

**FINANCE : LICENSE ADMINISTRATION SCHEDULE**

• **GENERAL INFORMATION**

|            |           |          |             |                 |            |
|------------|-----------|----------|-------------|-----------------|------------|
| License #: | 09-C02347 | Funding: | NON-DEFENSE | Effective Date: | 06/30/2009 |
|------------|-----------|----------|-------------|-----------------|------------|

|                  |                                                            |          |               |
|------------------|------------------------------------------------------------|----------|---------------|
| <b>LICENSEE:</b> |                                                            |          |               |
| Name:            | Science and Technology Corporation @ UNM                   | Contact: | Lisa Kuuttila |
| Address:         | 801 University Blvd., SE<br>Albuquerque, NM 871060000      | Phone:   | (505)272-7900 |
| Business Type:   | Commercialization - Joint Partner Agreement with STC @ UNM | FAX:     |               |

|                   |  |
|-------------------|--|
| Termination Date: |  |
| Late Fee:         |  |

• **INTELLECTUAL PROPERTY**

| Type   | IP#    | Patent #<br>(application or issued) | Title                                                                  | Owning Org. |
|--------|--------|-------------------------------------|------------------------------------------------------------------------|-------------|
| Patent | 5308.1 | 5,705,321                           | Method for Manufacture of Quantum Sized Periodic Structures in Si Mate | 01700       |

• **FEES / MINIMUM ROYALTIES**

|                     |             |               |           |          |              |               |               |               |  |
|---------------------|-------------|---------------|-----------|----------|--------------|---------------|---------------|---------------|--|
| <b>Up-Front Fee</b> |             | <b>Notes:</b> |           |          |              |               |               |               |  |
| Year                | Amount Due: | Date Due:     | Amount Pd | Date Pd. | 5%<br>127244 | 10%<br>127223 | 20%<br>127261 | 65%<br>127243 |  |

|                             |             |               |           |          |              |               |               |               |       |
|-----------------------------|-------------|---------------|-----------|----------|--------------|---------------|---------------|---------------|-------|
| <b>Technical Assistance</b> |             | <b>Notes:</b> |           |          |              |               |               |               |       |
|                             | Monthly     |               | Quarterly |          | Semi-Annual  |               | Annual        |               | Other |
| Year                        | Amount Due: | Date Due:     | Amount Pd | Date Pd. | 5%<br>127244 | 10%<br>127223 | 20%<br>127261 | 65%<br>127243 |       |

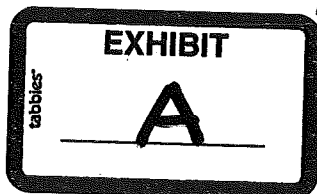
• **ANNUAL RUNNING ROYALTIES**

|                                                              |                |                                                                                                                                                                                                           |             |           |               |              |               |               |                |
|--------------------------------------------------------------|----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-----------|---------------|--------------|---------------|---------------|----------------|
| <input checked="" type="checkbox"/> <b>Running Royalties</b> |                | <b>Notes:</b> STC Shall Distribute to Sandia the proceeds of Licensing Income derived from licenses issued & administered by STC after patent cost reimbursement as follows:<br>STC 77.5%<br>Sandia 22.5% |             |           |               |              |               |               |                |
|                                                              | Monthly        |                                                                                                                                                                                                           | Quarterly   |           | Semi-Annual   |              | Annual        |               | Other          |
|                                                              | per unit sales |                                                                                                                                                                                                           | % net sales |           | % gross sales |              | % profit      |               | % Cost savings |
| Reporting Period                                             | Total Sales    | Amount Due:                                                                                                                                                                                               | Date Due:   | Amount Pd | Date Pd.      | 5%<br>127244 | 10%<br>127223 | 20%<br>127261 | 65%<br>127243  |
| Toshiba                                                      | 9,227.57       | 2,076.20                                                                                                                                                                                                  |             | 2,076.20  | 12/11/09      | 103.81       | 207.62        | 415.24        | 1,349.53       |

*BUSINESS PROTECTED INFORMATION*

Finance LAS Version: 06/03/97

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**CONFIDENTIAL**

SNL00000251

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|   |                   |        |                                                                                                                                                                                             |  |  |  |  |  |  |
|---|-------------------|--------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|--|
| X | Running Royalties | Notes: | STC Shall Distribute to Sandia the proceeds of Licensing Income derived from licenses issued & administered by STC after patent cost reimbursement as follows:<br>STC 77.5%<br>Sandia 22.5% |  |  |  |  |  |  |
|   |                   |        |                                                                                                                                                                                             |  |  |  |  |  |  |
|   |                   |        |                                                                                                                                                                                             |  |  |  |  |  |  |
|   |                   |        |                                                                                                                                                                                             |  |  |  |  |  |  |

|              |                           |  |          |
|--------------|---------------------------|--|----------|
| Prepared By: | Laureen C. Atencio, 10549 |  | 12/10/09 |
|--------------|---------------------------|--|----------|