and adjustment disorder with mixed features. (AR 12.) The ALJ also found, however, that
16 Plaintiff was not automatically presumed disabled at step three because her condition did
17 not meet or equal any of the impairments listed in 20 C.F.R., Pt. 4, Subpt. P, App. 1. (*Id.*)
18 The ALJ’s decision that Plaintiff was not disabled therefore turned on his assessment (in
19 between steps three and four) of Plaintiff’s residual functional capacity (“RFC”) and
20 application of this RFC assessment at steps four and five. *See* 20 C.F.R. § 404.1520(a)(4)
21 (“Before we go from step three to step four, we assess your residual functional
22 capacity. . . . We use this residual functional capacity assessment at both step four and
23 step five when we evaluate your claim at these steps.”).

24 In assessing Plaintiff’s RFC, the ALJ found that Plaintiff could perform sedentary
25 work as defined in 20 C.F.R. § 404.1567(a): “She could lift and carry no more than five
26 pounds, frequently, and ten pounds, occasionally. She could sit for six hours, cumulatively,
27 in an eight-hour workday. She could stand and/or walk for two hours, cumulatively, in an
28 eight-hour workday.” (AR 14-15.) While Plaintiff testified to additional limitations that would

1 establish a lower RFC, the ALJ rejected this testimony as only “partially credible.” (AR 15.)
2 The ALJ provided a host of reasons for discounting Plaintiff’s credibility: her testimony was
3 internally inconsistent (*see* AR 15, 20, 21); objective medical evidence did not corroborate
4 the severity of her symptoms (AR 15, 22, 24); pending personal injury lawsuits (and
5 potential awards of monetary damages) served as an incentive to avoid seeking work (AR
6 30); and her testimony was inconsistent with her conduct (AR 20, 22, 30), the notes of her
7 treating physicians (AR 15, 23, 24), and her activities of daily living (AR 15-17).

8 The Magistrate Judge found “that the ALJ’s decision is supported by substantial
9 evidence under 42 U.S.C. § 405(g).” (ECF No. 27 at 37.) Accordingly, the Magistrate
10 Judge recommends that Plaintiff’s Motion to Remand be denied and that the
11 Commissioner’s Cross-Motion be granted. (*Id.*)

12 **III. LEGAL STANDARD**

13 This Court “may accept, reject, or modify, in whole or in part, the findings or
14 recommendations made by the magistrate judge.” 28 U.S.C. § 636(b)(1)(C). Where a
15 party timely objects to a magistrate judge’s report and recommendation, then the court is
16 required to “make a de novo determination of those portions of the [report and
17 recommendation] to which objection is made.” *Id.*

18 Congress has limited the scope of judicial review of the Commissioner’s decisions
19 to deny benefits under the Social Security Act. In reviewing findings of fact, the Court must
20 determine whether the decision of the Commissioner is supported by substantial evidence.
21 42 U.S.C. § 405(g). “Substantial evidence is more than a mere scintilla but less than a
22 preponderance; it is such relevant evidence as a reasonable mind might accept as
23 adequate to support a conclusion.” *Gutierrez v. Comm’r of Soc. Sec.*, 740 F.3d 519, 522-
24 23 (9th Cir. 2014) (internal quotation marks and citations omitted). The court must consider
25 the entire record as a whole to determine whether substantial evidence exists, and it must
26 consider evidence that both supports and undermines the ALJ’s decision. *Id.* at 523
27 (citation omitted). “If the ALJ’s finding is supported by substantial evidence, the court may
28 not engage in second-guessing.” *Tommasetti v. Astrue*, 533 F.3d 1035, 1039 (9th Cir.

1 2008). In weighing the evidence and making findings, the Commissioner must also apply
2 the proper legal standards. *Id.* (citations omitted). Courts “may not reverse an ALJ’s
3 decision on account of an error that is harmless.” *Molina v. Astrue*, 674 F.3d 1104, 1111
4 (9th Cir. 2012).

5 Additional rules govern credibility findings regarding claimants’ pain and symptom
6 testimony. “The ALJ must make two findings before the ALJ can find a claimant’s pain or
7 symptom testimony not credible.” *Treichler v. Comm’r of Soc. Sec. Admin.*, 775 F.3d 1090,
8 1102 (9th Cir. 2014) (citing 42 U.S.C. § 423(d)(5)(A)). “First, the ALJ must determine
9 whether the claimant has presented objective medical evidence of an underlying
10 impairment which could reasonably be expected to produce the pain or other symptoms
11 alleged.” *Id.* (quoting *Lingenfelter v. Astrue*, 504 F.3d 1028, 1036 (9th Cir. 2007)). “Second,
12 if the claimant has produced that evidence, and the ALJ has not determined that the
13 claimant is malingering, the ALJ must provide ‘specific, clear and convincing reasons for’
14 rejecting the claimant’s testimony regarding the severity of the claimant’s symptoms.” *Id.*
15 (citing *Smolen v. Chater*, 80 F.3d 1273, 1281 (9th Cir.1996)). “This is not an easy
16 requirement to meet: ‘The clear and convincing standard is the most demanding required
17 in Social Security cases.’” *Garrison v. Colvin*, 759 F.3d 995, 1015 (9th Cir. 2014) (quoting
18 *Moore v. Comm’r of Soc. Sec. Admin.*, 278 F.3d 920, 924 (9th Cir. 2002)).

19 **IV. DISCUSSION**

20 Plaintiff objects to the ALJ’s determination that she was only partially credible. (See
21 ECF No. 28 at 4-5.) The parties dispute only the second stage of the credibility analysis—
22 whether the ALJ provided specific, clear, and convincing reasons supported by substantial
23 evidence for rejecting Plaintiff’s subjective testimony. The Court finds that, on the whole,
24 the ALJ satisfied this standard, even though some of the reasons he provided were
25 inadequate.

26 **A. Plaintiff’s First Objection**

27 Plaintiff’s first objection is that the ALJ erred by discounting her credibility on the
28 basis of her ability to engage in activities of daily living. (ECF No. 28 at 4.) The ALJ

1 discounted Plaintiff's credibility based in part on his finding that Plaintiff "was functionally
2 able to shop, go out to eat, talk on the telephone, watch television, take care of pets and
3 her teenage son, do some household chores, prepare[] daily meals, and the like." (AR 16-
4 17.)

5 The Magistrate Judge found that Plaintiff's activities of daily living "undermined her
6 claims that she was incapable of doing sedentary unskilled work because of her pain."
7 (ECF No. 27 at 32.) Upon further examination of the record, the Court finds that Plaintiff's
8 activities of daily living are limited such that they in fact support her subjective pain
9 testimony.

10 1. Grocery Shopping

11 The first activity of daily living the ALJ cited was grocery shopping (AR 16-17), but
12 the ALJ omitted critical details about how Plaintiff grocery shops. She requires assistance
13 from her neighbor and son to get to the grocery store and to carry anything other than
14 "small stuff." (AR 187, 235.) She also rests on the cart while shopping. (AR 189.) This
15 limited ability to grocery shop does not constitute a clear and convincing reason for
16 discounting her testimony.

17 2. Going Out to Eat

18 The next activity of daily living the ALJ cited was going out to eat (AR 16-17), but it
19 is unclear how frequently Plaintiff is able to go out to eat. A third party function report
20 completed by a friend stated only that goes to "lunch when [a] friend takes her out." (AR
21 180.) The third party function report does not expressly indicate frequency, though it is
22 possible that Plaintiff goes to lunch with a friend as infrequently as once every three
23 months. (*See id.*) This does not constitute a clear and convincing reason for discounting
24 Plaintiff's testimony.

25 3. Telephone

26 The third activity the ALJ cited was talking on the telephone. (AR 16-17.) The record
27 does not indicate that Plaintiff talks on the telephone while seated, which would be
28 consistent with sedentary work. Plaintiff might use the telephone while lying down,

1 standing, reclining, or while changing positions. The record also does not indicate the
2 duration of Plaintiff's telephone calls. Plaintiff's use of the telephone is not a clear and
3 convincing reason for discounting her testimony.

4 **4. Television**

5 The fourth activity the ALJ cited was watching television. (AR 16-17.) The Ninth
6 Circuit Court of Appeals has consistently held that simply watching television, without
7 additional information about how the person watches television (e.g., seated, reclining, or
8 lying down), does not constitute a clear and convincing reason for discounting symptom
9 testimony. *Batson v. Comm'r of Soc. Sec. Admin.*, 359 F.3d 1190, 1197 (9th Cir. 2004);
10 *see also Popa v. Berryhill*, 872 F.3d 901, 906 (9th Cir. 2017).

11 **5. Pet Care**

12 The fifth activity the ALJ cited was pet care. (AR 16-17.) The record indicates that
13 Plaintiff does little more than occasionally feed her dog. The more strenuous activities of
14 walking and bathing the dog fall to her son. (AR 185, 204.) This does not constitute a clear
15 and convincing reason for discounting Plaintiff's testimony.

16 **6. Childcare**

17 The sixth activity the ALJ cited was childcare. (AR 16-17.) Based on the record,
18 Plaintiff's children take care of her, not the other way around. Her children drive her places
19 (AR 184, 187); help her to get dressed (AR 204); buy groceries (AR 235); walk, bathe, and
20 occasionally feed the dog (AR 185, 204); cook (AR 186); vacuum (*id.*); do "heavy duty jobs
21 around the house" (*id.*); assist her with laundry (*id.*); and clean (AR 178). Plaintiff alleges
22 that she takes care of her children by "providing for them" (presumably financially) and by
23 reminding them to do their school work—"the rest, they do them[selves]." (AR 185.) These
24 childcare activities do not clearly and convincingly demonstrate that her testimony is not
25 credible.

26 **7. Household Chores**

27 The seventh activity the ALJ cited was household chores. (AR 16-17.) In a third
28 party function report, a friend wrote that Plaintiff does laundry and light cleaning. (AR 178.)

1 The friend also noted that Plaintiff's son helps with "house chores she can't do (mop floors,
2 vac[uum])." (*Id.*) Plaintiff elaborated on her ability to do laundry in her function report: "I do
3 need help from my boys to pick up the clothes bas[k]ets from the floor, and take the clothes
4 out [of] the w[asher] into the dryer and [room]." (AR 186.) The Court does not find that
5 Plaintiff's ability to do light housework and to do laundry with substantial assistance
6 constitutes a clear and convincing reason supported by substantial evidence for
7 discounting her testimony.

8 **8. Daily Meal Preparation**

9 The eighth activity the ALJ cited was daily meal preparation. (AR 16-17.) Plaintiff
10 indicated that her children help her to cook and that she only cooks small meals such as
11 "piece[s] of fish, eggs, [and] sandwi[ches]." (AR 186.) This minimal food preparation work
12 does not constitute a clear and convincing reason for discounting Plaintiff's subjective
13 symptom testimony.

14 In sum, the Court agrees with Plaintiff that her daily living activities do not amount
15 to clear and convincing reasons for discounting her testimony. However, the ALJ's error
16 is harmless because the ALJ offered other specific, clear, and convincing reasons
17 supported by substantial evidence for discounting Plaintiff's subjective testimony.

18 **B. Plaintiff's Second Objection**

19 Plaintiff contends that the ALJ further erred by discounting her credibility on the
20 basis of medical opinions and testimony that contradicted her own testimony. (ECF No.
21 28 at 5.) Plaintiff contends that an ALJ, in finding a claimant not credible, only can rely on
22 "reasons unrelated to the subjective testimony (e.g., reputation for dishonesty), internal
23 contradictions in the testimony, or conflicts between the claimant's testimony and the
24 claimant's conduct (e.g., daily activities, work record, unexplained or inadequately
25 explained failure to seek treatment or to follow prescribed course of treatment." (ECF No.
26 28 at 5 (citing *Orn v. Astrue*, 495 F.3d 625, 636 (9th Cir. 2007).)

27 Plaintiff mischaracterizes the relevant law. The ALJ may consider contradictions
28 between a claimant's testimony and medical sources in assessing the severity of the

1 claimant's symptoms. *See Smolen v. Chater*, 80 F.3d 1273, 1285 (9th Cir. 1996); SSR 16-
2 3p, 82 Fed. Reg. 49,462, 49,465 (Oct. 25, 2017). Accordingly, the ALJ properly considered
3 the following inconsistencies between Plaintiff's testimony and medical sources: Plaintiff
4 was prescribed fewer medications than what she reported in a filing with the Social
5 Security Administration (AR 15 (citing AR 233)); the record reflected that Plaintiff's
6 medication regimen was quite helpful and controlled her spinal pain (AR 15); and Plaintiff
7 expressed satisfaction with her third cervical surgery, contrary to her allegations of total
8 disability (AR 21).

9 Even setting aside inconsistencies between Plaintiff's testimony and medical
10 sources, the ALJ provided other clear and convincing reasons supported by substantial
11 evidence for discounting Plaintiff's credibility. For example, Plaintiff did not seek or receive
12 treatment for her alleged head and spinal injuries on the day of the car accident giving rise
13 to her alleged disability, and Plaintiff's pending personal injury lawsuits served as an
14 incentive to exaggerate her symptoms in order to avoid work and maximize the potential
15 award of monetary damages. (AR 30.)

16 The ALJ provided numerous specific, clear, and convincing reasons supported by
17 substantial evidence for discounting Plaintiff's testimony. Although the ALJ considered
18 some reasons that were not clear and convincing, this constitutes harmless error given
19 the ALJ's additional reliance on reasons that satisfied the clear-and-convincing standard.

20 **V. CONCLUSION**

21 The Court notes that the parties made several arguments and cited to several cases
22 not discussed above. The Court has reviewed these arguments and cases and determines
23 that they do not warrant discussion as they do not affect the outcome of the motions before
24 the Court.

25 It is therefore ordered, adjudged, and decreed that the Report and
26 Recommendation of Magistrate Judge Peggy A. Leen (ECF No. 27) is accepted and
27 adopted in full.

28 ///

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

It is further ordered that Plaintiff's Motion to Remand (ECF No. 18) is denied.

It is further ordered that Defendant's Cross-Motion to Affirm (ECF No. 21) is granted.

The Clerk is directed to enter judgment and close this case.

DATED THIS 29th day of November 2017.



MIRANDA M. DU
UNITED STATES DISTRICT JUDGE