

1 On July 28, 2011, Plaintiff was provided with a letter dated July 13, 2011, from
2 NDOC regarding Plaintiff's "extremely excessive" use of sick leave. (Dkt. no. 32-1 at
3 "Page 13;" dkt. no. 32-3.) The letter stated that Plaintiff used twenty-four (24) days of
4 sick leave for the year of 2011 until the date of the letter, noting that all but four (4) of
5 these days were used in conjunction with regular days off. (Dkt. no. 32-3.) The letter
6 required Plaintiff, going forward, to produce a note from a physician or medical
7 professional for Plaintiff's sick leave usage for the next six (6) months. (*Id.*)

8 NDOC advised Plaintiff to apply for FMLA and mailed an FMLA packet to Plaintiff
9 on August 15, 2011, with forms for his physician to fill out. (Dkt. no. 32-4.) The packet
10 listed a due date of September 2, 2011. (*Id.*) Plaintiff received the packet but did not fill it
11 out or return it. (Dkt. no. 32-1 at "Pages 15-17.") Plaintiff received notification that FMLA
12 leave was not approved because he did not fill out or return the paperwork. (Dkt. no. 32-
13 1 at "Page 16.")

14 Plaintiff received a written reprimand dated August 17, 2011, for calling in sick
15 and failing to provide a note in violation of the "proof status" imposed by the July 13,
16 2011, letter. (Dkt. no. 32-5.)

17 On or about November 14, 2011, Plaintiff hit a wild horse with his car and hurt his
18 shoulder and neck. (Dkt. no. 32-1 at "Pages 14, 35-36;" dkt. no. 32-8.) He called in sick
19 the next three days. (Dkt. no. 32-1 at "Pages 36-37.")

20 NDOC sent Plaintiff an FMLA packet on November 30, 2011, with a listed due
21 date of December 18, 2011. (Dkt. no. 32-9.) Plaintiff returned the completed paperwork
22 on December 9, 2011, and was approved for FMLA leave from November 23, 2011,
23 through December 12, 2011. (*Id.*; dkt. no. 32-10.)

24 On or about February 9, 2012, Plaintiff filed a grievance regarding his 2011
25 performance evaluation. (Dkt. no. 32-13.) The evaluation overall stated that Plaintiff
26 "meets standards," but Plaintiff challenged the evaluation with regard to four (4)
27 categories where he was rated "does not meet standards." (*Id.*) As a result of the
28 grievance, Plaintiff's ratings in three (3) of the four (4) categories were improved. (*Id.*)

1 Plaintiff was served with a specificity of charges on June 25, 2012, imposing a
2 suspension of five (5) days for unauthorized absences. (Dkt. no. 32-14.) This was a
3 result of unauthorized sick leave that Plaintiff took in February and March 2012. (*Id.* at 7,
4 “II. Brief Summary of Facts.”)

5 **III. DISCUSSION**

6 **A. Legal Standard**

7 The purpose of summary judgment is to avoid unnecessary trials when there is no
8 dispute as to the facts before the court. *Nw. Motorcycle As s'n v. U.S. Dep't of Agric.*, 18
9 F.3d 1468, 1471 (9th Cir. 1994). Summary judgment is appropriate when “the pleadings,
10 depositions, answers to interrogatories, and admissions on file, together with the
11 affidavits, if any, show there is no genuine issue as to any material fact and that the
12 movant is entitled to judgment as a matter of law.” *See Celotex Corp. v. Catrett*, 477 U.S.
13 317, 330 (1986) (*citing* Fed. R. Civ. P. 56(c)). An issue is “genuine” if there is a sufficient
14 evidentiary basis on which a reasonable fact-finder could find for the nonmoving party
15 and a dispute is “material” if it could affect the outcome of the suit under the governing
16 law. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248-49 (1986). Where reasonable
17 minds could differ on the material facts at issue, however, summary judgment is not
18 appropriate. *Warren v. City of Carlsbad*, 58 F.3d 439, 441 (9th Cir. 1995).

19 The moving party bears the burden of informing the court of the basis for its
20 motion, together with evidence demonstrating the absence of any genuine issue of
21 material fact. *Celotex*, 477 U.S. at 323. Once the moving party satisfies Rule 56's
22 requirements, the burden shifts to the party resisting the motion to “set forth specific
23 facts showing that there is a genuine issue for trial.” *Anderson*, 477 U.S. at 256. The
24 nonmoving party “may not rely on denials in the pleadings but must produce specific
25 evidence, through affidavits or admissible discovery material, to show that the dispute
26 exists,” *Bhan v. NME Hosps., Inc.*, 929 F.2d 1404, 1409 (9th Cir.1991), and “must do
27 more than simply show that there is some metaphysical doubt as to the material facts.”
28 *Bank of Am. v. Orr*, 285 F.3d 764, 783 (9th Cir. 2002) (internal citations omitted). “The

1 mere existence of a scintilla of evidence in support of the plaintiff's position will be
2 insufficient." *Anderson*, 477 U.S. at 252. Although the parties may submit evidence in an
3 inadmissible form, the Court may only consider evidence which might be admissible at
4 trial in ruling on a motion for summary judgment. Fed. R. Civ. P. 56(c).

5 **B. Analysis**

6 **1. Rehabilitation Act**

7 The Complaint is vague and disorganized. With regard to Plaintiff's Rehabilitation
8 Act claim, it states that NDOC is subject to "liability under the Rehabilitation Act" for
9 allegedly failing to accommodate Plaintiff's disability and punishing him for seeking
10 accommodation. (Dkt. no. 1 ¶ 25.) It does not cite to any statutory provision or section of
11 the Act. Consequently, there is confusion amongst the parties as to the nature of
12 Plaintiff's claim. Defendants' Motion argues that Plaintiff's Rehabilitation Act should be
13 dismissed because he failed to exhaust administrative remedies. (Dkt. no. 32 at 5-6.)
14 However, in his opposition, Plaintiff states that the Complaint asserts a claim under §
15 504 of the Rehabilitation Act, which does not require exhaustion. (Dkt. no. 33 at 3.)

16 Prior to his opposition, Plaintiff gave no indication that he was asserting a claim
17 under § 504. To establish a prima facie case of discrimination based upon his disability
18 in violation of § 504 of the Rehabilitation Act, Plaintiff must produce evidence that the
19 NDOC receives federal assistance. *Wong v. Regents of Univ. of Cal.*, 192 F.3d 807, 816
20 (9th Cir. 1999) (*citing Zukle v. Regents of the Univ. of Cal.*, 166 F.3d 1041, 1045 (9th Cir.
21 1999)). The Complaint fails to allege that the NDOC receives federal assistance and
22 Plaintiff has provided no evidence to that effect in opposing summary judgment.¹ The
23 Court therefore grants summary judgment on this claim.

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25 ¹Plaintiff also fails to state a claim under § 504 the Rehabilitation Act and
26 therefore that claim is dismissed. Pursuant to Fed. R. Civ. P. 8(a), Plaintiff is required to
27 "give the defendant fair notice of what the . . . claim is and the grounds upon which it
28 rests." *Bell Atlantic Corp. v. Twombly*, 550 U.S. 544, 555 (2007). (internal quotation
marks and citation omitted). A properly pled complaint must provide "a short and plain
statement of the claim showing that the pleader is entitled to relief." Fed. R. Civ. P.
8(a)(2); *Twombly*, 550 U.S. at 555.

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2. FMLA

Under 29 U.S.C. § 2615(a)(1), it is “unlawful for any employer to interfere with, restrain, or deny the exercise of or the attempt to exercise” the substantive rights guaranteed by FMLA. When a party alleges a violation of § 2615(a)(1), it is known as an “interference” or “entitlement” claim. *Sanders v. City of Newport*, 657 F.3d 772, 777 (9th Cir. 2011). Congress has authorized the Department of Labor (“DOL”) to issue implementing regulations for the FMLA. 29 U.S.C. § 2654. These regulations are entitled to deference under *Chevron USA, Inc. v. Natural Resources Defense Council, Inc.*, 467 U.S. 837, 843-44, (1984). *Xin Liu v. Amway Corp.*, 347 F.3d 1125, 1133 (9th Cir. 2003) (citation omitted). DOL regulations state that “[t]he FMLA prohibits interference with an employee's rights under the law.” 29 C.F.R. § 825.220(a). Any violation of the FMLA itself or of the DOL regulations constitute interference with an employee's rights under the FMLA. *Xin Liu*, 347 F.3d at 1133 (*citing* 29 C.F.R. § 825.220(b)). The DOL interprets “interference” to include “not only refusing to authorize FMLA leave, but discouraging an employee from using such leave.” *Id.* Plaintiff appears to assert interference based on denial of FMLA leave and on discouraging his use of FMLA leave by taking adverse employment action against him. (*See* dkt. no. 1 ¶ 28.)

a. Denial of FMLA Leave

The Complaint alleges that “Defendants denied Plaintiff leave to which he was entitled” under the FMLA. (Dkt. no. 1 ¶ 28.) The evidence presented by Defendants shows that Plaintiff was denied FMLA leave only once, when he failed to turn in the paperwork provided by Defendants on August 15, 2011. This evidence is undisputed. Plaintiff’s opposition points to deposition testimony in which Plaintiff states that he was “never told” that there was a deadline to return the FMLA paperwork and that he thought he could do it the next time he got sick. (Dkt. no 33 at 4.) The packet provided to Plaintiff clearly listed a due date of September 2, 2011, both on the checklist provided in the front of the packet and on the first page of the paperwork itself. (Dkt. no. 32-4.)

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1 As a general requirement for FMLA leave, an employer may require certification
2 from the employee's physician, 29 U.S.C. § 2613(a), but must give the employee at least
3 fifteen (15) calendar days in which to submit it, 29 C.F.R. § 825.305(b). However, “[a]t
4 the time the employer requests certification, the employer must also advise an employee
5 of the anticipated consequences of an employee's failure to provide adequate
6 certification.” 29 C.F.R. § 825.305(d). An employee must also be given an opportunity to
7 cure the certification if certification is incomplete or insufficient. *Id.*

8 Plaintiff testified at his deposition that he was “never told” that he had fifteen (15)
9 days to return the packet, that he “kept the packet” and “next thing [he] kn[ew], they sent
10 [him] a thing denying the FMLA[.]” (Dkt. no. 32-1 at “Pages 15-16”.) Construing the facts
11 and drawing all inferences in the light most favorable to the nonmoving party, the Court
12 finds there is a genuine issue of material fact as to whether Defendants informed Plaintiff
13 of the consequences of failing to return his paperwork by the designated date. *See*
14 *Washington v. Fort James Operating Co.*, 110 F. Supp. 2d 1325, 1332–33, 1335 (D. Or.
15 2000) (denying summary judgment as to FMLA claim where it was not clear whether
16 defendant informed plaintiff of the consequences of not returning his certification).
17 Summary judgment is therefore denied as to this claim.

18 **b. Discouraging FMLA Leave**

19 Next, the Complaint alleges that Defendants utilized Plaintiff’s assertion of his
20 FMLA rights as a negative factor in adverse employment actions. (Dkt. no. 1 ¶ 28.)
21 “[E]mployers cannot use the taking of FMLA leave as a negative factor in employment
22 actions, such as hiring, promotions or disciplinary actions; nor can FMLA leave be
23 counted under no fault attendance policies.” 29 C.F.R. § 825.220(c); *see also Bachelder*
24 *v. Am. W. Airlines, Inc.*, 259 F.3d 1112, 1122-23 (9th Cir. 2001). The evidence must
25 present a triable issue of fact as to whether “(1) [Plaintiff] took FMLA-protected leave; (2)
26 he suffered adverse employment actions; and (3) the adverse actions were causally
27 related to his FMLA leave.” *Zsenyuk v. City of Carson*, 99 F’Appx. 794, 796 (9th Cir.

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1 2004) (*citing Bachelder*, 259 F.3d at 1124–25; *Conoshenti v. Public Serv. Elec. & Gas*
2 *Co.*, 364 F.3d 135, 146–47 (3d Cir. 2004)).

3 Plaintiff argues that he suffered two adverse employment actions — he was put
4 on “proof status” and suspended — because he took FMLA-protected leave. (Dkt. no. 33
5 at 3.)

6 Plaintiff was placed on so-called “proof status” in July 2011 as a result of Plaintiff’s
7 sick leave from January to July. (Dkt. no. 32-3.) Defendants have provided evidence that
8 these absences were not FMLA related, including a declaration by a personnel official
9 and the findings of fact in a disciplinary investigation. (Dkt. no. 32-2; dkt. no. 32-14 at 7,
10 “II. Brief Summary of Facts”.) Plaintiff provides a declaration in which he states he was
11 diagnosed with intestinal problems in early 2011. (Dkt. no 33-1.) In his deposition
12 testimony he states that he talked to his supervisor “about that and a couple other
13 things” including the fact his mother was not doing too well, and his supervisor
14 recommended he apply for FMLA. (Dkt. no. 32-1 at “Pages 14-15.”) However, it is
15 undisputed that Plaintiff did not take or request protected FMLA leave during the January
16 to July 2011 time period. Indeed, there is no evidence that Plaintiff’s sick leave was due
17 to his intestinal problems, or that those problems made him unable to perform the
18 functions of his job, as required by the FMLA. 29 U.S.C. § 2612(a)(1)(d); *see Bachelder*,
19 259 F.3d at 1126. Thus, Plaintiff cannot demonstrate the first element of his claim that he
20 took protected FMLA leave, and, absent such protected leave, Plaintiff cannot
21 demonstrate the third element of causation.

22 As for his suspension, Plaintiff received a suspension in June 2012 for calling in
23 sick in February and March 2012 after his sick leave had been exhausted, and despite
24 receiving warnings about excessive sick leave in July and August 2011. (Dkt. no. 32-14
25 at 7, “II. Brief Summary of Facts.”) There is no evidence in the record that any of this
26 leave was FMLA-protected. Plaintiff was not approved for FMLA leave in February and
27 March 2012, nor is there any indication he requested it. (Dkt. no. 32-2; dkt. no. 32-14 at
28 7, “II. Brief Summary of Facts.”) Indeed, Plaintiff does not argue that his leave in

1 February and March 2012 was protected activity. Instead, Plaintiff argues that his June
2 2012 suspension was causally related to the approved FMLA leave taken from
3 November 23, 2011, through December 12, 2011, because of the close proximity
4 between the FMLA leave and the suspension. (Dkt. no. 33 at 3.) However, a period of six
5 (6) months between Plaintiff's FMLA leave and the alleged adverse employment action
6 alone, absent any other evidence, is too remote in time to support causation. *See Swan*
7 *v. Bank of Am.*, 360 F'Appx. 903, 2009 (9th Cir. 2009) (finding that a period of four
8 months was too remote) (*citing Clark Cnty. Sch. Dist. v. Breeden*, 532 U.S. 268, 273
9 (2001) (per curiam) (explaining, in the context of Title VII claims, that "cases that accept
10 mere temporal proximity between an employer's knowledge of protected activity and an
11 adverse employment action as sufficient evidence of causality to establish a prima facie
12 case uniformly hold that the temporal proximity must be 'very close' "); *Villiarimo v. Aloha*
13 *Island Air, Inc.*, 281 F.3d 1054, 1065 (9th Cir. 2002) (stating that "causation can be
14 inferred from timing alone where an adverse employment action follows on the heels of
15 protected activity")); *see also Andrews v. Dep't of Human Res., Nev.*, 3:07-cv-336, 2008
16 WL 4540499, at *4 (D. Nev. Oct. 6, 2008) (finding that three months was too remote in
17 time).

18 The Court finds that the undisputed evidence does not support Plaintiff's claim
19 that he took protected FMLA leave and suffered an adverse employment action as a
20 result.² The Court thus grants summary judgment on Plaintiff's claim of interference with
21 his FMLA rights by taking adverse employment action to discourage his use of FMLA
22 use.


23
24 ²The Complaint also alleges that Defendants "force-designated the leave Plaintiff
25 took for the fitness for duty evaluation as FMLA leave." (Dkt. no. 1 ¶ 29.) The Court is not
26 sure what to make of this allegation. The evidence shows that Plaintiff was only
27 approved for FMLA leave once in his time working for NDOC and that was from
28 November 23, 2011, through December 12, 2011. (Dkt. nos. 32-2, 32-10.) This approval
was based on paperwork Plaintiff filled out and returned. (Dkt. nos. 32-9, 32-10.) There
is no evidence in the record that Plaintiff was forced to use FMLA leave. The Court
further fails to understand the legal basis for this claim as it does not appear to be an
interference claim.

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IV. CONCLUSION

It is hereby ordered that Defendants' Motion for Summary Judgment (dkt. no. 32) is granted in part and denied in part. The Motion is denied with regard to Plaintiff's claim of interference due to improper denial of FMLA leave and is otherwise granted.

DATED THIS 22nd day of September 2014.



MIRANDA M. DU
UNITED STATES DISTRICT JUDGE