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10 **UNITED STATES DISTRICTCOURT**  
11 **DISTRICT OF NEVADA**

12 PHILLIP FORMAN, an individual,  
13  
Plaintiff  
14  
vs.  
15 UNITED HEALTH PRODUCTS, INC., a  
Nevada domestic corporation; and  
16 DOUGLAS K. BEPLATE, an individual,  
17  
Defendants

Case Number: 2:19-cv-519-<sup>CDS</sup>~~GMN~~-VCF\*

**JOINT PRETRIAL ORDER**

18 After pretrial proceedings in this case,

19 IT IS ORDERED:

20 **I. STATE THE NATURE OF ACTION, RELIEF SOUGHT, IDENTIFICATION**  
21 **AND CONTENTIONS OF PARTIES.**

22 **A. NATURE OF THE ACTION<sup>1</sup>**

23 This is an action to recover compensation alleged to have been promised to Plaintiff  
24 under an employment agreement, entered into on or about November 10, 2014 (the  
25

26 <sup>1</sup>The following description of the nature of the action incorporates conclusions of fact and law  
27 determined in a Decision and Order deciding motions for summary judgment, and therefore may not reflect the  
28 contentions of the parties prior to such determinations.

\* Counsel are reminded that all documents must bear the correct case number 2:19-cv-00519-CDS-VCF. ECF No. 65.

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1 “Employment Agreement”) under which the Defendant United Health Products, Inc. (“UHP”)  
2 is alleged to have failed to perform. Specifically, the Employment Agreement provides for  
3 the issuance of 3,000,000 shares of the common stock of UHP to Plaintiff and the payment of  
4 \$5,000 per month in salary. Despite its contractual obligation, Plaintiff contends that UHP  
5 failed to issue the 3,000,000 shares and failed to pay a \$5,000 per month salary for the months  
6 of June 2015 through October of 2015.  
7

8 The Employment Agreement provides that upon the execution of the same, the  
9 “3,000,000 shares shall be deemed validly issued, fully paid and non-assessable in exchange  
10 for services previously rendered.” Thus, Plaintiff contends they were fully and previously  
11 earned and owned by Dr. Forman.  
12

13 Thereafter, on or about June 25, 2015, Plaintiff and UHP executed a document  
14 ostensibly reducing the number of shares previously earned by Plaintiff from 3,000,000 to  
15 1,600,000 (the “Letter”). However, Plaintiff contends that no consideration was paid to him  
16 in exchange for the reduction of shares because 3,000,000 shares were owed to him as a past  
17 promise by UHP. Plaintiff contends because no consideration was paid by UHP to him in  
18 exchange for the ostensible reduction, the Letter is not a valid or enforceable contract.  
19

20 After the close of discovery, Plaintiff and UHP each moved for summary judgment;  
21 Plaintiff moved for summary judgment on his breach of contract claim and UHP moved  
22 against Plaintiff’s contention that he was under economic duress in entering into a certain  
23 Stock Purchase Agreement dated July 22, 2015 (the “SPA”). By Decision and Order dated  
24 February 14, 2022 (the “Order”), the Court denied UHP’s motion for summary judgment. The  
25 Court granted Plaintiff’s motion in part and denied in part. Specifically, the Court ruled that  
26 the Letter was unenforceable for lack of consideration and granted summary judgment on  
27 Plaintiff’s motion for summary judgment for such a declaratory judgment. The Court denied  
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1 that portion of Plaintiff's motion seeking summary judgment on its claim for breach of the  
2 Employment Agreement, finding that Plaintiff did not establish through evidence on the  
3 motion that UHP failed to issue the 3,000,000 shares and that the salary was not paid, leaving  
4 those issues for trial.

5  
6 Finally, Plaintiff also asserts a fraud claim (and will move, following the introduction  
7 of all pertinent testimony, to conform the Complaint to the evidence to have determined claims  
8 for conversion and tortious interference) in connection with the SPA, asserting that all of the  
9 circumstances, including but not limited to the negotiation, entry, and ostensible payment  
10 thereunder were part of a fraudulent scheme by Defendant Douglas Beplate ("Beplate").  
11 Plaintiff claims that Beplate's removed the shares from Plaintiff's name, in his capacity as  
12 CEO and/or chairman of the Board of Directors of UHP, prior to payment being made under  
13 the SPA, and even before the SPA was entered into, hid them in the name of a company called  
14 Enable Financial LLC, and then transferred them to his father-in-law, and upon information  
15 and belief, thereafter to himself, without the purchase price under the SPA ever having been  
16 paid.  
17

18  
19 **B. RELIEF SOUGHT**

20 In this action, Plaintiff seeks the following relief: (i) declaratory judgment declaring  
21 that the Letter is unenforceable; (ii) damages for UHP's breach of the employment agreement,  
22 equaling 3,000,000 shares of the common stock of UHP, \$15,000, together with interest  
23 thereon; and (iii) punitive damages equal to three times the compensatory damages awarded  
24 at trial or such other amount be determined at trial.  
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1           **C.     IDENTIFICATION AND CONTENTION OF THE PARTIES**

2           **1.     Plaintiff**

3           Plaintiff is an individual residing in the state of Florida.<sup>2</sup> He is a former employee of  
4 Defendant UHP; under the Employment Agreement he served as its Chairman of the Board  
5 of Directors and as its Chief Medical Advisor. The Employment Agreement provided for  
6 certain compensation to be paid to Plaintiff; specifically Plaintiff was to receive a salary of  
7 \$5,000 per month and 3,000,000 shares of the common stock of UHP in consideration of past  
8 services performed (and in connection with his cancelling 2,750,000 options and 2,090,000  
9 shares of common stock which he had previously earned). Plaintiff contends that UHP never  
10 paid him his salary for the months of June 2015 through October of 2015 and never issued  
11 him the 3,000,000 shares. Plaintiff contends that all of his compensation remains due and  
12 owing to him.

13           Plaintiff had also contended that a June 25, 2015 ostensible agreement, known  
14 throughout this case as the Letter, which was meant by UHP and Beplate to reduce the shares  
15 owed to him under the Employment Agreement from 3,000,000 to 1,600,000 was not a valid  
16 contract and was void and unenforceable for a lack of consideration. This issue has been  
17 decided in favor of Plaintiff on summary judgment, whereupon this Honorable Court ruled  
18 that the Letter was unenforceable and that Plaintiff was entitled to the 3,000,000 shares set  
19 forth in the Employment Agreement.

20           The Letter, unusually, recited that the 1,600,000 shares were to be sold in a third-party  
21 transaction; referring to the SPA eventually entered into between Plaintiff and Harold D.  
22 Anderson (“Anderson”). Plaintiff contends that Beplate, for himself and through the use of  
23 UHP perpetrated a fraud against Plaintiff. Plaintiff contends that Beplate, knowing of  
24 Plaintiff’s financial hardship due to, *inter alia*, a tuition bill for his daughter in the amount of  
25 \$60,000, developed and perpetrated a scheme to steal Plaintiff’s UHP stock. Specifically, in  
26 or about the end of June of 2015, knowing that Plaintiff was under financial stress, and in  
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28 <sup>2</sup> When this lawsuit was initiated, Dr. Forman was a resident of Rego Park, New York.

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1 connection with the (unenforceable) Letter, Beplate ostensibly “found” a buyer for Plaintiff’s  
2 UHP shares. Beplate presented Plaintiff with the SPA which recited that Plaintiff would sell  
3 1,600,000 shares of UHP common stock<sup>3</sup> to a buyer whose name was not even recited in the  
4 SPA but only provided his name under the signature line. The ostensible buyer was Harold  
5 Anderson, who was the father-in-law of Beplate.

6 Plaintiff contends that Beplate never advised him that Harold Anderson was his father-  
7 in-law. Plaintiff further contends that Mr. Anderson was listed on the SPA in name only, that  
8 he was never involved in the negotiation of the SPA, never agreed to the terms thereof, and  
9 had no involvement whatsoever in the same. Plaintiff further contends that Beplate never  
10 intended that the shares would ultimately end up owned by Anderson and contends that  
11 Beplate intended to take ultimate possession of the shares. Plaintiff even further contends that  
12 Beplate never intended to cause payment to be made to Plaintiff under the SPA. Plaintiff  
13 contends that Anderson was a cutout for Beplate, inserted in the SPA in name only, as a person  
14 with a close relationship with Beplate such that Beplate could use his name and status as an  
15 independent individual for the purposes of removing the shares from Plaintiff’s name and into  
16 the name of a person, Anderson, from whom the shares could eventually be transferred to  
17 Beplate (if ever transferred to Anderson at all).

18 Ultimately, Plaintiff contends that the purchase price under the SPA was never made,  
19 and certainly not timely made. Payment was ostensibly made by Beplate, not by Anderson or  
20 on behalf of his estate<sup>4</sup> three and one-half years after payment was due under the SPA and  
21 after Plaintiff chose his remedy. Plaintiff rejected this late payment. Plaintiff contends that  
22 Beplate had the shares transferred out of Plaintiff’s name even before the SPA was entered  
23 into (and drafted the SPA such that payment was to be made to Plaintiff’s estranged wife)  
24 such that Plaintiff could not object and retain his shares in the event, pre-conceived by Beplate,

25 \_\_\_\_\_  
26 <sup>3</sup> The 1,600,000 shares represented the ostensible holdings of Plaintiff as a result of the Letter, which has since  
been ruled unenforceable for lack of consideration.

27 <sup>4</sup> Plaintiff further contends that the SPA did not inure to the benefit of Anderson’s estate, relatives, or any entity  
28 or individual other than Anderson, and that upon Anderson’s passing, the SPA became void.

1 that the purchase price was never paid. Plaintiff contends that the shares should have remained  
2 in his name, and not preemptively taken away from him. The shares were his to exercise  
3 dominion and control over, relevantly in the instance where a contract for the sale of same  
4 was breached, and Beplate's conduct was designed to deprive Plaintiff of his property.

5 In this regard, Plaintiff contends that Beplate's actions constituted a conversion of his  
6 shares. Plaintiff contends that any shares issued to him, which he (at least allegedly)  
7 "contracted" to sell, were his and should have remained in his name. The SPA stated that the  
8 sale of the shares was to be settled on a delivery versus payment basis, meaning the shares  
9 were not to be tendered unless and until the purchase price was paid. It was Plaintiff's right  
10 to keep the shares in the event that the SPA purchase price was not paid, or if his performance  
11 thereunder was otherwise excused and Beplate exercised dominion and control over the shares  
12 while they still belonged to Plaintiff to his detriment. Specifically, Beplate put himself in  
13 control of what happened to the shares after the SPA was breached, irrespective of the rights  
14 of Plaintiff.

## 15 **2. Defendants**

16 Defendant UHP is a corporation formed and existing under the laws of the State of  
17 Nevada. It is in the business of, *inter alia*, the sale of hemostatic products.

18 Defendant Beplate was the Chief Executive Officer during the course of the  
19 Employment Agreement and was the Chief Executive Officer and a member of the Board of  
20 Directors of UHP at the time the Letter was executed.

21 Defendants contend that Plaintiff failed to perform his duties as Chief Medical Officer  
22 under the Employment Agreement (e.g. by failing repeatedly to appear as UHP's main  
23 presenter at critical meetings in 2015 due to his uncontrolled substance abuse, among other  
24 reasons).

25 Defendants contend that the Plaintiff agreed to accept the reduced consideration of 1.6  
26 million shares of stock in consideration of his reduced corporate responsibilities and the  
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1 allowance of a graceful exit from the company. Defendants also contend that Plaintiff  
2 received consideration through the expedition of receiving cash for his shares through the  
3 efforts of Beplate in finding a buyer for shares, as these shares were restricted and could not  
4 be easily liquidated at the time, and Plaintiff had gotten himself into a position of financial  
5 need despite his status as a high-earner.

6 Defendants contend that Plaintiff was in fact tendered the 1.6 million shares, and he  
7 swore to that fact in open Court in a Child Support Proceeding.

8 Defendant asserts that Plaintiff approached Beplate for help regarding his financial  
9 situation. Namely, Plaintiff required \$60,000 to satisfy a judgment requiring Plaintiff to pay  
10 for his daughter's tuition. Accordingly, Beplate agreed to find a buyer for Plaintiff's 1.6  
11 million shares. His first attempt was to sell the shares through Enable Financial LLC, but  
12 eventually, Enable was unable to sell the shares. Only thereafter did Beplate engage his step-  
13 father Anderson to purchase the shares from Plaintiff.

14 On or about July 22, 2015, Plaintiff entered into a Stock Purchase Agreement with  
15 Anderson. Defendants contend that Plaintiff sold his 1.6 million shares to the Anderson for  
16 the sum of \$60,000 to address a contempt proceeding for Child Support.

17 Some time thereafter, Anderson died and his 1.6 million shares in UHP were passed  
18 to Beplate.

19 Defendants contend that Plaintiff executed each of the agreements subject to this  
20 matter; the Employment Agreement, the Amendment, and the SPA, of his own free will as  
21 befitting his personal circumstances contemporaneous. Furthermore, Defendants contend that  
22 Plaintiff was not under financial duress, as shown by various sources, including a statement  
23 from the IRS. Defendants assert that Plaintiff, as former Chairman of the Board and Chief  
24 Executive Officer knew or should have known that Anderson, an existing shareholder at the  
25 time the SPA was executed, was the stepfather of Beplate.

26 Defendants contend that the factual timeline shows that Plaintiff, unbeknownst to  
27 Defendants, used his dealings with UHP to both violate SEC rules and commit bankruptcy  
28 fraud; for instance, Plaintiff, while serving as Chairman of the Board and Chief Executive

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1 Officer of UHP, declared personal bankruptcy in May 2013, concealed that fact from UHP  
2 and omitted it from his Sarbanes-Oxley Certification of UHP's Form 10-K filed in April 2014  
3 in violation of SEC rules. In July 2013, two months after declaring personal bankruptcy,  
4 Plaintiff volunteered to forfeit over 2 million shares of stock, 2.75 million options, and  
5 \$285,100 in unpaid cash salary (total value of over \$600,000.00 in assets concealed from  
6 Plaintiff's personal bankruptcy proceeding) in exchange for a promise to receive new shares  
7 in the future. In August 2014, Plaintiff was discharged from bankruptcy, and then, less than  
8 90 days later, entered into the November 2014 Employment Agreement with UHP.  
9 Defendants were not aware of Plaintiff's personal bankruptcy until sometime after the  
10 Employment Agreement was executed, and UHP would not have entered into it had they  
11 known of the bankruptcy.

12 **II. STATE THE FACTS AND CITE THE STATUTES THAT GIVE THIS**  
13 **COURT JURISDICTION OF THE CASE.**

14 This Court has jurisdiction pursuant to 28 U.S.C. §1332(a). The parties are citizens of  
15 different states: at the time the action was filed Plaintiff was a citizen of the State of New  
16 York; Defendant Beplate was a citizen of the State of Nevada; and Defendant UHP is a citizen  
17 of the State of Nevada. The amount in controversy exceeds \$75,000.

18 **III. THE FOLLOWING FACTS ARE ADMITTED BY THE PARTIES AND**  
19 **REQUIRE NO PROOF:**

20 **A. PLAINTIFF'S STATEMENT**

21 1. Plaintiff served as the Chairman of the Board of Directors of UHP beginning  
22 in 2011 and as CEO of UHP during 2013 and 2014.

23 2. On November 10, 2014, Plaintiff and UHP entered into an Employment  
24 Agreement whereby Plaintiff would serve as the CEO and Chief Medical Advisor of UHP.

25 3. The Employment Agreement is and was at all pertinent times a valid and  
26 binding contract.

27 4. Under the Employment Agreement, Plaintiff was entitled to a base salary of  
28 \$5,000 per month.



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1           5.       In addition, the Employment Agreement provides that Plaintiff was entitled to  
2 3,000,000 shares of the common stock of UHP.

3           6.       The Employment Agreement further constituted an acknowledgement and  
4 understanding between the parties that the shares were being issued to Plaintiff “in exchange  
5 for services previously rendered” and were also to be issued in connection with Plaintiff  
6 voluntarily cancelling certain shares and options he owned.

7           7.       Further, the shares were to be issued to Plaintiff and deemed validly issued,  
8 fully paid, and non-assessable.

9           8.       Pursuant to, and as described in the Employment Agreement. Plaintiff had  
10 voluntarily cancelled over 2,000,000 shares.

11          9.       At that time, Plaintiff had already performed the previous services described  
12 in the Employment Agreement and did voluntarily cancel 2,090,000 shares of common stock  
13 and 2,750,000 options.

14          10.      Neither at, around the time of, or subsequent to the execution of and entry into  
15 the Employment Agreement did UHP issue Plaintiff the 3,000,000 shares.

16          11.      In or around June of 2015, the parties signed a document known in this case as  
17 the Letter, ostensibly amending the Employment Agreement, which purported to reduce the  
18 previously fully paid and earned shares from 3,000,000 to 1,600,000.

19          12.      The Letter has been ruled by this Honorable Court to be unenforceable and that  
20 Plaintiff was entitled to the 3,000,000 shares provided for in the Employment Agreement.

21          13.      The Letter referred to a contemplated sale of the 1,600,000 shares provided for  
22 thereunder by way of a Stock Purchase Agreement.

23          14.      On or around July 22, 2015, Plaintiff entered into a Stock Purchase Agreement  
24 (the “SPA”), purportedly, with an individual named Harold D. Anderson (“Anderson”).

25          15.      The Letter recites that the SPA was entered into simultaneous therewith,  
26 though the parties contest the dates on which each were signed.

27          16.      Anderson was the father-in-law of Beplate and was deceased on August 13,  
28 2016.

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1           17.     Anderson’s death certificate lists Beplate as the “informant.” Beplate stated  
2 that his mailing address was 10624 S. Eastern Avenue #A290, Henderson, Nevada 89052,  
3 which was the address of UHP.

4           18.     Beplate never disclosed to Plaintiff that Anderson was his father-in-law.

5           19.     The SPA provides that the purchase price for the 1,600,000 shares was \$60,000  
6 which was required to be paid on or before July 31, 2015.

7           20.     Anderson did not pay the purchase price under the SPA on or before July 31,  
8 2015.

9           21.     The SPA also provided that the sale of shares was to be settled on a delivery  
10 versus payment basis, meaning the payment was to be made before or at the same time as the  
11 delivery of the shares.

12           22.     On June 25, 2015, Beplate caused UHP to issue a certificate purporting to  
13 cancel the 1,600,000 shares which were due to Plaintiff.

14           23.     On that same day, Beplate also caused UHP to request that American Registrar  
15 & Transfer Co., UHP’s transfer agent, transfer the shares to a company called Enable  
16 Financial, LLC, which transfer was completed by American Registrar & Transfer Co.

17           24.     On that same day, Morse & Morse, PLLC, attorneys for UHP, issued a letter  
18 to American Registrar & Transfer Company (the “June 25, 2015 Letter”) directing it to issue  
19 1,600,000 shares of UHP’s common stock to Phillip Forman, care of UHP.

20           25.     The June 25, 2015 Letter directed the transfer agent to overnight the stock  
21 certificate in the name of Enable Financial LLC by overnight courier pursuant to instructions  
22 given by Douglas Beplate under separate cover. This language was typed in bold letters as to  
23 emphasize it.

24           26.     On the same day the Letter was signed, UHP generated a stock certificate in  
25 the amount of 1,600,000 shares of UHP in the name of Plaintiff, which stock certificate was  
26 stamped cancelled on that same date.

27           27.     At that time, the purchase price for the shares had not been paid.

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1 28. Once again, on that same day, Beplate caused UHP to issue transfer  
2 instructions to American Registrar & Transfer Co. requesting that the 1,600,000 shares be  
3 transferred to a company called Enable Financial LLC with the certification to be delivered to  
4 Warwick Hotel, Attn: Doug Beplate, 65 W 54<sup>th</sup> Street, New York, New York 10019.

5 29. On or around July 23, 2015, Beplate caused UHP to request that American  
6 Registrar & Transfer Co. transfer the 1,600,000 from Enable Financial LLC to Harold  
7 Anderson. American Registrar & Transfer Co. made the requested transfer. In this transfer  
8 request, Beplate claimed that Enable Financial LLC was erroneously designated as the owner  
9 of the shares and requested the shares be put in the name of Harold D. Anderson. At this time,  
10 the purchase price under the SPA still had not been paid.

11 30. On February 11, 2019, Plaintiff, through his attorneys, issued a demand letter  
12 asserting, *inter alia*, that he was owed 1,600,000 shares.<sup>5</sup>

13 31. On February 15, 2019, UHP, through its attorney, responded to the demand  
14 letter stating the 1,600,000 shares were sold and that they were seeking further information to  
15 address the demand letter in more detail.

16 32. On February 20, 2019, UHP, through its attorney, provided a further response  
17 to the demand letter. By this letter, UHP asserted that the purchase price under the SPA had  
18 been paid and that the sale had been consummated.

19 33. On February 14, 2019, three days after Plaintiff issued his demand letter and  
20 one day prior to UHP's initial response thereto, Beplate purported to initiate a wire payment  
21 in ostensible satisfaction of the SPA.

22 34. However, the SPA provided that the purchase price was to be paid by July 31,  
23 2015.

24 35. Therefore, Plaintiff rejected the payment.

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<sup>5</sup> This letter was for settlement purposes only.

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1           **B.       DEFENDANTS’ STATEMENT**

2           1.       Plaintiff served as the Chairman of the Board of Directors of UHP beginning  
3 in 2011 and as CEO of UHP during 2013 and 2014.

4           2.       On November 10, 2014, Plaintiff and UHP entered into an Employment  
5 Agreement whereby Plaintiff would serve as the CEO and Chief Medical Advisor of UHP.

6           3.       Prior to entering into the Employment Agreement, Plaintiff cancelled  
7 2,090,000 shares of stock in UHP and 2,750,000 stock options and waived \$285,100 in  
8 accrued but unpaid salary.

9           4.       In or around June of 2015, the parties signed a document known in this case as  
10 the Amendment, amending the Employment Agreement, that reduced the shares to be issued  
11 to Plaintiff from 3,000,000 restricted shares to 1,600,000 unrestricted shares.

12           5.       UHP issued Plaintiff 1,600,000 shares of stock in UHP.

13           6.       The Amendment has been ruled by this Honorable Court to be unenforceable.

14           7.       On or around July 22, 2015, Plaintiff entered into a Stock Purchase Agreement  
15 (the “SPA”) with Anderson.

16           8.       Anderson was the step-father of Beplate and was deceased on August 13, 2016.

17           9.       The SPA provides that the purchase price for the 1,600,000 shares was \$60,000  
18 to be paid on or before July 31, 2015.

19           10.      Alison Forman, the designated recipient of the Purchase Price per the SPA,  
20 accepted payment of such from Beplate on February 14, 2019.

21 **IV.    THE FOLLOWING FACTS, THOUGH NOT ADMITTED, WILL NOT BE**  
22 **CONTESTED AT TRIAL BY EVIDENCE TO THE CONTRARY:**

23           **A.       PLAINTIFF’S STATEMENT<sup>6</sup>**

24           1.       UHP did not issue the 3,000,000 shares to Plaintiff as called for under the  
25 Employment Agreement.

26  
27 \_\_\_\_\_  
28 <sup>6</sup> To the extent any of the facts set forth in Section III are not admitted without evidence, Plaintiff incorporates same proposed facts herein.

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1           2.       Plaintiff performed all of his obligations under the Employment Agreement,  
2 except for those from which he was excused from performing. In the alternative he  
3 substantially and adequately performed his duties so as to state a claim for breach of contract.

4           3.       Plaintiff performed all of his obligations for which the 3,000,000 shares were  
5 earned, except for those from which he was excused from performing.

6           4.       In addition, UHP did not pay Plaintiff his \$5,000 monthly salary for the months  
7 of June of 2015 through October of 2015, for a total amount due and owing of \$15,000.

8           5.       At or around the time of the Letter, Plaintiff was suffering financial hardship  
9 and was under a Court Order to pay \$60,000 towards his daughter's school tuition.

10          6.       Prior to and during the time of the negotiation of the Letter and the SPA,  
11 Beplate knew of Plaintiff's financial hardship.

12          7.       Based on Beplate's knowledge, he refused to issue, or cause UHP to issue,  
13 Plaintiff his 3,000,000 shares under the Employment Agreement and informed Plaintiff that  
14 he could only receive any shares whatsoever if he agreed to take less than he was entitled to.

15          8.       The reason the purchase price under the SPA was to be paid on or before July  
16 31, 2015 was to ensure that Plaintiff received the funds required under his financial hardship  
17 at the time he needed them.

18          9.       The failure to pay the purchase price under the SPA by the date set forth was a  
19 material breach of the SPA and destroyed the purpose for which Plaintiff entered into the  
20 same.

21          10.       Because the purchase price under the SPA was not paid by the date set forth  
22 therein, Plaintiff was required to take an outside loan, thereby defeating his need for the  
23 purchase price under the SPA.

24          11.       Had Plaintiff known Anderson was the father-in-law of Beplate, Plaintiff  
25 would not have entered into the SPA.

26          12.       Further, had Plaintiff known of Beplate's fraudulent scheme to take the shares  
27 for himself and/or with the intent that the purchase price under the SPA would not be paid,  
28 Plaintiff would not have entered into the SPA.

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1           13.     The June 25, 2015 Letter and transfer requests discussed above were issued by  
2 or at the direction of Beplate in his individual capacity and in his capacity as CEO and  
3 chairman of the board of directors of UHP.

4           14.     On the same day the Letter was signed, Beplate caused UHP to generate a stock  
5 certificate cancelling the 1,600,000 shares which were due to Plaintiff under the terms of the,  
6 now ruled unenforceable, Letter.

7           15.     The 1,600,000 shares were never issued to Plaintiff.

8           16.     The 1,600,000 shares, assuming, arguendo, the validity and enforceability of  
9 the Letter, should have been put in the name of Plaintiff. The shares should have been  
10 Plaintiff's and in his name, to be transferred by him to a purchaser under the SPA once the  
11 purchase price was paid. The shares should not have been cancelled and transferred by  
12 Beplate to Anderson, Enable Financial LLC, and/or UHP as they did not belong to any of  
13 them but belonged to Plaintiff, subject to the terms of the SPA, which were not fulfilled by  
14 Anderson.

15           17.     On or around June 25, 2015, Beplate unilaterally caused the 1,600,000 owed  
16 to Plaintiff to be cancelled and transferred to a company called Enable Financial LLC.

17           18.     The failure by Anderson to pay the purchase price constituted a material breach  
18 of the SPA.

19           19.     On numerous occasions, in furtherance of his fraudulent scheme, Beplate  
20 engaged in correspondences with the Formans and Joseph J. Ciancaglini, head of the school  
21 at which Plaintiff's daughter attended, to which tuition was owed, claiming that he was  
22 attempting to sell the shares. Plaintiff contends Beplate's statements that he was trying to sell  
23 the shares was false. On numerous occasions, Beplate advised that payment was forthcoming,  
24 which Plaintiff contends was not accurate.

25           **B.     DEFENDANTS' STATEMENT**

26           1.     That Plaintiff did not sufficiently perform under the Employment Agreement,  
27 the Amendment, and/or the SPA.

28           2.     That Beplate did not commit a fraud on Plaintiff.

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1 3. That UHP did not breach the implied covenant of good faith and fair dealing.

2 4. That Defendants had no obligation and duty to issue to Plaintiff 3,000,000  
3 shares under the Employment Agreement

4 5. That Plaintiff’s bad faith conduct leading up to and at the time of formation of  
5 the Employment Agreement and any amendment thereto, including certain facts relating to  
6 Plaintiff’s personal bankruptcy, bars Plaintiff from receiving equitable relief in the instant  
7 matter under the doctrine of unclean hands.

8 6. That Defendants acted reasonably and in good faith in discharging their  
9 obligations and duties under the Employment Agreement and any amendment thereto.

10 **V. THE FOLLOWING ARE THE ISSUES OF FACT TO BE TRIED AND**  
11 **DETERMINED AT TRIAL:**

12 **A. PLAINTIFF’S STATEMENT<sup>7</sup>**

13 1. This Honorable Court’s Decision and Order on Plaintiff’s motion for summary  
14 judgment left open for determination at trial the issue of whether UHP issued the 3,000,000  
15 shares to Plaintiff as called for under the Employment Agreement and the \$5,000 monthly  
16 salary for the months of June of 2015 through October of 2015, for a total amount due and  
17 owing of \$15,000.

18 2. It remains to be determined whether Defendants improperly preyed upon  
19 Plaintiff after learning of his financial trouble and that he was fearful of being held in contempt  
20 of a Court Order to satisfy a debt for his child’s tuition; Defendants used their positions of  
21 power, knowledge, and influence over Plaintiff to convince him that the only way he could  
22 raise the cash he required was to sign the SPA, doing so with the intent that the purchase price  
23 under the SPA would never be paid.

24 3. It remains to be determined whether Mr. Anderson was a cutout for  
25 Beplate, acting as an ostensible third-party, where they otherwise shared a sufficient

26 \_\_\_\_\_  
27 <sup>7</sup> To the extent any of the facts set forth in Section III are not admitted without evidence, Plaintiff  
28 incorporates same proposed facts herein. To the extent any of the facts set forth in Section III or IV  
are contested at trial by evidence to the contrary, Plaintiff incorporates same proposed facts herein

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1 commonality of interest and/or a close trusting relationship whereupon Beplate could trust  
2 that he could rely on Anderson to either act as a cutout faithfully to Beplate and/or otherwise  
3 assist him in gaining the shares for himself which were the subject to the SPA.

4 4. It remains to be determined whether Beplate (i) ever discussed the SPA with  
5 Anderson and whether Anderson was actually aware of the same; (ii) whether Beplate ever  
6 advised Anderson of his obligation to pay the purchase price under the SPA on or before the  
7 required date; (iii) whether Beplate intended that the purchase price under the SPA never be  
8 paid so as to deprive Plaintiff of the benefits thereof; whether Beplate used the SPA and/or  
9 the Letter as means by which to deprive Plaintiff of his shares of the common stock of UHP  
10 and/or to take them same for himself and/or his family.

11 5. It remains to be determined whether the actions described above, whereby  
12 Beplate caused UHP to have generated a cancelled stock certificate, cancelling Plaintiff's  
13 shares prior to the payment under the SPA, and having same transferred to Enable Financial,  
14 LLC, and having such stock certificate in favor of Enable Financial, LLC overnighted to him  
15 at a hotel in New York, as part of a scheme to deprive Plaintiff's ability to repudiate the SPA  
16 and keep his 1,600,000 UHP shares upon the non-payment, untimely payment, or other non-  
17 performance under the SPA.

18 6. It remains to be determined, in the alternative, whether Beplate intended to  
19 make a delinquent payment under the SPA only in the event that the price of UHP's stock and  
20 its trading volume justified payment under the SPA economically.

21 7. In remains to be determined whether Beplate (i) was aware of the falsity and/or  
22 misleading effect of his omission that Anderson was his father-in-law; (ii) whether he also  
23 harbored an intent not to advise Anderson of the SPA and its terms, or to otherwise prevent  
24 payment under the SPA and take the shares for himself; (iii) whether he was aware of the  
25 falsity of his conduct and the misleading effect of his statements to Plaintiff in negotiating the  
26 SPA; (iv) whether these actions were intentionally taken with express and implied malice and  
27 with the clear intent to harm Plaintiff, or at least with a conscious disregard for Plaintiff's  
28 rights; and (v) whether Beplate knew the harm that would be caused to Plaintiff by causing



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1 the shares to be transferred out of Plaintiff's name prior to the purchase price under the SPA  
2 being paid and intended to do so for his own personal benefit.

3 8. It remains to be determined (i) whether these were intentional acts that were  
4 intended and designed to disrupt the contractual relationship both between Plaintiff and UHP  
5 and the ostensible contractual relationship between Plaintiff and Anderson; (ii) whether  
6 Beplate's actions made it impossible for UHP to comply with its contractual duty to issue  
7 Plaintiff the shares he was owed by putting the ownership of the shares in limbo prior to the  
8 purchase price of the SPA being paid; and (iii) whether his conduct also made it impossible  
9 for Plaintiff to effectively repudiate the SPA once it was materially breached by Anderson's  
10 non-payment.

11 9. It remains to be determined whether as a result of such conduct, neither the  
12 Employment Agreement nor the SPA were fully performed and both were disrupted and  
13 whether such disruption of these contracts was unjustified and unrelated to UHP's business  
14 with Plaintiff.

15 10. On or around June 25, 2015, Beplate unilaterally caused the 1,600,000 owed  
16 to Plaintiff to be cancelled and transferred to a company called Enable Financial LLC, doing  
17 so in order to transfer the shares to, ostensibly, Harold D. Anderson, or upon information and  
18 belief, to himself, without the purchase price called for under the SPA having been paid. To  
19 be further determined is whether Beplate: (a) ever intended to transfer the 1,600,000 shares to  
20 Anderson and whether he intended to keep the same for himself; (b) whether Beplate ever  
21 discussed the SPA with Anderson and whether Anderson signed the SPA for himself; (c)  
22 whether Beplate intended to keep the shares for himself without either informing Anderson  
23 that the purchase price was required to be paid or otherwise causing the purchase price to be  
24 paid (whether, as a non-party to the SPA, rightfully or wrongfully).

25 11. It remains to be determined whether after issuing instructions to American  
26 Registrar & Transfer Co. for the 1,600,000 shares to be delivered to the Warwick Hotel,  
27 Beplate took possession of the stock certificate which was at in the name of Enable Financial  
28 LLC

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1 12. It remains to be resolved as to which date the Letter and SPA were actually  
2 signed.

3 13. It remains to be resolved whether Plaintiff ever received a fully executed  
4 version of the SPA and in fact whether the SPA was ever fully executed.

5 14. It remains to be determined whether Beplate's correspondences with Joseph J.  
6 Ciancaglini were designed to prolong the circumstances of Plaintiff's financial predicament,  
7 thereby putting Plaintiff into the position of having to find alternative means by which to pay  
8 his daughter's tuition, and once having done so, would no longer be reliant on the SPA. By  
9 this theory, it remains to be determined if Beplate intended on the foregoing to cause Plaintiff  
10 to no longer rely on the purchase price under the SPA to be paid, thereby causing Plaintiff to  
11 have no reason to insist upon the payment of the purchase price, as same was no longer needed  
12 to pay the tuition, allowing Beplate and/or Anderson to keep the shares which were previously  
13 transferred away from Plaintiff by Beplate without payment therefore.

14 **B. DEFENDANTS' STATEMENT**

15 7. This Honorable Court's Decision and Order on Plaintiff's motion for summary  
16 judgment left open for determination at trial the issue of whether UHP issued the 3,000,000  
17 shares to Plaintiff as called for under the Employment Agreement and the \$5,000 monthly  
18 salary for the months of June of 2015 through October of 2015, for a total amount due and  
19 owing of \$15,000.

20 8. Facts remain as to whether each party sufficiently performed under the  
21 Employment Agreement, the Amendment, and/or the SPA.

22 9. Facts remain as to whether Beplate's alleged conduct, as determined at trial,  
23 constitutes a fraud on Plaintiff.

24 10. Facts remain as to whether UHP's alleged conduct, as determined at trial,  
25 constituted a breach of the implied covenant of good faith and fair dealing.

26 11. Facts remain as to whether Defendants had an obligation and duty to issue to  
27 Plaintiff 3,000,000 shares under the Employment Agreement

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1 12. Facts remain as to whether Plaintiff’s alleged bad faith conduct leading up to  
2 and at the time of formation of the Employment Agreement and any amendment thereto,  
3 including certain facts relating to Plaintiff’s personal bankruptcy, bars Plaintiff from receiving  
4 equitable relief in the instant matter under the doctrine of unclean hands.

5 13. Facts remain as to whether Plaintiff’s failure to expeditiously pursue his claims  
6 bar his ability to pursue damages under the doctrine of laches.

7 14. Facts remain as to whether Defendants acted reasonably and in good faith in  
8 discharging their obligations and duties under the Employment Agreement and any  
9 amendment thereto

10 **VI. THE FOLLOWING ARE THE ISSUES OF LAW TO BE TRIED AND**  
11 **DETERMINED AT TRIAL:**

12 **A. PLAINTIFF’S STATEMENT**

13 1. Whether Beplate’s conduct, in, *inter alia*, inducing Plaintiff, with knowledge  
14 of his financial difficulties, to enter the SPA without advising him of Beplate’s relationship to  
15 Anderson, and without any intention to either inform Anderson of the terms of the SPA such  
16 that the SPA could not be performed or with an intention that the terms of the SPA otherwise  
17 not be performed, unilaterally cancelling Plaintiff’s 1,600,000 shares, having them transferred  
18 from Plaintiff to Enable Financial LLC and then to Anderson (and upon information and  
19 belief, thereafter, to himself) without the purchase price under the SPA being paid and without  
20 the assent of Plaintiff, constitutes a fraud on Plaintiff entitling him to damages, including but  
21 not limited to punitive damages.

22 2. Whether Beplate’s conduct in unilaterally cancelling Plaintiff’s 1,600,000  
23 shares, having them transferred from Plaintiff to Enable Financial LLC and then to Anderson  
24 (and upon information and belief, thereafter, to himself) constitutes the conversion of  
25 Plaintiff’s shares, entitling Plaintiff to damages from Beplate.

26 3. Whether Beplate’s conduct in unilaterally cancelling Plaintiff’s 1,600,000  
27 shares, having them transferred from Plaintiff to Enable Financial LLC and then to Anderson  
28

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1 (and upon information and belief, thereafter, to himself) constitutes a tortious interference  
2 with the SPA, entitling Plaintiff to damages from Beplate.

3 4. Whether the foregoing conduct was committed on behalf of UHP so as to  
4 constitute a breach of the implied covenant of good faith and fair dealing.

5 5. Whether the February 14, 2019 payment of the SPA purchase price by Beplate,  
6 which was accepted by Allison Forman, constituted a material breach of the SPA.

7 6. Whether, notwithstanding the failure to pay the purchase price by the date set  
8 forth in the SPA, and notwithstanding the Judicially ruled unenforceability of the Letter, the  
9 SPA inured to the benefit of the Estate of Harold D. Anderson after his passing.

10 7. Whether the alleged failure to issue Plaintiff the 3,000,000 shares under the  
11 Employment Agreement and the alleged failure to pay the \$15,000 in salary constituted a  
12 breach of the Employment Agreement, entitling Plaintiff to damages.

13 **B. DEFENDANTS' STATEMENT**

14 1. Whether each party sufficiently performed under the Employment Agreement,  
15 the Amendment, and/or the SPA.

16 2. Whether Beplate's alleged conduct, as determined at trial, constitutes a fraud  
17 on Plaintiff.

18 3. Whether UHP's alleged conduct, as determined at trial, constituted a breach of  
19 the implied covenant of good faith and fair dealing.

20 4. Whether Plaintiff's alleged bad faith conduct leading up to and at the time of  
21 formation of the Employment Agreement and any amendment thereto bars Plaintiff from  
22 receiving equitable relief in the instant matter under the doctrine of unclean hands.

23 5. Whether Plaintiff's failure to expeditiously pursue his claims bar his ability to  
24 pursue damages under the doctrine of laches.

25 Whether Defendants acted reasonably and in good faith in discharging their  
26 obligations and duties under the Employment Agreement and any amendment thereto.

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**VII. THE FOLLOWING EXHIBITS ARE STIPULATED INTO EVIDENCE IN THIS CASE AND MAY BE SO MARKED BY THE CLERK:**

**A. THE FOLLOWING EXHIBITS ARE STIPULATED INTO EVIDENCE IN THIS CASE AND MAY BE SO MARKED BY THE CLERK:**

**B. AS TO THE FOLLOWING EXHIBITS, THE PARTY AGAINST WHOM THE SAME WILL BE OFFERED OBJECTS TO THEIR ADMISSION ON THE GROUNDS STATED**

**1. Plaintiff's exhibits:**

- (1) Ex. A – Employment Agreement
- (2) Ex B – November 10, 2014 Board Minutes, UHP
- (3) Ex. C – Attempted Reduction.
- (4) Ex. D – Stock Purchase Agreement
- (5) Ex. E – Death Certificate, Harold D. Anderson
- (6) Ex. F – June 25, 2015 American Registrar & Transfer Co. Log and Transfer Documents
- (7) Ex. G – June 4, 2015 Email from Christopher Day to Beplate regarding Phil Phorman
- (8) Ex H – Cancelled Stock Certificate, 1,600,000 Shares
- (9) Ex. I – Stock Transfer Letter, June 25, 2015
- (10) Ex. J – Stock Transfer Letter, June 25, 2015, to American Registrar & Transfer Co. – Enable Financial LLC
- (11) Ex. K – Stock Transfer Instructions, June 25, 2015
- (12) Ex. L – June 25, 2105 Transfer, American Registrar & Transfer Co.
- (13) Ex. M – June 25, 2015 email from Morse & Morse to American Registrar
- (14) Ex. N – Irrévocable Stock Power
- (15) Ex. O – Sanction Order, Forman Divorce Proceeding
- (16) Ex. P – Tuition Payment
- (17) Ex. Q – 2014 1099 Form, UHP to Forman
- (18) Ex. R – Stock Transfer Instructions, June 25, 2015
- (19) Ex. S – “Issuance” of 1,600,000 Shares

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- 1 (20) Ex. T – Share Delivery Instructions
- 2 (21) Ex. U – Ostensible Wire Payment, February 11, 2019
- 3 (22) Ex. V – Stock Transfer to Harold Anderson, July 23, 2015 –
- 4 American Registrar & Transfer Co.
- 5 (23) Ex. W – Letter to American Registrar & Transfer Co., July 23,
- 6 2015
- 7 (24) Ex. X – June 25, 2015 Stock Transfer – American Reigstrar &
- 8 Transfer Co. – Forman to Enable Financial, Deliver to Artco
- 9 Fedex Bld.
- 10 (25) Ex. Y – Email between Douglas Beplate and Joseph
- 11 Ciancaglini, September 3, 2015
- 12 (26) Ex. Z – Email between Douglas Beplate and Joseph
- 13 Ciancaglini, August 27, 2015.
- 14 (27) Ex. AA – Email between Douglas Beplate and Phillip Forman,
- 15 July 22, 2105
- 16 (28) Ex. BB – Email chain between Joseph Ciancaglini, Phillip
- 17 Forman, Douglas Beplate, et al., September 3, 2015 through
- 18 August 27, 2015
- 19 (29) Ex. CC – Email chain between Joseph Ciancaglini, Phillip
- 20 Forman, Douglas Beplate, et al., September 4, 2015 through
- 21 August 27, 2015
- 22 (30) Ex. DD – Email between John Capotorto and Morse and
- 23 Morse, April 6, 2016
- 24 (31) Ex. EE – American Registrar & Transfer Co. Share
- 25 Cancellation
- 26 (32) Ex. FF – Email between Phillip Forman and Morse and
- 27 Morse, April 30, 2018 through May 1, 2018, with prior emails.
- 28 (33) Ex. GG – UHP November 13, 2014 Form 8-K
- (34) Ex. HH – UHP December 31, 2013 Form 10-K
- (35) Ex. II – UHP December 31, 2014 Form 10-K
- (36) Ex. JJ – Demand Letter, February 11, 2019
- (37) Ex. KK – Response to Demand Letter, February 15, 2019
- (38) Ex. LL – Response to Demand Letter, February 20, 2019
- (39) Ex. MM – Rejection of Purported SPA Payment
- (40) Ex. NN – UHP Form 8-K, 11/13/14 and attachments

- 1 (41) Ex. OO – UHP Form 8-K, 10/21/14 and attachments
- 2 (42) Ex. PP – UHP Form 8-K, 8/19/14 and attachments
- 3 (43) Ex. QQ – UHP Form 10-K for Fiscal Year Ended December
- 4 31, 2013, and attachments
- 5 (44) Ex. RR – UHP Form 10-K/A 6/26/15
- 6 (45) Ex. SS – UHP Form 10-Q 8/14/13

7 Plaintiff reserve the right to supplement the list of exhibits as additional information becomes  
 8 known, to introduce any and all exhibits provided by Defendants, to introduce any publicly  
 9 available documents, and to introduce any documents for purposes of rebuttal and  
 10 impeachment.

11  
 12 **2. Defendant’s exhibits:**

- 13 (46) Ex. A – Check from United EcoEnergy Corp., Dated July 15,
- 14 2015, Payable to Phil Forman
- 15 (47) Ex. B – Email from Steven Morse to Christopher Day dated
- 16 June 25, 2015
- 17 (48) Ex. C – Letter from the American Registrar & Transfer Co. to
- 18 Morse & Morse, PLLC dated June 25, 2015
- 19 (49) Ex. D – Stock Certificate No. 2099 in the name of Phillip D.
- 20 Forman, in the sum of One Million Six Hundred Thousand
- 21 Shares
- 22 (50) Ex. E – Letter from Morse & Morse PLLC. To Christopher M.
- 23 Day, dated June 25, 2015
- 24 (51) Ex. F – Transcript Uccello v. Forman, Supr. Ct. NY County,
- 25 Part 31, dated September 8, 2015
- 26 (52) Ex. G – Affidavit of Steven Morse
- 27 (53) Ex. H – Affidavit of Louis Schiliro
- 28 (54) Ex. I – Employment Agreement, dated 9/14/2014
- (55) Ex. J – Amendment to the Employment Agreement, dated
- 6/25/2015
- (56) Ex. K – Letter of acknowledgement
- (57) Ex. L – Stock cancellation letter

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- 1 (58) Ex. M – Stock issuance letter
  - 2 (59) Ex. N – Stock Purchase Agreement (SPA)
  - 3 (60) Ex. O – Wire transfer request
  - 4 (61) Ex. P – Sanction order
  - 5 (62) Ex. Q – Resignation of the Plaintiff, dated June 23, 2015
  - 6 (63) Ex. R – Check to Covenant of Sacred Heart
  - 7 (64) Ex. S – Restraining Order
  - 8 (65) Ex. T – Arrears Statement
  - 9 (66) Ex. U – Child Support Obligation Summary
  - 10 (67) Ex. V – Phillip Forman 2014 Tax Year Summary
  - 11 (68) Ex. W – Phillip Forman Bankruptcy Documentation
  - 12 (69) Ex. X – Phillip Forman IRS Demand for Payment
  - 13 (70) Ex. Y – Phillip Forman IRS Balance Due
  - 14 (71) Ex. Z – Alliqua Biomedical Inc. 2014 Tax Documents
  - 15 (72) Ex. AA – Aquamed Technologies Inc. 2014 Tax Documents
  - 16 (73) Ex. BB – Bambr-Utah 2014 Tax Documents
  - 17 (74) Ex. CC – Bambr PA 2014 Tax Documents
  - 18 (75) Ex. DD – World Health Industries 2014 Tax Documents
  - 19 (76) Ex. EE – BPDF, LLC General Ledger
  - 20 (77) Ex. FF – Certification of Arrears
  - 21 (78) Ex. GG – Notice of Lien
  - 22 (79) Ex. HH – Notice of Levy
  - 23 (80) Ex. II – Notice of Delinquency
  - 24 (81) Ex. JJ – Notice of Federal Tax Lien
- 25 Defendants reserve the right to supplement the list of exhibits as additional information  
26 becomes known, to introduce any and all exhibits provided by Plaintiff, and to introduce any  
27 documents for purposes of rebuttal and impeachment.  
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**C. AS TO THE FOLLOWING ADDITIONAL EXHIBITS, THE PARTIES HAVE REACHED THE STIPULATIONS STATED:**

**1. Set forth stipulations on plaintiff’s exhibits:**

(1) None at this time

**2. Set forth stipulations on defendants’ exhibits:**

(1) None at this time

**D. AS TO THE FOLLOWING EXHIBITS, THE PARTY AGAINST WHOM THE SAME WILL BE OFFERED OBJECTS TO THEIR ADMISSION ON THE GROUNDS STATED:**

**1. Set forth the plaintiff’s exhibits and objections to them.**

(1) Exhibit Y – hearsay

(2) Exhibit Z – hearsay

(3) Exhibit BB – hearsay

(4) Exhibit CC – hearsay

(5) Exhibit DD – hearsay

(6) Exhibit FF – hearsay

Defendants reserve the right to supplement the list of objections to Plaintiff’s exhibits as additional information becomes known.

**2. Set forth the defendant’s exhibits and objections to them.**

(1) Exhibit A – Irrelevant and unduly prejudicial.

(2) Exhibit F – Irrelevant, hearsay, and unduly prejudicial.

(3) Exhibit G – Irrelevant, hearsay, and unduly prejudicial.

(4) Exhibit I – Irrelevant, hearsay, and unduly prejudicial

(5) Exhibit K – Irrelevant and unduly prejudicial

(6) Exhibit O – Irrelevant and unduly prejudicial.

(7) Exhibit S – Irrelevant and unduly prejudicial, and to the extent not produced in discovery

(8) Exhibit T – Irrelevant and unduly

(9) Exhibit U – Not produced in discovery

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- (10) Exhibit W – Irrelevant and unduly prejudicial, objection based on whether documentation was produced in discovery
- (11) Exhibit X – Irrelevant and unduly prejudicial, and to the extent not produced in discovery
- (12) Exhibit Y – Irrelevant and unduly prejudicial, and to the extent not produced in discovery
- (13) Exhibit Z – Irrelevant and unduly prejudicial
- (14) Exhibit AA – Irrelevant and unduly prejudicial
- (15) Exhibit BB – Irrelevant and unduly prejudicial
- (16) Exhibit CC – Irrelevant and unduly prejudicial
- (17) Exhibit DD – Irrelevant and unduly prejudicial
- (18) Exhibit FF – Irrelevant and unduly prejudicial
- (19) Exhibit GG – Irrelevant and unduly prejudicial
- (20) Exhibit HH – Irrelevant and unduly prejudicial
- (21) Exhibit II – Irrelevant and unduly prejudicial
- (22) Exhibit JJ – Irrelevant and unduly prejudicial

Plaintiff reserves the right to supplement the list of objections to Defendants’ exhibits as additional information becomes known, including but not limited to the purpose of the use of such exhibits.

**E. ELECTRONIC EVIDENCE.**

The parties do not intend to present electronic evidence for purposes of jury deliberations.

**F. DEPOSITIONS.**

**1. Plaintiff will offer the following depositions:**

Deponent Name	Portions to be Offered	Party against whom offered
Douglas Beplate	Entirety	UHP and Douglas Beplate

**2. Defendant will offer the following depositions:**

Deponent Name	Portions to be Offered	Party against whom offered
Phillip Forman		Phillip Forman

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**G. OBJECTIONS TO DEPOSITIONS.**

**1. Defendant objects to plaintiff’s depositions as follows:**

Defendants object to 8:20 – 18:17 for lack of relevance and prejudicial impact.

Defendants object to 24:8 – 25 for lack of relevance and prejudicial impact.

Defendants object to 26:12 – 27:24 for lack of relevance and prejudicial impact.

Defendants object to 28:9 – 23 for lack of relevance and prejudicial impact.

Defendants object to 47:18 – 53:23 for lack of relevance and prejudicial impact.

Defendants object to 71:11 – 75:16 for lack of relevance and prejudicial impact.

Defendants object to 164:14 – 169:12 for lack of relevance and prejudicial impact.

Defendant reserves the right to supplement the list of objections as additional information becomes known.

**2. Plaintiff objects to defendant’s depositions as follows:**

Plaintiff objects to the portions of his deposition as taken by Defendants as follows:

Plaintiff objects to 23:13 – 26:22 for lack of relevancy and prejudicial impact.

Plaintiff objects to 34:15-17 insofar as the witness was asked to provide a legal conclusion and/or opine on a technical legal term/term of art: amended/amendment.

Plaintiff objects to 34:23-24 as the document is improperly characterized by Defense counsel as an “agreement” which is a legal term of art.

Plaintiff objects to 35:20 – 37:2 insofar as the witness was asked to provide a legal conclusion and/or opine on a technical legal term/term of art: amended/amendment.

Furthermore, the subject matter of the inquiry has already been resolved on summary judgment and therefore there is no relevancy to the inquiry, but only serves a purpose prejudicial to Plaintiff. Further, the inquiry was amended to ask whether the document “appears” to amend the prior contract, the appearance to the lay witness of the same is irrelevant and prejudicial.

Plaintiff objects to 37:4 –38:7. The witness is asked for a legal conclusion. Further, the entire inquiry is irrelevant as the exhibit, the Letter, has been ruled unenforceable by this

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1 Honorable Court on Plaintiff’s motion for summary judgment, and therefore the inquiry is  
2 irrelevant and prejudicial.

3 Plaintiff objects to the question posed at 39:19-21 as it mischaracterizes the testimony.  
4 The question was posed in a manner as to exclude the prior testimony, that the document was  
5 signed because Defendants refused to issue Plaintiff’s 3,000,000 shares and that he needed  
6 the shares to fulfill a financial obligation. The question mischaracterized the testimony and  
7 omitted reference to the failure to issue the shares.

8 Plaintiff objects to the question posed at 41:3-7 24 as the document is improperly  
9 characterized by Defense counsel as an “agreement” which is a legal term of art. Further the  
10 question was previously asked and answered, the question mischaracterized prior testimony,  
11 and the purpose of the line of questioning was improper, irrelevant, and prejudicial,  
12 particularly given this Honorable Court’s ruling on the motion for summary judgment  
13 declaring the pertinent document unenforceable.

14 Plaintiff objects to 41:15 –43:15 as the line of questioning goes to whether the Letter  
15 was unenforceable, which issue has already been determined by this Honorable Court, and  
16 which is now irrelevant and purely prejudicial.

17 Plaintiff objects to 57:8 – 60:9 as the line of questioning enquires into arrears and  
18 financial obligations of Plaintiff which have nothing to do with the claims or defenses, and  
19 have nothing to do with the financial obligation of Plaintiff at issue in this case. The inquiry  
20 is irrelevant, prejudicial, and meant to harass and improperly impugn Plaintiff.

21 Plaintiff objects to 62:8-10 as it mischaracterizes the testimony of Plaintiff. Plaintiff  
22 did not testify that he received the subject check from Mr. Beplate.

23 Plaintiff objects to 74:2 – 76:6 as Plaintiff’s prior bankruptcy is irrelevant and offered  
24 to harass and/or embarrass Plaintiff. The matter is irrelevant and prejudicial in that it predates  
25 the financial difficulty at issue in this lawsuit by approximately two years.

26 Plaintiff reserve the right to supplement the list of objections to Defendant’s taking of  
27 his deposition as additional information becomes known.

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**VIII. THE FOLLOWING WITNESSES MAY BE CALLED BY THE PARTIES AT TRIAL:**

**A. PLAINTIFF’S WITNESSES:**

- 1. Dr. Phillip Forman – c/o Carmel, Milazzo & Feil, LLP
- 2. Douglas Beplate
- 3. A Representative of Enable Financial LLC
- 4. A Representative of the Estate of Harold D. Anderson

Plaintiff reserves the right to supplement the list of witnesses as additional information becomes known and to call any and all witnesses provided by Defendants.

**B. DEFENDANT’S WITNESSES:**

- 1. Douglas Beplate
- 2. A representative of United Health Products, Inc.
- 3. Dr. Phillip Forman
- 4. Allison Uccello
- 5. Gavin Grusd
- 6. Louis Schirilo
- 7. Steven Morse
- 8. Chad Barrett
- 9. Joel Tracy

Defendants reserve the right to supplement the list of witnesses as additional information becomes known and to call any and all witnesses provided by Plaintiff.

**IX. MOTIONS IN LIMINE**

**A. PLAINTIFF**

No motions in limine have been filed yet. Pursuant to Local Rule 16-3(a), Plaintiff will be filing motions in limine on or before a date 30 days before trial, and following a meet and confer with counsel for Defendants, concerning items including but not limited to: (1) the introduction of evidence, witnesses, testimony, or arguments regarding any contention that Plaintiff failed to perform or did not sufficiently perform his duties as Chief Medical Officer

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1 or any other role under the Employment Agreement on the ground that such defense was not  
 2 raised as an affirmative defense or in opposition to summary judgment, and a determination  
 3 on Plaintiff’s motion for summary judgment already precludes the issue; (2) the introduction  
 4 of evidence, witnesses, testimony, or arguments regarding any contention that the Letter is  
 5 enforceable on the grounds that a determination on Plaintiff’s motion for summary judgment  
 6 already precludes the issue; (3) the introduction of evidence, witnesses, testimony, or  
 7 arguments regarding any contention that Plaintiff used his dealings with UHP to violate SEC  
 8 rules or commit bankruptcy fraud, or committed any other acts or conduct in bad faith on the  
 9 ground that such defense was not raised as an affirmative defense or in opposition to summary  
 10 judgment, and a determination on Plaintiff’s motion for summary judgment already precludes  
 11 the issue, and that same are irrelevant; (4) the introduction of evidence, witnesses, testimony,  
 12 or arguments which were not raised in an affirmative defense and were not raised in opposition  
 13 to Plaintiff’s motion for summary judgment, including but not limited to the defense of laches  
 14 on the ground that such defense was not raised as an affirmative defense or in opposition to  
 15 summary judgment, and a determination on Plaintiff’s motion for summary judgment already  
 16 precludes the issues; and (5) the offering of any witness who was not associated with UHP  
 17 during the pertinent time period.

**B. DEFENDANTS**

**X. THE ATTORNEYS OR PARTIES HAVE MET AND JOINTLY OFFER THESE THREE TRIAL DATES:**

1. May 8, 2023
2. May 15, 2023
3. June 5, 2023

It is expressly understood by the undersigned that the court will set the trial of this matter on one of the agreed dates if possible; if not, the trial will be set at the convenience of the court’s calendar.

**XI. TIME FOR TRIAL.**

It is estimated that the trial will take a total of five days.

1 APPROVED AS TO FORM AND CONTENT:

2 MARQUIS AURBACH

COFER & GELLER, LLC

3

4 By: /s/ Scott A. Marquis, Esq.

By: /s/ Frank H. Cofer, Esq.

4

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By: /s/ Adam R. Knecht, Esq.

8

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Attorneys for Defendants United Health  
Products, Inc. and Douglas K. Beplate

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12 **XII. ACTION BY THE COURT.**

13 This case is set for court/jury trial on the ~~fixed~~/stacked calendar on  
14 June 5, 2023 at the hour of 9:00 a.m. Calendar call will be held on May 25, 2023 at 9:30 a.m.

15 This pretrial order has been approved by the parties to this action as evidenced by their  
16 signatures or the signatures of their attorneys hereon, and the order is hereby entered and will  
17 govern the trial of this case. This order may not be amended except by court order and based  
18 upon the parties' agreement or to prevent manifest injustice.

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CRISTINA D. SILVA  
UNITED STATES DISTRICT JUDGE

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DATED: 1/24/2023

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