

UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

JUAN C. SALAS,	:	
	:	
Petitioner,	:	Civil Action
	:	No. 11-5154 (NLH)
	:	
v.	:	
	:	
CHARLES WARREN et al.,	:	
	:	
Respondents.	:	
JUAN C. SALAS,	:	
	:	
Petitioner,	:	Civil Action
	:	No. 12-4275 (NLH)
	:	
v.	:	
	:	
CHARLES WARREN,	:	<b><u>MEMORANDUM OPINION &amp; ORDER</u></b>
	:	<b><u>APPLIES TO BOTH ACTIONS</u></b>
Respondent.	:	
	:	

IT APPEARING THAT:

1. On September 8, 2011, the Clerk received Petitioner's § 2254 habeas application that gave rise to Salas v. Warren ("Salas-I"), Civil Action No. 11-5154 (NLH) (D.N.J.). See Salas-I, Docket Entry No. 1. The Salas-I petition indicated that Petitioner was convicted in the New Jersey Superior Court Law Division on May 13, 2005. See id. at 1. The Court's own research determined that his conviction was affirmed by the Appellate Division on July 3, 2007. See State v. Salas, 2011 WL 204910, at \*1 (N.J. Super. Ct. App. Div. Jan. 24, 2011) (referring to the decision reached in State v. Salas, No. A-5553-04 (N.J. Super. Ct. App. Div. July 3, 2007)).

Petitioner did not seek certification from the Supreme Court of New Jersey with regard to Petitioner's direct appellate challenges. See State v. Salas, 2011 WL 204910, at \*1 ("Defendant did not seek certification from the Supreme Court").

2. The Salas-I petition asserted that Petitioner filed an application for post-conviction relief ("PCR") on January 24, 2008. See Salas-I, Docket Entry No. 1, at 2. That PCR application was denied by the Law Division on the merits, and the denial was affirmed by the Appellate Division on January 24, 2011. See Salas, 2011 WL 204910. Petitioner sought certification from the Supreme Court of New Jersey as to that PCR; his application to that effect was denied on July 14, 2011. See State v. Salas, 207 N.J. 189 (2011).
3. On October 27, 2011, Petitioner moved this Court for stay and abeyance of his Salas-I petition indicating that, as of October 25, 2011, he had already filed his second PCR application with the state courts. See Salas-I, Docket Entry No. 3. The Court granted Petitioner's request and, on June 8, 2012, ordered a stay. See id., Docket Entry No. 6. In conjunction with ordering stay, this Court informed Petitioner of his rights, pursuant to the holding of Mason v. Meyers, 208 F.3 414 (3d Cir. 2000). See Salas-I, Docket Entry No. 6, at 8-9, n. 7.

4. On June 19, 2012, Petitioner submitted in Salas-I a letter indicating that: (a) his second PCR application was denied by the Law Division as untimely (seemingly, without reaching the merits of Petitioner's second PCR challenges); but (b) he, nonetheless, wished to include in his Salas-I federal habeas application the very challenges raised and dismissed in that second untimely PCR application. See id., Docket Entry No. 8. The letter closed with a request to provide Petitioner with a blank Section 2254 petition form in order to enable his execution of an all-inclusive amended petition. See id. at 1.
5. Less than two weeks later, the Clerk received another § 2254 habeas application from Petitioner; that submission gave rise to Salas v. Warren ("Salas-II"), Civil Action No. 12-4275 (NLH) (D.N.J.). See Salas-II, Docket Entry No. 1.
6. It appears self-evident that Petitioner's Salas-II action is duplicative of his Salas-I proceeding.

The power of a federal court to prevent duplicative litigation is intended "to foster judicial economy and the 'comprehensive disposition of litigation,'" Curtis v. Citibank, N.A., 226 F.3d 133, 138 (2d Cir. 2000) (quoting Kerotest Manufacturing Co. v. C-O-Two Fire Equipment Co., 342 U.S. 180, 183 (1952)), and "to protect parties from 'the vexation of concurrent litigation over the same subject matter.'" Id. (quoting Adam v. Jacobs, 950 F.2d 89, 93 (2d Cir. 1991)).

Porter v. NationsCredit Consumer Disc. Co., 2003 Bankr.

LEXIS 933, at \*33 (Bankr. E.D. Pa. 2003). Thus, the Court will direct the Clerk to terminate Salas-II and will proceed solely with Petitioner's Salas-I action; the election in favor of Salas-I is made order to eliminate any statute of limitations concerns.<sup>1</sup> See Urcinoli v. Cathel, 546 F. 3d 269, 272 (3d Cir. 2008).

7. However, taking notice of Petitioner's letter indicating that he wishes to raise, in Salas-I: (a) all his state and federal law based challenges raised on direct appeal to the Appellate Division but not challenged before the Supreme Court of New Jersey; (b) all his state and federal law based challenges raised, during his first PCR, to the Law Division, Appellate Division and the Supreme Court of New Jersey; and (c) all his state and federal law based challenges raised and dismissed as untimely during his second PCR, this Court finds it warranted to note the following legal concepts which Petitioner should consider prior to executing his all-inclusive petition.

a. "In conducting habeas review, a federal court is limited to deciding whether a conviction violated the

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<sup>1</sup> Hence, if Petitioner § 2254 habeas petition was timely at the date of Petitioner's handing of his Salas-I petition to his prison officials, Petitioner's federal habeas challenges would be deemed timely regardless of any and all procedural developments that have taken place in Salas-I and Salas-II.

Constitution, laws, or treaties of the United States.”

Estelle v. McGuire, 502 U.S. 62, 67-68 (1991); 28

U.S.C. § 2254(a); accord Barry v. Bergen County

Probation Dept., 128 F.3d 152, 159 (3d Cir. 1997).

“Federal courts hold no supervisory authority over

state judicial proceedings and may intervene only to

correct wrongs of constitutional dimension.” Smith v.

Phillips, 455 U.S. 209, 221 (1982). “If a state

prisoner alleges no deprivation of a federal right, §

2254 is simply inapplicable. It is unnecessary in such

a situation to inquire whether the prisoner preserved

his claim before the state courts.” Engle v. Isaac,

456 U.S. 107, 120 n.19 (1982). “[E]rrors of state law

cannot be repackaged as federal errors simply by citing

the Due Process Clause.” Johnson v. Rosemeyer, 117

F.3d 104, 110 (3d Cir. 1997). Moreover, “it is well

established that a state court's misapplication of its

own law does not generally raise a constitutional

claim.” Smith v. Horn, 120 F.3d 400, 414 (3d Cir.

1997) (citation omitted); see also Smith v. Zimmerman,

768 F.2d 69, 71, 73 (3d Cir. 1985). Therefore,

Petitioner's challenges should be limited to, and only

to, claim based on federal law that were duly exhausted in the state courts.<sup>2</sup>

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<sup>2</sup> The petitioner generally bears the burden to prove all facts establishing exhaustion. See Toulson v. Beyer, 987 F.2d 984, 987 (3d Cir. 1993). This means that the claims heard by the state courts must be the "substantial equivalent" of the claims asserted in the federal habeas petition. See Picard, 404 U.S. at 275. Reliance on the same constitutional provision is not sufficient; the legal theory and factual predicate must also be the same with regard to each particular claim. See id. at 277. For instance, if - during his/her state litigations - a petitioner asserts two different factual predicates (*i.e.*, two different sets of factual events) and two different federal claims, with each claim being correlated to its particular factual predicate, the petitioner cannot later "cris-cross" these factual predicates and claims for the purposes of his federal habeas proceedings. To illustrate, if - during his/her state proceedings - a petitioner asserts that: (a) his/her counsel provided ineffective assistance by failing to object to certain remarks made by the prosecutor, and (b) that his/her trial court violated the petitioner's rights by denying him/her an opportunity to cross-examine a certain witness, the petitioner cannot later "repackage" or "cross-match" these challenges during his/her federal habeas proceedings into claims asserting that: (a) his counsel was ineffective by failing to seek an opportunity to cross-examine that witness; and (b) the trial court violated the petitioner's rights by failing to instruct the jurors to ignore the prosecutor's remark. The rationale of the "substantial equivalent" requirement is self-evident in light of the standard of review applicable to federal habeas actions: habeas relief focuses on whether the state court's adjudication of the petitioner claim "resulted . . . or involved an unreasonable application of . . . Supreme Court precedent." 28 U.S.C. § 2254(d). If the legal theory and/or the factual predicate of each claim presented to the state courts differed from the legal theory and factual predicate of the claim presented for federal habeas review, the federal court has no basis to conclude that the state courts unreasonably applied the governing Supreme Court precedent, because each Supreme Court precedent (and any legal precedent) is composed of a particular factual predicate and a particular rule of law, and so this precedent can only be applied to substantially same set of circumstances and legal challenges.

b. A state prisoner applying for a writ of habeas corpus in federal court must first “exhaust[] the remedies available in the courts of the State,” unless “there is an absence of available State corrective process[] or . . . circumstances exist that render such process ineffective.” 28 U.S.C. § 2254(b)(1); Rose v. Lundy, 455 U.S. 509, 515 (1982); Toulson v. Beyer, 987 F.2d 984, 987 (3d Cir. 1993); Duarte v. Hershberger, 947 F. Supp. 146 (D.N.J. 1996); see also Lambert v. Blackwell, 134 F.3d 506, 513 (3d Cir. 1997), cert. denied, 532 U.S. 919 (2001) (finding that “Supreme Court precedent and the AEDPA mandate that prior to determining the merits of [a] petition, [a court] must consider whether [petitioner] is required to present [his or her] unexhausted claims to the [state’s] courts”). The courts of a state must be afforded an “opportunity to pass upon and correct alleged violations of its prisoners’ federal rights.”<sup>3</sup> Wilwording v. Swenson,

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<sup>3</sup> Exhaustion is not a jurisdictional requirement; rather, it is designed to allow state courts the first opportunity to pass upon federal constitutional claims, in furtherance of the policies of comity and federalism. See Granberry v. Greer, 481 U.S. 129 (1987); Rose, 455 U.S. at 516-18; Evans, 959 F.2d at 1230; O’Halloran v. Ryan, 835 F.2d 506, 509 (3d Cir. 1987). Exhaustion also has the practical effect of permitting development of a complete factual record in state court, to aid the federal courts in their review. See Rose, 455 U.S. at 519; Castille v. Peoples, 489 U.S. 346, 349 (1989).

404 U.S. 249, 250 (1971); Picard v. Connor, 404 U.S. 270, 275 (1971); Evans v. Court of Common Pleas, Del. Cty., Pa., 959 F.2d 1227, 1230 (3d Cir. 1992), cert. dismissed, 506 U.S. 1089 (1993).

i. A petitioner must exhaust state remedies by presenting his federal constitutional claims to each level of the state courts empowered to hear those claims, either on direct appeal or in post-conviction proceedings. See Ross v. Petsock, 868 F.2d 639 (3d Cir. 1989); see also O'Sullivan v. Boerckel, 526 U.S. 838 (1999) ("requiring state prisoners [in order to fully exhaust their claims] to file petitions for discretionary review when that review is part of the ordinary appellate review procedure in the State"); 28 U.S.C. § 2254(c). "An applicant shall not be deemed to have exhausted the remedies available in the courts of the State, within the meaning of this section, if he has the right under the law of the State to raise, by any available procedure, the question presented"). Only if a petitioner's federal claims have been fairly presented to each level of the state court, including the state's highest court, the exhaustion requirement is



satisfied. See Picard, 404 U.S. at 275; Castille, 489 U.S. at 350.

- ii. Where any available procedure remains, even only a theoretical or hypothetical one, for the applicant to raise the question presented in the courts of the state, the petitioner has not exhausted the available remedies. See 28 U.S.C. § 2254(c). Federal courts have consistently adhered to the exhaustion doctrine “for it would be unseemly in our dual system of government for a federal district court to upset a state court conviction without an opportunity to the state courts to correct a constitutional violation.” Picard, 404 U.S. at 275 (citations and internal quotation marks omitted).
- iii. Correspondingly, district courts should dismiss petitions containing unexhausted claims, even if it is not likely that a state court will consider the claims on the merits. See Rose, 455 U.S. at 522; Banks v. Horn, 126 F.3d 206, 212-14 (3d Cir. 1997); see also Toulson, 987 F.2d at 989 (“Because no [New Jersey] court has concluded that petitioner is procedurally barred from raising his unexhausted claims and state law does not clearly

require a finding of default, we hold that the district court should have dismissed the petition without prejudice for failure to exhaust state remedies"). Analogously, if a petition contains a mix of duly exhausted and unexhausted claim (such petitions are referred to as "mixed" petitions), the petition is also subject to dismissal.

Faced with [a] "mixed" petition, the District Court ha[s] four options: [(1)] dismiss the petition without prejudice under Rose [v. Lundy], 455 U.S. 509, unless such dismissal would mean that the petitioner's amended petition would necessarily be bound for dismissal as untimely, see Urcinoli, 546 F. 3d 269], [(2)] "stay and abey" under Rhines [v. Weber], 544 U.S. 269 (2005)], [(3)] allow [the petitioner] to delete his unexhausted claims, see Rhines, 544 U.S. at 278, or, [(4)] if all of his claims are meritless, deny the petition under § 2254(b)(2) (allowing denial of a petition on the merits "notwithstanding the failure of the applicant to exhaust . . .").

Mahoney v. Bostel, 2010 U.S. App. LEXIS 3916, at \*5-6 (3d Cir. N.J. Feb. 24, 2010) (footnote omitted).

- iv. However, a different analysis applies to those petitions that consist of (or include within themselves) unexhausted challenges with regard to which the petitioner cannot obtain state court review. Section 2254(b)(1)(B)(i) excuses

exhaustion where there is "an absence of available State corrective process." 28 U.S.C. § 2254(b)(1)(B)(i); see also Duckworth v. Serrano, 454 U.S. 1, 3 (1981) (per curiam). Therefore, a petition containing claims which are unexhausted but procedurally barred will not be dismissed as unexhausted. "Although the unexhausted claims may not have been presented to [all levels or the highest level of] the state court, exhaustion is [facially] not possible [if] the state court [found] the claims procedurally defaulted." Toulson, 987 F.2d at 987; accord Coleman v. Thompson, 501 U.S. 722, 730-32 & n.1 (1991); Harris v. Reed, 489 U.S. 255 (1989). In determining whether state court review is "available" under § 2254(b)(1)(B) and (c), the courts "turn [their] attention to the actuality that the state courts would refuse to entertain" the petitioner's federal claims. Lambert, 134 F.3d at 516; Christy, 115 F.3d at 207. In other words, if "a state court decision exists indicating that a habeas petitioner is clearly precluded from state court relief, the federal habeas claim

should [not] be dismissed for nonexhaustion.”

Lambert, 134 F.3d at 517.

- v. That being said, while the doctrine of procedural default excuses exhaustion, it is a double-edged sword, i.e., it was not created as an incentive for state litigants to circumvent state court review and, hence, does not envision a “reward” to those litigants who procedurally default their claims. When a petitioner's failure to comply with a state procedural rule has prevented the state courts from reaching the merits of his federal claims, federal habeas review of those claims is ordinarily barred. See Ylst v. Nunnemaker, 501 U.S. 797, 801 (1991).

Specifically, reliance by the last state court on an “adequate and independent finding of procedural default will bar federal habeas review of [the petitioner’s defaulted] federal claim, unless the habeas petitioner can show 'cause' for the default and 'prejudice' attributable thereto, or demonstrate that failure to consider the federal claim will result in a fundamental miscarriage of justice.” Harris, 489 U.S. at 262 (citations and internal quotation marks omitted); accord Coleman,

501 U.S. at 750; Cabrera v. Barbo, 175 F.3d 307, 312-14 (3d Cir. 1999); Sistrunk v. Vaughn, 96 F.3d 666, 673 (3d Cir. 1996); see also Haley, 541 U.S. at 392-93; Murray v. Carrier, 477 U.S. 478, 485(1986); Hubbard, 378 F.3d at 339; McCandless v. Vaughn, 172 F.3d 255, 260 (3d Cir. 1999).

- vi. The "cause" standard requires a petitioner to show that some objective factor external to the defense impeded his efforts to comply with the state procedural rule. See Coleman, 501 U.S. at 752 (citing Murray, 477 U.S. at 488). In the absence of a Sixth Amendment violation, the petitioner bears the risk in federal habeas for all attorney errors made in the course of the representation. See Coleman, 501 U.S. at 754. In other words, neither a pro se prisoner's ignorance of the procedural rule nor inadvertence satisfies the cause standard. See Murray, 477 U.S. at 485-87. Analogously, the state court's refusal to "bend the rules" for a pro se litigant is not a valid "cause." See Caswell v. Ryan, 953 F.2d 853, 862 (3d Cir. 1992).
- vii. To establish "prejudice," a petitioner must prove "not merely that the errors at . . . trial

created a possibility of prejudice, but that they worked to his actual and substantial disadvantage, infecting his entire trial with error of constitutional dimension.'" Murray, 477 U.S. at 494 (quoting United States v. Frady, 456 U.S. 152, 170 (1982)). In the context of an ineffective assistance claim, the Court of Appeals for the Third Circuit has held that prejudice occurs only where "there is a reasonable probability [rather than a mere possibility] that, but for counsel's deficient performance, the result of the proceeding would have been different."<sup>4</sup> Sistrunk, 96 F.3d at 670.

viii. Finally, in order to establish that failure to review an otherwise procedurally defaulted claim will result in a "miscarriage of justice," a petitioner must show that "a constitutional violation has probably resulted in the conviction

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<sup>4</sup> In Martinez v. Ryan, 132 S. Ct. 1309 (2012), the Supreme Court held that a prisoner may establish cause for the procedural default of an ineffective assistance of counsel claim by demonstrating that his or her counsel in an "initial-review collateral proceeding" provided ineffective assistance of counsel. See Martinez, 132 S. Ct. at 1315. Thus, the Martinez, Court created a narrow exception to the rule set forth in Coleman v. Thompson, 501 U.S. at 753-54, that an attorney's errors in a post-conviction collateral proceeding do not constitute cause to excuse a procedural default.

of one who is actually innocent.” Murray, 477 U.S. at 496. “Thus, to establish a miscarriage of justice, the petitioner must prove that it is more likely than not that no reasonable juror would have convicted him.” Werts, 228 F.3d at 193 (citing Schlup v. Delo, 513 U.S. 298, 326 (1995)).

ix. Notably, the procedural default applies to both state appellate and collateral proceedings.<sup>5</sup> See Coleman, 501 U.S. at 732.

9. Here, it appears that Petitioner’s direct appellate challenges are facially unexhausted, since: (a) Petitioner did not seek certification from the Supreme Court of New Jersey as to those challenges; and, moreover, (b) these challenges cannot qualify for excuse from exhaustion as procedurally defaulted until and unless the Supreme Court of New Jersey denies review. Therefore, Petitioner may either withdraw his § 2254 claims based on such unexhausted direct appellate challenges or attempt to complete their exhaustion in the state court.

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<sup>5</sup> Conversely, if the last state court to be presented with a particular federal claim reaches the merits, it removes any bar to federal review. See Ylst v. Nunnemaker, 501 U.S. at 801. For instance, such scenario occurs when the state court dismisses a claim on alternative grounds, i.e., as procedurally defaulted and, in addition, on merits.

10. In addition, it appears that Petitioner's second PCR challenges have been procedurally defaulted, being dismissed by the state courts on purely procedural, state law based ground of untimeliness. Therefore, Petitioner may either withdraw these challenges or attempt to overcome the procedural default bar by asserting facts warranting excuse of non-exhaustion and resolution of these claims on merit.
11. Since this Court has no means to determine whether Petitioner would prefer to proceed only with his claims that had been fully exhausted at all three levels of the state court (and/or with his procedurally defaulted claims raised during his second PCR, that is, in the event Petitioner has bona fide basis to overcome the procedural default bar), or if he prefers to seek certification of his direct appellate challenges in order to include those claims in his § 2254 habeas petition, this Court - out of abundance of caution - will extend Petitioner's stay in Salas-I in order to allow Petitioner's an opportunity to reflect on his choices, inform this Court of his elections and take appropriate actions, if any, in the state court.

IT IS, therefore, on this 25th day of April, 2013, ORDERED that the Clerk shall terminate Salas v. Warren, Civil Action No. 12-4275 (NLH) (D.N.J.), as duplicative of Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), by making a



new and separate entry on the docket reading "CIVIL ACTION TERMINATED";<sup>6</sup> and it is further

ORDERED that the Clerk shall reopen Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), for the purposes of this Court's examination of Petitioner's letter docketed in that matter as Docket Entry No. 8, by making a new and separate entry on the docket reading "CIVIL ACTION REOPENED"; and it is further

ORDERED that stay of Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), is extended for the period of ninety days, in order to allow Petitioner an opportunity to reflect on his options and make his elections; and it is further

ORDERED that, within ninety days from the date of entry of this Memorandum Opinion and Order, Petitioner shall either: (a) file in Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), a written statement informing this Court that Petitioner would seek certification with regard to his unexhausted direct appellate claims and, in addition, Petitioner shall, in fact, file such application for certification, and inform this Court within sixty days from having that application for certification resolved by the Supreme Court of New Jersey; or (b) file in Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), Petitioner's all-inclusive amended petition asserting only Petitioner's duly

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<sup>6</sup> Petitioner's filing fee submitted in Salas v. Warren, Civil Action No. 12-4275 (NLH) (D.N.J.), will be applied to his action in Salas v. Warren, Civil Action No. 11-5154 (NLH).

exhausted federal claims (and the factual predicate supporting those claims) and/or Petitioner's procedurally defaulted federal claims, if any (and the factual predicate supporting those claims), provided that Petitioner states facts establishing a basis for excuse of exhaustion; and it is further

ORDERED that the Clerk shall serve this Memorandum Opinion and Order upon Petitioner by regular U.S. mail and include in said mailing a blank Section 2254 form; and it is further

ORDERED that the Clerk shall administratively terminate Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), by making a new and separate entry on the docket reading "CIVIL ACTION TERMINATED"; and it is finally

ORDERED that administrative termination of Salas v. Warren, Civil Action No. 11-5154 (NLH) (D.N.J.), is not a dismissal on merits, and no statement made in this Memorandum Opinion and Order shall be construed as indicative of this Court's withdrawal of its jurisdiction over that matter.

s/ Noel L. Hillman  
**NOEL L. HILLMAN,**  
**United States District Judge**

At Camden, New Jersey