## EXHIBIT 3

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Page 1
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            IN THE UNITED STATES DISTRICT COURT
           FOR THE SOUTHERN DISTRICT OF NEW YORK
             Civil Action No. 10-141 (CM) (KF)
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    MARVEL WORLDWIDE, INC.,
    MARVEL CHARACTERS, INC.,
     and MVL RIGHTS, LLC.,
6
                Plaintiffs,
7
           VS.
8
    LISA R. KIRBY, BARBARA J.
     KIRBY, NEAL L. KIRBY and
     SUSAN N. KIRBY,
10
                Defendants.
11
12
13
                           VOLUME I
14
                   VIDEOTAPED DEPOSITION OF
15
                          ROY THOMAS
16
17
                        October 26, 2010
18
                           10:06 a.m.
19
                      Holiday Inn Express
20
                   Orangeburg, South Carolina
21
             ANNIE O'HARA, CCR-B-2340, SC Notary
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23
24
25
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- editorial-type responsibilities that you -- you were
- doing during this time you were paid a salary?
- <sup>4</sup> A. Yes.
- $^{5}$  Q. And then you were paid on top of that a
- 6 per-page rate for freelance writing work that you
- <sup>7</sup> did?
- 8 A. Yes. Yes. That actually began at the
- <sup>9</sup> very beginning, because the day I met Stan on a
- 10 Friday he gave me a story to dialogue, as we call
- it, over the weekend. And that was counted as
- 12 freelance. So I received money -- or earned money a
- week or so later as a freelance writer before I
- 14 freelanced, before I actually went on staff the next
- morning.
- <sup>16</sup> Q. Okay.
- A. So they sort of -- they overlapped from
- the very beginning.
- Q. Who did you report to when you were a
- 20 staff writer?
- A. The only real person I reported to
- officially would have been Stan Lee, but as a matter
- of practical fact, Stan gave out many of his
- directives or communications through the production
- manager, Sol Brodski. So while he wasn't exactly

- technically my superior, he was a person that gave
- me a lot of Stan's, you know, marching orders or
- 4 whatever and was very, very -- informal would be the
- $^{5}$  polite way to describe operations in the 1960s.
- Q. Did there come a time that your title
- 7 changed from staff writer to something else?
- A. Well, I just stopped being a staff writer
- <sup>9</sup> at that point, a month or two in. And we never
- talked much about titles, but I guess I was like the
- 11 assistant editor or editorial assistant. I was
- $^{12}$  never told that. That's what I assumed I was. I
- never had an official title until I was called
- 14 associate editor.
- 0. When was that?
- A. Around the end of 1966 or beginning of '67
- 17 Stan told me and the new assistant editor, who was a
- friend of mine. He said: Well, we have got to have
- $^{19}$  some titles around here, he told me one day. He
- said: I'm the editor, so I guess that makes you the
- 21 associate editor; and Gary, my friend, was the
- assistant editor. From that time on, about a year
- and a half or so after I worked there, I was the
- associate editor until what? Middle or late 1972,
- when I became editor-in-chief.

- 1 Thomas
- 11:13 a.m.
- 3 BY MS. KLEINICK:
- Q. Mr. Thomas, can you describe for us when
- $^{5}$  you arrived in Marvel in the 1960s what the first
- for step was in the process of creating a comic book
- <sup>7</sup> issue?
- <sup>8</sup> A. The first step was for the designated
- <sup>9</sup> writer to come up with a plot idea.
- 10 Q. How did the designated writer become
- designated?
- 12 A. That was Stan Lee's decision. Of course,
- it was often him designating himself, but then it
- became me or someone else.
- 15 (Discussion off the record.)
- 16 BY MS. KLEINICK:
- Q. What happens after the designated writer
- comes up with a plot idea?
- 19 A. The writer would either write out the plot
- or synopsis. We used those terms interchangeably or
- he might -- in some cases, but usually it was
- written -- might verbally -- one way or the other we
- would give it to the -- the pencil artist. We would
- often call the person the artist, but it was really
- the pencil artist, who might or might not be the

- <sup>2</sup> inker.
- 9. How did the pencil artist become
- designated to do the particular issue?
- $^{5}$  MR. TOBEROFF: Leading; lacks foundation.
- 6 BY MS. KLEINICK:
- $^{7}$  Q. You can answer.
- $^{
  m B}$  A. He -- that was Stan Lee's decision.
- <sup>9</sup> Q. When in the process was the writer and the
- artist for a particular issue selected? When in the
- 11 process?
- 12 A. Well, I guess I would say more at the
- beginning. I mean, right away -- when in the
- process -- I mean, the writer -- either one of them
- might have been put on first, because the artist
- might be continuing, while it might be a new writer
- or visa versa. I'm not sure if I understood the
- 18 question exactly.
- Q. What would happen after the writer
- provides either a plot or synopsis to the artist?
- A. The artist would go and draw or pencil the
- story.
- Q. And what would the artist do after the
- <sup>24</sup> artist drew or penciled the story?
- A. It would then be mailed or brought

- physically into the -- the office so Stan Lee could
- $^3$  review it. Of course, he was the writer. He would
- <sup>4</sup> also be writing them.
- $^{5}$  Q. And after Stan Lee reviewed the artwork,
- 6 what would happen next?
- A. Well, if there were no corrections, it
- 8 would then be written by the writer, which would
- either be Stan Lee or perhaps someone else.
- Usually, Stan at that stage, when I first arrived.
- Q. And when you say "written," what do you
- mean?
- A. Well, yes, what I really meant there is
- the term that -- what we later came to use the verb
- "dialogue for," which means to write the dialogue,
- which includes, actually, the dialogue and the
- so-called captions. And while doing that to
- indicate those -- where those captions and balloons
- come on the page, generally writing it on the
- original artwork -- not the copy, but indicating the
- shape of the balloons and the captions and writing a
- 22 separate script.
- Q. And after the writer wrote the dialogue
- and captions, what would happen next?
- A. Well, if it was Stan or his brother Larry

- Lieber at that stage, it would be sent to the inking
- $^3$  the inker, we call it, the artist who applied the
- ink, who usually was not the same artist who
- penciled it; although, it was -- it could be but it
- $^{6}$  usually was not. If it was a new writer like me,
- <sup>7</sup> Stan would go over the -- the scripts first for the
- 8 first few months before it would be sent out.
- 9 Q. And where would it go after Stan would
- 10 review the scripts?
- 11 A. It then goes to a letterer. It would be
- sent out -- I'm sorry. I said the inking art. I'm
- sorry, it has to go to the letterer first. I'm
- 14 sorry. My mistake.
- Q. What does the letterer do?
- A. The letterer was the person who would
- 17 letter the actual dialogue and captions as well as
- their shapes onto the page in ink.
- Q. What is the difference between a letterer
- and the inker?
- A. The inker was the person who would apply
- the ink to the drawing portion of the page, go over
- $^{23}$  to and amend and add to what the penciller had --
- <sup>24</sup> had drawn.
- Q. So it goes from the letterer to the inker?

- A. Yes. Sometimes, it would come back
- through the office to be rerouted, but often it was
- <sup>4</sup> just sent -- Stan, generally, did not review things
- between the stages of lettering and inking, so quite
- often the letterer was asked to just mail it
- $^{7}$  directly on to the -- to the inker. Or, you know,
- 8 the inker might even some days come by and pick it
- 9 up. There were many, many different little ways it
- 10 could work.
- 11 Q. What happens after the inker goes over the
- pencils?
- A. After the book is inked, the inker would
- either mail or bring it into the office, either
- turning it directly to Stan or to the production
- manager, depending on whether Stan wanted to see him
- $^{17}$  or not.
- Q. What would happen to it when it got to
- either Stan or the production manager?
- A. Stan would go over the story and proofread
- it, asking for any changes he wanted on either the
- copy or even at that stage, even still on the art if
- he saw something that didn't quite work out.
- Q. At what point does the issue get colored?
- $^{25}$  A. Well, at the time that the -- generally --

- 2 pretty much as soon as the inking would come into
- the office or very soon thereafter, it would be
- 4 Photostatted and reduced to a smaller size, about
- $^{5}$  the size of a comic page or so.
- And those Photostats would be given to
- $^{7}$  what we call the colorist who is the person who
- 8 actually applied water colors to that to indicate
- <sup>9</sup> what the colors should be and also would write in
- notations to clarify so that the colors would be
- 11 matched in the final book by the people who actually
- did the physical coloring that got reproduced.
- 13 These were actually called color guides, what the
- 14 colorist did.
- Q. Were the letterer, inker, and the colorist
- all paid?
- $^{17}$  A. Yes.
- Q. How were they paid, do you know?
- A. By the page, according to whatever rate,
- you know, varying rates they -- they had.
- Q. At what point in the process does the
- cover page -- did the cover page for the issue get
- <sup>23</sup> put together?
- A. Well, it could be at any time. Usually,
- $^{25}$  it was after the story had been at least penciled.

- from time to time new villains or new supporting
- 3 characters.
- Q. Did artists ever come up with ideas for
- 5 new characters?
- <sup>6</sup> A. Yes.
- Q. Was it your understanding that part of the
- 8 writer's assignment was to introduce new characters
- <sup>9</sup> into a comic book series?
- $^{10}$  A. Yes.
- 11 Q. Was it your understanding that part of the
- 12 artist's assignment was to introduce new characters
- into a comic book series?
- 14 A. Yes, anything that would be -- would
- <sup>15</sup> further the plot.
- 0. How -- how did the artists know what to
- 17 draw?
- MR. TOBEROFF: Leading; vague.
- THE WITNESS: The artists were given a
- story line, which might be anything from a few
- sentences to in quite a few cases two or three
- pages or so of a -- a sort of a --a general plot
- line. It wasn't the exact dialogue, you know,
- in movie script form. It was more a short story
- synopsis of the scene. And that would tell the

- $^{2}$  artist what the story was. Then it was his job
- to turn that into pictures.
- <sup>4</sup> BY MS. KLEINICK:
- <sup>5</sup> Q. When you arrived at Marvel in 1965, did
- <sup>6</sup> you ever see Stan interacting with other writers or
- 7 artists?
- <sup>8</sup> A. Yes.
- 9 Q. Did you ever see Stan give a plot or
- describe a plot to an artist?
- A. Yes.
- 12 Q. To your knowledge did artists start
- working on pages before discussing the plot or
- synopsis with Stan or the writer?
- <sup>15</sup> A. No.
- Q. Who decided which writer and artist would
- work on a particular comic book or issue?
- $^{18}$  A. Stan.
- Q. Were the assignments to writers given
- orally or in writing?
- A. Generally, orally.
- Q. Are you aware of how assignments were
- given to artists?
- A. Well, orally. Sometimes Stan would be
- $^{25}$  talking to the person directly. Just as often or

- <sup>2</sup> more often Sol Brodski as the production manager
- $^{3}$  would later report -- would call that person up and
- tell them. But, of course, they were always
- $^{5}$  understood to be speaking for Stan, and they were.
- Q. And just so we're clear, I just want you
- understand, when I'm saying "assignment," I mean the
- $^8$  assignment to do a particular issue.
- <sup>9</sup> A. Uh-huh.
- 10 Q. Is that how you understood my question?
- 11 A. Yes.
- 12 Q. Are you aware of any instance where a
- writer began to work on a new series or title or
- comic book without first being assigned to it by
- 15 Stan?
- A. People might come up with an idea for a
- new series at some stage. Not -- not in the -- this
- 18 -- this wouldn't have happened before at least
- about, you know, the early '70s or so. Once or
- twice -- generally speaking, the ideas were
- generated, you know, by someone in the office, by
- 22 Stan or sometimes later by me; but we were open to
- somebody else coming in, but it wasn't anything we
- were going around looking for or asking for.
- Q. In the 1960s -- from 1965 to 1970, are you

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- aware of any instance where a writer came in and
- actually started working on a new series before Stan
- 4 said: Go ahead and write the series?
- A. No.
- Q. Are you aware of any instances where an
- <sup>7</sup> artist began work on a comic book issue before
- getting the assignment to do the issue from Stan?
- <sup>9</sup> A. No.
- Q. Did writers or artists have any authority
- to assign themselves to do an issue without prior
- <sup>12</sup> approval from Stan or Sol?
- <sup>13</sup> A. No. No.
- Q. Are you aware of any instances where an
- artist submitted artwork for an issue that he hadn't
- been assigned to, like on spec?
- 17 A. Only new artists who were turning in
- samples, not an established artist, not one that was
- 19 already -- was already doing work for Marvel.
- Q. To your knowledge during this time period,
- <sup>21</sup> 1965 to 1972, did Marvel ever buy any work created
- on spec by freelance artists?
- <sup>23</sup> A. No. No.
- Q. Were writers or artists ever during this
- time period taken off a comic book issue for an

- ongoing series?
- A. Yes.
- $^{4}$  O. Whose decision was that?
- A. Stan.
- <sup>6</sup> Q. And who had the final say on which comic
- book stories each artist would be assigned to?
- <sup>8</sup> A. Stan Lee. He could have been technically
- 9 overruled by publisher Martin Goodman, if Martin
- Goodman found there was some artist or someone he
- really didn't like or he did like or something. But
- as a practical matter, Goodman did not concern
- himself with anything like that, except at sometimes
- there were certain artists whose covers he didn't
- think were clear enough.
- He didn't like if Stan assigned that
- person to do a cover. Occasionally, they had a
- little problem about that. And, of course,
- $^{19}$  Goodman's word was final. But as a matter of
- practical fact, 90-plus percent of the time it was
- 21 Stan's decision.
- Q. Was there a set production schedule for
- the Marvel comic titles back in the 1960s to early
- <sup>24</sup> '70s?
- $^{25}$  A. Yes.

- Q. When the writers were given an assignment,
- $^3$  were they also given a deadline to submit the --
- $^4$  let's start with the artist. When the artists were
- <sup>5</sup> given an assignment, were they given a deadline by
- <sup>6</sup> which they had to submit the finished pencils?
- $^7$  A. Yes. Either the exact date or as fast as
- <sup>8</sup> you could do it. But, yes. They were doing a
- <sup>9</sup> deadline.
- 0. And then when the artwork went to the
- writers, were the writers also given deadlines by
- which they had to submit the scripts?
- 13 A. Yes.
- Q. The dialogue?
- $^{15}$  A. Yes.
- Q. Who set those deadlines?
- 17 A. They were worked out by the production
- manager.
- 0. That was Sol Brodski?
- A. Yes. Through '70 or so when he quit.
- <sup>21</sup> After that by John Berpoorten.
- Q. And who ultimately decided which books
- were published and which books weren't published?
- A. Well, during that period and through about
- sometime in about '72, it was generally Martin

- 1 Thomas
- Goodman. For a short period of time in the early
- $^3$  '70s it was his son Chip Goodman who had -- who was
- 4 -- had become publisher.
- <sup>5</sup> Q. Was the assignment process the same for
- freelancers as it was for staff writers and artists?
- <sup>7</sup> MR. TOBEROFF: Vague.
- THE WITNESS: I was going ask, just to --
- if you could clarify that, just I make sure --
- I'm sure I understand it.
- 11 BY MS. KLEINICK:
- Q. Did staff artists get their assignments
- the same way, from Stan or from Sol Brodski?
- 14 A. Oh, yes.
- MR. TOBEROFF: Compound.
- 16 BY MS. KLEINICK:
- Q. How did staff artists get their
- assignments?
- 19 A. They were told either directly by Stan or
- quite often by Sol Brodski in his capacity to do
- them. Of course, if Sol assigned an artist, it was
- because Stan wanted that artist assigned to it.
- They would confer on it and say: Is this artist
- <sup>24</sup> available. Things of this sort.
- Sol was the practical one who had to tell

- 2 Stan that, you know, this artist can't do it or can
- do it, or it will cause problems if this artist was
- 4 taken off something else to do this and that. They
- $^{5}$  worked very closely on that.
- <sup>6</sup> Q. So after the artist submitted pencil
- drawings, you testified that Stan would review the
- 8 artist's work; correct?
- <sup>9</sup> A. Yes.
- Q. Was that the case for all of the Marvel
- artists, that Stan reviewed their work?
- A. Yes. He paid a little less attention,
- perhaps, to some of the, you know, lesser books --
- he probably went -- you know, like the westerns and
- so forth that were kind of dying out. But he
- 16 reviewed everything.
- Q. Were artists ever asked to make changes to
- the materials they submitted for publication?
- <sup>19</sup> A. Yes.
- Q. Who did those requests come from?
- 21 A. They either came directly from Stan or,
- again, were delivered by Sol Brodski on Stan's
- behalf, or even I might eventually be asked to tell
- someone. But, again, it was always on behalf of
- Stan. In the early days I wouldn't have made any

- independent judgments of that sort.
- Q. Did Stan or any of the other Marvel
- editors, including yourself, ever revise the work
- <sup>5</sup> that had been submitted by an artist?
- <sup>6</sup> A. Yes.
- <sup>7</sup> Q. What kind of direction or feedback did
- 8 artists typically get from either Stan or another
- 9 editor in the 1960s to early '70s?
- MR. TOBEROFF: Lacks foundation; leading.
- THE WITNESS: He would give them an
- impression of whether he generally liked the
- work or not and things he particularly liked and
- perhaps things he didn't like or that they
- should watch it and perhaps do it a little
- differently in the future. That's something he
- might let go this time, but he would like them
- to get more excitement or do this or do that.
- 19 BY MS. KLEINICK:
- Q. Did you hear Stan communicating this to
- <sup>21</sup> artists?
- <sup>22</sup> A. Yes.
- Q. Were changes ever made by Stan or any of
- the other Marvel editors or production people
- without first consulting the artist?

- $^2$  A. Yes.
- Q. And you testified that when the writer
- $^4$  brought in the completed assignment, the dialogue,
- $^{5}$  that if it was a writer other than Stan, that Stan
- would review that work product; is that correct?
- <sup>7</sup> A. Yes.
- 8 O. Were writers ever asked to make changes to
- 9 their work?
- 10 A. Yes. Sometimes the writer was asked to
- make the changes, or sometimes Stan would just make
- it himself, make the change himself.
- Q. And when Stan made the changes himself,
- did he consult with the writer?
- A. No. He might tell us what he was going to
- do or what he had already done, but it was a case of
- telling us, not consulting and asking for our extra
- input or anything like that. He would let us know
- $^{19}$  and he would try to tell us why he did it.
- Q. When you were either assistant editor or
- 21 associate editor or editor-in-chief -- in any of
- those editorial capacities -- did you have authority
- to make changes to materials that were submitted for
- publication if you believed that changes were
- <sup>25</sup> appropriate?

- A. Yes. In the, you know, very earliest days
- I wouldn't have done that; but gradually Stan let me
- $^4$  know as time went on that, you know, that I should
- 5 -- that I could take, you know, some of this, you
- 6 know, burden off. And so it was a practical fact if
- $^{7}$  I was sure that it was something that Stan would
- 8 want or at least I thought that Stan would want --
- 9 but I would tend for much of that time, most of that
- time, until I was at least editor-in-chief to try to
- 11 check it with him if I could.
- Q. Did -- did Stan ever -- if Stan decided.
- Was it your understanding that Marvel had
- the ability to use characters that were introduced
- into its story lines by a writer and artist into a
- different comic book story line being drawn and
- written by a different artist and writer?
- MR. TOBEROFF: Objection; compound;
- leading; vague.
- 20 BY MS. KLEINICK:
- Q. You can answer.
- MR. TOBEROFF: Assumes facts.
- THE WITNESS: If by the ability you mean
- the right to do it, and the -- yes.
- 25 BY MS. KLEINICK:

- O. Was that done -- was that done in the '60s
- after you got there, where characters that had been
- 4 introduced into one comic book line title were used
- <sup>5</sup> in other comic book lines or titles?
- 6 MR. TOBEROFF: Same objections.
- <sup>7</sup> THE WITNESS: Yes.
- 8 BY MS. KLEINICK:
- 9 O. You testified that the writer was
- responsible for writing the dialogue in the comic
- books; is that right?
- 12 A. Yes.
- Q. Did artists ever suggest or submit
- dialogue with their drawings?
- A. Yes, the artists wrote what we call margin
- notes in pencil that was supposed to sort of tell
- 17 Stan what they were thinking when they did the
- story, since they were working on his story. And in
- some of those notes, besides saying: This blows up
- or something, sometimes the artist wrote either what
- we call direct or indirect, you know, quotations.
- Either suggested exact dialogue or approximate
- dialogue.
- Q. Who had the final say on what the dialogue
- would be for the story?

- $^2$  A. Well, the writer, subject to Stan.
- $^{3}$  O. If a character that one -- that a writer
- <sup>4</sup> and artist first introduced into a Marvel story line
- was going to be used in a different story line that
- was being written by a different writer and artist,
- did anyone have to go back and consult with the
- 8 original writer and artist to use that character?
- 9 MR. TOBEROFF: Incomplete hypothetical.
- THE WITNESS: The person who made all
- those decisions was Stan. You didn't have to
- consult with the other person. As a matter of,
- you know, courtesy or information, you might do
- it; but it was not required.
- 15 BY MS. KLEINICK:
- 0. Who decided which artist would do a cover
- for a particular issue?
- $^{18}$  A. Stan.
- Q. Were the covers reviewed by anyone before
- they were published?
- A. Well, they were reviewed by Stan, because
- after they were drawn he wrote the cover copy and
- then looked it over again after -- after the cover
- was inked, either by the same artist or a different
- one.

- Then it was -- then they were reviewed --
- they were all reviewed eventually by Martin Goodman
- $^4$  as publisher, and very briefly as I said by Chip
- 5 Goodman, and, of course, from '72 to '74 by Stan
- 6 himself as publisher.
- $^{7}$  Q. From the time you arrived at Marvel from
- 8 '65 to '72 were changes ever made to the covers that
- 9 had been submitted by artists?
- <sup>10</sup> A. Yes.
- 11 Q. Who would make those changes?
- 12 A. That depended upon circumstances. If the
- original artist was there or if there was time to
- either have him come in or to mail it or send it to
- him, he might be asked to make the changes.
- Sometimes, either if it was a minor change or if the
- deadline needs were great or for any other reason,
- 18 Stan -- especially in consulting with the production
- manager who had the responsibility with the schedule
- 20 -- would decide, you know, that it should be done by
- a staff artist or perhaps a visiting freelance
- 22 artist who just came in. It really depended on what
- the change was and what the time was.
- Q. And if an artist's work -- if an artist's
- work required that changes be made, would the artist

- have been paid for the original work that they
- 3 submitted?
- 4 MR. TOBEROFF: Incomplete hypothetical.
- THE WITNESS: Yes, the artist would have
- 6 been paid.
- 7 BY MS. KLEINICK:
- 8 Q. Between '65 and at least '72 you were paid
- both a salary and for your editorial work and a page
- rate for your the freelance writing that you did?
- A. Right.
- 12 Q. How often were you paid?
- 13 A. That's something I am a little vague
- about. I know that the salary checks were more
- frequent than the freelance checks, but I'm -- they
- both came on Fridays but not always at the same
- time. I'm just not certain if we were paid -- I --
- <sup>18</sup> I feel we were probably paid salary checks every
- week, and maybe the freelance was every other week;
- but I'm not 100 percent sure of that. It all kind
- of fades together after a while.
- Q. Who decided what the page rates for
- freelance writers and artists would be?
- A. Well, Stan had the individual
- responsibility; but, of course, it was subject to

- Q. Do you recall whether or not there was any legend or stamp on the checks that were issued by Marvel to you for your freelance work at the time you first started working for Marvel in the 1960s?

  MR. TOBEROFF: Leading; assumes facts.
- THE WITNESS: Yes, there were stamped

  legends or paragraphs on the back of the checks.

  I cannot remember offhand if they were on both

  the freelance and the staff checks. I know they

  were on the freelance checks, but I don't recall

  whether they were on the others. Because I

  never -- you know, after a while you just stop

  paying attention to those. You just sign the
- check and that was it.
- 16 BY MS. KLEINICK:
- Q. Do you recall whether the first freelance checks you received from Marvel had a legend or stamp on the check?
- A. I know that all the ones I remember did.
- 21 And I remember back pretty early, but, you know, I
- couldn't swear the very first one did; but it, you
- know -- you know, it seemed like it was an ongoing
- policy.
- Q. Do you recall what the legend said?

- A. Only in a general sense. I, of course,
- read it; but, basically, it was saying that the
- $^4$  company had -- owned all the -- the copyrights and
- $^{5}$  all of the rights to the material for which I was
- being -- material or work for which I was being
- $^7$  paid.
- 8 O. And was that the same type of language
- <sup>9</sup> that you recall seeing on all of the checks that had
- the legends on them?
- 11 A. Whenever I read it -- the exact wording
- may have changed slightly from time, but it was
- always, you know, words to that effect.
- Q. Do you know whether the checks that were
- given to other writers or freelance artists also had
- a legend or a stamp on them?
- A. I know that they did. I didn't see
- everyone's checks, of course; but -- and it was my
- understanding that they did.
- Q. Did you ever discuss the check legends
- with any of the other freelance writers or artists?
- A. I don't recall specific, you know,
- conversations in detail. But I know that from time
- $^{24}$  to time we would discuss them, because at first I
- was a little puzzled seeing all this on here. You

- have been about two weeks or so.
- Q. You testified that there was a script that
- $^4$  you wrote, I think it was on an Ironman script that
- 5 Stan didn't like and revised significantly?
- <sup>6</sup> A. Yes.
- $^{7}$  Q. Is that right?
- $^8$  A. Yes. He rewrote about 50 percent of it.
- <sup>9</sup> Q. Were you paid for the pages that you
- submitted for that script?
- 11 A. That was one of the ones that I was doing
- as part of the staff writer thing during those first
- 13 few weeks, so I wasn't paid separately. It was
- counted as part of my staff writer salary, so I was
- paid in that sense, for that and the Dr. Strange.
- 16 But I think -- I think those. I know Ironman was
- and I think Dr. Strange's were all part of the
- staff's salary.
- Q. Were there any materials that you
- submitted in your freelance capacity that were
- modified by Stan?
- <sup>22</sup> A. Yes.
- Q. Were you still paid for the pages that you
- <sup>24</sup> submitted?
- <sup>25</sup> A. Yes.

- MR. TOBEROFF: Leading.
- THE WITNESS: I don't really know anything
- about that, because I wasn't involved with it.
- MS. KLEINICK: I'm about to get into a new
- area so maybe we can take a five-minute break.
- $^7$  We have been going for about an hour.
- THE VIDEOGRAPHER: This marks the end of
- <sup>9</sup> video number one of the deposition of Roy
- Thomas. We are off the record at 12:06 p.m.
- THE VIDEOGRAPHER: This is a continuation
- of the deposition of Mr. Roy Thomas. This is
- video number two. We're on the record at 12:18
- <sup>14</sup> p.m.
- 15 BY MS. KLEINICK:
- Q. Mr. Thomas, when you first joined Marvel
- in the 1960s, was it your understanding that the
- copyrights to the materials you submitted for
- publication would be owned by Marvel?
- MR. TOBEROFF: Leading; assumes facts.
- THE WITNESS: Yes, I assumed Marvel owned
- the copyrights to whatever I wrote for them.
- 23 BY MS. KLEINICK:
- Q. Was it your understanding throughout the
- $^{25}$  1960s and 1970s that the -- that Marvel would own

- $^2$  the copyright to the materials that you submitted
- for publication?
- 4 MR. TOBEROFF: Same objection.
- $^{5}$  THE WITNESS: Yes, it was.
- 6 BY MS. KLEINICK:
- <sup>7</sup> Q. And is that true of the freelance
- 8 materials that you submitted for publication and the
- 9 materials that you submitted while in your editorial
- 10 capacity?
- A. Yes.
- Q. Did Marvel have a policy to your knowledge
- in the 1960s and early '70s that it owned the rights
- to all of the materials that were submitted for
- publication by either employees or freelancers?
- MR. TOBEROFF: Vague.
- THE WITNESS: Yes, I understood it and
- considered that -- considered it's always owning
- the copyrights, yes.
- 20 BY MS. KLEINICK:
- Q. Was that policy generally understood in
- the comics industry in the 1960s and early '70s?
- MR. TOBEROFF: Calls for speculation.
- THE WITNESS: To the best of my knowledge,
- based on people I talked to over the years, it

- was generally known.
- 3 BY MS. KLEINICK:
- Q. When you say "based on people I talked to
- $^{5}$  over the years," my question was: Was the policy
- <sup>6</sup> generally understood in the '60s and early '70s?
- 7 MR. TOBEROFF: Calls for speculation;
- <sup>8</sup> vaque.
- 9 BY MS. KLEINICK:
- Q. To your knowledge?
- 11 A. The artists and writers in the field --
- $^{12}$  those were the people I was talking about that I --
- when I spoke to -- they knew that that is what the
- 14 -- what the company considered -- that it was
- considered that -- and it was generally accepted
- with some -- you know, some unhappiness about --
- about the facts, perhaps; but it was accepted that
- that was the conditions under which they were
- working.
- Q. Are you aware that there were certain
- creator-owned comic lines established in the early
- <sup>22</sup> 1970s?
- A. Well, I'm aware of the fact that the
- underground comics, for example, the -- which were
- done by the youth counterculture and so forth, that

- A. I was not aware of any work he was doing
- for any other publisher or whatever, except that
- from time to time someone who was doing an article
- 5 -- a magazine or someone -- something that was doing
- 6 an article on Marvel might commission him to do a
- drawing, like Esquire magazine did at one stage, and
- 8 perhaps some newspaper might have asked him to do it
- 9 separately, but using Marvel characters. I'm not
- aware of anything he was doing that wasn't at least
- 11 Marvel related.
- 12 Q. In the 1960s to early '70s who decided
- which books or series Kirby would work on?
- A. Stan Lee.
- MR. TOBEROFF: Objection to 1960s again.
- We have a standing objection. 1960s means --
- MS. KLEINICK: It's a standing objection.
- MR. TOBEROFF: -- after July 1965; is that
- 19 correct?
- THE WITNESS: I always meant it to be.
- MS. KLEINICK: You made the standing
- objection.
- MR. TOBEROFF: I understand, but I don't
- want the record to look like he's talking about
- the early 1960s when he wasn't there.

- MS. KLEINICK: You made your objection.
- THE WITNESS: I understand it as being
- from '65 on, because I wouldn't know anything
- 5 about an earlier period. I wouldn't have been
- paying as much attention.
- <sup>7</sup> BY MS. KLEINICK:
- 8 O. Did Kirby receive assignments for
- 9 particular issues or titles?
- $^{10}$  A. Yes.
- Q. Who did he get those assignments from?
- MR. TOBEROFF: Asked and answered.
- THE WITNESS: He got the assignment from
- 14 Stan. It might come through Sol Brodski or
- someone, but it was always from Stan. It was an
- ongoing, you know, kind of thing. But it had to
- be renewed every month.
- 18 BY MS. KLEINICK:
- Q. Are you aware of any instance where Jack
- <sup>20</sup> Kirby submitted artwork for an issue for a series
- that Stan or Sol had not already assigned him to?
- MR. TOBEROFF: Leading.
- THE WITNESS: No.
- 24 BY MS. KLEINICK:
- Q. And I think you testified that artists

- were -- artists submitted their finished pencils to
- either Stan or to Sol for approval; is that right?
- MR. TOBEROFF: Misstates testimony.
- 5 THE WITNESS: Yes. He turned it in
- directly to Stan or Sol Brodski, with the idea
- that Sol would show them to Stan.
- 8 BY MS. KLEINICK:
- $^{9}$  Q. Did -- did artwork that was submitted by
- freelance artists from the time that you got there
- in 1965 through the early '70s need to be approved
- by Stan or Sol before it got published?
- 13 A. Yes, it had to be approved by Stan. Sol
- didn't really have the authority to approve anything
- unless he felt that Stan -- he was always acting on
- Stan's authority, but he wouldn't have approved
- anything on his own authority.
- Q. Was Jack Kirby required during this time
- period to submit his artwork to Stan for approval?
- MR. TOBEROFF: Leading.
- THE WITNESS: Yes. It was turned in to
- Stan for -- for him as editor, to look at, to do
- whatever he wanted to do.
- 24 BY MS. KLEINICK:
- Q. Did Stan or any other editor ever make

- $^2$  changes to the artwork that Kirby submitted for
- <sup>3</sup> publication?
- $^4$  A. Yes.
- $^{5}$  Q. Did Stan or any other editor ever ask
- 6 Kirby to redo anything that he had submitted to your
- 7 knowledge?
- $^8$  MR. TOBEROFF: Leading.
- 9 THE WITNESS: Yes. They did. It was not
- a usual occurrence, but from time to time
- something had to be redone.
- 12 BY MS. KLEINICK:
- 13 Q. In the instances where changes -- where
- 14 Stan determined that changes should be made to the
- artwork that Kirby had submitted for publication by
- Marvel, would Stan typically have Kirby make the
- 17 changes or --
- A. Are you finished with the question?
- Q. Would Stan typically have Kirby make the
- 20 changes?
- MR. TOBEROFF: Assumes facts; leading;
- compound.
- THE WITNESS: He would -- he might have
- Jack make the change, if Jack were there and
- available or if there was plenty of time. He

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might also -- especially, if it was a fairly
simple change or something that he felt could
easily be handled. At the office he might have
one of the staff artists or production people
make it -- whether it be Sol Brodski, the
production manager, John Romita, who was an
artist on staff, or one of the other staff
artists.

- 10 BY MS. KLEINICK:
- Q. Do you recall specific instances where artwork that had been submitted by -- withdrawn.
- Do you recall specific instances where

  14 Stan determined that artwork that Jack had submitted

  15 for publication should be changed?
- A. I don't recall early instances. I'd have
- to go over -- looking over covers and maybe
- something would occur to me. I do know that in the
- $^{19}$  late 1969 or '70 period there were a couple of
- instances where Jack's artwork for one Thor issue
- 21 and one Fantastic Four issue that was turned in just
- as he left the company were altered considerably.
- Q. Are you aware of any instances where Stan either made changes or directed that changes be made
- to any of the covers that Kirby submitted for

- <sup>2</sup> publications?
- A. I know changes were made from time to
- 4 time. I would have to go over a lot of covers to
- $^{5}$  begin to remember specific instances. I know that
- $^6$  changes would be made. It might just be a hand or a
- <sup>7</sup> background detail, something like that. Or it might
- be a little more substantial. I know they were done
- 9 but I don't recall the specific instances.
- But that was done as a standard on any
- 11 artist's work, if Stan decided there was a change to
- be made, he just had it made.
- MS. KLEINICK: I think probably now is a
- good time to take a break for lunch. It's 1:15,
- if that works for everybody.
- THE WITNESS: It works for me. I don't
- need over 15 minutes or something. You work out
- whatever you want between you.
- THE VIDEOGRAPHER: Off the record at
- <sup>20</sup> 1:15 p.m.
- VIDEOGRAPHER: On the record at 2:12 p.m.
- 22 BY MS. KLEINICK:
- Q. Mr. Thomas, when you started working for
- Marvel in 1965 through 1972, did you see the -- any
- of the finished artwork -- finished pencils that

- $^2$  Jack Kirby submitted on any of the stories that he
- $^3$  was working on?
- $^4$  A. On many of them.
- $^{5}$  Q. Do you ever recall seeing any notes or
- suggested dialogue that Mr. Kirby included on the
- <sup>7</sup> artwork pages he turned in?
- <sup>8</sup> A. Yes. Pretty invariably, some sort of
- 9 notes -- whether some of it suggested dialogue, some
- of it was other comments or plot things.
- 11 Q. And do you know on the issues where Stan
- was the writer whether he -- what he would do with
- the notes and dialogue that Kirby put in the
- margins?
- A. He would utilize them to make sure that he
- understood fully what -- what was going on based on
- Jack's expansion of the plot. And then he would --
- as far as the dialogue, he would utilize little
- $^{19}$  snippets of it, or he would make up his own, as far
- as I could tell when I was examining it and when I
- was proofreading and marks were often still there.
- He used very little of the exact wording.
- Q. I would like to mark as Thomas Exhibit --
- I guess we are up to 15 -- a document bearing
- production number Marvel 15988 through 16125.

- $^{2}$  the beginning; whether that was verbal -- or as
- $^3$  it was in so many cases -- written.
- That kind of was part of the
- $^{5}$  writing, and it's part of the payment.
- $^{6}$  Q. What is the -- have you ever heard
- <sup>7</sup> of the term Marvel Method?
- <sup>8</sup> A. Yes.
- $^{9}$  Q. What is -- when you came to Marvel
- in July of 1965, was the Marvel Method in use
- 11 at that time?
- MS. KLEINICK: Objection.
- 13 A. Yes.
- Q. What is the Marvel Method?
- 15 A. The Marvel Method -- sometimes also
- called the Stan Lee Method -- but it didn't
- totally originated with him, but mostly arose
- in the -- I'm not really quite sure -- but it
- was in place by the time I got there.
- Because Stan became too busy to
- write full scripts; and Larry Lieber, who had
- been writing the scripts from his plots, you
- know, was either too busy or was doing his
- westerns and things and somewhat withdrawing
- from doing the superheros.

- Stan was -- became -- would come up
- with the idea for the plots, I guess, adapting
- from the way he had originally done plots that
- <sup>5</sup> Larry would turn in the scripts.
- 6 And he simply would give those plots
- $^{7}$  to the artists, who would then draw the story,
- break them down into pictures, expanding them,
- <sup>9</sup> whatever needed to be done to break them down
- into pictures.
- 11 They would then turn them in, and he
- would then add the -- he would dialogue it,
- which means the dialogue and captions -- he
- would add it later -- instead of writing what
- we call script in advance, which is the more
- usual method of writing comic books beforehand.
- Q. Are you aware that Stan Lee has been
- interviewed numerous times in which he has
- described the Marvel Method?
- A. I'm sure he has, yes. I'm aware of
- $^{21}$  that.
- Q. Are you aware that Stan Lee, in
- interviews, has stated that in 1960s, under the
- Marvel Method, that artists were expected to
- <sup>25</sup> plot stories?

- MS. KLEINICK: Objection; states
- facts not in evidence.
- A. I haven't any knowledge of that.
- It would have, you know, surprised
- 6 me; but if he did, he probably misspoke.
- $^7$  Q. Is it your understanding that at
- 8 Marvel, artists were -- part of their duties
- were to plot the stories through the -- through
- their artwork and through notes in the margins
- and suggested dialogue?
- MS. KLEINICK: Objection.
- A. We didn't use that, you know, think
- about that much or use that term then.
- But as I look back on it, and over
- the years and analyze it, I realize they
- were -- I would say co-plotting the stories. I
- would not say plotting.
- When you are given a story idea,
- even if it is a few sentence, quite often, and
- certainly if it was more, as it was in many
- cases, you're certainly not plotting the story,
- you were co-plotting.
- Q. Starting at the time you started --
- well, whether or not they were co-plotting or

- plotting -- is it correct that artists were, at
- $^3$  the time you got to Marvel in 1965, artists
- were expected to plot stories?
- MS. KLEINICK: Objection.
- A. They were expected to co-plot the
- <sup>7</sup> stories.
- Q. Okay.
- <sup>9</sup> A. As they -- to do whatever is
- necessary to tell the story; that involved
- adding elements for the plot.
- So, I call it co-plotting.
- Q. And in your testimony yesterday, you
- spoke about artists being supplied with a story
- either in a synopsis or verbally.
- Which was more common during the
- time you were working at Marvel?
- A. Do you mean what I know of Stan
- <sup>19</sup> Lee?
- Or what I know of, say, myself and
- other writers who came along?
- Q. I'm talking about Marvel as a whole.
- MS. KLEINICK: Objection.
- A. Well, it would depend on the time.
- And Marvel, as a whole, when I came

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- work at Marvel; is that correct?
- $^3$  A. Yes.
- Q. Do you know for certain, whether in
- $^{5}$  19 -- mid-1965, when you started work at
- 6 Marvel, that there was legend on the back of
- your checks?
- A. I, you know, I don't have a picture
- 9 in my mind of the very first check, but I do
- 10 know that -- from probably the beginning, and
- certainly about the beginning; so, therefore, I
- can only, you know, figure it must have been on
- all of them that the legend was there.
- I just probably, you know, I don't
- remember this first check as such; but every
- check I remember in those day, had that legend.
- Q. But do you have a recollection of
- checks in 1965 having that legend?
- MS. KLEINICK: Objection.
- <sup>20</sup> A. Yes.
- THE WITNESS: I'm sorry.
- <sup>22</sup> A. Yes.
- Q. You have a specific recollection of
- 24 that?
- <sup>25</sup> A. Yes.