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UNITED STATES DISTRICT COURT
DISTRICT OF NEVADA

Reginald C. Howard,

Plaintiff

v.

Greg Cox, et al.,

Defendants

Case No.: 2:17-cv-01002-JAD-BNW

**Order re: Cross-Motions for Summary
Judgment and Motions for Related Relief**

[ECF Nos. 58, 62, 64, 70, 71, 79]

After two screening orders, an opportunity to amend with instructions, and a partially granted dismissal motion, pro se prisoner Reginald Howard was left with five claims for relief, which he brings against the defendants in their individual and official capacities. Discovery is closed,¹ and Howard now moves for summary judgment on all of his claims.² Defendants cross move for summary judgment in their favor,³ and they move to seal Howard's medical records that they provide in support of their motion.⁴ Howard objects that two declarations that defendants provide are inadmissible.⁵ He filed a notice stating that Ely State Prison didn't allow him to review his full medical file and he did not receive a copy of defendants' summary-judgment motion or motion to seal medical records.⁶ And Howard recently filed a motion asking the court to investigate his claims that a corrections officer "has been yelling out" in Howard's

¹ See ECF No. 57 (order extending discovery deadlines).

² ECF No. 58.

³ ECF No. 62.

⁴ ECF No. 64.

⁵ ECF No. 71.

⁶ ECF No. 70.

1 unit that “Howard is a snitch because of [his] numerous grievances and complaints” because the
2 prison is ignoring his grievances about that issue.⁷

3 Defendants have demonstrated that compelling reasons exist to seal Howard’s medical
4 records and the summary of them by a Nevada Department of Corrections (NDOC) nurse, so I
5 grant them that relief. Howard does not ask for any relief associated with his notice about
6 pleading and evidence availability, and I do not find that he is entitled to any relief about those
7 matters under the circumstances. I overrule Howard’s evidentiary objections because they lack
8 merit. I construe his motion for an investigation into matters that are unrelated to the claims at
9 issue in this action as a motion to reopen and enlarge the time for Howard to amend his
10 pleadings. Howard has not shown excusable neglect and good cause necessary to obtain that
11 relief, so I deny his motion without prejudice to his ability to bring his new claims in a new
12 action.

13 I grant in part and deny in part the parties’ cross-motions for summary judgment.
14 Howard’s claims alleging Eighth Amendment deliberate indifference to serious medical needs
15 and First Amendment retaliation against Groover under Count 5 can proceed to trial. Finally,
16 with the trial issues narrowed, I refer this case for a mandatory settlement conference with the
17 magistrate judge.

18 Discussion

19 I. Motion to seal [ECF No. 64]

20 “The public has a ‘general right to inspect and copy public records and documents
21 including judicial records and documents.’”⁸ “Although the common law right of access is not

22 ⁷ ECF No. 79.

23 ⁸ *In re Midland Nat. Life Ins. Co. Annuity Sales Practices Litig.*, 686 F.3d 1115, 1119 (9th Cir. 2012) (quoting *Nixon v. Warner Commcns., Inc.*, 435 U.S. 589, 597 (1978)).

1 absolute, “[courts] start with a strong presumption in favor of access to court records.”⁹ “A
2 party seeking to seal judicial records [attached to a dispositive motion] can overcome the strong
3 presumption of access by providing ‘sufficiently compelling reasons’ that override the public
4 policies favoring disclosure.”¹⁰ “When ruling on a motion to seal court records, the district court
5 must balance the competing interests of the public and the party seeking to seal judicial
6 records.”¹¹ “To seal the records, the district court must articulate a factual basis for each
7 compelling reason to seal[,] [which] must continue to exist to keep judicial records sealed.”¹²

8 To support their summary-judgment arguments, defendants proffer Howard’s medical
9 records and the declaration of Sonya Carrillo, a Director of Nursing Services II at High Desert
10 State Prison (HDSP), who authenticates Howard’s medical records and transcribes and
11 summarizes them.¹³ Defendants move to seal these records, arguing that although Howard
12 placed certain aspects of his medical condition at issue when he filed this action, the public has
13 no need for direct access to the medical records themselves or the unrelated medical information
14 that they contain.¹⁴ Many courts in the Ninth Circuit “have recognized that the need to protect
15 medical privacy qualifies as a ‘compelling reason’ for sealing records.”¹⁵

16 The exhibits that defendants seek to seal contain detailed information about Howard’s
17 health, medical history, and treatment and not just the health conditions that are at issue in this
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19 ⁹ *Id.* (quoting *Foltz v. St. Farm Mut. Auto. Ins. Co.*, 331 F.3d 1122, 1135 (9th Cir. 2003)).

20 ¹⁰ *Id.* (quoting *Foltz*, 331 F.3d at 1135).

21 ¹¹ *Id.* (citing *Kamakana v. City & Cnty. of Honolulu*, 447 F.3d 1172, 1179 (9th Cir. 2006)).

22 ¹² *Id.* (citing *Kamakana*, 447 F.3d at 1179; *Foltz*, 331 F.3d at 1136).

23 ¹³ ECF No. 65 (sealed).

¹⁴ ECF No. 64.

¹⁵ *Steven City Broomfield v. Aranas*, 2020 WL 2549945, at *2 (D. Nev. May 19, 2020) (collecting cases).

1 action. The exhibits are copies of Howard’s medical records themselves and a plain-English
2 summary of those records by an NDOC nurse. Howard’s interest in protecting his medical
3 records and a nurse’s summary of them outweigh the public’s need to access them. I therefore
4 grant defendants’ motion to seal those records. But I do not require the parties to redact the parts
5 of those records that they quote or paraphrase in their briefs because those points are relevant to
6 Howard’s claims in this action. For the same reason I likewise do not redact the parts of those
7 records that I quote or paraphrase in this order.

8 **II. Howard’s notice of non-receipt of evidence and briefs [ECF No. 70]**

9 Howard filed a notice informing the court that the Ely State Prison (ESP) did not allow
10 him to review his medical file, which he requested in discovery.¹⁶ Howard also claims that he
11 did not receive copies of defendants’ summary-judgment motion (ECF No. 62) or their sealed
12 submission of his unredacted medical records and summary (ECF No. 65).¹⁷ And he complains
13 that defendants failed to respond to his motion requesting a summons and last known address for
14 Sgt. Sanchez.¹⁸

15 Defendants respond with evidence that Howard was allowed to access his medical
16 records and surveillance videos on September 3, 2020.¹⁹ They provide a copy of a memorandum
17 from ESP’s warden W.A. Gittere scheduling an appointment for Howard to view his medical
18 records and two surveillance disks in private on that day.²⁰ The document purports to be signed
19 by Howard in two places: (1) acknowledging the appointment, and (2) confirming the document

21 ¹⁶ ECF No. 70.

22 ¹⁷ *Id.*

23 ¹⁸ *Id.*

¹⁹ ECF Nos. 72, 73 (corrected image).

²⁰ ECF No. 73-1 at 2 (sealed).

1 review, which purportedly began at 7:42 a.m. and lasted four hours.²¹ Defendants also state that
2 Howard has the ability to access his medical records under Administrative Regulation 639. And
3 they provide a copy of the letter that the Deputy Attorney General sent instructing how Howard
4 could inspect defendants' sealed exhibits (ECF No. 65).²²

5 Howard has not addressed the defendants' points or evidence. I note that Howard filed a
6 timely response to defendants' summary-judgment motion 11 days after he filed notice that he
7 did not receive a copy of that motion.²³ I suspect that the defendants did not address Howard's
8 motion requesting summons and the last known address for Sgt. Sanchez because Magistrate
9 Judge Weksler promptly denied Howard's motion for that relief.²⁴ Howard doesn't actually ask
10 for any relief in his notice and, based on this record, he is not entitled to any. I therefore move
11 on to Howard's evidentiary objection.

12 **III. Objection to the defendants' summary-judgment evidence [ECF No. 71]**

13 Howard objects that the declarations of Drs. Sanchez and Vicuna that defendants submit
14 in support of their summary-judgment motion are inadmissible because they must be signed
15 under the penalty of perjury.²⁵ I overrule Howard's objections because each doctor signed his
16 respective declaration "under penalty of perjury pursuant to NRS 53.045"²⁶ 28 U.S.C.
17 § 1746(2) provides that an unsworn declaration that is signed and dated by the author and
18 substantially declares "under penalty of perjury that the foregoing is true and correct" is
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20 ²¹ *Id.*

21 ²² ECF No. 73-2 at 2 (sealed).

22 ²³ ECF No. 74.

23 ²⁴ ECF No. 34.

24 ²⁵ ECF No. 71.

25 ²⁶ ECF No. 63-5 at 41 (Vicuna declaration), 43 (Sanchez declaration).

1 sufficient to satisfy any law or rule that requires a matter to be proved by sworn declaration or
2 affidavit. Drs. Sanchez and Vicuna’s declarations meet § 1746’s standard. And the lack of wet-
3 ink signatures on the declarations does not make them deficient.²⁷ I therefore overrule Howard’s
4 evidentiary objections.

5 **III. Motion for investigation [ECF No. 79]**

6 Howard filed a motion asking for an “investigation” into his contention that the prison
7 has been ignoring his grievances that a correctional officer named Cole has been “yelling out in”
8 Howard’s housing unit that “Howard is a snitch because of [his] numerous grievances and
9 complaints.”²⁸ I construe Howard’s motion for an investigation as a motion seeking to reopen
10 and enlarge the time for him to amend his pleading to add new claims and parties, and I deny the
11 motion because Howard does demonstrate that his failure to plead these claims and sue these
12 parties is the product of excusable neglect or that good cause exists for him to amend to add
13 them. Good cause for this proposed amendment does not exist because the alleged bad-
14 mouthing appears to be recent and is not materially connected to any claim at issue in this action,
15 which concerns events that occurred in 2016. Howard’s motion for an investigation is therefore
16 denied.

17 **IV. Summary-judgment motions [ECF Nos. 58, 62]**

18 Howard moves for summary judgment on nearly all of his claims for relief. To support
19 his motion, Howard provides copies of his grievance and response records and investigation
20 reports that the NDOC prepared for the Attorney General’s office. Defendants argue that
21 Howard’s arguments are unsupported because he largely relies on the grievance and response
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23 ²⁷ Temporary General Order 2020-05.

²⁸ ECF No. 79.

1 records. I consider those records in resolving the parties’ summary-judgment motions because
2 the grievances themselves substantially comply with 28 U.S.C. § 1746(2)’s requirements: each is
3 signed and dated by Howard and purports to be a “sworn declaration under penalty of perjury.”²⁹
4 Defendants likewise move for summary judgment on all of Howard’s claims. To support their
5 motion, defendants provide their own declarations, excerpts of Howard’s deposition testimony,
6 and Howard’s medical records. I address the parties’ arguments about each claim in turn.

7 **A. Summary-judgment standard**

8 The principal purpose of the summary-judgment procedure is to isolate and dispose of
9 factually unsupported claims or defenses.³⁰ The moving party bears the initial responsibility of
10 presenting the basis for its motion and identifying the portions of the record or affidavits that
11 demonstrate the absence of a genuine issue of material fact.³¹ If the moving party satisfies its
12 burden with a properly supported motion, the burden then shifts to the opposing party to present
13 specific facts that show a genuine issue for trial.³² “When simultaneous cross-motions for
14 summary judgment on the same claim are before the court, the court must consider the
15 appropriate evidentiary material identified and submitted in support of”—and against—“both
16 motions before ruling on each of them.”³³

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20 ²⁹ See, e.g., ECF No. 58 at 30 (informal grievance), 32 (first-level grievance), 34 (second-level
grievance), 91 (emergency grievance).

21 ³⁰ *Celotex Corp. v. Catrett*, 477 U.S. 317, 323–24 (1986).

22 ³¹ *Celotex*, 477 U.S. at 323; *Devereaux v. Abbey*, 263 F.3d 1070, 1076 (9th Cir. 2001) (en banc).

23 ³² Fed. R. Civ. P. 56(e); *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986); *Auvil v. CBS
60 Minutes*, 67 F.3d 816, 819 (9th Cir. 1995).

³³ *Tulalip Tribes of Washington v. Washington*, 783 F.3d 1151, 1156 (9th Cir. 2015) (citing *Fair
Hous. Council of Riverside Cnty., Inc. v. Riverside Two*, 249 F.3d 1132, 1134 (9th Cir. 2001)).

1 **B. Deliberate indifference to serious medical needs**

2 The Eighth Amendment prohibits the imposition of cruel and unusual punishment and
3 “embodies ‘broad and idealistic concepts of dignity, civilized standards, humanity, and
4 decency.’”³⁴ A prison official violates the Eighth Amendment when he acts with “deliberate
5 indifference” to the serious medical needs of an inmate.³⁵ “To establish an Eighth Amendment
6 violation, a plaintiff must satisfy both an objective standard—that the deprivation was serious
7 enough to constitute cruel and unusual punishment—and a subjective standard—deliberate
8 indifference.”³⁶

9 To satisfy the first prong, “the plaintiff must show a serious medical need by
10 demonstrating that failure to treat a prisoner’s condition could result in further significant injury
11 or the unnecessary and wanton infliction of pain.”³⁷ To establish the deliberate indifference
12 prong, a plaintiff must show “(a) a purposeful act or failure to respond to a prisoner’s pain or
13 possible medical need and (b) harm caused by the indifference.”³⁸ “Indifference may appear
14 when prison officials deny, delay[,] or intentionally interfere with medical treatment, or it may
15 be shown by the way in which prison physicians provide medical care.”³⁹ When a prisoner

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³⁴ *Estelle v. Gamble*, 429 U.S. 97, 102 (1976).

21 ³⁵ *Farmer v. Brennan*, 511 U.S. 825, 828 (1994).

22 ³⁶ *Snow v. McDaniel*, 681 F.3d 978, 985 (9th Cir. 2012).

23 ³⁷ *Jett v. Penner*, 439 F.3d 1091, 1096 (9th Cir. 2006) (internal quotations omitted).

³⁸ *Id.*

³⁹ *Id.* (internal quotations omitted).

1 alleges that delay of medical treatment evinces deliberate indifference, the prisoner must show
2 that the delay led to further injury.⁴⁰

3 ***1. Count 1***

4 Howard alleges in Count 1 that Lt. Porter and Sgt. Sanchez were deliberately indifferent
5 to his serious medical needs when they failed to properly respond to his emergency grievances
6 on September 25 and 26, 2015, requesting to be seen by medical staff as soon as possible
7 because he had “great pain” in his back and right leg and foot from a nerve injury and “could
8 hardly walk.”⁴¹ This allegedly occurred while Howard was an inmate at HDSP. Howard alleges
9 that Sgt. Sanchez prolonged his pain because he videotaped, per NDOC policy, the incident of
10 Howard calling a “man down” and being taken to the infirmary on September 26. Howard
11 alleges that Lt. Porter prolonged his pain when he denied Howard’s emergency grievance on
12 September 25.⁴²

13 Porter declares that on September 25 he was employed at HDSP as a correctional
14 sergeant but was not authorized to provide medical care or direct others to do so, and he
15 “responded only to grievances regarding visitation issues of inmates housed at HDSP.”⁴³ He was
16 not a correctional lieutenant at HDSP or any other institution within NDOC as Howard alleges
17 about the officer who denied his emergency grievance that day.⁴⁴ Porter declares that he was not
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19 ⁴⁰ See *Shapley v. Nevada Bd. of State Prison Comm’rs*, 766 F.2d 404, 407 (9th Cir. 1985)
20 (holding that “mere delay of surgery, without more, is insufficient to state a claim of deliberate
21 medical indifference”).

21 ⁴¹ ECF No. 58 at 10 (emergency grievance dated 9/25/2015); *accord* ECF No. 5 at 8–10 (first
22 amended complaint).

22 ⁴² ECF No. 5 at 8–10.

23 ⁴³ ECF No. 63-1 at 15, ¶¶ 1–2 (Porter declaration).

⁴⁴ *Id.* at ¶ 11.

1 aware of Howard’s need for medical attention or his grievance to that effect; moreover, Porter’s
2 “name is not affixed to” the grievance.⁴⁵

3 Howard concedes that this evidence creates a genuine dispute about whether Porter was
4 deliberately indifferent to his serious medical needs.⁴⁶ But it does more than that—it merits
5 summary judgment in Porter’s favor because Howard’s evidence does not refute the specific
6 facts that Porter was not the officer who responded to Howard’s emergency grievances and was
7 not aware of Howard’s medical needs or grievances. Howard’s evidence—the grievance
8 response itself—raises only speculation that Porter is the officer who responded to the grievance.
9 I therefore grant summary judgment in Porter’s favor on Count 1.

10 Defendants correctly point out that there is no evidence that Howard served Sgt. Sanchez
11 with process.⁴⁷ Howard sued two defendants with the last name Sanchez—“Dr. Sanchez” and
12 “Sgt. Sanchez.” Magistrate Judge Weksler noted in an order granting Howard’s motion to issue
13 summonses that the Nevada Attorney General “did not accept service on behalf of ‘Sgt.
14 Sanchez’” because that “[d]efendant could not be identified from the information provided by
15 [Howard].”⁴⁸ Howard took no further action and ultimately failed to serve either Sanchez
16 defendant with process.⁴⁹ The Nevada Attorney General eventually answered the amended
17 complaint on behalf Dr. Sanchez, but not Sgt. Sanchez.⁵⁰ Rule 4(m) states that if a defendant is
18 not timely served with process, the court “must dismiss the action without prejudice to that

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20 ⁴⁵ *Id.* at ¶¶ 5–10.

21 ⁴⁶ ECF No. 74 at 4–5.

22 ⁴⁷ ECF No. 62 at 3, n.1.

23 ⁴⁸ ECF No. 30 at 2, n.2.

⁴⁹ *See* ECF Nos. 31 (sealed summons), 43 (sealed summons returned unexecuted), 45 (Howard’s filing stating service completed on named defendants except Francisco Sanchez).

⁵⁰ ECF No. 47.

1 defendant or order that service be made within a specified time.”⁵¹ This case is four years old
2 and has advanced to the dispositive-motion stage; it is too late to order service on Sgt. Sanchez
3 without causing unnecessary delay. Sgt. Sanchez is therefore dismissed from the amended
4 complaint without prejudice under Federal Civil Procedure Rule 4(m).

5 **2. Count 2**

6 Howard alleges under Count 2 that defendants Groover, Gutierrez, Drs. Sanchez and
7 Vicuna, Willett, Aranas, Clark, Piscos, Dzurenda, Gentry, and Adams were deliberately
8 indifferent to his serious medical needs because each of them refused to provide him meals in his
9 cell under the Southern Desert Detention Center’s (SDCC) blanket policy that a prisoner cannot
10 eat if he cannot walk to the culinary hall.⁵² Howard argues that defendants knew that he had a
11 serious medical need to be fed in his cell because he submitted numerous grievances stating that
12 he was in too much pain to walk to the culinary hall and that taking his pain medication without
13 food would ruin his digestive tract.⁵³ Defendants argue, among other things, that Howard’s
14 conclusions of deliberate indifference are belied by the evidence and he grossly misstates
15 SDCC’s policy about in-cell meal service.⁵⁴ I begin with the extensive factual background for
16 this claim.

17 **a. Factual background**

18 Howard was transferred from HDSP to SDCC on December 22, 2015.⁵⁵ When Dr.
19 Sanchez saw him the next day for his medical intake, Howard complained about back pain that

21 ⁵¹ Fed. R. Civ. P. 4(m).

22 ⁵² ECF No. 5 at 11–13.

23 ⁵³ ECF No. 58 at 18–20.

⁵⁴ ECF No. 62 at 6–19.

⁵⁵ ECF No. 65-1 at 1, ¶ 8(a) (sealed).

1 he experienced after climbing down from his bed.⁵⁶ “Dr. Sanchez prescribed . . . Howard non-
2 steroidal anti-inflammatory drugs (NSAIDs) for pain, a muscle relaxant, and a lower bunk.”⁵⁷
3 When Dr. Vicuna saw Howard on January 11, 2016, for his annual physical, Dr. Vicuna
4 submitted a request to the Utilization Review Committee (URC) for a CT scan.⁵⁸ The request
5 was later approved.⁵⁹

6 Howard filed an emergency grievance at 7:00 a.m. on January 24, 2016, stating: “I have a
7 medical problem I’m unable to walk. I can’t take my medication without eating. I spoke to the
8 unit officer who call and reported. They refuse to bring me a meal so I can take my medication.
9 I’m request [sic] hot meal.”⁶⁰ Defendant Groover denied this grievance, stating that it does not
10 qualify as an emergency, instructing Howard to file a grievance at the informal level, and noting
11 that Howard was given two sack lunches.⁶¹ The NDOC’s investigation report states that medical
12 and SDCC control were both notified about this grievance.⁶² Howard’s medical records reflect
13 that when medical staff responded to this grievance, Howard stated that he could not bear weight
14 on his right foot and was not taking his pain medication because he wanted a hot meal instead of

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19 ⁵⁶ ECF No. 63-5 at 43.

20 ⁵⁷ ECF No. 65-1 at 1, ¶ 8(b).

21 ⁵⁸ *Id.* at 2, ¶ 8(c).

22 ⁵⁹ *Id.*

23 ⁶⁰ ECF No. 58 at 23 (grievance #20063015911).

⁶¹ *Id.*

⁶² *Id.* at 26.

1 sack lunches.⁶³ Howard “was able to stand up, take steps in the cell without facial grimace, and
2 was able to sit.”⁶⁴ Howard was scheduled to see a medical provider the next day.⁶⁵

3 Howard filed another emergency grievance 11 hours later, stating that he was “unable to
4 walk to chow[,]” had “a nerve injury in [his] back and . . . can’t take medication without food.”⁶⁶
5 Howard states that he didn’t have “a hot meal” that day and if prison officials don’t want to feed
6 him, then he’s requesting medical help.⁶⁷ Defendant Willett denied this grievance, stating that,
7 “per medical[,]” Howard does not have a lay-in order authorizing him to be fed in his cell.⁶⁸
8 Howard’s medical records state that an SDCC nurse responded to this grievance by taking
9 “pretzels, corn chips, and crackers to [Howard’s] cell to take with his medication[] but Howard
10 declined the food.”⁶⁹

11 Howard submitted another emergency grievance 2 hours later, stating that the “nurse
12 responded to [his] emergency grievance” at about “7:00 p.m.” and told him “that she was going
13 to get some food so [Howard] can take [his] medication, which [he] wasn’t given no food.”⁷⁰
14 “Now I have been inform[ed] by the unit officer that [the nurse] said I’m O.K. without food or
15 medication I[’m] still in pain with no food.”⁷¹ Defendant Willett denied this grievance, stating
16 that he contacted the infirmary and confirmed that Howard could walk there for his medication
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18 ⁶³ ECF No. 65-1 at 2, ¶ 8(d) (sealed).

19 ⁶⁴ *Id.*

20 ⁶⁵ *Id.*

21 ⁶⁶ ECF No. 58 at 24 (grievance #20063015819).

22 ⁶⁷ *Id.*

23 ⁶⁸ *Id.*

⁶⁹ ECF No. 65-1 at 2, ¶ 8(e) (sealed).

⁷⁰ ECF No. 58 at 25 (grievance #20063015818).

⁷¹ *Id.*

1 and that the culinary hall was closed.⁷² NDOC’s investigation report states that medical was
2 contacted about Howard’s grievance and confirmed that he did not have a “lay-in” and was
3 scheduled to see a medical provider the next day.⁷³

4 Howard’s medical records state that Howard failed to show for his appointment with the
5 medical provider on January 25, 2016.⁷⁴ Howard filed an informal grievance that day
6 complaining that he had pain from a nerve and back injury and needed to take his pain
7 medication with food but SDCC’s policy doesn’t allow him to eat because he cannot always
8 walk to the culinary hall, and stating that the policy was the reason why the officer refused to
9 give Howard food in his cell to take his medication.⁷⁵ Howard sought a “medical operation so
10 [he could] walk.”⁷⁶ Adams denied this grievance at the informal level, stating that he spoke to
11 SDCC infirmary nurse Carrillo who advised “that if an inmate is medically unable to have his
12 meals at the culinary, a Provider’s order will be obtained for the inmate to be transferred to the
13 HDSP infirmary.”⁷⁷ Adams concluded that Howard needed to follow up with the infirmary staff
14 by submitting a medical kite.”⁷⁸ Howard’s appeal of this denial was twice rejected for improper
15 procedures.⁷⁹ So Howard did not exhaust his administrative procedures for this grievance.

16 Howard submitted an emergency grievance at 9:00 a.m. on January 26, 2016, stating that
17 he had been complaining “since January 23rd” that he needed a meal because of his inability to
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19 ⁷² *Id.*

20 ⁷³ *Id.* at 26.

21 ⁷⁴ ECF No. 65-1 at 2, ¶ 8(f) (sealed).

22 ⁷⁵ ECF No. 63-5 at 13 (grievance #20063016007).

23 ⁷⁶ *Id.*

⁷⁷ *Id.* at 12.

⁷⁸ *Id.*

⁷⁹ *Id.* at 14–15.

1 walk, was taking medication to help him walk, and needed to eat before doing so. Howard
2 requested medical assistance.⁸⁰ Defendant Groover denied this grievance, stating that Howard's
3 complaint was not an emergency and instructing Howard to refile his grievance at the informal
4 level.⁸¹ Howard's medical records state that when medical staff responded to this grievance "at
5 approximately 10:20 a.m.," Howard "complained [that] he had not eaten in three days and could
6 not walk to SDCC Culinary."⁸² But "the responding medical staff noted [that] [Howard] was
7 able to bear weight on his right leg without any apparent discomfort and was ambulatory with a
8 limp."⁸³

9 Howard filed an informal grievance on May 26, 2016, complaining that he was
10 experiencing pain from a nerve and back injury and needed to take his pain medication with food
11 but SDCC's policy doesn't allow him to eat because he cannot always walk to the culinary hall
12 and states that he could not do so on at least nine days in January, March, and April 2016.⁸⁴
13 Defendant Clark denied this grievance at the informal level, stating that Howard's medical chart
14 reflected that "he had been given crutches to assist with ambulation" but had been observed
15 "holding instead of using them to walk" and had an appointment with the medical provider on
16 August 8 to discuss the results of his MRI but "did not mention any problems regarding
17 ambulation."⁸⁵ Clark concluded that if Howard felt that he "cannot walk on this yard and need[s]

20 ⁸⁰ ECF No. 58 at 27.

21 ⁸¹ *Id.*

22 ⁸² ECF No. 65-1 at 2, ¶ 8(g) (sealed).

23 ⁸³ *Id.*

⁸⁴ ECF No. 58 at 30 (grievance #20063027319).

⁸⁵ ECF No. 63-4 at 43.

1 to be in a room where [he] is served [his] food[,] then [to] write a kite to discuss [his] concerns
2 with the provider.”⁸⁶

3 Howard appealed, stating that it was not his first complaint about not being able to walk
4 and going unfed and that all his medication clearly states that he is to take it with food.⁸⁷
5 Gutierrez denied this grievance at the first level, stating a review of Howard’s medical chart
6 showed that he was a no-show for appointments, had been observed carrying his crutches rather
7 than using them, had been offered food to take into his cell to take his medication, and had been
8 seen by the provider and an orthopedic specialist.⁸⁸ Gutierrez concluded that Howard needed to
9 submit a kite for medical staff to address his needs.⁸⁹

10 Howard appealed, stating he “didn’t receive crutches until months later and some days
11 with crutches [I] still can’t walk because of the pain.”⁹⁰ Aranas denied this grievance at the
12 second level, stating that he reviewed Howard’s “medical records and saw that all the orders
13 given by [the] Provider were carried out appropriately on a timely manner. [Howard] was seen
14 and reported not even using [his] crutches. [Howard’s] crutches were ordered for a reason to
15 help [him] ambulate and were issued as soon as it was ordered.”⁹¹

16 Howard’s medical records state that when he was seen by an NDOC medical provider on
17 January 27, 2016, he was issued crutches, administered a shot of “Toradol (pain medication),”
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20 ⁸⁶ *Id.*

21 ⁸⁷ *Id.* at 49.

22 ⁸⁸ *Id.* at 48.

23 ⁸⁹ *Id.*

⁹⁰ *Id.* at 51.

⁹¹ *Id.* at 50.

1 and provided Tylenol.⁹² There is no record that Howard complained to the provider about being
2 unable to walk to the culinary hall.⁹³ Howard saw an NDOC medical provider on January 30,
3 2016, and was provided a shot of Prednisone (anti-inflammatory) for his back.⁹⁴ In February and
4 March 2016, Howard received various medications for pain: Flexeril, Tylenol, ibuprofen,
5 Prednisone.⁹⁵ Howard was observed “in the SDCC culinary hall without his crutches and
6 dancing” on February 12, 2016; ambulating at “a fast pace, without crutches, and with no facial
7 grimace or balance problems” six days later; and during a “nurse encounter” on March 7, 2016,
8 “was observed ambulatory and holding crutches instead of using” them.⁹⁶

9 Howard received a CT scan on March 30, 2016.⁹⁷ Dr. Vicuna submitted an orthopedic
10 consultation request to the URC on April 1, 2016.⁹⁸ At the end of that month, Howard saw an
11 outside orthopedic specialist, Dr. Richard Wullf, who recommended an MRI and a follow-up
12 visit, and ordered Howard to receive Naproxen.⁹⁹ Howard received the Naproxen on May 11,
13 2016, and had an MRI on July 28, 2016.¹⁰⁰ Comparing the results to Howard’s CT scan, the
14 MRI report noted that there was “‘no appreciable interval change in middle multilevel
15 degeneration of the lumbar spine’ and ‘mild’ neuroforaminal stenosis . . . from L2-L5.”¹⁰¹

17 ⁹² *Id.* at ¶ 8(h).

18 ⁹³ *Id.*

19 ⁹⁴ *Id.* at ¶ 8(i).

20 ⁹⁵ *Id.* at ¶¶ 8(k)–(t).

21 ⁹⁶ *Id.* at ¶¶ 8(m), (n), (q).

22 ⁹⁷ *Id.* at ¶ 8(g).

23 ⁹⁸ *Id.* at ¶ 8(s).

⁹⁹ *Id.* at ¶ 8(w).

¹⁰⁰ *Id.* at 3–4, ¶¶ 8(x), (cc).

¹⁰¹ *Id.* at 4, ¶ 8(cc).

1 Howard received an X-ray and saw Dr. Wulf on September 12, 2016, where Howard
2 presented as being “ambulatory with normal gait.”¹⁰² Dr. Wulf recommended that Howard
3 continue using Naproxen “and follow-up as needed.”¹⁰³ Howard received Naproxen on October
4 13, 2016, and failed to show for his medical appointment on October 26.¹⁰⁴ When Howard had
5 his annual exam on March 13, 2017, Dr. Vicuna ordered Howard a cane and Tylenol.¹⁰⁵

6 Howard testified in deposition that he filed grievances complaining about the lack of a
7 meal only on the days when he couldn’t walk.¹⁰⁶ But on days when he could walk, he’d go “to
8 the culinary,” “to the law library,” or “to the chapel.”¹⁰⁷ Howard reiterated that it was only on
9 days that he couldn’t walk “for whatever reason, [he] ran out of medication or the medication
10 didn’t work, those w[ere] the days [that he] wrote and complained about.”¹⁰⁸

11 ***b. Analysis***

12 Defendants provide evidence that prisoners who are injured or have a medical emergency
13 can receive a “lay-in” order from an NDOC medical provider permitting them to temporarily
14 receive meals in their cell, which are typically moved to the infirmary.¹⁰⁹ Most defendants
15 declare that they were not trained or authorized to provide prisoners meals in their cells or direct
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19 ¹⁰² ECF No. 65-1 at 4, ¶ 8 (hh).

20 ¹⁰³ *Id.*

21 ¹⁰⁴ *Id.* at ¶¶ 8(ii)–(jj).

22 ¹⁰⁵ *Id.* at ¶ 8 (kk).

23 ¹⁰⁶ ECF No. 63-1 at 36.

¹⁰⁷ *Id.*

¹⁰⁸ *Id.*

¹⁰⁹ *See, e.g.*, ECF No. 63-1 at 42–43, ¶¶ 22, 24 (declaration of SDCC Warden Jo Gentry).

1 others to do so.¹¹⁰ As medical providers, Drs. Sanchez and Vicuna could have issued an order
2 for Howard to be fed in his cell, but each declares that Howard never complained about
3 gastrointestinal issues from taking medication without food or being unable to walk to the
4 culinary hall for meals.¹¹¹ Howard did not ask either doctor to transfer him to a medical facility
5 or issue a lay-in order so he could be fed in his cell.¹¹² Neither doctor was aware of Howard's
6 grievances or kites on this issue because they didn't respond to any of them.¹¹³ Several other
7 defendants declare that they, too, did not respond to any of Howard's kites or grievances about
8 his inability to walk to the culinary hall or his need for a lay-in order to be fed in his cell.¹¹⁴
9 Howard does not dispute this evidence.

10 The remaining defendants argue that in responding to Howard's grievances on this issue,
11 they deferred to Howard's medical records and medical staff who confirmed that Howard did not
12 have an order from an NDOC medical provider allowing him to be fed in his cell.¹¹⁵ Howard
13 does not provide evidence to the contrary. Notably, Howard does not dispute that medical staff
14 responded to his emergency grievances. He does not dispute their assessments. And he doesn't
15 dispute defendants' assertion that SDCC's policy required Howard to seek an order from a
16 medical provider to be fed in his cell if he could not walk to the culinary hall for meals.

17
18 ¹¹⁰ ECF Nos. 63-5 at 1, ¶ 17 (Groover declaration); 63-6 at 4, ¶¶ 8-9 (Willett declaration); 63-4
19 at ¶ 7 (Gutierrez declaration); 63-4 at 54-55 (Piscos declaration); 63-5 at 33, ¶ 18 (Dzurenda
20 declaration); 63-1 at 43, ¶ 23 (Gentry declaration); 63-5 at 38, ¶ 19 (Adams declaration).

21 ¹¹¹ ECF Nos. 63-5 at 43, ¶ 12, 14 (Sanchez declaration); 63-5 at 41, ¶ 14-15 (Vicuna
22 declaration).

23 ¹¹² ECF Nos. 63-5 at 43, ¶ 13 (Sanchez declaration); 63-5 at 41, ¶ 14 (Vicuna declaration).

¹¹³ ECF Nos. 63-5 at 43, ¶ 15 (Sanchez declaration); 63-5 at 41, ¶ 16 (Vicuna declaration).

¹¹⁴ ECF Nos. 63-5 at 54 (Piscos declaration); 63-5 at 33, ¶ 21 (Dzurenda declaration); 63-1 at 43,
¶¶ 25-26 (Gentry declaration).

¹¹⁵ *See, e.g.*, ECF Nos. 63-5 at 39, ¶ 21 (Adams declaration); 63-4 at 57, ¶ 15 (Gutierrez
declaration); 63-4 at 58-59, ¶ 10 (Aranas declaration).

1 Howard’s own evidence, in fact, shows that he was repeatedly told that if he could not walk to
2 the culinary hall to receive his meals, then he needed to ask a medical provider for an order to be
3 fed in his cell and to file a medical kite to start that process.¹¹⁶

4 “The blanket, categorical denial” of medical treatment “solely on the basis of an
5 administrative policy . . . is the paradigm of deliberate indifference.”¹¹⁷ But it is undisputed that
6 under SDCC’s policy, Howard could be fed in his cell if an NDOC medical provider issued an
7 order providing him that accommodation. Also undisputed is the fact that, during the time
8 relevant to this claim, Howard did not ask a medical provider to issue him an order to be fed in
9 his cell despite having numerous opportunities to do so. So Howard has failed to raise a factual
10 dispute for trial that he went unfed on January 24–26 because SDCC had a blanket policy that a
11 prisoner would not be fed unless he could walk to the culinary hall for meals.

12 Howard also fails to raise a triable issue that Drs. Sanchez or Vicuna, Piscos, Dzurenda,
13 or Gentry personally participated in the alleged constitutional violation. This is fatal to
14 Howard’s claim against them because a defendant is liable under 42 U.S.C. § 1983 “only upon a
15 showing of personal participation by the defendant.”¹¹⁸ “A supervisor is only liable for
16 constitutional violations of his subordinates if the supervisor participated in or directed the
17 violations, or knew of the violations and failed to act to prevent them. There is no respondeat
18 superior liability under [§]1983.”¹¹⁹

19
20 ¹¹⁶ ECF Nos. 58 at 21(01/27/2016 response to grievance # 20063016007 at informal level), 31
(07/01/2016 response to grievance # 20063027319 at the informal level), 95 (06/06/2016 NDOC
investigation report); 63-43 at 43.

21 ¹¹⁷ *Colwell v. Bannister*, 763 F.3d 1060, 1063 (9th Cir. 2014).

22 ¹¹⁸ *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989).

23 ¹¹⁹ *Id.*; see also *Ashcroft v. Iqbal*, 556 U.S. 662, 676 (2009) (holding that “[b]ecause vicarious
liability is inapplicable to *Bivens* and § 1983 suits, a plaintiff must plead that each Government-
official defendant, through the official’s own individual actions, has violated the Constitution”).

1 The undisputed evidence shows that in denying Howard’s grievances, Groover,
2 Gutierrez, Willett, Aranas, Clark, and Adams deferred to Howard’s medical providers’
3 assessments, which did not include a lay-in order for Howard to be fed in his cell. They also
4 deferred to the assessments of the medical staff members who responded to Howard’s
5 emergency grievances the day he made them. A reasonable jury could not conclude on this
6 evidence that Groover, Gutierrez, Willett, Aranas, Clark, or Adams acted with deliberate
7 indifference when they denied Howard’s grievances after confirming with medical staff that he
8 was not medically authorized to be fed in his cell.¹²⁰

9 In sum, Howard has not demonstrated that he is entitled to summary judgment on Count
10 2 under any legal theory. Nor has he raised a triable issue of fact about any legal theory for
11 liability that he raises under Count 2. I therefore grant summary judgment in favor of Groover,
12 Gutierrez, Drs. Sanchez and Vicuna, Willett, Aranas, Clark, Piscos, Dzurenda, Gentry, and
13 Adams on the part of Count 2 alleging that they were deliberately indifferent to Howard’s
14 serious medical needs.

15 3. Count 4

16 Howard alleges under Count 4 that Mesa, Groover, and Willett were deliberately
17 indifferent to his serious medical needs on June 7, 2016, when they forced him to move his
18 property and walk distances despite knowing that he had a severe back and leg injury that
19 required him to use crutches.¹²¹ Howard argues that he attempted to comply with defendants’
20 orders because they threatened him with “going to the hold,” but he reinjured his back in the

22 ¹²⁰ See *Peralta v. Dillard*, 744 F.3d 1076, 1086 (9th Cir. 2014) (holding that it was not deliberate
23 indifference for grievance responder to defer to a medical provider’s assessment of the plaintiff’s
medical needs).

¹²¹ ECF No. 5 at 16–17.

1 process.¹²² When Mesa, Groover, and Willett ordered Howard to move his property to a new
2 cell, they deferred to medical staff who confirmed that Howard did not have any medical
3 restrictions preventing him from moving.¹²³

4 The record shows that Howard’s need to use crutches and not to lift heavy objects was
5 unpredictable and sporadic; he did not have any restrictions ordered by medical staff on June 7.
6 Howard fails to raise a triable issue of fact about whether Mesa or Groover acted with deliberate
7 indifference when they ordered Howard to move cells.¹²⁴ Howard also has not raised a factual
8 dispute that Willett acted with deliberate indifference when he video recorded, per SDCC policy,
9 Howard being taken to medical for evaluation when Howard claimed, after being put in restraints
10 for failing to comply with Mesa’s order to move cells, that he had back pain. I therefore grant
11 summary judgment in favor of Mesa, Groover, and Willett on the part of Count 4 alleging that
12 they were deliberately indifferent to Howard’s serious medical needs.

13 **4. Count 5**

14 Howard alleges under Count 5 that Groover was deliberately indifferent to his serious
15 medical needs when, on July 13, 2016, he forced Howard to walk 150 yards to culinary to hand-
16 deliver an emergency grievance to Groover while on crutches, knowing that the travel would be
17 painful, and in retaliation for the multiple grievances and a civil lawsuit that Howard filed
18 against Groover.¹²⁵ Howard provides a grievance that he submitted as evidence of his version of
19 events.¹²⁶ Groover disputes Howard’s account, declaring that when he “was stationed outside of
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21 ¹²² ECF No. 58 at 82.

22 ¹²³ *See, e.g.*, ECF No. 63-6 at 19–21, ¶¶ 7–23 (Mesa declaration).

23 ¹²⁴ *See Peralta*, 744 F.3d at 1086.

¹²⁵ ECF No. 5 at 18.

¹²⁶ ECF No. 58 at 97.

1 the SDCC culinary supervising the transfer of inmates from their cells to the SDCC culinary for
2 dinner,” “Howard approached [him from Howard’s housing unit] without using crutches [and]
3 with an emergency grievance.”¹²⁷ Groover later learned that the grievance “asserted that
4 [Groover] was retaliating against . . . [Howard] for him not using his crutches.”¹²⁸ When
5 “Howard got within a few feet from [Groover], he informed [Groover] that he was having leg
6 and back pain and could not make it back to his housing unit.”¹²⁹ “Howard also stated [that] he
7 needed emergency medical attention[,]” so Groover “stayed on scene until medical staff
8 arrived.”¹³⁰

9 It is genuinely disputed whether Groover ordered Howard to hand-deliver the emergency
10 grievance to him, or if Howard did that of his own volition or at the instruction of the unnamed
11 “unit officer” who called in the grievance. The record does not reflect what knowledge Groover
12 had, if any, about whether Howard had any medical restrictions on July 13. A reasonable jury
13 could conclude that if Groover ordered Howard to unnecessarily walk 150 yards to hand-deliver
14 an emergency grievance to Groover, that Groover was deliberately indifferent to Howard’s
15 serious medical needs. The part of Count 5 alleging deliberate indifference to serious medical
16 needs against Groover can proceed to trial.

17 **C. First Amendment retaliation**

18 Prisoners have a First Amendment right to file prison grievances and to pursue civil
19 rights litigation in the courts.¹³¹ “Without those bedrock constitutional guarantees, inmates

21 ¹²⁷ ECF No. 63-6 at 3, ¶ 32.

22 ¹²⁸ *Id.* at ¶ 33.

23 ¹²⁹ *Id.* at ¶ 36.

¹³⁰ *Id.*

¹³¹ *Rhodes v. Robinson*, 408 F.3d 559, 567 (9th Cir. 2004).

1 would be left with no viable mechanism to remedy prison injustices. And because purely
2 retaliatory actions taken against a prisoner for having exercised those rights necessarily
3 undermine those protections, such actions violate the Constitution quite apart from any
4 underlying misconduct they are designed to shield.”¹³²

5 To prevail on a First Amendment retaliation claim in the prison context, a plaintiff must
6 establish: “(1) [a]n assertion that a state actor took some adverse action against an inmate
7 (2) because of (3) that prisoner’s protected conduct, and that such action (4) chilled the inmate’s
8 exercise of his First Amendment rights, and (5) the action did not reasonably advance a
9 legitimate correctional goal.”¹³³ Total chilling is not required; it is enough if an official’s acts
10 would chill or silence a person of ordinary firmness from future First Amendment activities.¹³⁴

11 ***1. Count 2***

12 Howard asserts a First Amendment retaliation claim against Willett and Groover under
13 Count 2, alleging that they denied him meals because of his grievances and litigation against
14 them. Defendants argue that the retaliation part of Count 2 fails along with the deliberate-
15 indifference part of Count 2 because SDCC did not have a policy that a prisoner would not be
16 fed if he couldn’t walk to the culinary hall and they deferred to the medical provider’s
17 assessment that Howard did not have a lay-in order to be fed in his cell.¹³⁵ But Howard’s
18 retaliation claim is slightly different than his one for deliberate indifference. For the retaliation
19 part of Count 2, Howard focuses on the two sack meals that he contends Groover admitted that
20 he could provide when he responded to Howard’s grievance on January 24, 2016. According to

21 ¹³² *Id.*

22 ¹³³ *Id.* at 567–68.

23 ¹³⁴ *Id.* at 568–69.

¹³⁵ *See, e.g.*, ECF No. 62 at n.2 & n.3.

1 Howard, Groover retaliated against him for filing the January 24 emergency grievance by not
2 providing Howard with a sack meal on that day and when Howard filed another emergency
3 grievance on January 26.¹³⁶ Howard argues that Willett denied his second emergency grievance
4 on January 24 without providing him a sack meal and this was done in retaliation for Howard’s
5 claims against Willett in “Case No. 2:13-cv-01368-RFB.”¹³⁷

6 Howard has raised a triable issue of fact that he was not provided sack meals on January
7 24 and 26. To prevail on the next element—causation—Howard “must show that his protected
8 conduct” of filing grievances and a lawsuit were “the substantial or motivating factor[s] behind
9 the defendant[s]’ conduct.”¹³⁸ To do so, Howard “need only ‘put forth evidence of retaliatory
10 motive, that, taken in the light most favorable to him, presents a genuine issue of material fact as
11 to [the defendant’s]’ intent in the challenged conduct.”¹³⁹ The Ninth Circuit illustrated in *Bruce*
12 *v. Ylst* the kinds of evidence that a plaintiff can provide to meet this burden.

13 In *Bruce*, the inmate Bruce claimed that prison officials labeled him as a prison-gang
14 affiliate in retaliation for the “jailhouse lawyering” services that he provided.¹⁴⁰ To show that the
15 prison officials had an improper motive for labeling him a gang affiliate, Bruce provided his own
16 declaration describing a conversation that he had with a prison official.¹⁴¹ According to Bruce,
17 the official said that prison “higher-ups” were “pissed off” about when Bruce “acted as
18 spokesperson for other prisoners’ complaints” and ordered subordinates to “validate” Bruce as a
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20 ¹³⁶ ECF No. 58 at 5–6.

21 ¹³⁷ *Id.*

22 ¹³⁸ *Brodheim v. Cry*, 584 F.3d 1262, 1271 (9th Cir. 2009) (internal quotation omitted).

23 ¹³⁹ *Id.* (quoting *Bruce v. Ylst*, 351 F.3d 1283, 1289 (9th Cir. 2003)).

¹⁴⁰ *Bruce*, 351 F.3d at 1286.

¹⁴¹ *Id.* at 1288–89.

1 gang affiliate “to discourage similar complaints and protests.”¹⁴² The Ninth Circuit concluded
2 that “[t]hese statements combined with the suspect timing of the investigation and the fact that
3 stale evidence was used, certainly raise a triable issue of fact regarding whether the motive
4 behind the validation was retaliatory.”¹⁴³

5 Unlike in *Bruce*, Howard doesn’t offer statements that Groover, Willett, or any other
6 prison official made about why he was not provided sack meals on January 24 and 26. His only
7 evidence is timing. “True, timing can properly be considered as circumstantial evidence of
8 retaliatory intent.”¹⁴⁴ But “there is little else to support the inference” here.¹⁴⁵ For instance,
9 there is no evidence that Groover or Willett had authority to authorize Howard to receive sack
10 meals on either day. Groover declares that he deemed Howard’s first emergency grievance on
11 January 24 not an actual emergency “based upon information provided to [him] that correctional
12 staff was in the process of delivering two (2) sack lunches to . . . Howard’s cell.”¹⁴⁶ The
13 undisputed evidence shows that in responding Howard’s emergency grievances, Groover and
14 Willett relied on assessments from SDCC’s medical staff that Howard did not have an order
15 permitting him to be fed in his cell. It is sheer speculation that Howard did not receive sack
16 meals on January 24 and 26 because Groover and Willett did not provide them in retaliation for
17 Howard filing grievances and litigation. Thus, the portion of Count 2 alleging First Amendment
18 retaliation against Willett and Groover falters at the second element. I therefore grant summary
19 judgment in favor of Willett and Groover on the retaliation part of Count 2.

21 ¹⁴² *Id.* at 1298.

22 ¹⁴³ *Id.*

23 ¹⁴⁴ *C.f. Pratt v. Rowland*, 65 F.3d 802, 808 (9th Cir. 1995).

¹⁴⁵ *See id.*

¹⁴⁶ ECF No. 63-6 at 1, ¶ 13.

1 2. **Count 5**

2 Howard asserts a First Amendment retaliation claim against Groover under Count 5,
3 alleging that on July 13, 2016, Groover forced Howard to walk 150 yards to culinary knowing
4 that the travel would be painful to Howard and in retaliation for the multiple grievances and the
5 civil lawsuit that Howard filed against Groover. Howard provides his grievances to support his
6 version of what happened: he filed an emergency grievance against Groover because he was told
7 by correctional officer “Bert” that Howard was to be written up if he was observed walking
8 without using his crutches.¹⁴⁷ Howard later learned that it was Groover who issued the
9 instruction to hand-deliver the emergency grievance to the culinary hall.¹⁴⁸ But, before that
10 happened, he filed an emergency grievance about unknown staff members retaliating against him
11 for filing grievances by writing him up for not always using his crutches to walk.¹⁴⁹

12 It is pure speculation whether Groover retaliated against Howard because of any litigation
13 that Howard had filed. But Howard has identified sufficient evidence to raise a triable issue of
14 fact that Groover ordered him to hand-deliver the emergency grievance to the culinary hall
15 because Groover was annoyed with Howard’s many grievances and wanted to deter Howard
16 from filing more. A reasonable jury could find that causing a prisoner to suffer pain by making
17 him go out of his way on crutches to file an emergency grievance is enough to chill a person of
18 ordinary firmness from filing grievances in the future and does not serve a legitimate correctional
19 goal.¹⁵⁰ Thus, the part of Count 5 alleging a claim of First Amendment retaliation against

20 _____
21 ¹⁴⁷ ECF No. 58 at 94.

22 ¹⁴⁸ *Id.* at 97.

23 ¹⁴⁹ *Id.* at 94.

¹⁵⁰ *See Brodheim v. Cry*, 584 F.3d 1262, 1270 (9th Cir. 2009) (internal quotation omitted) (noting that the mere “threat of retaliation is sufficient injury if made in retaliation for an inmate’s use of prison grievances procedures”).

1 Groover for the incident on July 13, 2016, raises a genuine issue of material fact and can proceed
2 to trial.

3 **D. First Amendment free exercise of religion**

4 The First Amendment to the United States Constitution provides that “Congress shall
5 make no law respecting the establishment of religion, or prohibiting the free exercise thereof.”¹⁵¹

6 The United States Supreme Court has held that inmates retain protections afforded by the First
7 Amendment “including its directive that no law shall prohibit the free exercise of religion.”¹⁵²

8 “In general, a plaintiff will have stated a free exercise claim if: (1) ‘the claimant’s proffered
9 belief [is] sincerely held; and (2) ‘the claim [is] rooted in religious belief, not in purely secular
10 philosophical concerns.’”¹⁵³ The Supreme Court has recognized that an inmate’s “limitations on

11 the exercise of constitutional rights arise both from the fact of incarceration and from valid

12 penological objectives—including deterrence of crime, rehabilitation of prisoners, and

13 institutional security.”¹⁵⁴ “A person asserting a free exercise claim must show that the

14 government action in question substantially burdens the person’s practice of [his] religion.”¹⁵⁵

15 During summary judgment, courts evaluate prison regulations alleged to infringe on
16 constitutional rights under the “reasonableness” test set forth by the Supreme Court in *Turner v.*
17 *Safley*.¹⁵⁶ The first *Turner* factor requires the proponent of the prison regulation to demonstrate

19 ¹⁵¹ U.S. Const. amend. I.

20 ¹⁵² *O’Lone v. Estate of Shabazz*, 482 U.S. 342, 348 (1987).

21 ¹⁵³ *Walker v. Beard*, 789 F.3d 1125, 1138 (9th Cir. 2015).

22 ¹⁵⁴ *O’Lone*, 482 U.S. at 349.

23 ¹⁵⁵ *Jones v. Williams*, 791 F.3d 1023, 1031 (9th Cir. 2015).

¹⁵⁶ *Turner v. Safley*, 482 U.S. 78, 89–91 (1987); *O’Lone*, 482 U.S. at 349; see *Hrdlicka v. Reniff*,
631 F.3d 1044, 1046–50 (9th Cir. 2011) (analyzing the *Turner* factors applied during summary
judgment on appeal).

1 that there is “a valid, rational connection between the prison regulation and the legitimate
2 governmental interest put forward to justify it.”¹⁵⁷ The second *Turner* factor requires the
3 proponent to demonstrate that there are “alternative means of exercising the right that remain
4 open to prison inmates.”¹⁵⁸ When considering this factor, *Turner* instructs that “courts should be
5 particularly conscious of the measure of judicial deference owed to correctional officials . . . in
6 gauging the validity of the regulation.”¹⁵⁹ “The third consideration is the impact [that]
7 accommodation of the asserted constitutional right will have on guards and other inmates, and
8 the allocation of prison resources generally.”¹⁶⁰ And the final factor instructs that the absence of
9 “ready alternatives” to a particular prison regulation is evidence that it is reasonable and not “an
10 exaggerated response to prison concerns.”¹⁶¹

11 ***1. Count 3***

12 Howard asserts a First Amendment free-exercise-of-religion claim against Cox,
13 Dzurenda, Gentry, Adams, and Tristan under Count 3 based on their alleged adoption and
14 implementation of a policy at SDCC to close the chapel if the resident chaplain is not on site and
15 there is not an outside volunteer to conduct services.¹⁶² Howard alleges that this policy burdens
16 his exercise of the Islamic faith because it limits Friday congregational prayer, which he
17 contends is a tenant of his faith, to once a month because the chaplain doesn’t work Friday and
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20 ¹⁵⁷ *Turner*, 482 U.S. at 89 (internal quotation omitted).

21 ¹⁵⁸ *Id.* at 90.

22 ¹⁵⁹ *Id.* (internal quotation omitted).

23 ¹⁶⁰ *Id.*

¹⁶¹ *Id.*

¹⁶² ECF No. 5 at 14–15.

1 the volunteer serves all of the prisons in Nevada.¹⁶³ Howard filed several grievances stating that
2 he was not able to access the chapel for prayer services on several days in 2016 for this reason.¹⁶⁴

3 Tristan responded to four of Howard’s grievances at the second level, explaining that “the
4 AR 810 manual states that inmates are permitted to practice a recognized religion to which they
5 ascribe within the limitations imposed by individual structures, staffing levels, other
6 considerations of security, good order and discipline, consistent with consideration of costs and
7 limited resources.”¹⁶⁵ Tristan stated that Howard’s grievances were properly denied under AR
8 810 because there was no chaplain or volunteer to supervise services on the dates at issue.
9 Tristin concluded by telling Howard this his “remedy to have a custody officer supervise the
10 services is not feasible at this time” because “custody staffing levels are determined by the
11 Nevada Legislature, and currently the staffing levels as [sic] SDCC cannot support chapel
12 coverage without generating overtime expenses.”¹⁶⁶ Both sides move for summary judgment on
13 this claim.¹⁶⁷

14 Howard bears the burden of showing that his religious belief is sincerely held and his
15 claim is rooted in religious beliefs, not secular ones. Howard states that he is a practicing
16 Muslim of the Nation of Islam, and nothing in the record belies this claim.¹⁶⁸ He insists that

20 ¹⁶³ *Id.*

21 ¹⁶⁴ ECF No. 58 at 61–81.

22 ¹⁶⁵ ECF Nos. 63-5 at 36, ¶ 18–20 (Tristan declaration); 58 at 65, 73.

22 ¹⁶⁶ ECF No. 58 at 65.

23 ¹⁶⁷ ECF Nos. 62 at 19–23, 58 at 57–82.

¹⁶⁸ *See, e.g.*, ECF No. 58 at 78.

1 attending congregational or group prayer services on Friday is a tenant of his faith.¹⁶⁹ Howard
2 has met his burden for both showings.

3 Addressing the *Turner* factors, defendants explain in their declarations that SDCC
4 adopted AR 810’s provision prohibiting inmates from accessing the chapel when neither the
5 chaplain nor a religious volunteer is on site due “the security and safety concerns of that
6 institution.”¹⁷⁰ They explain that “allowing inmates to congregate at an institutional chapel
7 without non-inmate supervision created safety and security risks[,]” including “gang activity[;]
8 making an/or distributing weapons, alcohol, illicit substances, and other contraband[;]
9 orchestrating and/or effectuating violence upon other inmates or staff[;] [or] coordinating efforts
10 to disrupt prison operations or escape.”¹⁷¹ The Ninth Circuit recognized in *Jones v. Bradley* that
11 Washington State defendants have “a legitimate interest in maintaining the chapel as a place of
12 refuge, free from custodial supervision, in their efforts to rehabilitate inmates.”¹⁷² The *Jones*
13 court also recognized that “[a]ppropriate restrictions on chapel use, including requiring the
14 presence of an outside sponsor for chapel meetings, are reasonable to maintain order and
15 security.”¹⁷³ Nearly two decades later in *Anderson v. Angelone*, the Ninth Circuit considered
16 whether the NDOC’s policy of prohibiting inmates from leading religious groups violated an
17 inmate’s First Amendment right to the free exercise of religion.¹⁷⁴ The district court found that
18 the regulation satisfied the *Turner* factors, and the Ninth Circuit affirmed, explaining that

20 ¹⁶⁹ ECF No. 58 at 60.

21 ¹⁷⁰ *See, e.g.*, ECF No. 63-5 at 35, ¶ 14.

22 ¹⁷¹ *Id.* at ¶ 15; *accord* ECF Nos. 63-5 at 30, ¶ 14; 63-5 at 33, ¶ 14; 63-1 at 42, ¶ 16.

23 ¹⁷² *Jones v. Bradley*, 590 F.2d 294, 296 (9th Cir. 1979).

¹⁷³ *Id.*

¹⁷⁴ *Anderson v. Angelone*, 123 F.3d 1197, 1198–99 (9th Cir. 1997).

1 “[r]equiring an outside minister to lead religious activity among inmates undoubtedly contributes
2 to prison security. It helps ensure that inmate activity is supervised by responsible individuals
3 and lessens the possibility that inmate religious groups will subvert prison authority.”¹⁷⁵ The
4 appellate court concluded that the regulation did not foreclose the inmate from practicing his
5 religion; “in fact, he is welcome to assist the prison chaplain in leading religious activities.”¹⁷⁶
6 So “there are other ways for [the inmate] to exercise his rights.”¹⁷⁷ “But in light of the prison’s
7 security concerns,” the court “did not see any ready alternatives to the regulation.”¹⁷⁸

8 Like in *Jones* and *Anderson*, defendants have demonstrated that AR 810’s provision
9 prohibiting inmates from using the chapel when neither the chaplain nor an approved volunteer is
10 on site is validly and rationally connected to the legitimate interest in maintaining the security of
11 the prison and the safety of its prisoners and staff. Defendants have demonstrated that
12 accommodating a right to use the chapel in the absence of a non-inmate religious supervisor
13 could have negative ripple effects like prisoner-preachers undermining prison authority and in-
14 fighting. Defendants identify alternative means that Howard has to exercise his religion,
15 including the group-prayer aspect that he sues about. Howard was able to attend Friday services
16 when the chaplain or volunteer was present. Howard does not allege that Friday services were
17 never available to him; rather, he admits that Friday services occurred “maybe once a month.”¹⁷⁹
18 He was able to attend 30 days of Ramadan services.¹⁸⁰ He had access to the chapel on Tuesdays

20 ¹⁷⁵ *Id.* at 1199.

21 ¹⁷⁶ *Id.*

22 ¹⁷⁷ *Id.*

23 ¹⁷⁸ *Id.*

¹⁷⁹ ECF Nos. 63-1 at 18, 58 at 58.

¹⁸⁰ ECF No. 63-1 at 23.

1 when Muslim inmates had the chapel for studying and lectures.¹⁸¹ And he was permitted to pray
2 in his cell.¹⁸²

3 There is still a dearth of “ready alternatives” to simply closing the chapel to inmates
4 when there is no chaplain or approved volunteer on site. As the Ninth Circuit pointed out in
5 *Jones*, having correctional staff supervise religious services takes away from the sanctuary aspect
6 that the chapel is intended to provide. And it leaves unresolved the legitimate concerns that
7 prison officials have about prisoner-led religious services leading toward prisoners undermining
8 prison authority. I therefore grant summary judgment in favor of Cox, Dzurenda, Gentry,
9 Adams, and Tristan on Count 3.

10 **E. Excessive force**

11 When a prison official stands accused of using excessive physical force in violation of the
12 cruel-and-unusual punishment clause of the Eighth Amendment, the question turns on whether
13 force was applied in a good-faith effort to maintain or restore discipline, or maliciously and
14 sadistically for the purpose of causing harm.¹⁸³ In determining whether the use of force was
15 wanton and unnecessary, it may also be proper to consider factors such as the need for
16 application of force, the relationship between that need and the amount of force used, the threat
17 reasonably perceived by the responsible officials, and any efforts made to temper the severity of
18 a forceful response.¹⁸⁴ Although an inmate need not have suffered serious injury to bring an
19 excessive-force claim against a prison official, the Eighth Amendment’s prohibition against

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21 _____
22 ¹⁸¹ *Id.* at 17.

23 ¹⁸² *Id.* at 22.

¹⁸³ *Hudson v. McMillian*, 503 U.S. 1, 6–7 (1992).

¹⁸⁴ *Id.* at 7.

1 cruel-and-unusual punishments necessarily excludes from constitutional recognition de minimis
2 uses of physical force.¹⁸⁵

3 ***1. Count 4***

4 Howard asserts an excessive-force claim against Mesa under Count 4 for unnecessarily
5 handcuffing Howard after he'd fallen during the cell-moving incident on June 7, 2016.¹⁸⁶

6 Howard recounts that Mesa told him that per Groover's instruction, if Howard did not move his
7 properties to his new cell, that he would be put in "the hole."¹⁸⁷ Howard told Mesa that he had a
8 medical condition and could not lift heavy items.¹⁸⁸ Howard submitted an emergency grievance
9 to no avail, so he "attempt[ed] to move a box and reinjured his back."¹⁸⁹ At that point Howard
10 requested a "man-down" emergency response and was handcuffed by Mesa, who placed him in a
11 holding room until Willett arrived with a video camera to record him being transported to the
12 medical department.¹⁹⁰

13 Mesa provides a slightly different account of what happened. He claims that when he
14 told Howard that he had to move his property to a new cell, Howard said he couldn't because his
15 items were too heavy.¹⁹¹ Mesa told Howard that he could request that a friend help him move, at
16 which point Howard became "very upset and requested an emergency grievance" and walked
17 away.¹⁹² "A few minutes later," "Howard returned on crutches and handed [Mesa] an

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19 ¹⁸⁵ *Id.* at 9–10.

20 ¹⁸⁶ ECF No. 5 at 16–17.

21 ¹⁸⁷ ECF No. 58 at 82; *accord id.* at 84–92.

22 ¹⁸⁸ *Id.*

23 ¹⁸⁹ *Id.*

¹⁹⁰ *Id.*

¹⁹¹ ECF No. 63-3 at 20, ¶ 11.

¹⁹² *Id.* at ¶ 13.

1 emergency grievance [that] was processed.”¹⁹³ Mesa told Howard that he was “still expected to
2 move unless he had a physician’s order or lay-in that prevented him from moving, at which point
3 he requested a man-down because he was in pain.”¹⁹⁴ Mesa explains that a man-down “is an
4 inmate request for immediate medical attention.”¹⁹⁵ Mesa informed the shift-command officers
5 of the man-down and was instructed by Groover “to contact SDCC medical staff to inform them
6 of the man-down and confirm whether” “Howard had a medical restriction.”¹⁹⁶ Mesa did and
7 “SDCC medical confirmed [that Howard] did not require immediate medical attention” as “he
8 had no medical restriction or lay-in that prevented him from moving cells[;]” Howard “needed to
9 put in a medical kite.”¹⁹⁷ Mesa relayed this information to Groover and gave Howard another
10 opportunity to comply with the order to move his property.¹⁹⁸ Howard refused, so Mesa “placed
11 [him] in mechanical restraints” because he was failing to comply with [Mesa’s] direct order and
12 was causing a disruption of the scheduled move.”¹⁹⁹

13 Defendants argue that Mesa’s use of the mechanical restraints was not excessive as a
14 matter of law because he did so “after Howard became upset about moving cells, after Howard
15 refused to obey Mesa’s lawful orders to move cells, and after Mesa confirmed with SDCC
16 medical staff [that] Howard had no medical restrictions that prevented him from moving
17 cells.”²⁰⁰ More importantly, although Howard claims that he injured his back trying to lift a box

19 ¹⁹³ *Id.* at ¶ 14.

20 ¹⁹⁴ *Id.* at ¶ 15.

21 ¹⁹⁵ *Id.* at ¶ 16.

22 ¹⁹⁶ *Id.* at ¶ 17.

23 ¹⁹⁷ *Id.* at ¶ 18.

¹⁹⁸ *Id.* at ¶¶ 19–20.

¹⁹⁹ *Id.* at ¶ 21.

²⁰⁰ ECF No. 62 at 27.

1 to comply with Mesa’s order, Howard does not genuinely dispute that Mesa applied the
2 mechanical restraints after SDCC medical staff told Mesa that Howard’s situation did not require
3 immediate medical attention.²⁰¹ There is no evidence of the extent of the injury, if any, that
4 Howard suffered because of Mesa’s use of mechanical restraints. A reasonable jury could not
5 conclude on this record that Mesa applied the mechanical restraints “maliciously and sadistically
6 to cause harm.”²⁰² I therefore grant summary judgment in favor of Mesa on the part of Count 4
7 asserting a claim for excessive force.

8 **Conclusion**

9 IT IS THEREFORE ORDERED that Defendants’ motion to seal [ECF No. 64] is
10 **GRANTED.**

11 IT IS FURTHER ORDERED that Howard’s motion for investigation, which the court
12 construes as a motion to reopen and enlarge the time to amend pleadings to add new claims and
13 parties, [ECF No. 79] is **DENIED** without prejudice to Howard’s ability to assert new claims
14 against new parties in a new action.

15 IT IS FURTHER ORDERED that Howard’s evidentiary objections [ECF No. 71] are
16 **OVERRULED.**

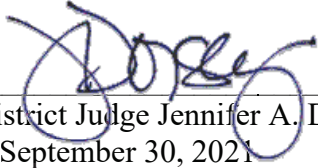
17 IT IS FURTHER ORDERED that the parties’ cross-motions for summary judgment
18 [ECF Nos. 58, 62] are **GRANTED IN PART and DENIED IN PART:**

21 ²⁰¹ ECF No. 63-6 at 20, ¶ 18 (Mesa declaration); *see* ECF 74 at 18 (continuation form for
22 grievance #20063028455) (Howard states that after he reinjured his back and requested a man-
23 down, Mesa told him “that the nurse said [that he] couldn’t request a man-down[,]” Mesa then
handcuffed Howard, Howard’s property was taken away, he was taken “to the infirmary [to]
see . . . the nurse and [then] taken to” his new unit).

²⁰² *See Hudson*, 503 U.S. at 6.

- 1 ▪ Howard’s claim alleging Eighth Amendment deliberate indifference to serious medical
2 needs against Groover under Count 5 can proceed to trial;
- 3 ▪ Howard’s claim alleging First Amendment retaliation against Groover under Count 5 can
4 proceed to trial;
- 5 ▪ Summary judgment is granted in favor of Porter on Howard’s claim alleging Eighth
6 Amendment deliberate indifference to serious medical needs under Count 1;
- 7 ▪ Sgt. Sanchez is dismissed from the amended complaint without prejudice and without
8 leave to amend;
- 9 ▪ Summary judgment is granted in favor of Groover, Gutierrez, Drs. Sanchez and Vicuna,
10 Willett, Aranas, Clark, Piscos, Dzurenda, Gentry, and Adams on Howard’s claim alleging
11 Eighth Amendment deliberate indifference to serious medial needs under Count 2;
- 12 ▪ Summary judgment is granted in favor of Willett and Groover on Howard’s claim
13 alleging First Amendment retaliation under Count 2;
- 14 ▪ Summary judgment is granted in favor of Cox, Dzurenda, Gentry, Adams, and Tristan on
15 Howard’s claim alleging First Amendment free exercise of religion under Count 3;
- 16 ▪ Summary judgment is granted in favor of Mesa, Groover, and Willett on Howard’s claim
17 alleging Eighth Amendment deliberate indifference to serious medical needs under Count
18 4; and
- 19 ▪ Summary judgment is granted in favor of Mesa on Howard’s claim alleging Eighth
20 Amendment excessive force under Count 4.
- 21 ▪ The summary-judgment motions are **DENIED IN ALL OTHER RESPECTS.**

1 IT IS FURTHER ORDERED that this case is referred to the magistrate judge for a
2 mandatory settlement conference. The parties' obligation to file their proposed joint pretrial
3 order is tolled until 20 days after the settlement conference.



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5 U.S. District Judge Jennifer A. Dorsey
Dated: September 30, 2021

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