In April 2011, Plaintiff witnessed Haycock, with Watson present, "pin" another employee, Dan Henson, into a corner and verbally assault and threaten him. (Dkt. no. 1-2 at ¶ 7.) Plaintiff supported Henson in his report of the incident through official channels. (*Id.* at ¶ 8.) Plaintiff and Henson were never interviewed regarding the incident, and no disciplinary action ever took place. (*Id.*)

Subsequently, due to Plaintiff's aid to Henson in his complaint regarding the assault by Haycock, all Defendants agreed that Plaintiff would be non-promotable as a sanction. (*Id.* at ¶ 9.) Haycock admitted to engaging in derogatory comments with Watson and Palmer about Plaintiff with respect to his ability to be promoted to Lieutenant. (*Id.*) One or more witnesses observed Defendants participating in such conversations, and Watson at one point angrily told Plaintiff to his face, "you will never be promoted." (*Id.* at ¶ 12.) This comment occurred one week before Plaintiff interviewed for a Lieutenant position. (*Id.* at ¶ 13.)

Plaintiff was among the top five qualifiers for a Lieutenant position, yet he was not selected for the promotion. (*Id.*) Two positions for Lieutenant were open, but only one individual who ranked below Plaintiff and who had been Sergeant for a shorter amount of time was selected for the promotion. (*Id.* at ¶ 14.) A later set of interviews for which Plaintiff was again in the top five qualifiers was cancelled by Palmer, because he wanted to be a part of the interview process but was too busy to attend. (*Id.*) Plaintiff believes that participation of wardens in the interview process is rare. (*Id.*) Candidates for the position were required to re-qualify, and Palmer sabotaged Plaintiff's ability to qualify by taking over the scoring process and downgrading Plaintiff. (*Id.*)

Plaintiff made reports with the EEO Officer regarding the incidences. (*Id.* at ¶ 10.) The information was forwarded to the Inspector General's office. (*Id.*) However, Plaintiff believes that any action or investigation regarding his complaint was quashed by the administration. (*Id.*)

Plaintiff filed this action alleging violations of 42 U.S.C. § 1983 and a state law claim for tortious discharge.

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Defendants filed a motion to dismiss Plaintiff's claims. (Dkt. no. 8.) The Court granted the motion in part and dismissed Plaintiff's claim for tortious discharge. (Dkt. no. 19.)

Defendants now seek summary judgment on the remaining claim under 42 U.S.C. § 1983 for violation of Plaintiff's First Amendment rights. Plaintiff filed an opposition (dkt. no. 59), and Defendants filed a reply (dkt. no. 60).

III. LEGAL STANDARD

The purpose of summary judgment is to avoid unnecessary trials when there is no dispute as to the facts before the court. Nw. Motorcycle Ass'n v. U.S. Dep't of Agric., 18 F.3d 1468, 1471 (9th Cir. 1994). Summary judgment is appropriate pursuant to Fed. R. Civ. P. 56 when the pleadings, the discovery and disclosure materials on file, and any affidavits "show there is no genuine issue as to any material fact and that the movant is entitled to judgment as a matter of law." Celotex Corp. v. Catrett, 477 U.S. 317, 330 (1986). An issue is "genuine" if there is a sufficient evidentiary basis on which a reasonable fact-finder could find for the nonmoving party and a dispute is "material" if it could affect the outcome of the suit under the governing law. Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 248-49 (1986). Where reasonable minds could differ on the material facts at issue, however, summary judgment is not appropriate. Warren v. City of Carlsbad, 58 F.3d 439, 441 (9th Cir. 1995). "The amount of evidence necessary to raise a genuine issue of material fact is enough 'to require a jury or judge to resolve the parties' differing versions of the truth at trial." Aydin Corp. v. Loral Corp., 718 F.2d 897, 902 (9th Cir. 1983) (quoting First Nat'l Bank v. Cities Service Co., 391 U.S. 253, 288-89 (1968)). In evaluating a summary judgment motion, a court views all facts and draws all inferences in the light most favorable to the nonmoving party. Kaiser Cement Corp. v. Fishbach & Moore, Inc., 793 F.2d 1100, 1103 (9th Cir. 1986).

The moving party bears the burden of showing that there are no genuine issues of material fact. *Zoslaw v. MCA Distrib. Corp.*, 693 F.2d 870, 883 (9th Cir. 1982). "In order to carry its burden of production, the moving party must either produce evidence

negating an essential element of the nonmoving party's claim or defense or show that the nonmoving party does not have enough evidence of an essential element to carry its ultimate burden of persuasion at trial." *Nissan Fire & Marine Ins. Co. v. Fritz Cos.*, 210 F.3d 1099, 1102 (9th Cir. 2000). Once the moving party satisfies Rule 56's requirements, the burden shifts to the party resisting the motion to "set forth specific facts showing that there is a genuine issue for trial." *Anderson*, 477 U.S. at 256. The nonmoving party "may not rely on denials in the pleadings but must produce specific evidence, through affidavits or admissible discovery material, to show that the dispute exists," *Bhan v. NME Hosps., Inc.*, 929 F.2d 1404, 1409 (9th Cir. 1991), and "must do more than simply show that there is some metaphysical doubt as to the material facts." *Orr v. Bank of Am.*, 285 F.3d 764, 783 (9th Cir. 2002) (internal citations omitted). "The mere existence of a scintilla of evidence in support of the plaintiff's position will be insufficient." *Anderson*, 477 U.S. at 252.

IV. DISCUSSION

42 U.S.C. § 1983 provides a mechanism for the private enforcement of substantive rights conferred by the Constitution and federal statutes. *Graham v. Connor*, 490 U.S. 386, 393-94, 109 S.Ct. 1865 (1989). Section 1983 "is not itself a source of substantive rights, but merely provides a method for vindicating federal rights elsewhere conferred." *Albright v. Oliver*, 510 U.S. 266, 271 (1994) (internal quotation marks and citation omitted). To state a claim under § 1983, a plaintiff "must allege the violation of a right secured by the Constitution and the laws of the United States, and must show that the alleged deprivation was committed by a person acting under color of law." *West v. Atkins*, 487 U.S. 42, 48-49 (1988). Here, Plaintiff relies on substantive rights conferred by the First Amendment.

A First Amendment retaliation claim against a government employer involves a sequential five-step series of questions: "(1) whether the plaintiff spoke on a matter of public concern; (2) whether the plaintiff spoke as a private citizen or public employee; (3) whether the plaintiff's protected speech was a substantial or motivating factor in the

adverse employment action; (4) whether the state had an adequate justification for treating the employee differently from other members of the general public; and (5) whether the state would have taken the adverse employment action even absent the protected speech." *Desrochers v. City of San Bernardino*, 572 F.3d 703, 708-09 (9th Cir. 2009) (quoting *Eng v. Cooley*, 552 F.3d 1062, 1070 (9th Cir. 2009)).

Plaintiff must satisfy the first three steps of the five-step test. *Ellins v. Cnty. Of Sierra Madre*, 710 F.3d 1049, 1056 (9th Cir. 2013) (citing *Eng*, 552 F.3d at 1070). If the plaintiff succeeds, then the burden shifts to the government to establish the fourth and fifth steps. *Ellins*, 710 F.3d at 1056 (citing *Robinson v. York*, 566 F.3d 817, 822 (9th Cir. 2009)). Because the Court finds that Plaintiff cannot satisfy the first step, the Court need not address the remaining steps.

In analyzing the first step of the inquiry, public concern, "the essential question is whether the speech addressed matters of 'public' as opposed to 'personal' interest. *Desrochers*, 572 F.3d at 709 (citing *Connick v. Myers*, 461 U.S. 138, 147 (1983)). The plaintiff "bears the burden of showing that the speech addressed an issue of public concern." *Eng*, 552 F.3d at 1070 (citations omitted). "Whether an employee's speech addresses a matter of public concern must be determined by the content, form, and context of a given statement, as revealed by the whole record." *Eng*, 552 F.3d at 1070 (quoting *Connick*, 461 U.S. at 147–48); *see also Desrochers*, 572 F.3d at 709.

The content of the speech is the greatest single factor in the *Connick* inquiry. *Desrochers*, 572 F.3d at 710 (citations omitted). In order to address a matter of public concern, the content of the speech must involve "issues about which information is needed or appropriate to enable the members of society to make informed decisions about the operation of their government." *Id.* (internal quotation marks and citations omitted). "Speech that deals with 'individual personnel disputes and grievances' that 'would be of no relevance to the public's evaluation of the performance of governmental agencies' generally is not of public concern." *Ellins*, 710 F.3d at 1057 (citing *McKinley v. City of Eloy*, 705 F.2d 1110, 1114 (9th Cir. 1983)); see also Connick, 461 U.S. at 154

(speech limited to "an employee grievance concerning internal office policy" is unprotected). The same is true of "speech that relates to internal power struggles within the workplace," and speech that is of no interest "beyond the employee's bureaucratic niche." *Desrochers*, 572 F.3d at 710 (citing *Tucker v. Cal. Dep't of Educ.*, 97 F.3d 1204, 1210 (9th Cir. 1996)).

In considering whether speech was made in the public interest, the Ninth Circuit considers "whether the speech was made to further some purely private interest" and whether the speech was made in the context of a workplace "power struggle." Desrochers, 572 F.3d at 715 (internal quotation marks and citations omitted). For example, in Demers v. Austin, 746 F.3d 402, 416 (9th Cir. 2014), the Ninth Circuit determined that the plaintiff's pamphlets contained a plan to improve his school and was therefore a matter of public concern, in part because it did not address the role of particular individuals or address public complaints and was made available to the public. In Connick, an assistant district attorney strongly opposed being transferred and circulated a questionnaire to her office "concerning office transfer policy, office morale, [and] the level of confidence in supervisors." 461 U.S. at 140-41. The Supreme Court of the United States determined that these matters were not of public concern but "mere extensions" of her "dispute over her transfer." Id. at 148. In Ellins, the Ninth Circuit looked at Connick and determined that the dispositive fact in that case was that the speech "resulted from an individual personnel grievance" as opposed to collective personnel grievances such as those raised by unions. 710 F.3d at 1057.

Defendants challenge the alleged speech as "nothing more than an observation of an 'internal power struggle within the workplace' or 'internal office matter' that does not constitute speech on a matter of public concern." (Dkt. no. 47 at 8.) The Court agrees.

Plaintiff submits no evidence of the content of his alleged speech about the interaction between Haycock and Henson save for his brief description of it in his own deposition testimony. He says that he walked in on Haycock yelling at Henson about

Henson's wanting overtime for work performed on the weekend. (Dkt. no. 59-1, Exh. 1 at 6-7.) Haycock had ordered Henson to work that weekend. (Id.) Haycock told Henson, "you are lucky to have a fucking job and you think I'm going to pay you." (Id.) Haycock didn't place his hands on Henson or "pin" him physically, but he was in Henson's personal space and poked Henson's chest during the argument. (Id. at 9.) Haycock didn't hit Henson. (Id.) The argument continued for five to ten seconds after Plaintiff walked in and then people went their separate ways. (Id. at 10-11.) A couple of days later Plaintiff called the EEO Officer, Colleen Scott, and told her, "[t]his is what happened, this is what I witnessed." (Id. at 15.) Plaintiff also spoke over the telephone with Rod Moore, of the Inspector General's office, and "told him the situation, advised him." (Id. at 15.) He also spoke to the associate warden of operations "to brief her what happened." (Id. at 16.)

Plaintiff asserts that "Plaintiff's support of Henson and reporting activity in this regard was expressive conduct on a matter of public concern" because "[c]itizens evaluating the performance of the Department of Corrections and its administrators would want to assure themselves that employees are not brutalized in the workplace on pay issues or otherwise." (Dkt. no. 59 at 3.) Plaintiff's support of Henson amounted to consolation and reassuring Henson that Plaintiff was there to help if Henson needed it. (Dkt. no. 59-1, Exh. 1 at 14.) Henson himself never made a complaint. (Dkt. no. 47-1, Exh. 2 at 8.) Further, the content of Plaintiff's speech is not clear. Plaintiff does not provide any deposition testimony from the people he spoke to or even describe in detail what he said in his conversations with the EEO Officer, the IG's office, and the associate warden. From his deposition testimony, it appears that he described what he "witnessed," which was five to ten seconds of an argument regarding overtime pay. He did not witness Henson being physically "pinned" or brutalized. Plaintiff does not state that he complained about the working conditions of the staff generally or the staff being unfairly denied overtime pay.

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From the evidence presented to the Court, Plaintiff has not met his burden of demonstrating that his speech regarding the interaction between Haycock and Henson was on a matter of public concern.

The Complaint also asserts that Haycock admitted that he, Watson, and Palmer agreed that Plaintiff "would be non-promotable as a sanction" due to his support of Henson, and that Plaintiff complained about this to the EEO Officer. (Dkt. no. 1-2 ¶¶ 9-10.) In his deposition, Plaintiff states that around November 2011, Haycock told Plaintiff in the parking lot of a Costco that Watson had him and Palmer convinced that Plaintiff is not worthy of promotion because he's "nothing but a problem." that he will "snitch on everything they do," and that Haycock felt bad about that. (Dkt. no. 59-1, Exh. 1 at 19-20.) Plaintiff says he sat down with EEO Officer Scott, and discussed the "information" he had. (Id. at 22.) Scott's declaration confirms that on January 19, 2012, she interviewed Plaintiff regarding his "concerns related to twice being passed over for promotion to correctional lieutenant and his claim that the non-selection was retaliation." (Dkt. no. 47-2, Exh. 8.) Scott referred Plaintiff's report to the IG's office. (Id.) Plaintiff argues that his "complaint regarding retaliation in not promoting him was of public concern." (Dkt. no. 59 at 4.) Plaintiff contends that "[t]he public naturally wants and desires the process of hiring Lieutenants to be open, competitive, fair and reasonable, as opposed to being designed to exclude persons who exercise their rights under the law and take a stand against managerial intimidation by violence." (Id.)

Defendants argue that Plaintiff's concerns were about his own promotional opportunities and were completely self-serving. (Dkt. no. 47 at 8.) The Court is not clear on the content of Plaintiff's discussion with EEO Officer Scott and what they specifically discussed in their January 19, 2012, meeting. Viewing the evidence in the light most favorable to Plaintiff, however, Scott's declaration demonstrates that Plaintiff sat down with the EEO Officer and complained about being denied promotions as a means of retaliation. From the context of his deposition testimony, it can be gleaned that Plaintiff communicated "information" to Scott about what Haycock told him in the Costco parking

lot. (Dkt. no. 59-1, Exh. 1 at 22 ("That's when I went back — after the conversation with Damon Haycock, I went back to Colleen Scott with the information I had."))

Plaintiff has not provided evidence that his complaints to EEO Officer Scott were anything more than an individual personnel dispute or grievance. *See Desrochers*, 572 F.3d at 708-10. Plaintiff wanted to be lieutenant, was denied twice, and was told that the three individual Defendants opposed it because one of them, Watson, convinced the others that Plaintiff was not worthy of a promotion — that he was "a problem" and "a snitch." Plaintiff apparently shared this information with EEO Officer Scott. The Court struggles to conclude that such speech is anything more than an "internal dispute of no interest to anyone outside a narrow 'bureaucratic niche.'" *Demers*, 746 F.3d at 416 (citing *Tucker*, 97 F.3d at 1210; *Desrochers*, 572 F.3d at 713); *see also Longmire v. City of Oakland*, 584 F'Appx 623, 623–24 (9th Cir. 2014) (finding "letter [that] alleged that Defendants' internal affairs investigation violated [plaintiff's] procedural due process rights" was not speech "on a matter of public concern").

There is no evidence that Plaintiff spoke about abuse in his department generally or complained that people who report violations are not promoted. Plaintiff testified that "it's kind of known at work with our department that if you say anything negative . . . [y]ou won't be promoted" and "retaliation is feared by the majority of our staff that if they say something, that's what will happen." (Dkt. no. 59-1, Exh. 1 at 20-21.) However, there is no evidence that he spoke out about this or communicated this knowledge to EEO Officer Scott. This Court can only make its decision based on "what the employees actually said, not what they say they said after the fact." *Desrochers*, 572 F.3d at 711. The evidence suggests that Plaintiff made an individual personnel grievance about Palmer, Watson, and Haycock. Indeed, Plaintiff's First Amendment claim is asserted against those individual defendants only. (*See* dkt. no. 1-2 at ¶ 24.)

Because Plaintiff cannot establish that his speech was a matter of public concern, his First Amendment retaliation claim fails as a matter of law. Summary judgment is granted.

V. CONCLUSION

It is therefore ordered that Defendants Jack Palmer, Adam Watson, and Damon Haycock's Motion for Summary Judgment (dkt. no. 47) is granted. The Clerk is directed to enter judgment in favor of Defendants and close this case.

DATED THIS 12th day of March 2015.

MIRANDA M. DU

UNITED STATES DISTRICT JUDGE