

# Exhibit A

**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW MEXICO**

STC.UNM,

Plaintiff,

v.

INTEL CORPORATION,

Defendant.

Civil No. 10-CV-01077-RB-WDS

**STCUNM'S SURREPLY TO INTEL'S MOTION  
FOR SUMMARY JUDGMENT OF UNENFORCEABILITY**

Intel submits a new declaration and a new exhibit in its Reply Brief on its Motion for Summary Judgment. The new "evidence" is aimed at establishing that Sandia National Laboratories is a legal entity. However, the evidence already of record, from a Sandia Corporation Rule 30 (b)(6) witness (Sandia's Chief Patent Counsel), establishes that:

"... Sandia National Laboratories is essentially the facilities that are owned by the Department of Energy. And we are contractor operated by Sandia Corporation, which is the legal entity, actually, which runs Sandia National Labs.

~~~

[Sandia Corporation] manages Sandia National Laboratories which is effectively the facilities out there, but it also, you know, it is a trademark owned by the Department of Energy, Sandia National Laboratories, but, we *do business as Sandia National Laboratories* so I think most of the public knows us as Sandia National Laboratories."

Bieg [Ex U], at 8:18-9:9 (emphasis added). Thus, viewing Intel's new evidence in its best light only moves this factual matter from the category of clearly established against Intel to the category of "genuine issue of material fact." Either way, Intel loses.

Further, unlike Intel, STC.UNM obtained the underlying public records available for a sample of 20 patents of the 323 identified by Intel. Dec. of K. Vogt at ¶¶2-3. The documents obtained reveal that all of the underlying assignments identify Sandia Corporation as the entity assigned

the ownership interest. Dec. of K. Vogt; Exs 7 & 8. These documents establish that Sandia Corporation had a practice of identifying Sandia National Laboratories on the cover sheet as the party receiving the assignment and identifying Sandia Corporation in the assignment as the real party receiving the assignment. This interchangeable use of the two designations to refer to the same entity confirms that Sandia National Laboratories is indeed a DBA of Sandia Corporation as Mr. Bieg testified.

Finally, Intel's Reply Brief devotes a substantial portion of its reply (at 3-6), that it glossed over in its principal brief (fleeting references and no legal authority, at 8, 10, 18), viz., whether there was co-ownership prior to December 1, 2011 as a result of Draper's contribution to the invention. Whether the Court finds that co-ownership has always existed (Resp. at 10-11), or came into existence once the Patent Office issued the Certificate of Correction (Resp. at 8-10), the "claims" of the '998 patent need not have been invented by Draper for Sandia Corp. and STC.UNM to enjoy common in the '321 and '998 patents. *See* Resp. at 12. Intel cites to no authority to establish the incorrect legal theory it posits, *i.e.*, common owners of an invention do not enjoy common ownership in continuing patents. This is because the opposite is true. *See* Resp. Br. at 9-11 (*citing SiRF Tech., Inc. v. ITC*, 601 F.3d 1319, 1326 (Fed. Cir. 2010); *Abraxis Bioscience, Inc. v. Navinta LLC*, 625 F.3d 1359, 1364 (Fed. Cir. 2010); *Hendrie v. Sayles*, 98 U.S. 546, 554-555 (U.S. 1879); *E.I. Du Pont de Nemours & Co. v. Okuley*, 2000 U.S. Dist. LEXIS 21385, at \*80 (S.D. Ohio Dec. 21, 2000).

Dated: March 6, 2012

Deron B. Knoner  
KELEHER & MCLEOD, P.A  
201 Third Street NW, 12th Floor  
PO Box AA  
Albuquerque, New Mexico 87103  
(505) 346-4646

Respectfully submitted,

/s/ Steven R. Pedersen  
Rolf O. Stadheim  
Joseph A. Gear  
George C. Summerfield  
Keith A. Vogt  
Steven R. Pedersen  
STADHEIM & GREAR, LTD.  
400 N. Michigan Avenue, Suite 2200  
Chicago, Illinois 60611  
(312) 755-4400

*Attorneys for Plaintiff STC.UNM*

**UNITED STATES DISTRICT COURT  
DISTRICT OF NEW MEXICO**

**STC.UNM,**

**Plaintiff,**

**v.**

**INTEL CORPORATION,**

**Defendant.**

**Civil No. 10-CV-01077-RB-WDS**

**DECLARATION OF KEITH A. VOGT**

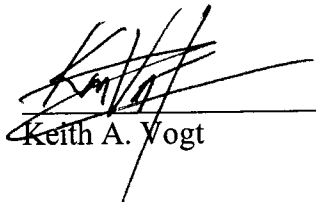
I, Keith A. Vogt, declare as follows:

1. I am an attorney at Stadheim & Grear, one of the attorneys for plaintiff STC.UNM in this case. I have personal knowledge of the matter set forth below and am competent to testify.
2. For the patents Intel identified on Intel Exhibit EE, I had a paralegal recreate the search performed by Intel. I also instructed the paralegal to perform the additional step of activating a link provided in the database that leads to what is called an Abstract of Title for a patent. The abstract provides the location where images of the actual assignment may be obtained on microfiche through what is called a Reel/Frame Number.
3. Two firms located in the Washington D.C. area were then engaged to obtain copies of the actual documentation located at the Reel/Frame Number specified for each of the 20 patents.
4. For all 20 patents, the underlying assignment identifies Sandia Corporation as the assignee while each Recordation Cover Sheet used to transmit the assignment to the USPTO identifies the assignee as Sandia National Laboratories.
5. Exhibit 7 contains true and correct copies of the results of the documentation obtained for each of the 20 patents and includes the Abstract of Title, Recordation Cover Sheet filed with the USPTO as well as the assignment that was filed along with the form. In order to provide the Court with assistance in reviewing these documents, the documentation of pages 1-5 is discussed in paragraphs 6-9 below.

6. Page 1 is the Abstract of Title. It provides (1) the patent number (2) the patent application number and (3) the microfiche location where images of the actual assignment documents may be found as a Reel/Frame Number.
7. Page 2 is a Recordation Cover Sheet. This is a form filled out by the party filling the documents and contains the information the USPTO uses to create the database Intel examined. It requires the input of the following information in a number of different locations such as (1) the name and address of the party receiving the assignment and (2) the patent application number. This form has also been stamped with the Reel/Frame number (3) at the lower right hand corner.
8. Pages 3-5 are the actual assignment. For this assignment, the second paragraph of page 3 identifies the assignee as Sandia Corporation (1). In addition, the pages have also been stamped with the Reel/Frame number at the lower right hand corner.
9. The assignments obtained were recorded from 1996-2005 by three attorneys that were either identified in the associated paper work or by Mr. Bieg as being the Chief Patent Counsel for Sandia Corporation. See Bieg Deposition at page 17-20 (Kurt Olsen) and Ex 7 at pages 9 (Timothy Stanley) and 68 (Elizabeth Krauss).
10. Exhibit 8 is a true and accurate of the information found on the USPTO website at [www.uspto.gov/faq/assignments.jsp#g142assn008](http://www.uspto.gov/faq/assignments.jsp#g142assn008) explaining what a Recordation Cover Sheet is and how it is used by the USPTO, *i.e.*, the searchable information going on the public record is transcribed directly from the cover sheet and the USPTO does not examine the underlying assignments to resolve any discrepancies between the two.

I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct.

DATED: March 6, 2012

  
\_\_\_\_\_  
Keith A. Vogt

# Exhibit 7



United States Patent and Trademark Office

[Home](#) | [Site Index](#) | [Search](#) | [Guides](#) | [Contacts](#) | [eBusiness](#) | [eBiz alerts](#) | [News](#) | [Help](#)Assignments on the Web > [Patent Query](#)**Patent Assignment Abstract of Title****NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.****Total Assignments: 1**Patent #: 5589295 (1) Issue Dt: 12/31/1996 Application #: 08568029 (2) Filing Dt: 12/06/1995

Inventors: DORA K. DERZON, CHARLES ARNOLD JR., FRANK M. DELNICK

Title: THIN FILM POLYMERIC GEL ELECTROLYTES

**Assignment: 1**Reel/Frame: 008069/0389 (3) Recorded: 08/01/1996 Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: DELNICK, FRANK M.

Exec Dt: 07/03/1996

ARNOLD, CHARLES JR.

Exec Dt: 07/11/1996

DERZON, DORA K.

Exec Dt: 07/11/1996

Assignee: SANDIA NATIONAL LABORATORIES

PO BOX 5800

LIVERMORE, NEW MEXICO 87185

Correspondent: SANDIA NATIONAL LABS

KURT OLSEN

PO BOX 969, MS9141

7011 EAST AVENUE

LIVERMORE, CA 94551

Search Results as of: 02/23/2012 10:30 AM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.3  
Web interface last modified: Dec 1, 2011 v.2.3[.HOME](#) | [INDEX](#) | [SEARCH](#) | [eBUSINESS](#) | [CONTACT US](#) | [PRIVACY STATEMENT](#)



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08-09-1996

8-1-96

Form PTO-1595 (Rev. 6-93) OMB No. 0801-0011 (exp. 6-94) REC 100249672 U.S. DEPT. OF COMMERCE Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document(s).

1. Name of conveying party(ies):  
Frank M. DeInick  
Charles Arnold Jr.  
Dora K. Derzon  
Additional name(s) of conveying party(ies) attached?  Yes  No

2. Name and address of receiving party  
Name: Sandia National Laboratories  
Internal Address: PO Box 5800  
Street Address:  
City: Livermore State: NJ ZIP: 87185  
Additional name(s) & address(es) attached:

3. Nature of conveyance:  
 Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_  
Execution Date: \_\_\_\_\_

4. Application number(s) or patent number(s):  
If this document is being filed together with a new application, the execution date of the application is:  
A. Patent Application No.(s) 08/568,029 (2)  
B. Patent No.(s)  
Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Kurt Olsen  
Internal Address: Sandia National Labs  
PO Box 969 MS9141  
Street Address: 7011 East Avenue  
City: Livermore State: CA ZIP: 94551

6. Total number of applications and date  
7. Total fee (37 CFR 3.41) \$  
 Enclosed  
 Authorized to be charged to dep  
8. Deposit account number:  
09-0131  
(Attach duplicate copy of this page if paying by account)

9. Statement and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached the original document.  
Kurt C. Olsen  
Name of Person Signing  
Signature  
Total number of pages including cover sheet, attachments, and document: 4  
Date: 2/96

VALUE ACCOUNTABILITY ACCOUNT NO. 0131  
VALUE FURNISHED 140

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet [16-5])

1 of 3)

(3)

PATENT REEL: 8069 FRAME: 9

**PATENT APPLICATION ASSIGNMENT**

We, the below-named inventors have invented certain new and useful im  
**THIN FILM POLYMERIC GEL ELECTROLYTES** for which an appl  
United States Letters Patent was executed by us on December 6, 1995.

ments in  
n for

(1)

For good and valuable consideration, the receipt of which is hereby acknc  
hereby assign to **SANDIA CORPORATION** a corporation of the State of I  
entire right, title, and interest in the application and the invention, to all rig  
thereto pursuant to the International Convention for the Protection of ind  
Property, and in any Patents on the invention that may be granted in the U  
of America and in any countries foreign thereto, including any Patents that  
reissues, continuations, substitutions or extensions of any Patents or applic  
for on the invention.

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is there-

We hereby authorize and request the Commissioner of the United States P  
Trademark Office, and the officials of any foreign countries to issue any Pa  
granted, to **SANDIA CORPORATION**.

and  
, when

Further, we hereby agree to communicate to **SANDIA CORPORATION** or  
tatives any facts known to us respecting said invention, to testify in any le  
ings, sign all lawful papers, execute all divisional, continuation, substitutor  
reissue applications, to execute all necessary assignment papers to cause a  
be issued to **SANDIA CORPORATION**, and to make all rightful oaths and  
aid **SANDIA CORPORATION** and its representatives to obtain and enforc  
tection for said invention in the United States of America and in any count  
thereto.

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We further agree that the terms, covenants, and conditions of this assignment shall be for the benefit of SANDIA CORPORATION, its successors, assigns, and legal representatives, and shall be binding on us, our heirs, legal representatives, and assigns. senta-

Date: 7/3/96 Signature: *Frank M. Delnick*  
Frank M. Delnick

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

State of Michigan

County of Washtenaw

On July 3, 1996 before me, Holly N. Barrows  
DATE NAME, TITLE OF OFFICE ary

personally appeared Frank M. Delnick

personally known to me - OR -  proved to me on the basis of satisfactory evidence to be the persons whose names are subscribed to the within instrument and acknowledged to me as their own, and that by their signature on the instrument the persons or the entity on behalf of which the persons acted, executed the instrument. authorized on the behalf of the

WITNESS my hand and official seal

SIGNATURE OF NOTARY *Holly N. Barrows*

HOLLY N. BARROWS  
Notary Public, Washtenaw County,  
My Commission Expires Mar. 11

REEL: 8069 FRAME: 6

We further agree that the terms, covenants, and conditions of this assignment shall be for the benefit of SANDIA CORPORATION, its successors, assigns, and legal representatives, and shall be binding on us, our heirs, legal representatives, and assigns. esenta-

Date: 7/11/96 Signature: [Signature]  
Charles Arnold, Jr.

Date: 7/11/94 Signature: [Signature]  
Dora K. Derzon

Date: ~~\_\_\_\_\_~~ Signature: \_\_\_\_\_

Date: \_\_\_\_\_ Signature: \_\_\_\_\_

State of New Mexico

County of Bernalillo

On July 11, 1996 before me, Cheryl S. Herrera  
DATE NAME, TITLE OF OFFICER

personally appeared Charles Arnold Jr. and Dora K. Derzon

personally known to me - OR -  proved to me on the basis of satisfactory evidence to be the persons whose names are described to me within instrument and acknowledged to me that they executed the same in their authorized capacities, and that by their signatures on the instrument the persons or the entities on behalf of which the persons acted, executed the instrument. he



SIGNATURE OF NOTARY

WITNESS my hand and official seal  
[Signature]

PATIENT



United States Patent and Trademark Office

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## Patent Assignment Abstract of Title

**NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.**

### Total Assignments: 1

Patent #: [6019811](#) Issue Dt: 02/01/2000 Application #: 08910821 Filing Dt: 08/13/1997

Inventors: ERIC SCHLIENGER, JOANNA M. ROBERTSON-URREA, DAVID MELGAARD, GREGORY J. SHELMDINE et al

Title: METALS PROCESSING CONTROL BY COUNTING MOLTEN METAL DROPLETS

### Assignment: 1

Reel/Frame: [010752/0211](#)

Recorded: 04/21/2000

Pages: 8

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [SCHLIENGER, ERIC](#)

Exec Dt: 08/12/1997

[ROBERTSON, JOANNA M.](#)

Exec Dt: 02/13/1998

[MELGAARD, DAVID](#)

Exec Dt: 08/12/1997

[SHELMEDINE, GREGORY J.](#)

Exec Dt: 08/12/1997

[VAN DEN AVYLE, JAMES A.](#)

Exec Dt: 08/12/1997

Assignee: SANDIA NATIONAL LABORATORIES

P.O. BOX 5800-MS-0161

ALBUQUERQUE, NEW MEXICO 87185

Correspondent: SANDIA NATIONAL LABORATORIES

TIMOTHY D. STANLEY

P.O. BOX 5800

ALBUQUERQUE, NM 87185-0161

Search Results as of: 02/23/2012 10:33 AM

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Web interface last modified: Dec 1, 2011 v.2.3

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04/21/00

05-05-2000

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DEPARTMENT OF COMMERCE

Rev. 6-93) OMB No 0651-0011 (EXP. 4-94)

Pat

Trademark Office

101347325

To the Honorable Commissioner of Patents and Trademarks: Please record the documents or copies thereof.

Attached original

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

Eric Schlienger, Joanna M. Robertson, David Melgaard, Gregory J. Shelmedine and James A. Van Den Avyle

SANDIA NATIONAL LABORATORIES  
P. O. Box 5800 - MS-016  
Albuquerque, New Mexico 87185-0161

SANDIA NATIONAL LABORATORIES  
7185-0161

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement; Change of Name

Other: Assignment & Confirmatory License

Execution Date: 8/12/97 & 2/13/98

Additional numbers attached? Yes  No

Additional name(s) & address(es)



If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

08/910,821  
Issued: 2/1/00

Filed: 8/13/97

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:      on     

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500

7. Total fee (37 CFR 3.4) \$40.00

Mail Stop 0161

Enclosed

Street Address: P. O. Box 5800

Authorized to be charged to deposit account     

City: Albuquerque State: NM ZIP: 87185-0161

8. Deposit Account number 19-0131

(Attach duplicate copy of this document if paying by account)

05/04/2000 BMSUYEH 00000499 190131 08910821

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(40.00 CH)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and the attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

2/00

Total number of pages including cover sheet, attachments, and documents:     

Mail documents to be recorded with required cover sheet information to the Commissioner of Patents & Trademarks, Box Assignment

REEL: 010752 F

ME: 0211

ASSIGNMENT

WHEREAS, M. Eric Schlienger, and David Melgaard, of Albuquerque, New Mexico; Joanna M. Robertson of Safford, Arizona, Gregory J. Shelmidine of Tijeras, New Mexico; and James A. Van Den Avyle of Corrales, New Mexico has(have) made an invention relating to METALS PROCESSING CONTROL BY COUNTING MOLTEN METAL DRUMS in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Patent No: S-86,555 duly executed by said inventors and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the United States Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective July 14, 1995; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all related pending patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved to the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, on, take all necessary steps, and prosecute, defend, and defend against all proceedings, references or which they may become involved.

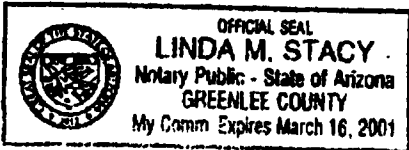
Signed at Safford, Arizona, this 13 day of February, 1998.

Joanna M. Robertson  
Joanna M. Robertson

STATE OF ARIZONA )  
 ) ss:  
COUNTY OF Greenlee

On the 13th day of February, 1998, personally appeared Linda M. Stacy, Notary Public, Joanna M. Robertson to me known to be a individual described in and who executed the foregoing instrument, and who acknowledged to me that she executed the same.

Linda M. Stacy  
Notary Public



Approved and consented to this 7 day of Feb, 1998.

SANDIA CORPORATION

Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office, 0161



ASSIGNMENT

WHEREAS, I (We) M. Eric Schlienger, of Albuquerque, New Mexico, Robert Robertson of Safford, Arizona, David Melgaard of Albuquerque, New Mexico, Shelmedine of Tijeras, New Mexico and James A. Van Den Avyle of Corrales, has(have) made an invention relating to Sol-Gel Preparation of Lead Magnesium (PMN) Powders and Thin Films in the course of the work authorized by Contract AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in application for United States patent identified as DOE Docket No. S-86,555, executed by said inventors, and

Anna M. Gregory J. v Mexico Niobate DE-

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has agreed, among other things, to assign to Sandia Corporation, its successors assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

Corporation, and in the

WHEREAS, the Government of the United States, as represented by the Department of Energy has granted a waiver of Government rights in the above invention to Sandia Corporation, W(C)91-004 effective June 26, 1996; and

U.S. identified

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and its resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

lia and ns of said

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved to the Government, his/her interest in the rights and property herein conveyed is clear of any encumbrance and that they have full right to convey the same as expressed. They agree, at the request of Sandia Corporation, to make, execute and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and acts as may reasonably be required of them in or incident to the prosecution of applications on said invention or in the adjustment or settlement of any interference or other actions or proceedings that said applications or patents thereon may be involved which they may become involved.

if any, of e and rein and ions, and do all ny or all ces or inter or in

Signed at Albuquerque, New Mexico, this 12<sup>th</sup> day of August, 1997.

M. Eric Schlienger  
M. Eric Schlienger

STATE OF NEW MEXICO )

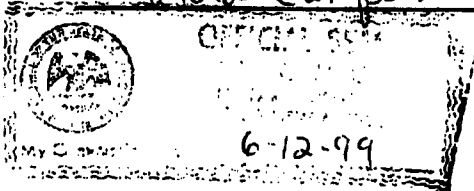
) ss:

COUNTY OF BERNALILLO )

On this 12<sup>th</sup> day of August, 1997, personally appeared before me M. Eric Schlienger, personally known to me (or proved to me on the satisfactory evidence) to be the person(s) whose name(s) is/are subscribed within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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Viola Campers



y Public

Approved and consented to this 14<sup>th</sup> day of August, 1997.

SANDIA CORPORATION

By

Timothy D. Stanley  
Chief Patent Counsel

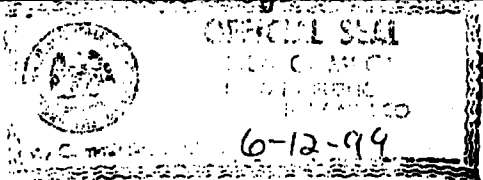
Signed at Albuquerque, New Mexico, this 12<sup>th</sup> day of August, 1997.

David Melgaard  
David Melgaard

STATE OF NEW MEXICO )  
 ) ss:  
COUNTY OF BERNALILLO )

On this 12<sup>th</sup> day of August, 1997, personally appeared before me David Melgaard, personally known to me (or proved to me on the satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) act executed the instrument.

Viola Campos



Notary Public

Approved and consented to this 12<sup>th</sup> day of August, 1997.

SANDIA CORPORATION

By Timothy D. Stanley  
Chief Patent Counsel

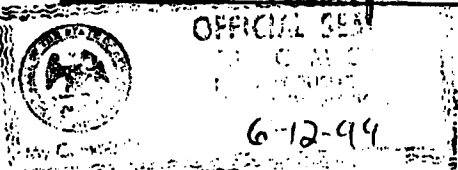
Signed at Albuquerque, New Mexico, this 12<sup>th</sup> day of August, 1997.

*Gregory J. Shelmidine*  
Gregory J. ~~Shelmidine~~ Shelmidine

STATE OF NEW MEXICO )  
 ) ss:  
COUNTY OF BERNALILLO )

On this 12<sup>th</sup> day of August, 1997, personally appeared before me Gregory J. Shelmidine, personally known to me (or proved to me on the satisfactory evidence) to be the person(s) whose name(s) is/are subscribed within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) executed the instrument.

*Viola Campos*



Notary Public

Approved and consented to this 14<sup>th</sup> day of August, 1997.

SANDIA CORPORATION

By *Timothy D. Stanley*  
Timothy D. Stanley  
Chief Patent Counsel

Signed at Albuquerque, New Mexico, this 12<sup>th</sup> day of August, 1997.

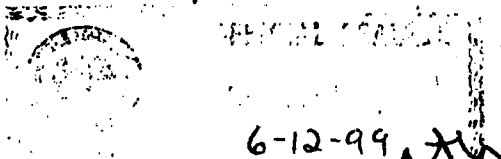
James A. Van Den Avyle  
James A. Van Den Avyle

STATE OF NEW MEXICO )  
 ) ss:  
COUNTY OF BERNALILLO )

On this 12<sup>th</sup> day of August, 1997, personally appeared before me James A. Van Den Avyle, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed within instrument and acknowledged to me that he/she/they executed the said instrument in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

Viola Campos

Notary Public



Approved and consented to this 12<sup>th</sup> day of Aug, 1997.

SANDIA CORPORATION

By Timothy D. Stanley  
Chief Patent Counsel



United States Patent and Trademark Office

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For pending or abandoned applications please consult USPTO staff.****Total Assignments: 1****Patent #:** [6110982](#)**Issue Dt:** 08/29/2000**Application #:** 09229512**Filing Dt:** 01/13/1999**Inventors:** EDWARD M. RUSSICK, PETER B. RAND**Title:** EPOXY FOAMS USING MULTIPLE RESINS AND CURING AGENTS**Assignment: 1****Reel/Frame:** [010074/0875](#)**Recorded:** 07/06/1999**Pages:** 3**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** [RUSSICK, EDWARD M.](#)**Exec Dt:** 01/12/1999[RAND, PETER B.](#)**Exec Dt:** 01/12/1999**Assignee:** [SANDIA NATIONAL LABORATORIES](#)

P.O. BOX 5800 - MS-0161

ALBUQUERQUE, NEW MEXICO 87185

**Correspondent:** SANDIA NATIONAL LABORATORIES

TIMOTHY D. STANLEY

PATENT &amp; LICENSING, 11500

P.O. BOX 5800, MAIL STOP 0161

ALBUQUERQUE, NEW MEXICO 87185-0161

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07-12-1999

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FORM PTO-1595 RI  
Rev. 6-93) OMB No 0651-0011 (EXP 4/94)



U.S. DEPARTMENT OF COMMERCE  
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101086290

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Original

MCS 7-6-99

|                                                                         |                                                                                                                                          |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Name of conveying party(ies):<br>Edward M. Russick and Peter B. Rand | 2. Name and address of receiving party(ies):<br>SANDIA NATIONAL LABORATORY<br>P. O. Box 5800 - MS-0161<br>Albuquerque, New Mexico 87185- |
|-------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other: Assignment & Confirmatory License



Execution Date: 1/12/99 Additional name(s) & address(es) attached?  No

If this document is being filed together with a new application, the execution date of the application is:

|                                                   |                                                 |
|---------------------------------------------------|-------------------------------------------------|
| A. Patent Application No.(s)<br><u>09/229,512</u> | B. Patent No.(s)<br>Filed: <u>1/13/99</u> Issue |
|---------------------------------------------------|-------------------------------------------------|

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed: 6. Total number of applications and patents involved: one

Name: Timothy D. Stanley  
 Internal Address: Sandia National Laboratories  
Patent & Licensing, 11500  
Mail Stop 0161,  
 Street Address: P. O. Box 5800

7. Total fee (37 CFR 3.41) 0.00

Enclosed  
 Authorized to be charged to deposit account

City: Albuquerque State: NM ZIP: 87185-0161 8. Deposit Account number: 0131  
(Attach duplicate copy of this page if filing by account)

07/09/1999 NGUYEN 00000234 190131 09229512 DO NOT USE THIS SPACE

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and the attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

lag

Total number of pages including cover sheet, attachments, and document: \_\_\_\_\_

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATE REEL: 010074 F ME: 0875

ASSIGNMENT

WHEREAS, Edward M. Russick and Peter B. Rand, of Albuquerque, Mexico, have made an invention relating to EPOXY FOAMS USING MULTIPLE RECURRING CURING AGENTS, in the course of the work authorized by Contract DE-AC04-89-OR-21485 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, identified as DOE Docket No: S-89751, duly executed by Edward M. Russick and Peter B. Rand January 12, 1999, in Albuquerque, NM.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, as(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, inventions, discoveries, or improvements made or conceived in the course of their employment, rights to said inventions, discoveries, or improvements; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993, and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, on, take all necessary steps, including the filing of all required oaths and do all acts as may reasonably be required of them in or in connection with the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may be involved in or in which they may become involved.



Signed at Albuquerque, New Mexico.

Edward M. Russick  
Edward M. Russick

Date: 1/12/99

Peter B. Rand  
Peter B. Rand

Date: 1/12/99

STATE OF NEW MEXICO        )  
                                          ) ss:  
COUNTY OF BERNALILLO     )

On the the dates listed above, personally appeared before me, Elmer A. Klavetter, Notary Public, Edward M. Russick and Peter B. Rand, to me known to be the individuals described in the instrument who executed the foregoing instrument, and who acknowledged to me that they executed the instrument.

Elmer A. Klavetter  
Notary Public

May 8, 2002

Approved and consented to this 12 day of Jan, 1999.

SANDIA CORPORATION

Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office, M. 61



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For pending or abandoned applications please consult USPTO staff.****Total Assignments: 2**

**Patent #:** [6198180](#)      **Issue Dt:** 03/06/2001      **Application #:** 09346500      **Filing Dt:** 06/30/1999  
**Inventor:** ERNEST J. GARCIA  
**Title:** MICROMECHANISMS WITH FLOATING PIVOT

**Assignment: 1**

**Reel/Frame:** [010366/0788](#)      **Recorded:** 11/04/1999      **Pages:** 3  
**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).  
**Assignor:** [GARCIA, ERNEST J.](#)      **Exec Dt:** 06/30/1999  
**Assignee:** [SANDIA NATIONAL LABORATORIES](#)  
P.O. BOX 5800-MS-0161  
ALBUQUERQUE, NEW MEXICO 87185

**Correspondent:** SANDIA NATIONAL LABORATORIES  
TIMOTHY D. STANLEY  
PO BOX 5800  
MAIL STOP 0161  
ALBUQUERQUE, NM 87185-0161

**Assignment: 2**

**Reel/Frame:** [014066/0943](#)      **Recorded:** 05/15/2003      **Pages:** 3  
**Conveyance:** CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).  
**Assignor:** [SANDIA CORPORATION](#)      **Exec Dt:** 11/01/1999  
**Assignee:** [U.S. DEPARTMENT OF ENERGY](#)  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DISTRICT OF COLUMBIA 20585-0162

**Correspondent:** U.S. DEPARTMENT OF ENERGY  
BETTY A. WINCHESTER  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DC 20585-0162

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11-12-1999

MND 11/4/99  
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documents or copies thereof.



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ease record the

hed original

1. Name of conveying party(ies):

2. Name and address of recipient

party(ies)

|                  |                                                                                            |                 |
|------------------|--------------------------------------------------------------------------------------------|-----------------|
| Ernest J. Garcia | <b>SANDIA NATIONAL LABORATORIES</b><br>P. O. Box 5800 - MS-0161<br>Albuquerque, New Mexico | <b>185-0161</b> |
|------------------|--------------------------------------------------------------------------------------------|-----------------|

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment  Merger

Security Agreement  Change of Name

Other: Assignment & Confirmatory License



Execution Date: 6/30/99

Additional name(s) & address(es)

id? Yes  No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/346,500

Filed: 6/30/99 Issued: \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one

Name: Timothy D. Stanley

7. Total fee (37 CFR 3.41) 40.00

Internal Address: Sandia National Laboratories

Enclosed

Patent & Licensing, 11500

Authorized to be charged to deposit account

Mail Stop 0161

Street Address: P. O. Box 5800

8. Deposit Account number: 9-0131

City: Albuquerque State: NM ZIP: 87185-0161

(Attach duplicate copy of this page if paying by account)

11/09/1999 JSMBDZZ 00000117 190131 09346500

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

and any

Timothy D. Stanley

Signature

Date

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Washington, D.C. 20231

PATE  
REEL: 010366 F  
ME: 0788

ASSIGNMENT

WHEREAS, Ernest J. Garica of Albuquerque, New Mexico; has(have) made an invention relating to MICROMECHANISMS WITH FLOATING PIVOT in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-92,440 disclosed and executed by said inventor(s) on June 30, 1999, and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the United States Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all related pending and future patents, including reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved to the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agree, at the request of Sandia Corporation, to make, execute, and deliver to Sandia Corporation, the United States Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or in connection with the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may be involved or in which they may become involved.

Signed at Albuquerque, New Mexico.

Ernest J. Garcia  
Ernest J. Garcia

Date: 6-29-99

Date:         

STATE OF NEW MEXICO       )  
                                          ) ss:  
COUNTY OF BERNALILLO    )

On the dates indicated above, personally appeared before me, Udella L. Kump, Notary Public, Ernest J. Garcia to me known to be the individual(s) described in and who executed the foregoing instrument, and who acknowledged to me that he executed the same.

Udella L. Kump  
Notary Public

6-29-01

Approved and consented to this 30 day of June, 1999.

SANDIA CORPORATION

Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office, 0161



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Assignments on the Web > Patent Query**Patent Assignment Abstract of Title****NOTE: Results display only for issued patents and published applications.  
For pending or abandoned applications please consult USPTO staff.****Total Assignments: 2****Patent #:** 6220166      **Issue Dt:** 04/24/2001      **Application #:** 09365655      **Filing Dt:** 08/02/1999**Inventor:** CHRISTOPHER R. CHERRY**Title:** APPARATUS AND METHOD FOR PRODUCING FRAGMENT-FREE OPENINGS**Assignment: 1****Reel/Frame:** 010367/0099      **Recorded:** 11/04/1999      **Pages:** 3**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** CHERRY, CHRISTOPHER R.      **Exec Dt:** 08/02/1999**Assignee:** SANDIA NATIONAL LABORATORIES  
P.O. BOX 5800 -MS-0161  
ALBUQUERQUE, NEW MEXICO 87185**Correspondent:** SANDIA NATIONAL LABORATORIES  
PATENT & LICENSING, 11500  
TIMOTHY D. STANLEY  
MAIL STOP 0161, P.O. BOX 5800  
ALBUQUERQUE, NM 87185-0161**Assignment: 2****Reel/Frame:** 014301/0690      **Recorded:** 02/05/2004      **Pages:** 3**Conveyance:** CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).**Assignor:** SANDIA CORPORATION      **Exec Dt:** 11/01/1999**Assignee:** ENERGY, U.S. DEPARTMENT OF  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DISTRICT OF COLUMBIA 20585-0162**Correspondent:** U.S. DEPARTMENT OF ENERGY  
BETTY A. WINCHESTER  
1000 INDEPENDENCE AVENUE, N.W.  
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Rev. 6-93, OMB No. 4015-1001 (EXP)

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documents or copies thereof.

Patents and Trademarks: Please record the attached original

1. Name of conveying party(ies):

2. Name and address of receiving party(ies)

Christopher R. Cherry

SANDIA NATIONAL LABORATORIES  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico 87185-0161

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement  Change of Name

Other: Assignment & Confirmatory License

Execution Date: 8/2/99

Additional name(s) & address(es) attached? Yes  No

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

B. Patent No.(s)

09/365,655

Filed: 8/2/99 Issued:

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one (1)

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500

7. Total fee (37 CFR 3.41) \$40.00

Mail Stop 0161

Enclosed

Street Address: P. O. Box 5800

Authorized to be charged to deposit account

City: Albuquerque State: NM ZIP: 87185-0161

8. Deposit Account number: 19-0131

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9 Statement and signature

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Timothy D. Stanley

Signature

Date

11/99

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT  
REEL: 010367 FRAME: 0099

**ASSIGNMENT**

WHEREAS, Christopher R. Cherry of Albuquerque, New Mexico has(have) made an invention relating to **APPARATUS AND METHOD FOR PRODUCING FRAGMENT-FREE OPENINGS** in the course of the work authorized by Contract DE-AC04-94AL85000 between **SANDIA CORPORATION** and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-91,406 duly executed by said inventors on Aug 2, 1999.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.





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## Patent Assignment Abstract of Title

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### Total Assignments: 1

Patent #: [6255659](#) Issue Dt: 07/03/2001 Application #: 09113686 Filing Dt: 07/10/1998

Inventor: DAVID R. SANDISON

Title: METHOD AND APPARATUS FOR PASSIVE OPTICAL DOSIMETER COMPRISING CAGED DYE MOLECULES

### Assignment: 1

Reel/Frame: [011525/0091](#)

Recorded: 01/26/2001

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [SANDISON, DAVID R.](#)

Exec Dt: 07/08/1998

Assignee: [SANDIA NATIONAL LABORATORIES](#)

P.O. BOX 5800 - MS-0161  
ALBUQUERQUE, NEW MEXICO 87185

Correspondent: SANDIA NATIONAL LABORATORIES

TIMOTHY D. STANLEY  
PATENT & LICENSING, 11500  
MAIL STOP 0161, P.O. BOX 5800  
ALBUQUERQUE, NM 87185-0161

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Rev. 6-93 OMB No. 0651-0011 (EXP. 4/94)

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2. Name and address of receiving party(ies)

|                   |                                                                                                      |
|-------------------|------------------------------------------------------------------------------------------------------|
| David R. Sandison | <b>SANDIA NATIONAL LABORATORIES</b><br>P. O. Box 5800 - MS:016<br>Albuquerque, New Mexico 87185-0161 |
|-------------------|------------------------------------------------------------------------------------------------------|

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

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- Security Agreement  Change of Name
- Other: Assignment & Confirmatory License

Execution Date(s): 7/8/98

Additional name(s) & address(es) attached? Yes  No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09/113,686

Filed: 7/10/98

Issued:

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500  
Mail Stop 0161  
Street Address: P. O. Box 5800

7. Total fee (37 CFR 3.4) 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account number 9-0131

City: Albuquerque State: NM ZIP: 87185-0161

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02/22/2001 00000092 190131 09113686  
01 FC:581 40.00 CH

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9. Statement and signature...

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

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PATE REEL: 011525 F

ME: 0091

ASSIGNMENT

WHEREAS, David R. Sandison of Edgewood, New Mexico has(have) made an invention relating to UNCAGED FLUOROPHORE INDICATES UV EXPOSURE in the course of the work done by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an United States patent, identified as DOE Docket No: S-87,512 duly executed by said inventor on July 8, 1998.

invention  
authorized  
STATES  
creation for  
inventors on

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, have agreed, among other things, to assign to Sandia Corporation, its successors and assigns all inventions, discoveries, or improvements made or conceived in the course of their employment by Sandia Corporation;

has(have)  
all rights to  
invention; and

WHEREAS, the Government of the United States, as represented by the United States Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

Department  
to Sandia

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all rights and interests wherever they may be granted, as well as reissues or extensions of said patents.

Corporation  
regarding patents,

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved to the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. At the request of Sandia Corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all documents, affidavits, applications, statements or other instruments relating to said invention, and to take all rightful oaths and do all acts as may reasonably be required of them in or in connection with the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon or in which they may become involved.

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**Patent #:** 6269725      **Issue Dt:** 08/07/2001      **Application #:** 09366285      **Filing Dt:** 08/02/1999  
**Inventor:** CHRISTOPHER R. CHERRY  
**Title:** FLUID-FILLED BOMB-DISRUPTING APPARATUS AND METHOD

**Assignment: 1**

**Reel/Frame:** 010366/0965      **Recorded:** 11/05/1999      **Pages:** 3

**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** CHERY, CHRISTOPHER R.      **Exec Dt:** 08/02/1999**Assignee:** SANDIA CORPORATION  
PATENT & LICENSING, 11500 MAIL STOP 0161 POB 5800  
ALBUQUERQUE, NEW MEXICO 87185**Correspondent:** SANDIA NATIONAL LABORATORIES PATENT &  
LICENSING, 11500  
TIMOTHY D. STANLEY  
P.O. BOX 5800  
ALBUQUERQUE, NM 87185-0161**Assignment: 2**

**Reel/Frame:** 010753/0691      **Recorded:** 04/28/2000      **Pages:** 4

**Conveyance:** CORRECTIVE ASSIGNMENT TO CORRECT ASSIGNOR'S NAME PREVIOUSLY RECORDRD AT REEL 010366, FRAME 0965.**Assignor:** CHERY, CHRISTOPHER R.      **Exec Dt:** 08/02/1999**Assignee:** SANDIA NATIONAL LABORATORIES  
P.O. BOX 5800-MS-0161  
ALBUQUERQUE, NEW MEXICO 87185**Correspondent:** SANDIA NATIONAL LABORATORIES  
TIMOTHY D. STANLEY  
PATENT & LICENSING 11500  
MAIL STOP 0161 P.O. BOX 5800  
ALBUQUERQUE, NM 87185-0161**Assignment: 3**

**Reel/Frame:** 013998/0160      **Recorded:** 04/25/2003      **Pages:** 3

**Conveyance:** CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).**Assignor:** SANDIA CORPORATION      **Exec Dt:** 04/16/2003**Assignee:** ENERGY, U.S. DEPARTMENT OF  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DISTRICT OF COLUMBIA 20585-0162**Correspondent:** U.S. DEPARTMENT OF ENERGY  
BETTY A. WINCHESTER  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, D.C. 20585-0162

Search Results as of: 02/23/2012 10:35 AM

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Web interface last modified: Dec 1, 2011 v.2.3

To the Honorable Commissioner of Patent documents or copies thereof.



be attached original

101198461

1. Name of conveying party(ies):

Christopher R. Chery

2. Name and address of receiving party(ies)

SANDIA CORPORATION  
Patent & Licensing, 11500  
Mail Stop 0161  
P. O. Box 5800  
Albuquerque, State: NM ZIP: 87185-0161

Additional name(s) of conveying party(ies) attached? Yes  No

Additional name(s) & address(es) attached? Yes  No

3. Nature of conveyance:

Assignment                      Merger  
Security Agreement    Change of Name  
Other: Assignment & Confirmatory License \_\_\_\_\_  
Execution Dates: 8/2/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/366,285

B. Patent No.(s)

Filed: 8/2/99                      Issued:

Additional numbers attached?                      Yes                      X                      No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500  
Mail Stop 0161  
Street Address: P. O. Box 5800

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 3.41) \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account number: 19-0131

City: Albuquerque    State: NM    ZIP: 87185-0161

(Attach duplicate copy of this page if paying by account)

11/10/1999 JIMBROZZ 00000031 190131 09266285

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9. Statement and signature.

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Timothy D. Stanley

Signature

Date

11/1/99

Total number of pages including cover sheet, attachments, and document: 3

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Washington, D.C. 20231

PATENT  
REEL: 010366 FRAME: 0965

ASSIGNMENT

WHEREAS, Christopher R. Cherry of Albuquerque, New Mexico has(have) made an invention relating to **FLUID-FILLED BOMB-DISRUPTING APPARATUS AND METHOD** in the course of the work authorized by Contract DE-AC04-94AL85000 between **SANDIA CORPORATION** and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-91,392 duly executed by said inventors on August 2, 1999.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.





4-28-00

05-05-2000

Corrected



101347666

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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1. Name of conveying party(ies):

Christopher R. Chertis

2. Name and address of receiving party(ies)

SANDIA NATIONAL LABORATORIES  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico 87185-0161

Additional names of conveying parties attached? Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement Change of Name

Other Assignment & Confirmatory License

Execution Date 8-2-00

Additional names & addresses attached? Yes  No

If this document is being filed together with a new application the execution date of the application is:

A. Patent Application No.(s)

09-366,285  
Issued:

B. Patent No.(s)

Filed: 8-2-99

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Timothy D. Stanley

Internal Address: Sandia National Laboratories  
Patent & Licensing, 11500

Mail Stop 0161

Street Address: P. O. Box 5800

City: Albuquerque State: NM ZIP: 87185-0161

6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 3.41) \$40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit Account number: 19-0131

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

4/25/2000

Total number of pages including cover sheet, attachments, and document: 3

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Washington, D.C. 20231

PATENT  
REEL: 010753 FRAME: 0691

FORM PTO-1595

RECORDATION

Rev. 6-83 OMB No. 0651-0011 (EXP. 6/94)

PATENT

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Honorable Commissioner of Patents  
documents or copies thereof.

04-11-2000

be attached original

1. Name of conveying party(ies):

Christopher R. Chery

101297143

2. Name and address of receiving party(ies)

SANDIA CORPORATION  
Patent & Licensing, 11500  
Mail Stop 0161  
P. O. Box 5800  
Albuquerque, State: NM ZIP: 87185-0161

Additional name(s) of conveying party(ies) attached? Yes  No

Additional name(s) & address(es) attached? Yes  No

3. Nature of conveyance:

Assignment Merger  
Security Agreement Change of Name  
Other: Assignment & Confirmatory License  
Execution Dates: 8/2/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/366,285

B. Patent No.(s)

Filed: 8/2/99 Issued:

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence  
concerning document should be mailed:

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500  
Mail Stop 0161  
Street Address: P. O. Box 5800

6. Total number of applications and patents  
involved: one (1)

7. Total fee (37 CFR 3.41) \$40.00

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Authorized to be charged to deposit account

8. Deposit Account number: 19-0131

(Attach duplicate copy of this page if paying by account)

City: Albuquerque State: NM ZIP: 87185-0161

11/10/1979 JHNNZZ 0000031 190121 09266285

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(40.00 CH)

9. Statement and signature.

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attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

W/1/99  
Date

Total number of pages including cover sheet, attachments, and document: 3

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Washington, D.C. 20231

PATENT  
REEL: 010753 FRAME: 0692

July 27, 1999

**ASSIGNMENT**

WHEREAS, Christopher R. Cherry of Albuquerque, New Mexico has(have) made an invention relating to **FLUID-FILLED BOMB-DISRUPTING APPARATUS AND METHOD** in ~~the course of the work~~ authorized by Contract DE-AC04-94AL85000 between **SANDIA CORPORATION** and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-91,392 duly executed by said inventors on August 2, 1999.

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resulting patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.

**PATENT**  
**REEL: 010753 FRAME: 0693**





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**Patent Assignment Abstract of Title**

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**Total Assignments: 2**

Patent #: 6445092 Issue Dt: 09/03/2002 Application #: 09590087 Filing Dt: 06/07/2000

Inventors: Barry M. Marder, Maynard Cowan JR.

Title: Dual-circuit segmented rail phased induction motor

**Assignment: 1**

Reel/Frame: 010935/0378 Recorded: 06/26/2000 Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: MARDER, BARRY M.

Exec Dt: 06/07/2000

COWAN, MAYNARD JR.

Exec Dt: 06/12/2000

Assignee: SANDIA NATIONAL LABORATORIES

P.O. BOX 5800 - MS-0161  
ALBUQUEQUE, NEW MEXICO 87185

Correspondent: TIMOTHY D. STANLEY

P.O. BOX 5800  
SANDIA NATIONAL LABORATORIES  
PATENT & LICENSING, 11500 MAIL STOP 0161  
ALBUQUERQUE NM 87185-0161

**Assignment: 2**

Reel/Frame: 014468/0773 Recorded: 03/30/2004 Pages: 3

Conveyance: CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).

Assignor: SANDIA CORPORATION

Exec Dt: 06/20/2000

Assignee: ENERGY, U.S. DEPARTMENT OF  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DISTRICT OF COLUMBIA 20585-0162

Correspondent: U.S. DEPARTMENT OF ENERGY

BETTY A. WINCHESTER  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, D.C. 20585-0162

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FORM PTO-1544

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Patent and Trademark Office

Rev. 6-93 OMB No 0651-0011 (EXP. 3-94)

101414275

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1. Name of conveying party(ies):

2. Name and address of recipient party(ies)

Barry M. Marder and Maynard Cowan, Jr.

SANDIA NATIONAL LABORATORIES  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico

LABORATORIES  
85-0161

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment : Merger

Security Agreement; Change of Name

Other: Assignment & Confirmatory License

Execution Date: June 7 & June 12, 2000

Additional name(s) & address(es) attached?  No

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

09590.087

Filed 6/7/00 Issue

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one

Name: Timothy D. Stanley

7. Total fee (37 CFR 3.41) 0.00

Internal Address: Sandia National Laboratories

Enclosed

Patent & Licensing, 11500

Authorized to be charged to deposit account

Mail Stop 0161

Street Address: P. O. Box 5800

8. Deposit Account number 1-0131

City: Albuquerque State: NM ZIP: 87185-0161

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07/26/2000 NTHA11 00000021 190131 09590087

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and attached copy is a true copy of the original document.

and any

Timothy D. Stanley

Signature

Date 6/2000

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Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATENT REEL: 010935 FILED: 0378

ASSIGNMENT

WHEREAS, Barry M. Marder and Maynard Cowan, Jr. of A Mexicohas(have) made an invention relating to Dual-Circuit Segmented Rail Motor in the course of the work authorized by Contract DE-AC04-94AL85000 CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said disclosed and claimed in an application for United States patent, identified as DOE S-91.930 duly executed by said inventors and

erque. New ed Induction en SANDIA ention being ket No:

WHEREAS Inventor(s), in consideration of employment by Sandia Corp agreed, among other things, to assign to Sandia Corporation, its successors and as inventions, discoveries, or improvements made or conceived in the course of their

on, has(have) . all rights to yment; and

WHEREAS, the Government of the United States, as represented by the of Energy has granted a waiver of Government rights in the above-identified in Corporation under Class Waiver W(C)93-012; and

Department on to Sandia

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sa their entire right, title, and interest in and to said invention and in and to all wherever they may be granted, as well as reissues or extensions of said patents.

Corporation ting patents.

Inventor(s) covenants with Sandia Corporation that, except for the right Government, his/her interest in the rights and property herein conveyed is free encumbrance and that they have full right to convey the same as herein expressed the request of Sandia corporation, to make, execute, and deliver to Sandia Government, or other party prosecuting patent applications on this invention, an documents, affidavits, applications, statements or other instruments relating to sa all rightful oaths and do all acts as may reasonably be required of them in c prosecution of any or all applications on said invention or in the adjustment or interferences or other actions or proceedings that said applications or patents there or in which they may become involved.

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ASSIGNMENT

WHEREAS. **Barry M. Marder and Maynard Cowan, Jr.** of Al Mexico has(have) made an invention relating to **Dual-Circuit Segmented Rail Motor** in the course of the work authorized by Contract DE-AC04-94AL85000 b **CORPORATION** and the UNITED STATES DEPARTMENT OF ENERGY, said disclosed and claimed in an application for United States patent, identified as DOE S-91.930 duly executed by said inventors and

erque. New  
d Induction  
en SANDIA  
ention being  
set No:

WHEREAS Inventor(s), in consideration of employment by Sandia Corp agreed, among other things, to assign to Sandia Corporation, its successors and ass inventions, discoveries, or improvements made or conceived in the course of their e

n, has(have)  
all rights to  
oyment; and

WHEREAS, the Government of the United States, as represented by the of Energy has granted a waiver of Government rights in the above-identified inv Corporation under Class Waiver W(C)93-012; and

Department  
on to Sandia

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sa their entire right, title, and interest in and to said invention and in and to all wherever they may be granted, as well as reissues or extensions of said patents.

Corporation  
ing patents.

Inventor(s) covenants with Sandia Corporation that, except for the righ Government, his/her interest in the rights and property herein conveyed is free encumbrance and that they have full right to convey the same as herein expressec the request of Sandia corporation, to make, execute, and deliver to Sandia Government, or other party prosecuting patent applications on this invention, an documents, affidavits, applications, statements or other instruments relating to sai all rightful oaths and do all acts as may reasonably be required of them in o prosecution of any or all applications on said invention or in the adjustment or s interferences or other actions or proceedings that said applications or patents therec or in which they may become involved.

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For pending or abandoned applications please consult USPTO staff.****Total Assignments: 2**Patent #: [6452167](#) Issue Dt: 09/17/2002 Application #: 09315001 Filing Dt: 05/19/1999

Inventor: THOMAS E. FELTER

Title: MINIATURE QUADRUPOLE MASS SPECTROMETER HAVING A COLD CATHODE IONIZATION SOURCE

**Assignment: 1**Reel/Frame: [014390/0629](#) Recorded: 03/02/2004 Pages: 3

Conveyance: CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).

Assignor: [SANDIA CORPORATION](#)

Exec Dt: 06/06/2002

Assignee: [U.S. DEPARTMENT OF ENERGY](#)1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, DISTRICT OF COLUMBIA 20585-0162

Correspondent: U.S. DEPARTMENT OF ENERGY

BETTY A. WINCHESTER  
1000 INDEPENDENCE AVENUE, S.W.  
WASHINGTON, D.C. 20585-0162**Assignment: 2**Reel/Frame: [012938/0743](#) Recorded: 07/30/2002 Pages: 2

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: [FELTER, THOMAS E.](#)

Exec Dt: 07/26/2002

Assignee: [SANDIA NATIONAL LABORATORIES](#)P. O. BOX 969  
LIVERMORE, CALIFORNIA 94551

Correspondent: SANDIA NATIONAL LABS

KURT C. OLSEN  
MS 9031  
P. O. BOX 969  
LIVERMORE, CA 94551-0969

Search Results as of: 02/23/2012 10:41 AM

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(Rev. 03/01)

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U.S. PATENT

FEDERAL COMMERCE  
TRADEMARK OFFICE

OMB No. 0661-0027 (exp. 5/31/2002)

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1. Name of conveying party(ies):

THOMAS E. FELTER

2. Name and address of receiving party

Name: SANDIA NATIONAL LABS

RIES

Internal Address:

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

Street Address: P. O. BOX 969

City: LIVERMORE State: CA

Zip: 94551-0969

Execution Date: JULY 26, 2002

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s): 09/315,001

If this document is being filed together with a new application, the execution date of the applica

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: KURT C. OLSEN, MS 9031

Internal Address: SANDIA NATIONAL LABS

Street Address: P. O. BOX 969

City: LIVERMORE State: CA Zip: 94551-0969

6. Total number of applications and pat

involved: 1

7. Total fee (37 CFR 3.41).....\$4

- Enclosed
- Authorized to be charged to de

account

8. Deposit account number:

50-0583

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d copy

KURT C. OLSEN

Name of Person Signing

  
Signature

7/30

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Washington, D.C. 20231

DOE S-90495

Attorney Docket: S. 74.1

**ASSIGNMENT OF APPLICATION**

**Assignee: Sandia Corporation**

1. I am an inventor of MINIATURE QUADRUPOLE MASS SPECTROMETER HAVING A COLD CATHODE IONIZATION SOURCE, which was filed in the United States patent application number 09/315,001 on May 19, 1999.
2. The invention was made in the course of my employment by Sandia Corporation (SANDIA), a Delaware corporation. I agreed to assign the invention to SANDIA. The U. S. Department of Energy (DOE) has rights in the invention under contract number DE-AC04-94AL85000 with SANDIA. DOE waived some of these rights in declarations captioned, without limitation: W(C)93-012; W(C)93-013; W(C)94-011; W(C)97-001.
3. I hereby sell, assign and transfer to SANDIA my entire right, title and interest throughout the world in the identified invention and all patents thereon.

Thomas E Felter Date: 7/26/02  
 Thomas E. Felter



United States Patent and Trademark Office

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Assignments on the Web > Patent Query**Patent Assignment Abstract of Title****NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.****Total Assignments: 2**Patent #: 6454972 Issue Dt: 09/24/2002 Application #: 09721835 Filing Dt: 11/22/2000

Inventors: Sherry L. Morissette, Joseph Cesarno III, Jennifer A. Lewis, Duane B. Dimos

Title: SOLID FREEFORM FABRICATION USING CHEMICALLY REACTIVE SUSPENSIONS

**Assignment: 1**Reel/Frame: 011604/0074 Recorded: 03/07/2001 Pages: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: DIMOS, DUANE B.

Exec Dt: 12/13/2000

MORISSETTE, SHERRY L.

Exec Dt: 02/20/2001

CESARANO, JOSEPH III.

Exec Dt: 12/05/2000

Assignee: SANDIA NATIONAL LABORATORIES

P.O. BOX 5800 - MS-0161

ALBUQUERQUE, NEW MEXICO 87185

Correspondent: SANDIA NATIONAL LABORATORIES

PATENT &amp; LICENSING, 11500

MAIL STOP 0161

P.O. BOX 5800

ALBUQUERQUE, NM 87185-0161

**Assignment: 2**Reel/Frame: 014401/0921 Recorded: 08/19/2003 Pages: 2

Conveyance: CONFIRMATORY LICENSE (SEE DOCUMENT FOR DETAILS).

Assignor: SANDIA CORPORATION

Exec Dt: 07/11/2003

Assignee: U.S. DEPARTMENT OF ENERGY

1000 INDEPENDENCE AVENUE, S.W.

WASHINGTON, DISTRICT OF COLUMBIA 20585-0162

Correspondent: U.S. DEPARTMENT OF ENERGY

1000 INDEPENDENCE AVENUE, S.W.

WASHINGTON, DC 20585-0162

Search Results as of: 02/23/2012 10:41 AM

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Web interface last modified: Dec 1, 2011 v.2.3

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03-26-2001

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Rev. 6-93) OMB No 0651-0011 (EXP. 4/94)



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Patent

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number

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2. Name and address of receiving party(ies)

Number

Sherry L. Morisette, Joseph Cesarano III and  
Duane B. Dimos

3-7-01

SANDIA NATIONAL LAB  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico

LABORATORIES  
85-0161

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment

Merger

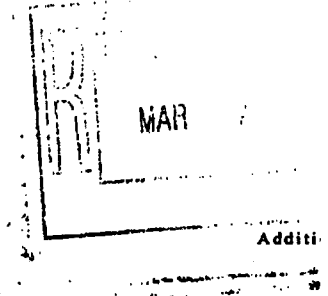
Security Agreement

Change of Name

Other: Assignment & Confirmatory License

Execution Date: 12/5/00, 12/13/00 & 2/20/01

Additional name(s) attached? Yes  No



If this document is being filed together with a new application, the execution date of the application

A. Patent Application No.(s)

B. Patent No.(s)

09/721,835  
Issued:

Filed: 11/20/00

Additional numbers attached? Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500  
Mail Stop 0161

7. Total fee (37 CFR 3.41): 0.00

Enclosed

Account  
Street Address: P. O. Box 5800

Authorized to be charged deposit

8. Deposit Account number: -0131

City: Albuquerque State: NM ZIP: 87185-0161 (Attach duplicate copy of this page)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

Total number of pages including cover sheet, attachments, and document: 1

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATE  
REEL: 011604 F

ME: 0074

ASSIGNMENT

WHEREAS, Joseph Cesarano III and Duane B. Dimos of Albuquerque and Sherry L. Morisette of Belmont, Massachusetts has(have) made an invention relating to Solid Freeform Fabrication Using Chemically Reactive Suspensions in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-92,314 duly executed by said inventors and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns all rights to inventions, discoveries, or improvements made or conceived in the course of their employment;

WHEREAS, the Government of the United States, as represented by the United States Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all related patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved by the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. Inventor(s) agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all documents, affidavits, applications, statements or other instruments relating to said invention, take all rightful oaths and do all acts as may reasonably be required of them in or in connection with the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may encounter or in which they may become involved.



Signed at Albuquerque, New Mexico.

Joseph Cesarano III

Joseph Cesarano III

December 5, 2000

Date

Duane B. Dimos

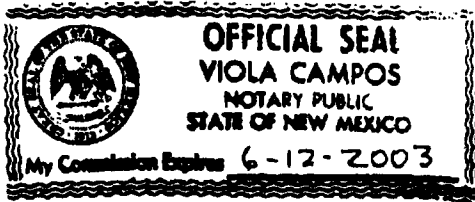
Duane B. Dimos

December 13, 2000

Date

STATE OF NEW MEXICO )  
 ) ss:  
COUNTY OF BERNALILLO)

On the 5<sup>th</sup> + 13<sup>th</sup> of Dec., 2000, personally appeared before me, Joseph Cesarano III and Duane B. Dimos, Notary Public, Viola Campos to me known to be the individual(s) identified in and who executed the foregoing instrument, and who acknowledged to me that they executed the same.



Viola Campos

Notary Public

Approved and consented to this 5<sup>th</sup> day of DECEMBER, 2000.

SANDIA CORPORATION

Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office,

161

**ASSIGNMENT**

WHEREAS, Sherry L. Morissette of Belmont, MA; has(have) made an invention relating to Solid Freeform Fabrication Using Chemically Reactive Suspensions in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and used in an application for United States patent, identified as DOE Docket No: S-92,314 duly entered by said inventors .

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation, has(have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns all rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

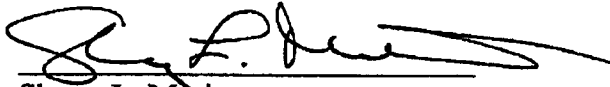
WHEREAS, the Government of the United States, as represented by the United States Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all related patents, wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights reserved to the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. They agree, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all papers, documents, affidavits, applications, statements or other instruments relating to said invention, on, take all oaths, and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any differences or other actions or proceedings that said applications or patents thereon may encounter which they may become involved.

Signed at Waltham, MA, this 20 day of February  
City, State

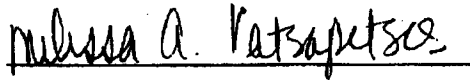
01.

  
Sherry L. Morissette

STATE OF MA )  
 ) ss:  
COUNTY OF Middlesex )

On the 20 day of February, 2001, personally appeared \_\_\_\_\_, Notary Public, Sherry L. Morissette to me known to be the individual described in and who executed the foregoing instrument, and who acknowledged to have executed the same.

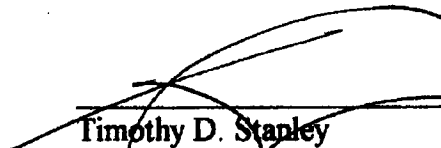
ore me,  
individual  
that she

  
\_\_\_\_\_

Notary Public

Approved and consented to this 20th day of February, 2001.

SANDIA CORPORATION

  
Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office,

ME: 0161



United States Patent and Trademark Office

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P.O. BOX 5800 - MS-0161

ALBUQUERQUE, NEW MEXICO 87185

**Correspondent:** SANDIA NATIONAL LABORATORIES

TIMOTHY D. STANLEY

PATENTS &amp; LICENSING, 11500

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ALBUQUERQUE, NM 87185-0161

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10-16-2000



101488672

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1. Name of conveying party(ies):

2. Name and address of recipient party(ies)

Alan R. Mahoney, Scott T. Reed, Carol S. Ashley and F. Edward Martinez

SANDIA NATIONAL LABORATORIES  
P. O. Box 5800 - MS-0161  
Albuquerque, New Mexico

SANDIA NATIONAL LABORATORIES  
5-0161

Additional name(s) of conveying party(ies) attached? Yes  No

3. Nature of conveyance:

Assignment

Merger

Security Agreement  Change of Name

Other: Assignment & Confirmatory License

Execution Date(s): April 25, 2000, May 10, 2000 and July 21, 2000

Additional name(s) attached? Yes  No

If this document is being filed together with a new application, the execution date of the application

A. Patent Application No.(s)

B. Patent No.(s)

09/569,990

Filed: May 11, 2000

Issued:

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: one

Name: Timothy D. Stanley  
Sandia National Laboratories  
Internal Address: Patent & Licensing, 11500

7. Total fee (37 CFR 3.41), .00

Mail Stop 0161

Enclosed

Street Address: P. O. Box 5800

Authorized to be charged to deposit account

8. Deposit Account number 0131

City: Albuquerque State: NM ZIP: 87185-0161

(Attach duplicate copy of this page if filed by account)

10/16/2000 DBYRNE 00000058 190131 09569990

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01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy D. Stanley

Signature

Date

Total number of pages including cover sheet, attachments, and document:           

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

PATE REEL: 011158 F ME: 0713

3-21-00

ASSIGNMENT

WHEREAS, Alan R. Mahoney, Scott T. Reed, and Carol S. Ashley, of Albuquerque, New Mexico, and F. Edward Martinez of Horseheads, New York; has(have) made an invention relating to SOLAR SELECTIVE ABSORPTION COATINGS in the course of the work authorized by Contract DE-AC04-94AL85000 between SANDIA CORPORATION and the UNITED STATES DEPARTMENT OF ENERGY, said invention being disclosed and claimed in an application for United States patent, identified as DOE Docket No: S-85,850 duly executed by said inventors on April 25, 2000; May 10, 2000, and July 21, 2000; and

WHEREAS Inventor(s), in consideration of employment by Sandia Corporation (have) agreed, among other things, to assign to Sandia Corporation, its successors and assigns, rights to inventions, discoveries, or improvements made or conceived in the course of their employment; and

WHEREAS, the Government of the United States, as represented by the U.S. Department of Energy has granted a waiver of Government rights in the above-identified invention to Sandia Corporation under Class Waiver W(C)93-012, effective October 1, 1993; and

THEREFORE, Inventor(s) hereby sells, assigns, and transfers unto Sandia Corporation their entire right, title, and interest in and to said invention and in and to all resultants wherever they may be granted, as well as reissues or extensions of said patents.

Inventor(s) covenants with Sandia Corporation that, except for the rights, if any, of the Government, his/her interest in the rights and property herein conveyed is free and clear of any encumbrance and that they have full right to convey the same as herein expressed. That, at the request of Sandia corporation, to make, execute, and deliver to Sandia Corporation, the Government, or other party prosecuting patent applications on this invention, any and all documents, affidavits, applications, statements or other instruments relating to said invention, and to take all rightful oaths and do all acts as may reasonably be required of them in or incident to the prosecution of any or all applications on said invention or in the adjustment or settlement of any interferences or other actions or proceedings that said applications or patents thereon may be involved or in which they may become involved.

2

Signed at Albuquerque, New Mexico.

Alan R. Mahoney  
Alan R. Mahoney

Date 4/10

Scott T. Reed  
Scott T. Reed

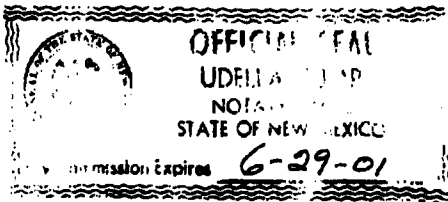
Date 5/10

Carol S. Ashley  
Carol S. Ashley

Date 4/2

STATE OF NEW MEXICO )  
                                          ) ss:  
COUNTY OF BERNALILLO )

On the dates indicated above personally appeared before me, Udella L. Kump, Notary Public, to me known to be the individual described in and who executed the foregoing instrument and who acknowledged to me that they executed the same.



Udella L. Kump

Notary Public

Approved and consented to this 11<sup>th</sup> day of May, 1999: 20

SANDIA CORPORATION

Timothy D. Stanley  
Chief Patent Counsel  
Patent and Licensing Office, 1161

