

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF NEW YORK

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**CORNELL UNIVERSITY, a nonprofit New  
York corporation, and CORNELL RESEARCH  
FOUNDATION, INC., a nonprofit New York  
corporation,**

**Plaintiffs,**

**-v-**

**01-CV-1974**

**HEWLETT-PACKARD COMPANY, a  
Delaware corporation,**

**Defendant.**

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**HEWLETT-PACKARD COMPANY, a  
Delaware corporation,**

**Counterclaimant,**

**-v-**

**CORNELL UNIVERSITY, a nonprofit New  
York corporation, and CORNELL RESEARCH  
FOUNDATION, INC., a nonprofit New York  
corporation,**

**Counterdefendants.**

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**Hon. Randall R. Rader, Circuit Judge, United States Court of Appeals for the Federal Circuit, sitting by designation:**

1 **SECOND AMENDED ORDER**

2 This court conducted an eight-day jury trial running May 19-30, 2008 to determine the  
3 validity and infringement of U.S. Patent No. 4,807,115 (the '115 patent). Finding the '115  
4 patent valid and infringed, the jury awarded damages to Cornell. Hewlett-Packard now moves  
5 this court to enter judgment as a matter of law (JMOL) that the '115 is invalid for failure to  
6 satisfy the written description requirement, and that Hewlett-Packard did not infringe the patent

1 literally, under the doctrine of equivalents, through inducement, or through contributory  
2 infringement. Hewlett-Packard further requests this court to revise the claim construction relied  
3 on by the jury and enter JMOL that Hewlett-Packard did not infringe the '115 patent under that  
4 amended claim construction. As an alternative to JMOL, Hewlett-Packard requests a new trial  
5 on each of these issues. Because the initial claim construction is correct and the jury did not err  
6 in finding the '115 patent valid and infringed, this court denies Hewlett-Packard's motion.

7 I.

8 In other orders, this court has described the technology at issue in this case in great detail.  
9 Therefore, this order presents only those aspects of the '115 patent pertinent to this motion for  
10 JMOL. The '115 patent, entitled "Instruction Issuing Mechanism For Processors With Multiple  
11 Functional Units," issued to Dr. Hwa C. Torng on February 21, 1989. The patent describes  
12 technology for issuing multiple and out-of-order computer processor instructions in a single  
13 machine clock cycle. This technique employs a dispatch stack and precedence count memory to  
14 facilitate multiple and out-of-order processing and enhance the throughput of processors with  
15 multiple functional units.

16 In the computing context, instructions "specify operations a computer is to perform."  
17 Cornell Univ. v. Hewlett-Packard Co., 313 F. Supp. 2d 114, 118 (N.D.N.Y. 2006) (Markman  
18 Order). Importantly, instructions do not employ a universal format. Rather, "[e]ach instruction  
19 is placed in a certain format, or sequence of fields, each field corresponding to a separate part of  
20 the instruction." Id.

21 The invention claimed in the '115 patent attempts to remedy a limitation of early  
22 computer processors. Historically, processors could only issue and execute one instruction at a

1 time. This limitation derived, in part, from the difficulties posed by dependencies between  
2 instructions. Such dependencies arise when one instruction cannot be executed until completion  
3 of another. The '115 patent discloses “an instruction issuing mechanism capable of detecting  
4 and issuing those instructions which are not dependent and therefore can be performed during the  
5 same clock cycle without conflict or error.” Id. at 119.

6 The '115 patent addresses two categories of dependencies: “essential” and “nonessential”  
7 dependencies. Essential dependencies come in just one flavor—“read-after-write” (RAW)  
8 dependencies. These RAW dependencies “occur where the result of one operation is needed for  
9 the performance of another.” Id. The following two instructions provide an example of a RAW  
10 dependency: 1) add A and B, place result in C, and 2) divide C by D, place result in E. The  
11 RAW dependency arises because instruction 2) performs an operation on the value resulting  
12 from execution of instruction 1). Accordingly, the processor must execute instruction 1) before  
13 instruction 2), because instruction 2) depends on instruction 1). Put differently, the processor  
14 cannot divide C by D until it computes C by adding A and B together.

15 Nonessential dependencies, in contrast, come in two flavors—“write-after-read” (WAR)  
16 and “write-after-write” (WAW) dependencies. Although the '115 patent addresses both of these  
17 nonessential dependency types, only WAR dependencies are relevant to this discussion.  
18 Extending the example above, suppose a third instruction is added to the mix: 3) multiply X by  
19 Y, place result in C. As is apparent from examining all three instructions, a conflict could  
20 potentially arise between instruction 1) and instruction 3) because both write their result to C and  
21 instruction 2) uses the value in C in its operation. Thus, if instruction 3) executes after  
22 instruction 1) but before instruction 2) the product of X and Y will overwrite the sum of A and B,  
23 and instruction 2) will execute using the wrong value, resulting in a WAR error.

1           The '115 patent addresses RAW, WAR, and WAW dependencies by detecting  
2 instructions that are dependency-free—i.e., “conurrencies”—and issuing these dependency-free  
3 instructions simultaneously and non-sequentially. The claimed invention achieves this multiple  
4 and out-of-order issuance by “enriching” the instruction buffer to make it contain “an additional  
5 field or fields to keep track of the dependencies, if any, associated with each source or  
6 destination field of the instruction.” Id. at 120. “This enrichment enables the instruction buffer  
7 to detect instructions which are free of dependencies and thus ready for execution. The patent  
8 refers to the enriched instruction buffer as a ‘dispatch stack.’” Id.

9           The '115 patent describes a single “preferred embodiment” of the claimed invention.

10          That embodiment includes:

11           (1) a dispatch stack (“DS”), i.e., an “enriched” instruction buffer, which cooperates with a  
12 precedence count memory (“PCM”) to detect “concurrently executable (i.e., dependency  
13 free) instructions”; and (2) a reservation circuit which then issues these multiple, possibly  
14 non-sequential, instructions to the execution unit within a single clock cycle. In the  
15 preferred embodiment, the dispatch stack is “enriched” in that it (1) has additional fields  
16 and accompanying logic for resolving dependencies in instructions; and (2) stores  
17 instructions whose dependency values are “initialized” (i.e., initially as-signed by the  
18 PCM). The dispatch stack and PCM eliminate the time-consuming comparison of all the  
19 instructions that would otherwise be necessary to ascertain whether the instructions  
20 contained dependencies. The preferred embodiment of the present invention is described  
21 as operating on a sample set of instructions that have a common instruction format (i.e.,  
22 OP, S1, S2, D) and contain both essential and false dependencies.

23  
24          Id. at 122.

25           Although the preferred embodiment describes a single instruction environment  
26 containing both essential and nonessential dependencies, the claims of the '115 patent allow for  
27 different instruction sets with different dependency configurations. For example, while  
28 independent claims 1, 14, and 15 “broadly teach an invention which detects instructions which

1 are dependency free,” id. at 136, “the dependent claims specify limitations relative to particular  
2 instruction formats and particular types of dependencies,” id.

3 This court conducted a three-day Markman hearing, Chief Judge Mordue presiding, to  
4 entertain evidence about the scope and meaning of the claims in the ’115 patent, and the level of  
5 ordinary skill in the art. This court then adopted Cornell’s proposed constructions for the terms  
6 “dispatch stack” and “means for detecting” as used in the various claims of the ’115 patent.  
7 Importantly, this court rejected Hewlett-Packard’s singular definition of “dispatch stack” and  
8 “means for detecting,” which Hewlett-Packard sought to apply indiscriminately to all of the  
9 pertinent claims in the ’115 patent without regard for the specific limitations of those claims.  
10 This court instead examined the specific limitations set forth in each claim from the vantage  
11 point of an ordinary artisan. With this understanding, this court determined that a  $\beta$ (D) field for  
12 detecting nonessential dependencies is not required for every claim that recites a “dispatch stack”  
13 or “means for detecting.”

14 From May 19, 2008 through May 30, 2008, this court conducted a jury trial, Circuit  
15 Judge Rader presiding, during which Cornell presented evidence to support its contentions that  
16 the ’115 patent was valid and infringed. Cornell offered testimonial and documentary evidence  
17 of the scope and nature of the prior art and the disclosures set forth in the ’115 patent. In  
18 particular, Cornell offered expert testimony from Dr. James Smith that one of ordinary skill in  
19 the relevant art would have known that register renaming is a technique for eliminating  
20 nonessential dependencies at the time of Dr. Torng’s invention. Dr. Smith further provided  
21 detailed and convinced evidence that an artisan of ordinary skill would have appreciated the  
22 application of that technique to the claimed invention, even though it is not explicitly set forth in  
23 the specification. Dr. Smith also offered testimony that every limitation of the asserted claims

1 was infringed literally and under the doctrine of equivalents by Hewlett-Packard's accused  
2 products. In addition, Cornell offered evidence that Hewlett-Packard's customers directly  
3 infringed the '115 patent, and that Hewlett-Packard knew or should have known that its actions  
4 would induce infringement. Further evidence presented by Cornell revealed that Hewlett-  
5 Packard knew or should have known that the accused combination was both patented and  
6 infringing, and that the accused products had no substantial non-infringing uses.

7 In light of this evidence, and applying this court's claim construction, the jury found the  
8 '115 patent valid and infringed. In particular, relevant to this motion for JMOL, the jury  
9 determined that Hewlett-Packard did not clearly and convincingly prove that the '115 patent  
10 violated the written description requirement. The jury also found that Hewlett-Packard's  
11 products infringed the '115 patent literally and under the doctrine of equivalents. Finally, the  
12 jury concluded that Hewlett-Packard induced its customers to infringe the '115 patent and that it  
13 committed contributory infringement.

14 Dissatisfied with the court's claim construction decision, Hewlett-Packard has repeatedly  
15 challenged the court's claim construction decision. In its motion for JMOL, Hewlett-Packard  
16 reiterates its complaint, relying primarily on the same arguments previously rejected by this  
17 court. Hewlett-Packard also asks for JMOL that the '115 patent is invalid for failure to satisfy  
18 the written description requirement, that its products do not infringe the '115 patent literally or  
19 under the doctrine of equivalents, and that it did not induce infringement or engage in  
20 contributory infringement of the patent.

1 II.

2 A district court may only grant a party’s motion for JMOL if “a reasonable jury would  
3 not have a legally sufficient evidentiary basis to find for the party on that issue” under  
4 controlling law. Fed. R. Civ. P. 50(a)(1). Under Second Circuit Law, JMOL is appropriate if  
5 “the evidence is such that, without weighing the credibility of the witnesses or otherwise  
6 considering the weight of the evidence, there can be but one conclusion as to the verdict that  
7 reasonable [persons] could have reached.” Nadel v. Isaksson, 321 F.3d 266, 272 (2d Cir. 2003)  
8 (internal quotation omitted). To prevail on a motion for JMOL, the moving party must show that  
9 the jury’s findings are not supported by substantial evidence, where “substantial evidence is such  
10 relevant evidence from the record taken as a whole as might be accepted by a reasonable mind as  
11 adequate to support the finding under review.” Tex. Instruments Inc. v. Cypress Semiconductor  
12 Corp., 90 F.3d 1558, 1563 (Fed. Cir. 1996) (internal quotation omitted).

13 Similarly, a motion of a new trial may only be granted if 1) the jury instructions were  
14 erroneous or inadequate, 2) the court made incorrect and prejudicial admissibility rulings, or 3)  
15 the verdict is contrary to the great weight of the evidence. See, e.g., Fed. R. Civ. P. 59(a); DLC  
16 Mgmt. Corp. v. Town of Hyde Park, 163 F.3d 124, 133 (2d Cir. 1998); Advanced Cardiovascular  
17 Sys., Inc. v. Medtronic, Inc., 265 F.3d 1294, 1308 (Fed. Cir. 2001). Before granting a motion for  
18 a new trial, the trial court must be convinced that the jury has reached “a seriously erroneous  
19 result” or that the verdict represents “a miscarriage of justice.” DLC, 163 F.3d at 133.

20 Hewlett-Packard’s first argument in its motion for JMOL or alternatively a new trial is  
21 that the court erred in its claim construction. Claim construction is a question of law for the  
22 court. See Markman v. Westview Instruments, Inc., 517 U.S. 370, 388 (1996). “It is a ‘bedrock  
23 principle’ of patent law that ‘the claims of a patent define the invention to which the patentee is

1 entitled the right to exclude.” Phillips v. AWH Corp., 415 F.3d 1303, 1312 (Fed. Cir. 2005) (en  
2 banc) (quoting Innova/Pure Water, Inc. v. Safari Water Filtration Systems, Inc., 381 F.3d 1111,  
3 1115 (Fed. Cir. 2004)). “It is well-settled that, in interpreting an asserted claim, the court should  
4 look first to the intrinsic evidence of record, i.e., the patent itself, including the claims, the  
5 specification and, if in evidence, the prosecution history.” Vitronics Corp. v. Conceptronic, Inc.,  
6 90 F.3d 1576, 1582 (Fed.Cir.1996). Claim terms are generally given their ordinary and  
7 customary meaning, which is “the meaning that the term would have to a person of ordinary skill  
8 in the art in question at the time of the invention.” Phillips, 415 F.3d at 1313. Thus, “although  
9 the specification often describes very specific embodiments of the invention, [the Federal Circuit  
10 has] repeatedly warned against confining the claims to those embodiments.” Id. at 1323.  
11 Nevertheless, “repeated and definitive remarks in the written description could restrict a claim  
12 limitation to a particular structure.” Computer Docking Station Corp. v. Dell, Inc., 519 F.3d  
13 1366, 1374 (Fed. Cir. 2008).

14 In construing means-plus-function language, a court must identify the function recited for  
15 the disputed limitation, and then identify the structure corresponding to that function as described  
16 in the patent itself. See Micro. Chem., Inc. v. Great Plains chem.. Co., 194 F.3d 1250, 1257-58  
17 (Fed. Cir. 1999). In so doing, a court must exercise care to avoid “incorporation of structure  
18 from the written description beyond that necessary to perform the claimed function.” Asyst  
19 Techs., Inc. v. Empak, Inc., 268 F.3d 1364, 1369-70 (Fed. Cir. 2001).

20 Hewlett-Packard also argues that it is entitled to JMOL that the ’115 patent did not  
21 comply with the written description requirement, or in the alternative, a new trial on that issue.  
22 A patent satisfies the written description requirement so long as it “indicate[s] to persons skilled

1 in the art that as of the [filing] date the applicant had invented what is now claimed.” All-Dental  
2 Prodx, LLC v. Advantage Dental Prods., Inc., 309 F.3d 774, 779 (Fed. Cir. 2002). A patent need  
3 not, however, disclose unclaimed subject matter. Amgen Inc. v. Hoeschst Marion Roussel, Inc.,  
4 314 F.3d 1313, 1333 (Fed. Cir. 2003). Compliance with the written description requirement is a  
5 question of fact. Pandrol USA, LP v. Airboss Ry. Prods., Inc., 424 F.3d 1161, 1164 (Fed. Cir.  
6 2005).

7 In addition to challenging the claim construction and the validity of the '115 patent,  
8 Hewlett-Packard challenges the jury’s findings of literal, doctrine of equivalents, induced, and  
9 contributory infringement. “JMOL in favor of a party is properly granted in the context of literal  
10 infringement if no reasonable jury could determine that every limitation recited in the properly  
11 construed claim is either not, or is, found in the accused device.” Karlin Tech., Inc. v. Surgical  
12 Dynamics, Inc., 177 F.3d 968, 974 (Fed. Cir. 1999). In the context of a means-plus-function  
13 claim, “[l]iteral infringement of a § 112, ¶ 6 limitation requires that the relevant structure in the  
14 accused device perform the identical function recited in the claim and be identical or equivalent  
15 to the corresponding structure in the specification. Functional identity and either structural  
16 identity or equivalence are both necessary.” Odetics, Inc. v. Storage Tech. Corp., 185 F.3d 1259,  
17 1267 (Fed. Cir. 1999).

18 Infringement under the doctrine of equivalents, in contrast, requires “‘equivalence’  
19 between the elements of the accused product or process and the claimed elements of the patented  
20 invention.” Warner-Jenkinson Co., Inc. v. Hilton Davis Chem. Co., 520 U.S. 17, 21 (1997). A  
21 patentee must show that the accused product performs substantially the same function, in  
22 substantially the same way, to achieve substantially the same result. See Graver Tank & Mfg.  
23 Co., Inc., v. Linde Air Prods. Co., 339 U.S. 605, 608 (1950). “In order to arrive at its verdict of

1 infringement under the doctrine of equivalents, the jury must have found that one or more claim  
2 elements were met by equivalents, and could have found the remainder of the claim elements  
3 were met literally.” Comark Commc’ns, Inc. v. Harris Corp., 156 F.3d 1182, 1188 (Fed. Cir.  
4 1998). In a case such as this one, where no special verdict interrogatory was used to determine  
5 which elements were met literally and which were met equivalently, “this court must uphold the  
6 jury verdict if there is sufficient evidence of equivalents and linking testimony such that a  
7 reasonable jury could have found that at least one element was met by equivalents.” Id. This  
8 “particularized testimony” standard does not, however, require that an expert witness “re-start  
9 his testimony at square one when transitioning to a doctrine of equivalents analysis.” Paice LLC  
10 v. Toyota Motor Corp., 504 F.3d 1293, 1305 (Fed. Cir. 2007). Instead, an expert may  
11 incorporate earlier testimony, either explicitly or implicitly into his doctrine of equivalents  
12 analysis. Id.

13         The jury’s finding that Hewlett-Packard induced its customers to infringe the ’115 patent  
14 is not vulnerable to JMOL so long as there is substantial evidence that a third party directly  
15 infringed the ’115 patent, and that Hewlett-Packard knew or should have known that its actions  
16 would induce infringement. DSU Med. Corp. v. JMS Co., Ltd., 471 F.3d 1293, 1305 (Fed. Cir.  
17 2006). Direct evidence of intent to induce infringement is not required, instead, circumstantial  
18 evidence may suffice. Id. at 1306. Moreover, evidence of active steps taken to induce  
19 infringement, such as advertising an infringing use, show an affirmative intent that the product  
20 be used to infringe. Id. at 1305 (citing MGM Studios Inc. v. Grokster, Ltd., 545 U.S. 913, 932  
21 (2005)). Similarly, where the normal and intended use of an accused product involves an  
22 infringing use, a patentee may prove inducement where the accused infringer intends for the

1 product to be used in its normal manner. Chiuminatta Concrete Concepts, Inc. v. Cardinal  
2 Indus., Inc., 145 F.3d 1303, 1307, 1311-1312 (Fed. Cir. 1998).

3 Likewise, the jury’s finding of contributory infringement must stand unless there is no  
4 substantial evidence that a third party infringed the ’115 patent; that Hewlett-Packard knew that  
5 the combination for which its components were especially made was both patented and  
6 infringing; and that the accused products have no substantial noninfringing uses. See Golden  
7 Blount, Inc. v. Robert H. Peterson Co., 365 F.3d 1054, 1016 (Fed. Cir. 2004).

8 III.

9 Hewlett-Packard challenges this court’s claim construction, asserting that the term  
10 “dispatch stack” should be construed consistently throughout the ’115 patent to always include a  
11  $\beta$ (D) field to account for nonessential, WAR dependencies. Similarly, Hewlett-Packard  
12 advocates for amendment of the construction of “means for detecting” to include “the structure  
13 of a  $\beta$ (D) field.” JMOL Mtn at 6.

14 A.

15 As an initial matter, Cornell contends that this court is not entitled to entertain Hewlett-  
16 Packard’s claim construction arguments in its motion for JMOL. To the contrary, the procedural  
17 law of patents as administered by the Federal Circuit entitles litigants to challenge an  
18 objectionable claim construction throughout the proceedings – with a motion for reconsideration,  
19 an objection to jury instructions or trial proceedings, or with a motion for JMOL. Only a failure  
20 to properly maintain a continued objection to a disputed claim construction will bar this court  
21 from reconsidering its construction during JMOL proceedings. See CytoLogix Corp. v. Ventana  
22 Medical Systems, Inc., 424 F.3d 1168, 1177 (Fed. Cir. 2005) (“Neither party objected to the

1 instructions at trial. Under these circumstances ‘the issue [is] limited to the question of whether  
2 substantial evidence supported the verdict under the agreed instruction.’ The question is thus  
3 whether the testimony presented by CytoLogix through its expert witnesses constitutes  
4 substantial evidence of infringement under the district court's definitions of the claim terms.”)  
5 (internal citation omitted). Thus, this court is permitted to, and indeed must, consider Hewlett-  
6 Packard’s claim construction arguments on JMOL.

7 B.

8 As an initial matter, this court cannot agree with Hewlett-Packard’s insistence on a  
9 singular definition for “dispatch stack.” As this court has repeatedly explained, “[t]here is no  
10 universal instruction format” used in all processors. Markman Order, 313 F. Supp. 2d at 118.  
11 Accordingly, as stated in the Markman Order:

12 Because there is no single universal format or set of instruction fields, it follows  
13 that the number and types of dependency fields in the dispatch stack may differ  
14 according to the nature of the instructions and the possible dependencies inherent  
15 in them. For this reason, the number and types of dependency fields in the  
16 dispatch stack differ in the context of different patent claims.

17 Id. at 133. Rather than acknowledging the flexibility inherent in the term “dispatch stack,” or  
18 even the different combinations of fields and dependencies set forth in the patent claims,  
19 Hewlett-Packard seizes on the preferred embodiment of Dr. Torng’s invention as supplying a  
20 concrete definition of the term. The Federal Circuit has cautioned against revising broad patent  
21 claims to encompass only the preferred embodiment of the invention recited in the written  
22 description. Phillips, 415 F.3d at 1323.

23  
24 As this court explained before, Hewlett-Packard’s proposed inflexible and all-  
25 encompassing construction of “dispatch stack,” derived from the preferred embodiment, is

1 incorrect because “a number of the limitations defendant lists are not found in all of the claims.”  
2 Markman Order, 313 F. Supp. 2d at 136. The preferred embodiment shows a particular dispatch  
3 stack as an example of a dispatch stack that addresses essential and nonessential dependencies.  
4 It includes  $\alpha(S1)$ ,  $\alpha(S2)$ , and  $\beta(D)$  fields to address these multiple dependency types. However,  
5 nonessential dependencies, accounted for by the  $\beta(D)$  field in the preferred embodiment, are “not  
6 inherent in the nature of the instructions themselves.” Id. at 119. Accordingly, this court  
7 concluded that “the ’115 patent teaches an invention which can be used in a variety of different  
8 environments, including environments in which there are no false dependencies, whether  
9 because they were eliminated by register renaming or for some other reason.” Id. at 138. In  
10 such an environment without nonessential dependencies, no  $\beta(D)$  field is necessary. After all,  
11 this computing environment has no nonessential dependencies to detect.

12 Expert and inventor testimony presented at the Markman hearing and at trial, along with  
13 study of the prior art, reinforces this court’s definition of the dispatch stack. The court heard  
14 evidence, including expert testimony, during the three-day Markman hearing. After  
15 consideration of that evidence, the court concluded that a person of ordinary skill in the pertinent  
16 art

17 would have understood register renaming, would have known that it was a  
18 technique which was separate and distinct from detection of dependencies, would  
19 have known that it could remove or eliminate nonessential dependencies in  
20 computer instructions, and would have known that it could not remove or  
21 eliminate essential dependencies.

22  
23 Id. 313 F. Supp. 2d at 128. Drs. Tornng and Smith reinforced this conclusion with their trial  
24 testimony. Thus the record reinforces this court's conclusion that the "dispatch stack" of the

1 claimed invention need not always include a  $\beta(D)$  field because one of ordinary skill would  
2 know that such a field is not necessary in many computing environments.

3 C.

4 Hewlett-Packard also disputes the propriety of this court's conclusion that the means-  
5 plus-function limitation "means for detecting" does not require a  $\beta(D)$  field. Hewlett-Packard  
6 again relies on the preferred embodiment of the '115 patent to support its argument. In  
7 particular, Hewlett-Packard concludes that because the preferred embodiment discloses a  $\beta(D)$   
8 field, the structure corresponding to the "means for detecting" limitation necessarily includes a  
9  $\beta(D)$  field. This argument depends from a misapprehension of the claimed invention and the  
10 preferred embodiment. The fields disclosed in the preferred embodiment are independent  
11 implementations of Dr. Torng's invention that can be added or subtracted from the dispatch stack  
12 as needed to account for the instruction format and types of data dependencies. The  $\beta(D)$  field in  
13 particular stands out as optional. Nonessential dependencies are just that, and one of ordinary  
14 skill would know how to eliminate them without use of a  $\beta(D)$  field. Because the  $\beta(D)$  field is  
15 unnecessary, it should not be read into the '115 patent's means-plus-function claims. See Asyst,  
16 268 F.3d at 1369-70.

17 Hewlett-Packard nevertheless attempts to buttress this argument by pointing out that the  
18 '115 patent does not explicitly disclose register renaming as a way to eliminate nonessential  
19 dependencies. This court accords this absence of disclosure no weight. Register renaming is not  
20 a structure implemented in the dispatch stack. Instead register renaming is a method for  
21 removing nonessential dependencies independent of the dispatch stack. Thus, so long as one of  
22 ordinary skill in the art at the time of invention would have known of register renaming and its

1 utility for eliminating nonessential dependencies and recognized this method as independent  
2 from any dispatch stack, Hewlett-Packard’s argument does not affect the structure that performs  
3 the claimed function.

4           Importantly, Hewlett-Packard does not dispute “that as of 1983 it was known in the field  
5 (1) that register renaming can eliminate all false dependencies before the instruction enters the  
6 dispatch stack and (2) that register renaming always results in a  $\beta$  (D) count of zero.” Markman  
7 Order, 313 F. Supp. 2d at 137. The only argument raised by Hewlett-Packard is that this court  
8 inappropriately relied on register renaming as a method for eliminating nonessential  
9 dependencies. To the contrary, this court merely relied on evidence presented during the  
10 Markman hearing, including testimony by experts for both parties, for the proposition that “as of  
11 1983 and thereafter, a person of skill in the field would have known that register renaming could  
12 eliminate all false dependencies before the instruction entered the dispatch stack and that register  
13 renaming always results in a  $\beta$  (D) count of zero.” Id. at 137-38. This court further found that “a  
14 person of skill in the art as of the time of the patent would not view a false dependency field  
15 ( $\beta$ (D)) as a necessary feature of the invention, because it would not be required for the invention  
16 to work in a processor that used register renaming.” Id. at 137-38. Accordingly, the absence of  
17 an explicit discussion of register renaming from the ’115 patent specification does not undermine  
18 this court’s claim construction. Thus, because a  $\beta$ (D) field is not necessary structure  
19 corresponding to the “means for detecting,” and because register renaming is not part of the  
20 claimed structure, this court perceives that the record – particularly the intrinsic evidence --  
21 upholds the claim construction upon which the jury based its verdict.

1 D.

2 Because it rejects Hewlett-Packard's motion to amend the claim construction, this court  
3 need not address Hewlett-Packard's argument that the accused products do not have a  $\beta(D)$  field.

4 IV.

5 As an alternative to its claim construction argument, Hewlett-Packard asserts that the jury  
6 erred in finding that claims 1, 6, 14, 15, and 18 of the '115 patent satisfied the written description  
7 requirement.

8 JMOL of invalidity for failure to satisfy the written description requirement is only  
9 appropriate where no reasonable jury could conclude that Hewlett-Packard failed to prove, by  
10 clear and convincing evidence, that the '115 patent does not meet the written description  
11 requirement. Through Dr. Smith, Cornell offered testimony that every limitation of the "dispatch  
12 stack" in claims 1, 6, 14, and 15 is sufficiently disclosed by the '115 patent to demonstrate to a  
13 skilled artisan that Dr. Torng invented what is claimed, thereby providing a firm basis for the  
14 jury to conclude that Dr. Torng fulfilled the written description requirement. See Moba, B.V. v.  
15 Diamond Automation, Inc., 325 F.3d 1306, 1321 (Fed. Cir. 2003) (per curiam).

16 Moreover, Hewlett-Packard's focus on Dr. Torng's failure to explicitly describe register  
17 renaming in the specification as support for its written description argument is misplaced. As  
18 explained above, register renaming is not part of the claimed invention. Rather, it is a technique  
19 for eliminating nonessential dependencies so that there is no need for the "dispatch stack" or  
20 "means for detecting" to detect such dependencies. Accordingly, for purposes of the written  
21 description requirement, it is sufficient that one of ordinary skill in the art at the time of  
22 invention would have recognized register renaming as a prior art technique for eliminating

1 nonessential dependencies. See S3 Inc. v. nVidia Corp., 259 F.3d 1364, 1371 (Fed. Cir. 2001)  
2 (explaining that a patent “need not include subject matter that is known in the field of invention  
3 and is in the prior art, for patents are written for persons experienced in the field of the  
4 invention”).

5 Likewise, Hewlett-Packard’s resort to LizardTech, Inc. v. Earth Resource Mapping, Inc.,  
6 424 F.3d 1336 (Fed Cir. 2005), as support for its position is misplaced. This is not a case where  
7 Dr. Torng described just one way to implement his invention in the specification and then  
8 claimed a “generic” version of that invention. See LizardTech, 424 F.3d at 1344. Rather, the  
9 ’115 patent sets forth a preferred embodiment capable of detecting both essential and  
10 nonessential dependencies, and then claims different configurations of dependency detection  
11 using the disclosed techniques. The patent does not attempt to claim a generic method for  
12 dependency detection, but simply claims methods of dependency detection tailored to different  
13 environments, e.g., those with nonessential dependencies and those without. Put differently,  
14 there is no dependency between the claimed techniques for detecting essential and nonessential  
15 dependencies. Thus, because prior art methods exist for eliminating nonessential dependencies,  
16 claims referring exclusively to the detection of essential dependencies (using the techniques  
17 described in the specification) do not, as a matter of law, violate the written description  
18 requirement. Accordingly, this court will not disturb the jury’s verdict or grant Hewlett-  
19 Packard’s motion for a new trial.

20 V.

21 In addition to its validity arguments, Hewlett-Packard also challenges the jury’s  
22 infringement verdicts as to literal, doctrine of equivalents, inducement and contributory  
23 infringement. As with the written description verdict, on motion for JMOL a jury’s infringement

1 verdicts are reviewed for substantial evidence. Because substantial evidence supports each of the  
2 jury’s infringement verdicts, this court will not overturn those verdicts or grant Hewlett-  
3 Packard’s motion for a new trial.

4 A.

5 Cornell presented extensive evidence, including expert testimony, in support of its  
6 infringement position. The jury was well within its rights to credit Cornell’s witnesses and  
7 documentary evidence. Indeed, Hewlett-Packard’s own expert acknowledged that Dr. Smith,  
8 who testified on behalf of Cornell, is “one of the foremost experts in the world” in the field.  
9 Trial Tr., Tran., May 27, 2008, at 203:14-19, 204:16-25, 205:14-18, 206:8-14, 207:13-208:3.  
10 Thus, the jury was entitled to credit Dr. Smith’s testimony and Cornell’s infringement  
11 arguments.

12 In support of Cornell’s literal infringement position, Dr. Smith testified that the IRB of  
13 the accused products has a field for each source register (S1, S2) specified by the instruction,  
14 concluding that each such field can be an unrenamed or renamed register, depending on  
15 whatever type of register is specified at the time the instructions are residing in the IRB and data  
16 dependencies are being considered. Dr. Smith also testified that the IRB of the accused products  
17 has a field for each destination register specified by the instruction. Moreover, Cornell offered  
18 substantial evidence that each of the S1D and S2D “flags” in Hewlett-Packard’s IRB correspond  
19 to the  $\alpha(S1)$  and  $\alpha(S2)$  fields in the dispatch stack. Finally, Cornell presented substantial  
20 evidence that the IRB and its accompanying arbitration logic satisfy the “means for detecting”  
21 and “means for issuing” limitations of claims 1 and 14. Accordingly, the jury’s finding of literal  
22 infringement stands.



1 Hewlett-Packard knew its customers were using the accused products in an infringing manner.  
2 See DSU Med. Corp. v. JMS Co., 471 F.3d 1293, 1305 (Fed. Cir.).

3 C.

4 Finally, Hewlett-Packard challenges the jury’s finding that it committed contributory  
5 infringement. In particular, Hewlett-Packard claims that Cornell failed to present evidence of  
6 direct infringement by third parties, and that Cornell failed to demonstrate that the accused  
7 products have no substantial noninfringing uses. As explained above, Cornell did present  
8 substantial evidence of direct infringement by Hewlett-Packard’s customers. In addition, Cornell  
9 presented evidence that a reasonable jury could credit as proving that there is no substantial  
10 noninfringing use for the accused products. For example, Cornell offered evidence that the  
11 accused products were designed to issue multiple instructions out of order and the other features  
12 of the claimed invention. See MGM Studios, 545 U.S. at 932 (explaining that the contributory  
13 infringement doctrine “was devised to identify instances in which it may be presumed from  
14 distribution of an article in commerce that the distributor intended the article to be used to  
15 infringe another’s patent, and so may justly be held liable for that infringement.”). Accordingly,  
16 the jury did not err in finding that Hewlett-Packard committed contributory infringement.

17 VI.

18 Accordingly, Hewlett-Packard’s motion for JMOL that the ’115 patent is invalid and not  
19 infringed, or in the alternative, for a new trial on validity and infringement is denied.

20 IT IS SO ORDERED.

21  
22 April 24, 2009  
23 Washington, District of Columbia  
24

/s/ Randall R. Rader  
Randall R. Rader  
Circuit Judge