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attorney John Long and TRP concerning trademark rights to, the application for, and
supplemental registration of "PinkEye Relief." With respect to the supplementation of TRP's
responses to Similasan Corp.'s interrogatories identified in the Motion, the Court reserves ruling
on such further discovery requests at this time and orders the parties to meet and confer on this
subject, if appropriate, after Similasan Corp. has reviewed TRP's production pursuant to this
Order.

The Court finds that the express waiver occurred on pages 71-72 of the deposition transcript of TRP's President Mr. Pominville (ECF No. 87-6, Ex. 5, p. 9) and in TRP's response to Similasan Corp.'s Interrogatory No. 16 that was verified by Mr. Pominville (ECF No. 87-17, Ex. 16, pp. 6-7).

2. The Motion to Compel is denied at this time on the issue of implied waiver of 13 the attorney-client privilege as to communications between attorney John Long and TRP (or 14 TRP communications reflecting Mr. Long's advice), concerning TRP's application and 15 16 supplemental registration for PinkEye Relief, Earache Relief and Allergy Eyes Relief. The 17 Court is not persuaded that there is an assertion of an advice of counsel defense by TRP in 18 response to Similasan Corp's claims of fraud on the United States Patent and Trademark Office. 19 The Court finds that an advice of counsel defense has to be the foundation for the basis of an 20 implied waiver. The Court's finding as to a lack of implied waiver does not conflict with the 21 Court's order as to an express waiver concerning the PinkEye Relief communications identified 22 in paragraph 1 above. The Court simply finds that there has not been a waiver as to the 23 24 PinkEye Relief communications on the additional grounds of implied waiver.

3. The Motion to Compel is denied as to a waiver of the attorney-client privilege through communications that involved advice from attorneys John Long or Jack Hanifan, and were directed to or included Susan Hanson, Ray Hanson and/or Brian Banks. Susan Hanson is

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the sister of Tom Pominville and Ray Hanson is his brother in law. Neither Ms. Hanson, Mr. Hanson, nor Brian Banks was an employee of TRP during the timeframes of the communications that have been identified in TRP's privilege log. ECF No. 87-3, Exhibit 2. However, the Court finds that each of these individuals were "functional equivalents" of TRP employees based upon their title(s), responsibilities, roles in the operation of TRP and/or familial relationship with Mr. Pominville in the relevant timeframes based on the affidavits from Ms. Hanson, Mr. Hanson, and Mr. Pominville, and other evidence TRP submitted. ECF Nos. 88-3, 88-4, 88-5. Their communications with attorneys Long and Hanifan, or concerning their advice, were therefore privileged communications between TRP and these attorneys. 

DATED: <u>April 23, 2019</u>

IT IS SO ORDERED

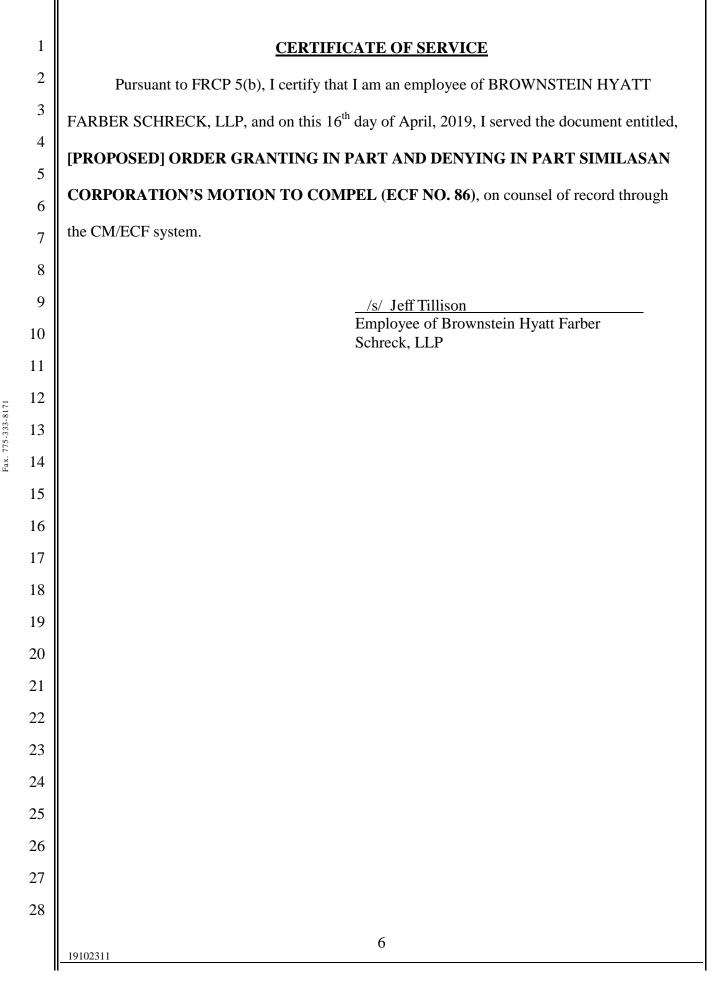
C.W. HOFFMAN, JR. UNITED STATES MAGIST RATE JUDGE

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2	Dated: April 16, 2019
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