UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

	ECF Case
IN RE HERALD, PRIMEO, AND) THEMA FUNDS SECURITIES)	Case No. 09 Civ. 0289 (RMB)
LITIGATION)	
)	Class Action
This Document Relates to:)	
NEVILLE SEYMOUR DAVIS,	
Plaintiff,	Case No. 09 Civ. 2558 (RMB)
vs.	Class Action
ALBERTO BENBASSAT et al.,)	
Defendants.)	

LEAD PLAINTIFF NEVILLE SEYMOUR DAVIS'S MOTION FOR PRELIMINARY APPROVAL OF PARTIAL SETTLEMENT

TO ALL PARTIES AND THEIR ATTORNEYS OF RECORD:

PLEASE TAKE NOTICE that upon the attached Stipulation and Agreement of Partial Settlement and the exhibits annexed thereto, the accompanying Memorandum of Law, the Declaration of Neville Seymour Davis and the exhibits annexed thereto, and all papers and proceedings in this action, Lead Plaintiff Neville Seymour Davis moves the Court, located at Daniel Patrick Moynihan United States Courthouse, 500 Pearl Street, Courtroom 21B, New York, New York 10007, for an order (1) preliminarily approving the proposed partial settlement; (2) conditionally certifying the proposed class for settlement purposes; (3) approving the form of the notices and the proposed plan for disseminating the notices and proofs of claim, release and assignment; (4) setting a date for the final approval hearing; and (5) granting such further and other relief as the Court deems just and proper. CHAPIN FITZGERALD SULLIVAN & BOTTINI LLP Francis A. Bottini, Jr. Albert Y. Chang (AC-5415)

s/ Albert Y. Chang

Albert Y. Chang

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