Richards v. Cox et al

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affirmed this court's denial of summary judgment for defendants Cox and Baker but found that this court erred in its analysis of whether "Boardman [is] entitled to qualified immunity from Richards's Eighth Amendment [Section 1983] claim" and stopped too soon in determining whether "Boardman's actions violated a clearly established right." (*Id.* at 7–9).

Pursuant to the Ninth Circuit's instruction, this order analyzes whether Boardman is entitled to qualified immunity under a "malicious and sadistic" standard—instead of the previously used "deliberate indifference" standard—and whether Boardman violated a clearly established right by firing two live rounds in Richards's direction as Richards alleges. (*Id.*).

I. Background

This matter arises out of a shooting incident that took place at ESP in Ely, Nevada, to which Richards was an innocent bystander. (ECF No. 67).

On April 21, 2015, at about 7:15 p.m., Richards and approximately 25 inmates were congregating on the lower tier of their unit during free time. (ECF No. 67 at 7). While the inmates were congregating, prison correctional officers, including officer Boardman, and staff were in the control room, known as "the bubble." (*Id.*).

Without warning, four inmates began attacking a fifth inmate, punching and kicking him. (*Id.*). Richards was not involved in this altercation but was instead standing and talking to some other inmates at a table adjacent to and behind the spot where the fight broke out. (*Id.*).

From the bubble, Boardman noticed the fight and yelled out to the inmates, "stop fighting, get on the ground." (*Id.*). Inmates not involved in the fight, including Richards, began to get on the floor, but the five inmates involved in the altercation continued fighting. (*Id.*).

The parties dispute what happened next. Boardman submits that, after he yelled for the inmates to get on the ground, he fired a blank shotgun cartridge in compliance with NDOC policy. (ECF No. 60 at 3). When two inmates continued fighting, Boardman alleges he again verbally ordered all inmates to get on the ground, to no avail. (*Id.*).

Boardman alleges that he "then discharged the weapon with a live round of 7.5 birdshot," at the ground in the vicinity of the fighting inmates, which is known as a "skip shot." (*Id.*). According to Boardman, the skip shot "was used in order to reduce the danger of engaging in

this type of fight or disturbance control, as it ensured that the pellets would remain below the belt line." (*Id.*). However, multiple pellets from the second shot struck Richards in the face, permanently blinding him in his left eye, and causing him to lose nearly all vision in his right eye. (ECF No. 67 at 10).

Richards disputes that Boardman ever fired a blank shotgun cartridge prior to shooting a live round. (*Id.* at 7; *see* ECF No. 68 at 2–3). Richards asserts that when he heard the first gunshot, he simultaneously felt several pellets hit his right shoulder. (ECF No. 67 at 7).

After feeling the first shot to his right shoulder, Richards "instinctively" raised his head to look at his shoulder and stated to another inmate, "damn, I've been shot." (*Id.*). Moments later, he felt a second blast hit his face. (*Id.*). According to Richards, blood poured out of both his eye sockets, and everything went black. (*Id.*).

II. Legal Standard

Summary judgment is proper when the record shows that "there is no genuine dispute as to any material fact and the movant is entitled to a judgment as a matter of law." FED. R. CIV. P. 56(a). The purpose of summary judgment is "to isolate and dispose of factually unsupported claims or defenses," *Celotex Corp. v. Catrett*, 477 U.S. 317, 323–24 (1986), and to avoid unnecessary trials on undisputed facts. *Nw. Motorcycle Ass'n v. U.S. Dep't of Agric.*, 18 F.3d 1468, 1471 (9th Cir. 1994).

When the moving party bears the burden of proof on a claim or defense, it must produce evidence "which would entitle it to a directed verdict if the evidence went uncontroverted at trial." *C.A.R. Transp. Brokerage Co. v. Darden Rests., Inc.*, 213 F.3d 474, 480 (9th Cir. 2000) (internal citations omitted). In contrast, when the nonmoving party bears the burden of proof on a claim or defense, the moving party must "either produce evidence negating an essential element of the nonmoving party's claim or defense or show that the

² The court can consider information in an inadmissible form at summary judgment if the information itself would be admissible at trial. *Fraser v. Goodale*, 342 F.3d 1032, 1036 (9th Cir. 2003) (citing *Block v. City of Los Angeles*, 253 F.3d 410, 418–19 (9th Cir. 2001) ("To survive summary judgment, a party does not necessarily have to produce evidence in a form that would be admissible at trial, as long as the party satisfies the requirements of Federal Rules of Civil Procedure 56.")).

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nonmoving party does not have enough evidence of an essential element to carry its ultimate burden of [proof] at trial." Nissan Fire & Marine Ins. Co. v. Fritz Cos., 210 F.3d 1099, 1102 (9th Cir. 2000).

If the moving party satisfies its initial burden, the burden then shifts to the party opposing summary judgment to establish a genuine issue of material fact. See Matsushita Elec. Indus. Co. v. Zenith Radio Corp., 475 U.S. 574, 586 (1986). An issue is "genuine" if there is an adequate evidentiary basis on which a reasonable factfinder could find for the nonmoving party and a fact is "material" if it could affect the outcome under the governing law. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248–49 (1986).

The opposing party does not have to conclusively establish an issue of material fact in its favor. T.W. Elec. Serv., Inc. v. Pac. Elec. Contractors Ass'n, 809 F.2d 626, 630 (9th Cir. 1987). But it must go beyond the pleadings and designate "specific facts" in the evidentiary record that show "there is a genuine issue for trial." Celotex, 477 U.S. at 324. In other words, the opposing party must show that a judge or jury must resolve the parties' differing versions of the truth. T.W. Elec. Serv., 809 F.2d at 630.

The court must view all facts and draw all inferences in the light most favorable to the nonmoving party. Lujan v. Nat'l Wildlife Fed., 497 U.S. 871, 888 (1990); Kaiser Cement Corp. v. Fishbach & Moore, Inc., 793 F.2d 1100, 1103 (9th Cir. 1986). The court's role is not to weigh the evidence but to determine whether a genuine dispute exists for trial. Anderson, 477 U.S. at 249. The evidence of the nonmovant is "to be believed, and all justifiable inferences are to be drawn in his favor." Id. at 255. But if the evidence of the nonmoving party is merely colorable or is not significantly probative, summary judgment may be granted. See id. at 249–50.

III. **Discussion**

Section 1983 permits suits against government officials in their individual capacities who, under color of law, violate individuals' constitutional rights. 42 U.S.C. § 1983. However, some government officials are protected from Section 1983 suits based on a defense of qualified immunity. *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982).

Qualified immunity involves a two-part inquiry at the summary judgment stage. *See Tolan v. Cotton*, 572 U.S. 650, 655–56 (2014). First, the court determines whether, viewing the facts in light most favorable to the non-moving party, a government official's conduct violated a federal right. *Id.* In the Eighth Amendment context, this determination involves both a subjective and objective inquiry: whether the official subjectively acted with a "sufficiently culpable state of mind" and whether the alleged harm objectively was "sufficiently serious." *See Farmer v. Brennan*, 511 U.S. 825, 834 (1994) (internal citation omitted). Second, the court determines whether that federal right "was clearly established at the time of the alleged violation." *Isayeva v. Sacramento Sheriff's Dep't*, 872 F.3d 938, 945 (9th Cir. 2017). If the answer to either question is "no," the official is entitled to qualified immunity. *See id.* at 946

a. <u>Boardman violated Richards's federal right to be free from excessive force used maliciously and sadistically to cause harm</u>

Per the Ninth Circuit's memorandum:

Determining whether Boardman violated Richards's Eighth Amendment right requires a subjective inquiry into whether Boardman acted with a "sufficiently culpable state of mind." [Farmer v. Brennan, 511 U.S. 825, 834 (1994) (internal citation omitted)]. The requisite state of mind depends on the nature of his actions as a prison official. See id. at 835–36. If an inmate challenges either a prison official's force as excessive or a prison official's actions during a prison disturbance, the prison official must act "maliciously and sadistically" for the very purpose of causing harm. Hudson v. McMillian, 503 U.S. 1, 6–7 (1992) (explaining that the "malicious and sadistic" standard, not the "deliberate indifference" standard, is appropriate when analyzing use of force under the Eighth Amendment); Jeffers v. Gomez, 267 F.3d 895, 910–11, 913 (explaining that a prison official's actions during an ongoing prison security measure is governed by the "malicious and sadistic" standard).

(ECF No. 87 at 7–8).

To determine whether Boardman acted maliciously and sadistically, the court considers five factors: 1) Boardman's need to apply force; 2) the extent of Richards's injuries; 3) the relationship between Boardman's need for force and the amount of force he used; 4) the nature of the threat perceived by Boardman; and 5) Boardman's efforts to temper the severity of his forceful response. *Hudson*, 503 U.S. at 7. The core inquiry centers around Boardman's intent—whether he merely wanted to cause harm and had no other intent when deploying force, not

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whether he derived enjoyment or pleasure from his use of force. *Hoard v. Hartman*, 904 F.3d 780, 788–89 (9th Cir. 2018).³

Because parties dispute whether Boardman fired a blank shot before a live round and what direction he fired, the court views those disputed facts in the light most favorable to the nonmovant, Richards, by assuming that two live rounds were fired and that the shots were aimed towards Richards. *See Lujan v. Nat'l Wildlife Fed.*, 497 U.S. 871, 888 (1990).

i. Boardman faced a need to apply force

Boardman faced a brawl involving four inmates attacking one inmate by punching and kicking him. While three of the aggressors complied with Boardman's verbal command to stop fighting and get on the ground, two inmates continued fighting. Thus, Boardman faced a need to apply some force.

ii. Richards's injuries are severe

Richards suffered serious and permanent injuries including blindness in his left eye and serious damage to his right eye. (ECF No. 95 at 5). Despite two initial surgeries, Richards requires future treatments to replace the oil filling the globe of his eye. (*Id.*). Yet, even with continued treatment, Richards is at risk of losing vision entirely if his right retina detaches. (*Id.*). Thus, Richards's injuries are severe.

iii. The amount of force Boardman used exceeded his need for force based on the nature of the threat he perceived

The parties agree that, during the incident, Boardman's firing of the skip shot technically complied with the NDOC policies and training regarding use of force in effect at the time of the incident. (*See* ECF Nos. 60 at 10; 67 at 2). Specifically, Boardman acted pursuant to NDOC's administrative regulation ("AR") 405.⁴ (*See* ECF No. 60-1). Nevertheless, assuming all facts in

³ The "maliciously and sadistically" language serves a rhetorical function and does not create additional elements. *Id.* Using these two terms together creates a higher level of intent than either would alone create. *Howard*, 21 F.3d at 872.

⁴ AR 405 provided that force must have been limited to the minimum degree necessary to resolve a situation and that, when possible, verbal commands would be used prior to any use of force. (ECF No. 60-1 at 3). However, AR 405 specifically authorized the use of skip shots as a "non-deadly force" tactic to be used "as the situation dictate[d]." (*Id.* at 3–4). According to the regulation, skip shots were designed to strike offenders "in their lower extremities to temporarily incapacitate or immobilize" them. (*Id.* at 4).

the light most favorable to Richards, Boardman's firing of two live rounds directly in Richards's direction without first firing a blank warning shot is in excess of the force called for in this situation.

A live round carries significant risk of serious harm to inmates, and even birdshot in an enclosed space presents risk of injury to bystanders when nearly every surface is metal or concrete because it may ricochet. *See Perez v. Cox*, 788 Fed. Appx. 438, 443 (9th Cir. 2019).

While visible bleeding or the presence of weapons may have justified firing shots at involved inmates to prevent substantial bodily harm, Boardman perceived neither from the bubble. (*See generally* ECF Nos. 60, 71, 94, 96). After the altercation, prison officials reported finding no weapons and that the victim suffered only minor scratches and abrasions. (ECF No. 95 at 3). Thus, this altercation did not pose such grave or serious risk to warrant a live round, nor did it pose such a threat to warrant firing the shotgun towards inmates not involved in the altercation.

Even if the court reversed the summary judgment standard and viewed these facts in the light most favorable to the movant—assuming instead that Boardman fired a blank warning shot before the skip shot—there was still no justification for Boardman's level of force. The skip shot was⁵ categorized as non-lethal force, in part, because it ensured that the pellets remained below inmates' belt level when fired. However, before firing the skip shot, Boardman commanded all inmates to get down on the ground. Boardman thus ordered the inmates to put their faces near where he was aiming his gun and fired his gun in that direction mere moments later.

In this situation, pellets ricocheting into Richards's head was so definite a probability of firing a skip shot in his direction that a reasonable jury could determine Boardman must have acted with the very purpose of causing harm, not to disperse the brawl as he claims.

iv. Boardman did not temper the severity of his use of force

Boardman did first issue one verbal command to the inmates—"stop fighting, get on the ground." When he issued that command, only two inmates refused to comply, neither of which

⁵ Skip-shotting has reportedly been removed from NDOC policy, replaced by use of less lethal force like rubber bullets and tasers. (*See* ECF No. 72-2).

were Richards. Yet, Boardman fired two live rounds in Richards's direction moments later without a warning shot.

Once the brawl was reduced to two non-compliant inmates, Boardman could have, and should have, used minimal force instead of immediately firing upon the compliant inmates. For instance, Boardman should have pressed the alarm and monitored the situation to avoid unnecessarily injuring the compliant inmates while maintaining his ability to fire a skip shot if the violence escalated.

Further, according to Richards, Boardman fired the live round in Richards's direction mere moments after issuing his verbal command. Even if Boardman believed immediate separation of the two remaining inmates was necessary, he could have, and should have, fired a warning shot or fired a skip shot away from the direction of the compliant inmates. Thus, Boardman did not temper his use of force.

v. Boardman acted maliciously and sadistically for the very purpose of causing harm when he caused severe harm to Richards

In summary, Boardman did not call for help or raise the alarm when the inmates began fighting or at any point before firing his shotgun. There was no excessively violent inmate conduct or presence of weapons to justify the firing of live rounds in the direction of compliant inmates. Yet, Boardman fired a live round in Richards's direction while Richards was complying with Boardman's order to get on the ground. Upon being hit by the first shot, Richards instinctively raised his head, at which point Boardman fired a second live round in Richards's direction that struck Richards in the face, causing Richards permanent eye damage.

Thus, assuming all facts in the light most favorable to Richards, Richards shows that Boardman subjectively intended with a malicious and sadistic purpose to cause harm for the very purpose of causing harm, not to break up a brawl as Boardman contends.

Additionally, as discussed above, Richards's injuries are severe and debilitating. *See supra* Part III.A.2. Therefore, objectively, the harm Boardman caused is sufficiently serious to sustain Richards's Section 1983 claim at summary judgment.

Accordingly, as Boardman subjectively acted with a sufficiently culpable state of mind and caused harm that, objectively, is sufficiently serious, Boardman violated Richards's Eighth Amendment federal right to be free from excessive force used maliciously and sadistically for the purpose of causing harm.

b. The right to be free from excessive force used maliciously and sadistically for the purpose of causing harm was clearly established at the time of the incident

Qualified immunity insulates public officials "from liability for civil damages insofar as their conduct does not violate clearly established constitutional rights of which a reasonable person would have known." *Pearson v. Callahan*, 555 U.S. 223, 231 (2009) (quoting *Harlow v. Fitzgerald*, 457 U.S. 800, 818 (1982)). Qualified immunity is broad, protecting "all but the plainly incompetent or those who knowingly violate the law." *Lee v. Gregory*, 363 F.3d 931, 934 (9th Cir. 2004) (quoting *Malley v. Briggs*, 475 U.S. 335, 341 (1986)).

A right is clearly established if "it would be clear to a reasonable officer that his conduct was unlawful in the situation he confronted." *Id.* at 1062 (quoting *Saucier v. Katz*, 533 U.S. 194, 202 (2001)). In making this inquiry, the court should consider "the specific context of the case" and not "broad general proposition[s]." *Saucier*, 533 U.S. at 201. It is the plaintiff's burden to show that the constitutional right was clearly established. *Sorrels v. McKee*, 290 F.3d 965, 969 (9th Cir. 2002).

It is clearly established that an inmate has a constitutional right to be free from excessive force used maliciously and sadistically to cause harm. *Hudson*, 503 U.S. at 7. As discussed above, viewing all facts in the light most favorable to Richards shows that Boardman's malicious and sadistic conduct subjected Richards to excessive force without justification.

Further, *Robins v. Meecham*, 60 F.3d 1436 (9th Cir. 1995) clearly establishes that Boardman violated that right by firing birdshot at Richards. In *Robins*, a correctional officer working at ESP intentionally fired a shotgun loaded with birdshot at an inmate who was not complying with orders to lock up. There, the Ninth Circuit determined that the firing of the birdshot directly at the inmate to provoke compliance constituted intent to punish that inmate. That intent and conduct also constituted a violation of another compliant inmate's Eight

Amendment rights when the complaint inmate was injured by the pellets aimed at the first inmate.

Boardman argues that *Robins* does not clearly establish that firing a shotgun blast at inmates is unconstitutional *per se* because, in context, the *Robins* decision merely discusses shotgun blasts as dicta because a shotgun was medium the officers used to cause harm in that case. (ECF No. 94 at 8). To the contrary, the Ninth Circuit clearly established that firing a shotgun blast at a momentarily non-compliant inmate does violate an inmate's Eighth Amendment rights. The discussion concerning the shotgun was in passing because the unconstitutionality of firing live rounds at temporarily non-compliant inmates is so clear that in depth discussion was not required.

The Ninth Circuit also recently disagreed with Boardman's interpretation of *Robins*. In *Perez v. Cox*, 788 F. App'x 438 (9th Cir. 2019), the Ninth Circuit held that *Robins* explained that the "conduct at issue [firing birdshot as a skip shot at inmates] clearly implicated the Eighth Amendment: 'Whom the prison officials shot, Robins or Echavarria, is not relevant—what is relevant is that they fired a shotgun blast at an inmate. It is this conduct that the Eighth Amendment is designed to restrain.'" *Perez*, 788 F. App'x at 444 (quoting *Robins*, 60 F.3d at 1440)) (citations omitted). While *Perez* was decided after this incident and was analyzed under the motion to dismiss standard, its rationale supports this court's holding that Boardman's conduct violated clearly established law under *Robins*.

Thus, viewing the facts in the light most favorable to Richards, Boardman is not entitled to qualified immunity on Richards's Section 1983 claim.

IV. Conclusion

Accordingly,

IT IS SO ORDERED, ADJUDGED, and DECREED that defendant Eric Boardman's motion for summary judgment (ECF No. 60) be, and the same hereby is, DENIED.

DATED October 25, 2021.

UNITED STATES DISTRICT JUDGE