



things, to the mailing of the GlobeOp Notice Packet and the dissemination of the Summary Notice over *PR Newswire*.

3. As more fully stated in my October Affidavit (at ¶8), as of October 10, 2013, Rust had mailed a total of 54 GlobeOp Notice Packets to potential Class Members. Since my October Affidavit was executed, during the period from October 11, 2013 through November 5, 2013, inclusive, Rust has not mailed any additional GlobeOp Notice Packets. Accordingly, as of November 5, 2013, we have mailed a total of 54 GlobeOp Notice Packets to potential Class Members.

4. As stated in my October Affidavit (at ¶11), Rust posted the GlobeOp Notice Packet, GlobeOp Stipulation of Settlement, and Preliminary Approval Order, among other documents, on a website dedicated to the Settlement ([www.FairfieldGreenwichLitigation.com](http://www.FairfieldGreenwichLitigation.com)). Since the September 24, 2013 mailing of the GlobeOp Notice Packet, the Website has had 1,441 additional visits.

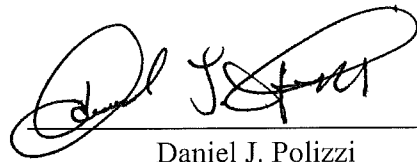
5. Rust has maintained a toll-free telephone hotline (1-855-263-3450), and a direct dial line for international callers (1-612-359-7949), with an Interactive Voice Response System (“IVR”) and live operators to assist potential Class Members with questions about the Settlement, as stated in my October Affidavit (at ¶12). Since the mailing of the GlobeOp Notice Packet on September 24, 2013, Rust has received a total of 117 additional calls on this line, of which 59 callers requested to speak with a live operator for assistance.

6. As stated in ¶13 of my October Affidavit, the Notice informed Class Members that the deadline for requesting exclusion from the Class was October 25, 2013. As of November 5, 2013, Rust has not received any requests for exclusion from the GlobeOp Settlement Class.

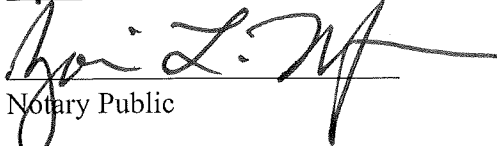
7. The Notice also informed GlobeOp Settlement Class Members that the deadline for filing objections to the proposed Settlement or fee and expense request was October 25, 2013. As of November 5, 2013, Rust has not received any objections to the proposed Settlement or fee or expense request from GlobeOp Settlement Class Members.

8. To date, Rust has received a total of 16 claim forms from Settlement Class Members. In our experience, the vast majority of claim forms are received shortly prior to the deadline for filing claims, which is December 23, 2013 in this case.

9. I declare under penalty of perjury that the foregoing is true and correct to the best of my knowledge.

  
Daniel J. Polizzi

Sworn to before me this  
7<sup>th</sup> day of November, 2013

  
Notary Public

