

UNITED STATES DISTRICT COURT
DISTRICT OF NEVADA

NAKIA BASKERVILLE Individually,
Plaintiff,

vs.

ALBERTSON’S, LLC a foreign limited liability
company; et.al.,
Defendants.

Case No. 2:15-cv-902-JAD-VCF

ORDER

MOTION FOR SANCTIONS FOR PLAINTIFF’S
SPOILIATION OF EVIDENCE AND FAILURE TO
COMPLY FED. R. CIV. P. 37 (DOC. #18)

This matter involves Plaintiff Nakia Baskerville’s civil action against Albertson’s, LLC. Before the court is Albertson’s Motion for Sanctions for Plaintiff’s Spoliation of Evidence and Failure to Comply with Fed. R. Civ. P. 37 (Doc. #18) and Baskerville’s response (Doc. #19). For the reasons stated below, Albertson’s motion is granted in part and denied in part.

I. BACKGROUND

The instant motion arises from a dispute regarding an independent medical examination (IME). Baskerville was scheduled to undergo right-side, L4-5 spinal surgery on September 22, 2015. (Doc. #18 at 8). The parties agreed that on September 18, 2015, Baskerville would undergo an IME. *Id.* At approximately 3:00pm on September 17, 2015, Baskerville’s counsel notified Albertson’s counsel that, unless there was a stipulation not to seek an IME after the September 22 surgery, Baskerville would not attend the September 18 IME. *Id.* The parties were unable to reach an agreement regarding post-surgery IMEs. *Id.* Baskerville did not attend the September 18 IME. *Id.* Baskerville underwent her right-side, L4-5 spinal surgery on September 22. *Id.* Albertson’s alleges that Baskerville failed to preserve pre-surgery evidence that would have been adduced had Baskerville attended the September 18 IME, as originally agreed. *Id.*

II. LEGAL STANDARD

1 “Spoliation of evidence is defined as ‘the destruction or significant alteration of evidence, or the
2 failure to preserve property for another’s use as evidence in pending or reasonably foreseeable
3 litigation.’” *Lemus v. Olavson*, No. 2:14-cv-1381-JCM-NJK, 2015 WL 995378, at * 8 (D. Nev. March,
4 5, 2015) (internal citations omitted) “[Parties] engage in the spoliation of documents as a matter of law
5 only if they had some notice that the documents were potentially relevant to the litigation before they
6 were destroyed.” *Id.*

8 “The party seeking spoliation sanctions has the burden of establishing the elements of a
9 spoliation claim.” *Id.* (citing *Reinsdorf v. Skechers U.S.A., Inc.*, 296 F.R.D. 604, 626 (C.D.Cal. 2013)).
10 “The party seeking sanctions based on spoliation of evidence must establish that: (1) the party having
11 control over the evidence had an obligation to preserve it at the time it was destroyed; (2) the evidence
12 was destroyed with a culpable state of mind; and (3) the evidence was relevant to the party’s claim or
13 defense such that a reasonable trier of fact could find that it would support that claim or defense.” *Id.*

15 “[A] spoliation remedy requires some degree of culpability.” *Id.* “[P]rior to imposing sanctions,
16 the court must first make a finding of fault” *Id.* A court “may impose sanctions even against a spoliating
17 party that merely had ‘simple notice of ‘potential relevance to the litigation.’” *Reinsdorf v. Sketchers*
18 *U.S.A., Inc.*, 296 F.R.D. 604, 626 (C.D.Cal. 2013). “[A] party’s motive or degree of fault in destroying
19 evidence is relevant to what sanction, if any, is imposed.” *Id.*

III. DISCUSSION

21 The parties present two questions: (1) whether Baskerville’s failure to attend her September 18
22 IME constituted a spoliation of evidence and (2) if Baskerville spoiled evidence, what is the appropriate
23 sanction.
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1 **1. BASKERVILLE’S FAILURE TO ATTEND THE SEPTEMBER 18 IME CONSTITUTED**
2 **A SPOILIATION OF EVIDENCE**

3 Albertson’s contends that Baskerville “knowingly and irreversibly deprived Defendant the
4 opportunity to independently examine the most important piece of tangible evidence in this litigation.”
5 (Doc. #18 at 13). Baskerville argues that Albertson’s failed to meet its burden to show that Baskerville
6 should be sanctioned for spoliation of evidence. (Doc. #19 at 4-6).

7 a. *Baskerville Was Obligated to Preserve Evidence of Her Pre-Surgery Spinal Condition*

8 Baskerville argues that Albertson’s was well aware of her surgery date and presents alternatives
9 Albertson’s could have used to obtain information about the pre-surgery condition of Baskerville’s
10 spine. (Doc. #19 at 5). Baskerville does not, however, assert she did not have an obligation to preserve
11 evidence of the pre-surgery condition of her right-side, L4-5. *Id.* The court finds, that upon agreeing
12 without condition to attend a pre-surgery IME, Baskerville was obligated to preserve evidence of the
13 pre-surgery condition of her right-side, L4-5.
14

15 As of September 1, 2015, Baskerville was on notice that Albertson’s wanted an IME to preserve
16 evidence of the pre-surgery condition of Baskerville’s right-side, L4-5. (Doc. #18 at 8). Baskerville
17 agreed to undergo the IME so that Albertson’s could preserve evidence of the pre-surgery condition of
18 her right-side, L4-5. Baskerville’s failure to attend the September 18 IME and subsequent spinal surgery
19 destroyed pre-surgery information she was obligated to preserve.

20 b. *Baskerville Was at Fault for her Failure to Preserve the Pre-Surgery Condition of her*
21 *Right-Side, L4-5*

22 Baskerville contends that Albertson’s failed to present evidence that she acted with a culpable
23 state of mind when Baskerville failed to attend the September 18 IME. (Doc. # 19 at 6-7).
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1 Baskerville fails to explain why she waited until September 17, the day before her scheduled
2 IME, to impose an additional condition regarding post-surgery IMEs and to object to Albertson's
3 examiner. Baskerville also fails to explain why she conditioned her attendance at the September 18 IME
4 on Albertson's stipulation not to seek a post-surgery IME. Baskerville could have objected to
5 Albertson's examiner before she stipulated to her September 18 IME. Baskerville also could have
6 moved for a protective order if Albertson later sought a post-surgery IME. Baskerville instead frustrated
7 Albertson's efforts to preserve evidence of the pre-surgery condition of her right-side, L4-5 the day
8 before her scheduled IME. The court finds that Baskerville is at fault for the loss of evidence of the pre-
9 surgery condition of her right-side, L4-5.

10 *c. The Pre-Operation Condition of Baskerville's Spine is Relevant to Albertson's Defense*

11 Baskerville contends that the pre-surgery condition of her spine is not relevant because
12 Albertson's examiner, Dr. Duke, only performs pre-surgery examinations at the request of defendants'
13 counsel. (Doc. #19 at 6-7). The court finds that Baskerville's pre-surgery spinal condition is relevant to
14 Albertson's defense that the surgery was not the result of the incident that gave rise to the instant action.

15 Albertson's contends that Baskerville's September 22 spinal surgery was necessitated by
16 Baskerville's 2012 slip and fall accident rather than the incident that gave rise to the instant action.
17 Albertson's sought an IME to aid its defense with respect causation and damages. The pre-surgery
18 condition of Baskerville's right-side, L4-5 is thus relevant to Albertson's defense.
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20 **2. The Trial Judge Should Determine the Appropriate Sanction**

21 Albertson's argues that an appropriate sanction is the exclusion of "all testimony based on and
22 reasonably related to plaintiff's right-sided L4-5 microdiscectomy surgery, including all testimony
23 regarding plaintiff's claim for future lumbar-related care from trial." (Doc. #18 at 23).
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1 “A trial court’s discretion regarding the form of a spoliation sanction is broad, and can range
2 from minor sanctions, such as the awarding of attorneys’ fees, to more serious sanctions, such as
3 dismissal of claims or instructing the jury that it may draw an adverse inference.” *Apple, Inc. v. Samsung*
4 *Electronics Co., Ltd.*, 888 F.Supp. 2d 1132, 1135 (N.D.Cal. 2012). This court is ill-equipped to impose
5 the exclusionary sanction Albertson’s requests. If this case proceeds to trial, the trial judge will be in the
6 best position to impose the appropriate sanction for Baskerville’s spoliation of evidence.

7 ACCORDINGLY, and for good cause shown,


8 IT IS ORDERED that Albertson’s Motion for Sanctions (Doc. #18) is GRANTED in part, and
9 DENIED in part.

10 IT IS FURTHER ORDERED that Albertson’s Motion for Sanctions is GRANTED to the extent
11 that the court finds that Baskerville caused the loss of evidence of the pre-surgery condition of
12 Baskerville’s right-side, L4-5.

13 IT IS FURTHER ORDERED that Albertson’s Motion for Sanctions is DENIED, without
14 prejudice, as to its request to exclude “all testimony based on and reasonably related to plaintiff’s right-
15 sided L4-5 microdiscectomy surgery, including all testimony regarding plaintiff’s claim for future lumbar-
16 related care from trial.” The appropriate sanction is best determined by Judge Dorsey, should this case
17 proceed to trial.

18 IT IS SO ORDERED.

19 DATED this 7th day of January, 2016.

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23 _____
24 CAM FERENBACH
25 UNITED STATES MAGISTRATE JUDGE