## EXHIBIT 5

```
Page 1
1
                    UNITED STATES DISTRICT COURT
2
                    SOUTHERN DISTRICT OF NEW YORK
3
      MARVEL WORLDWIDE, INC.,
      MARVEL CHARACTERS, INC. and
5
      MVL RIGHTS, LLC,
6
                              PLAINTIFFS,
7
                                           ) No. 10-141-CMKF
                   VS.
    LISA R. KIRBY, BARBARA J. KIRBY,
    NEAL L. KIRBY and SUSAN N. KIRBY,
9
                              DEFENDANTS. )
10
11
12
13
14
                   VIDEOTAPED DEPOSITION OF NEAL KIRBY
15
                         Los Angeles, California
16
                        Wednesday, June 30, 2010
17
18
19
20
21
22
23
    Reported by:
24
     SUSAN A. SULLIVAN, CSR #3522, RPR, CRR
25
     JOB NO. 31595
```

- 1 A That's correct.
- Q Would you state their full names and birth
- $^3$  dates for me if you can.
- <sup>4</sup> A My oldest sister is Susan. Her birth date
- $^{5}$  is December 6, 1945. My sister who is slightly
- <sup>6</sup> younger than me is Barbara. Her birthday is I
- believe November 26th and I think that -- I think she
- was born in '53. And my youngest sister is Lisa and
- <sup>9</sup> I believe her birthday, I'm going with September 6th
- on this one.
- 11 Q September 6th?
- 12 A If I recall right. Well, let's see. She
- is about 13 years younger than I am so that would
- be -- she was probably born in '61, I guess.
- O Somewhere I had a note that Barbara was
- born in '52. Is that possible?
- A It is possible, yes.
- 18 O And what is your birth date?
- A My birthday is May 25th, '48.
- Q Do you have any children?
- A Yes, I do.
- Q How many?
- A I have two children by my first marriage
- and one with my current wife.
- $^{25}$  Q And would you just give me the names of

- MR. TOBEROFF: Let's take a short break.
- $^2$  MR. FLEISCHER: Let's go off the record.
- THE VIDEOGRAPHER: This marks the end of
- DVD Number 1. Off video at 11:42 a.m.
- (Recess)
- THE VIDEOGRAPHER: Back on video at 11:51
- $^{7}$  a.m. This marks the beginning of DVD Number 2
- of the video deposition of Neal Kirby.
- 9 BY MR. FLEISCHER:
- Q Mr. Kirby, have you ever discussed the
- termination notices which are the subject of this
- lawsuit with Mr. Evanier?
- A No, not with Mr. Evanier.
- 14 Q Have you ever discussed the termination
- notices with anyone other than Mr. Toberoff or a
- member of his law firm?
- A Not that I can recall, no.
- Q Did you ever attend any meetings between
- Mr. -- you are Mr. Kirby -- between Jack Kirby and
- $^{20}$  anyone at Marvel at the time Mr. Kirby was working
- 21 for Marvel?
- A No, I was not -- if I went into the office,
- I wasn't party to any meeting.
- Q I'm sorry, you said you went with him to
- the office but you didn't attend any meetings?

- 1 A Yes, as a child, as a kid occasionally my
- parents were nice enough to let me play hooky once in
- awhile and I would go sit in with my father if he had
- 4 to go and bring in artwork and go up to Marvel's
- office and just kind of sit and wait for him; a
- 6 little bit later go to the zoo or something.
- <sup>7</sup> Q Did you have an understanding at that time
- 8 about who your father was meeting with when he went
- <sup>9</sup> to the offices of Marvel?
- A I would just assume he would be meeting
- with Stan Lee or some other person in charge, I
- <sup>12</sup> guess.
- Q Do you have any recollection of discussing
- with your father any of the meetings he had had with
- 15 Stan Lee?
- A No, I don't.
- Q Was it your father's practice to talk with
- you about the subject of those meetings or not?
- A No, not really. I don't recall right now.
- Q Did you have an understanding of the
- 21 purpose of the meetings your father was having with
- Mr. Lee or anyone else who might have been behind
- that closed door?
- A Well, I suppose you might say as a kid it
- was my understanding he was bringing his artwork to

- New York, gave it to them; they looked at it, they
- bought it or didn't and on he went.
- Q Did you have any understanding at the time
- that part of the function of the meetings was to
- discuss future assignments or work?
- MR. TOBEROFF: Assumes facts.
- <sup>7</sup> A No, I didn't.
- 8 O Am I correct then that you have no
- 9 knowledge whatsoever of any discussions between your
- 10 father and Stan Lee concerning your father's work for
- 11 Marvel?
- 12 A I was never a party to any discussion.
- Q Did your father ever tell you anything Mr.
- 14 Lee had said to him?
- A He never -- I don't recall. I don't recall
- anything specific where my father said something like
- 17 Stan said this or anything, no.
- Q Do you have any recollection of your father
- bringing in artwork that Marvel did not pay for?
- A Yes, I do because, I know from time to time
- it was kind of a topic of discussion at the dinner
- table where my father would be upset, he might have
- brought in some pages and whatever might have been
- something that they didn't like with the pages and
- $^{25}$  they had to redo them and I would know he and my

- $^{
  m 1}$  don't recollect specifically, only that it was at
- $^2$  dinner so I would assume that Susan and Barbara might
- $^{3}$  have been there.
- <sup>4</sup> Q Are there any documents or drawings or
- 5 articles that you could refer to to refresh your
- ferecollection of which you are aware about the
- <sup>7</sup> specifics of the discussions that you were privy to
- between your mother and father?
- $^{9}$  A I'm not aware of any, no.
- Q Did you have an understanding one way or
- another as to whether the work your father presented
- to Marvel was being done as a result of a request by
- Marvel that your father do the work?
- A I don't recall as a child, you know,
- knowing that, knowing the circumstances under which
- he did the work, only that he did do the work and
- occasionally brought into New York City. Like I
- said, it was my understanding at the time, he would
- bring it in, they would look at it, they would buy it
- or they didn't.
- Q Apart from this one Thor drawing, can you
- recall any instance that you witnessed that your
- father brought in work that he did not -- that he
- returned home with?
- A Not when I was with him, no.

- co-created for other publishers.
- <sup>2</sup> A I believe characters such as Fighting
- American, there were some horror comics that he did,
- 4 Black Magic and Young Romance during the late
- forties, early fifties, some -- honestly I don't know
- $^{6}$  if it was the Marvel or pre-Marvel entity in terms of
- <sup>7</sup> the characters but Challengers of the Unknown, The
- <sup>8</sup> Fly which he did with Joe Simon. Oh, I'm sorry, am I
- 9 not -- I'm moving out of the way there.
- He did, let's see, Ant-Man.
- 11 Q Ant-Man, did you say?
- 12 A I believe it was called Ant-Man, yes.
- Q A-n-t?
- A n-t, yes.
- Q Do you know whether Ant-Man was published
- by Marvel?
- A No, I don't. I don't know who the
- publisher is.
- Q Okay. Any others come to mind?
- 20 A Not that I -- I'm sure that there are many
- more. I can't recall any at this particular moment.
- Q Do you have any firsthand knowledge of your
- father working on any character or story which is the
- subject of one of the termination notices here before
- being asked by Stan Lee or someone else at Marvel to

- Q But my question is do you know whether any
- of those characters was created -- do you have
- knowledge one way or the other as to whether those
- 4 characters were created pursuant to specific
- 5 assignments or commissions by Marvel?
- $^6$  A No, I don't.
- $^{7}$  Q Forgive me if I've asked this before, it is
- 8 not my intention to repeat questions, but did your
- <sup>9</sup> father ever discuss with you or in your presence any
- assignments he had received from Marvel or Stan Lee?
- MR. TOBEROFF: Assumes facts not in
- evidence.
- 13 A Not that I can recollect right now.
- Q Do you know how your father was paid for
- the work he did and was published by Marvel?
- A Well, like I said previously, he would
- bring the work when I was there, anyway, he would
- $^{18}$  bring the work to New York, bring in the pages, and I
- believe he got paid by check in the mail.
- Q Do you know the basis for the payments that
- he received for the work?
- $^{22}$  A He got paid by the page that they bought.
- Q And was that something that you knew at the
- time or something that you have learned since?
- A No, I knew that at the time.

- Q Did you have an understanding at the time
- that that was consistent with the way other comic
- book artists were paid?
- $^4$  A Yes, I did.
- $^{5}$  Q And how did you come by that understanding?
- A In just by kind of, you know, discussion.
- <sup>7</sup> I could not tell you the specific time or instance
- but I know from time to time, you know, he said
- 9 that's how he got paid, by the page.
- 10 Q Did you have an understanding at the time
- how much he was being paid by the page?
- A No, I didn't.
- Q Did he ever discuss financial matters in
- your presence?
- <sup>15</sup> A No.
- MR. TOBEROFF: Excuse me. Give me time to
- object to the question before you answer.
- THE WITNESS: Sorry.
- MR. TOBEROFF: Vague and ambiguous as to
- "financial matters."
- A If you are referring to how much he got
- paid, no.
- Q Did he ever discuss how much he got paid in
- relation to other comic book artists?
- <sup>25</sup> A No.

- $^{1}$  in front of me, if that's what you are referring to.
- 2 Q You indicated that you weren't privy to the
- conversations that occurred between your father and
- 4 Stan Lee or others at Marvel even when you were at
- 5 the offices.
- $^6$  A That's correct.
- <sup>7</sup> Q But when he came home or when he left the
- 8 Marvel office and you were together did he ever
- 9 indicate that he had been asked to make changes in
- 10 pages?
- 11 A I don't recall him like at any particular
- time when I was watching him draw, I don't recall him
- at any particular time going, "Oh, I need to make
- this change because they asked me to, " no.
- 15 Q Is it possible that your father had
- delivered work to Marvel and was asked to make
- changes and, in fact, took the work home and did make
- changes and resubmitted it?
- MR. TOBEROFF: Calls for speculation.
- $^{20}$  A I would have no way of knowing that.
- MR. FLEISCHER: Marc, your objections are
- speaking objections and I have not taken issue
- with it but the objection that you are supposed
- to make is objection to form. You are not
- supposed to say assumes facts, you are not

- 1 A Yes. Because he had either created or
- $^2$  co-created most of their characters, if not all of
- their characters during that timeframe. If it wasn't
- for him the company might have and probably would
- $^{5}$  have gone down the tubes. And he put all of his
- 6 heart and work and effort, even 16, hours a day, I
- $^7$  remember that, doing all this work and got paid by
- 8 the page and Marvel and other people at Marvel got
- <sup>9</sup> very wealthy.
- 10 Q Was she referring to anyone in particular
- when she said Marvel and other people at Marvel got
- wealthy?
- A Well, in particular Stan Lee and, you know,
- Marvel as a corporate entity, yes. And I should say,
- 15 I would like to say it wasn't so much the matter of
- the wealth, that was probably a poor choice of words
- on my part. I think the genuine really cause for her
- upset is that he never really got due credit for what
- $^{19}$  he did.
- Q Did she ever indicate to you that he had
- been treated differently than other talented comic
- book creators during the time that he was working for
- Marvel and other publishers?
- A Treated differently in what respect?
- Q Either in terms of payment or credit or any

- $^{1}$  other aspect of the work.
- $^2$  MR. TOBEROFF: Compound.
- A I don't recall ever discussing with my
- 4 mother how much my father was paid by the page in
- $^{5}$  relation to any other artist, no.
- O Did you have an understanding that your
- <sup>7</sup> father -- withdrawn.
- <sup>8</sup> Did you have an understanding that other
- 9 comic book artists were paid by the page during the
- time that your father worked in the industry?
- 11 A Yes. I guess it was you might say common
- 12 knowledge that that's how comic book artists were
- $^{13}$  paid.
- Q And was it your understanding that the
- writers of comic books were also paid by the page?
- $^{16}$  A That I had no knowledge of.
- Q Do you recall ever having a discussion with
- your father with respect to the contribution, if any,
- that Stan Lee or other writers at Marvel had to the
- stories that he was working on?
- A I know my father was -- there were times
- when he was visibly upset if Stan Lee, say, would
- take credit for something my father was done or
- didn't get due credit for something that my father
- $^{25}$  had done.

- $^{
  m 1}$  the course of, I don't know from, maybe when I was
- $^2$  eight years old onward, maybe even younger, up until
- $^3$  I left for college it was kind of my daily habit. I
- would come home from school, go downstairs, you know,
- say hi to my father, see what he was working on, you
- $^6$  know. He would kind of tell me what he was drawing,
- $^{7}$  what he was doing.
- I would go upstairs, get a snack, get my
- books and I would go back down in the basement to do
- my homework because I kind of liked being in
- proximity. And I was doing homework, go into the
- studio and watch, go back out and do homework. And
- eventually we would get my homework done and we would
- watch T.V. together. At least I watched T.V. while
- 15 he worked.
- 16 Q Now did your father ever discuss with you
- any deadlines he had in connection with the work that
- he was doing for Marvel?
- 19 A He would occasionally say that, you know,
- that he had to get a certain story in by a certain
- day or something to that effect.
- Q And I think you said that at certain points
- in time your father often worked into the -- worked
- <sup>24</sup> 16- to 18-hour days.
- $^{25}$  A Yes.

- Q If I recall your testimony earlier.
- $^2$  A Uh-huh.
- Q Do you know why he worked those long hours?
- <sup>4</sup> A He worked those long hours because he was
- $^{5}$  getting paid by the page. The more pages he could
- 6 do, the more money he earned.
- <sup>7</sup> Q Do you recall whether those hours had
- 8 anything to do with his effort to meet specific
- 9 deadlines?
- A Specifically, I couldn't say. Those long
- hours were consistent over the years. It wasn't like
- a deadline coming up, I'm going to work long hours.
- 13 Those were his consistent hours.
- Q Would you say those were his consistent
- 15 hours between 1958 and 1963?
- A I would say at least in the period of my
- good memory, if you wanted to do that, at least in
- the -- through, say, early sixties through when I
- went off to college, yes.
- Q Just to set the context for how old you
- were at the time, in 1958 you would have been 10,
- 22 correct?
- $^{23}$  A 10, yes.
- Q And when would you put the point at which
- your recollection is its best with regard to the

- events concerning your father's work?
- $^2$  A Probably from that point to when I went,
- $^3$  left for college in September of '66, and my
- 4 recollection during those years was that he always
- kept very long work hours. He would start working
- around lunchtime usually and would work until usually
- 3:00 or 4:00 in the morning. Sometimes -- sometimes
- he had to start earlier and sometimes he would go
- 9 later. But always put in a lot of hours, usually
- six, seven days a week. There wasn't any weekend he
- didn't work.
- 12 Q Did you have any sense at the time, at any
- point between the time that you were 10 and you went
- off to college as to where in the spectrum of comic
- book artists' compensation your father stood?
- $^{16}$  A At that time, no.
- Q Did you later come to have an understanding
- about where he stood in the spectrum from low to high
- of compensation during those years?
- A I never had an understanding or I never
- 21 knew where he stood let's say in relation to a
- specific artist and I never knew exactly how much he
- got paid for, you know, per page. I mean, however,
- obviously I -- they had a house and we all ate every
- day so I assume he made enough money.

- your father confided work-related issues in the
- fifties or sixties at any time?
- A No, I'm not aware of any. There might have
- been but not that I can recall.
- <sup>5</sup> Q You were never present at a conversation
- where you heard your father discussing work issues
- with another non-family member; is that correct?
- 8 A Correct. That would be correct.
- <sup>9</sup> Q I want to go through a few specifics with
- regard to some of the characters that are the subject
- of the termination notices at issue in this and let
- me start with Spider-Man.
- Do you have any information with regard to
- the circumstances under which the Spider-Man
- 15 character was created?
- A I'm not -- I'm not aware of any specific
- information as to the creation of Spider-Man.
- Q Do you know if your father created the
- 19 Spider-Man character or co-created the Spider-Man
- 20 character?
- A I'm aware that he had a hand in the
- beginnings of the character and in the design of the
- character. You know, again, as to meetings that
- might have taken place, I wouldn't have been privy to
- $^{25}$  that.

- Spider-Man was created with your sister Lisa?
- A No, I haven't, that I can recall.
- Q How about with Barbara or Susan?
- A No, I don't recall discussing that with
- $^{5}$  them.
- 6 Q Do you have any specific information with
- regard to your father's contribution, if any, to the
- 8 actual creation of the character other than penciling
- <sup>9</sup> the cover for the first issue?
- 10 A That's -- he may have done more. I don't
- have, that I can recall, any other specific
- 12 information.
- 13 Q Were you ever told by your father that he
- had been assigned to draw the first Spider-Man and
- that his style of drawing was ultimately determined
- by Stan Lee to be too heroic for the character?
- MR. TOBEROFF: Compound.
- $^{18}$  A If I recall at the time Spider-Man was
- being created and the script started, I know he did
- mention that because of all the other strips that he
- was doing, FF and Thor and so on, that he was too
- busy to do Spider-Man.
- Q And did he tell you that at the time?
- A I believe it did come up. Again, I
- couldn't recall a specific date or time or how the

- $^{1}$  he would get upset when Spider-Man was brought up, so
- $^2$  to speak, you know, that, again, he and others
- involved basically didn't get any credit.
- Q Of your own firsthand knowledge do you know
- whether the concept for the Spider-Man character and
- the basic powers of a Spider-Man character were
- <sup>7</sup> conceptualized initially by Stan Lee or someone else?
- 8 A Well, I would say my firsthand knowledge,
- 9 my first guess would be my father just because of
- his -- just his knowledge of science, his use of
- science fiction in stories, just in his if you want
- to call it pattern, for lack of a better word, of how
- do you get a human to have super powers, you know,
- without direct intervention from God. Well, the best
- way to do it was somehow altering DNA which was the
- big thing at the time with the Cold War going on and
- 17 so on.
- 18 Q Now is it true that what you just described
- is your own speculation and, in fact, is not based on
- your knowledge of how the idea was first floated?
- A Well, I'd say it is based on my knowledge
- of how my father worked and his knowledge and in my
- personal discussions with him at the time I certainly
- $^{24}$  felt that way.
- Q Well, leaving aside how you felt, can you

- $^{1}$  testify as a matter of fact that Stan Lee didn't come
- to your father initially with the idea of the
- Spider-Man character?
- A Well, I can't -- I can't say what might or
- might not have been discussed between my father and
- $^6$  Stan Lee or Stan Lee and anybody else given that I
- was a teenager and not privy to those discussions.
- MR. FLEISCHER: Would you mark this as
- <sup>9</sup> Kirby 2.
- MR. TOBEROFF: Thank you.
- 11 (Neal Kirby Exhibit 2, a document, marked
- for identification, as of this date.)
- Q Mr. Kirby, I place before you an article
- marked for identification as Neal Kirby Exhibit 2.
- <sup>15</sup> A Uh-huh.
- Q Have you ever seen the story reflected in
- 17 this exhibit?
- $^{18}$  A I will let you know as soon as I read it.
- MR. TOBEROFF: It is hard to tell looking
- at the exhibit what it is. Can you tell me what
- this is? Or I should say this looks like a blog
- entry.
- MR. FLEISCHER: Apart from what it appears
- to be on the face of it, I can't offer you any
- information about it.

- 1 Q Have you ever seen the interview your
- father appears to have given to The Spirit creator
- Will Eisner concerning the Spider-Man character?
- MR. TOBEROFF: Assumes facts not in
- <sup>5</sup> evidence.
- A No, I haven't seen that interview.
- <sup>7</sup> Q What information, if any, Mr. Kirby, do you
- 8 have concerning the circumstances of the creation of
- <sup>9</sup> the Iron Man character?
- A I'm trying to recall. At this time I don't
- have any recollection of Iron Man specifically, how
- that came about.
- Q Do you know what contribution, if any, your
- 14 father made to the Iron Man character?
- A Again, I believe at the very least, I
- believe he designed the costume. As to the initial
- idea and creation of the character, I'm sure at the
- very least he probably contributed to that.
- 19 Q Is that just shear speculation on your
- part?
- A Well, I wouldn't call it speculation, I
- would call it based on -- based on the knowledge of
- that he pretty much had a hand in everything Marvel
- did and based on my knowledge of his creativity.
- $^{25}$  Q Well, was he the artist assigned to draw

- the initial issue of Iron Man?
- $^2$  A That I do not know.
- $^3$  Q Do you have any basis to contradict Mr.
- 4 Lee's testimony that the concept for the Iron Man
- 5 character was his?
- <sup>6</sup> A Do I have any basis for that? I have the
- $^{7}$  basis that I know my father's creativity versus Mr.
- 8 Lee's creativity and Mr. Lee was an excellent
- marketer, he was an excellent manager, excellent
- self-promoter. I honestly don't believe he had any
- 11 creative ability.
- 12 Q You've never met Mr. Lee, have you?
- A When I was younger, yes, I met him several
- $^{14}$  times.
- 15 Q And is it on the basis of your assessment
- of him as a teenager that you make that statement
- that he wasn't creative?
- 18 A It is on that basis. It is on the basis
- of, you know, having seen and read some of his
- interviews and so on.
- O Am I correct that you have no firsthand
- knowledge about whose idea the Iron Man character
- was; is that correct?
- A I cannot recall at this moment.
- Q Do you recall being aware that Don Hecht

- $^{
  m l}$  was the artist who drew the first issue of Iron Man?
- A He may have been. You know, I'm not aware
- $^3$  of who drew the first issue.
- <sup>4</sup> Q Do you know whether the cover for the Iron
- Man book that your father did was created before or
- 6 after the panels for the first publication were
- 7 created?
- <sup>8</sup> A I don't have any recollection of that.
- Q Are you saying -- when you say you don't
- have any recollection, do you believe at one point
- 11 you knew and have forgotten or are you saying that
- 12 you never knew?
- A No, I'm saying that I don't remember. I
- may have at one point in the past. Right at this
- moment I don't recall.
- 16 Q Is there anything of which you are aware
- that would refresh your recollection about that
- 18 subject?
- A Well, I don't know. I suppose we can get a
- Psychology 101 book and get out all the standard
- memory refreshers. But it is possible something
- could pop up in the future that might refresh my
- memory, I don't know.
- 24 Q You are not aware of any document or
- drawing or anything that currently exists of which

- $^{
  m l}$  you are aware that could refresh your recollection;
- is that correct?
- would say would, can refresh my memory.
- MR. TOBEROFF: Before you go on to a new
- 6 character, there was a name you mentioned in
- onnection with Exhibit 2 and I just wanted
- 8 to -- rather than having her go back to the
- 9 record can you tell me that name.
- MR. FLEISCHER: Will Eisner?
- MR. TOBEROFF: No, the person who --
- MR. FLEISCHER: Al Nickerson?
- MR. TOBEROFF: Yeah.
- MR. FLEISCHER: It is in the article
- itself.
- THE VIDEOGRAPHER: We have to change tapes
- in about five minutes.
- MR. TOBEROFF: I'm sorry, I didn't see it.
- 19 Q Mr. Kirby, a minute ago you said something
- to the effect that your father had a hand in
- everything that Marvel did. During what period of
- time were you referring?
- A Referring to the time late fifties to early
- sixties.
- Q And how do you know for a fact that that

- was the case?
- A Just my, again, my understanding of the
- way -- the way my father operated and contributed
- 4 ideas and came up with and created ideas.
- <sup>5</sup> Q Anything else on which you make that
- 6 statement?
- A And, again, based on the fact I don't know
- 8 what other creative forces at Marvel existed other
- <sup>9</sup> than my father at that period of time.
- 10 Q Well, not being aware of what other
- 11 creative forces existed, how can you make that
- 12 statement?
- MR. TOBEROFF: Argumentative.
- A Again, just my -- just my knowledge and
- basis of, you know, having been around my father and
- $^{16}$  at the time that the things were, at Marvel things
- were happening. I don't know another way of wording
- <sup>18</sup> it.
- Q Well, you are suggesting that there was no
- other creative force at Marvel other than your
- father. Do you have a basis for that understanding?
- A Well, in terms of -- I think if you look at
- Marvel after my father left I'm not sure, and, again,
- I'm not sure that anything new came out of Marvel
- after he left the company so you could look at it in

- $^{1}$  that aspect.
- $^2$  Q Does that as a scientist speak to what
- happened while your father was there?
- 4 MR. TOBEROFF: Argumentative.
- <sup>5</sup> A Well, as a scientist I'm not sure how we
- apply scientific method to this but, no, it just goes
- $^{7}$  on the basis of what I have known and just my
- 8 discussions with my father.
- <sup>9</sup> Q Did your father ever tell you that he was
- the sole creative force at Marvel during his tenure
- 11 there?
- 12 A I don't recall him using -- again, my
- father would have been too humble a person to even
- word anything like that but I know in discussions it
- just, to me, he certainly seemed that way.
- 16 Q It seemed that way because you were aware
- of what else was going on at Marvel other than what
- you saw your father do?
- A Well, yes. We got all the comic books and
- 20 pretty much knew what was going on at Marvel at the
- time as children.
- Q And was it your view at the time that Mr.
- Hecht who was a Marvel artist at the time was not a
- significant creative force at Marvel?
- A I couldn't say one way or the other. I

- $^{
  m 1}$  never met Mr. Hecht.
- $^2$  Q Are you aware of the work of Bill Everett?
- $^3$  A I know his name.
- Q Is it your view that Mr. Everett was not a
- <sup>5</sup> creative force at Marvel in the fifties and sixties?
- A Again, I never met Mr. Everett and I'm not
- totally familiar with his work so I wouldn't
- 8 conjecture on that one way or the other.
- 9 Q So my question is how can you say that your
- 10 father was effectively the sole creative force at
- 11 Marvel during the fifties or sixties.
- A Again, that's come just from my discussions
- with my father and my perception of the situation at
- $^{14}$  the time.
- Do you know who the editor and chief at
- Marvel was during the fifties and sixties?
- 17 A I would guess that would have been Stan
- $^{18}$  Lee.
- 19 Q Do you know if it was part of the work that
- your father did on Marvel's behalf to review and
- direct Marvel publications other than the ones that
- he was working on as an artist?
- A Are you staying it was Stan Lee's job?
- Q No, your father's job.
- A To edit other artists' work? I'm not quite

- A He never mentioned to me that he worked off
- $^2$  a synopsis and usually he was penciling stories in
- the margins of the comics. He usually, if I could
- <sup>4</sup> jump in there, he usually started, he always started
- $^{5}$  in the middle of a story and then he went back to the
- beginning and then he would finish up and do the end,
- $^{7}$  that was just the way he worked. I would think if
- $^8$  you are working off a story or a synopsis that you
- 9 don't need to do that but --
- Q As you have indicated, though, you don't
- know what conversations may have occurred between
- 12 Stan and your father before you saw him working on a
- drawing, correct?
- 14 A I wouldn't have been privy to those
- 15 conversations.
- O Right. And you don't know whether or not
- your father had been given a synopsis or a script
- before he began working on a particular story; is
- 19 that correct?
- MR. TOBEROFF: Asked and answered.
- A Yes. I never saw a script or synopsis by
- his drawing board.
- Q What information, if any, do you have
- concerning the creation of The Fantastic Four?
- A In discussions with my father The Fantastic

- Four basically was a derivative of the, from what he
- $^2$  told me, basically he came up with the idea just as a
- derivative from the Challengers of the Unknown that
- 4 he had done several years earlier.
- $^{5}$  Q So your father told you that The Fantastic
- <sup>6</sup> Four was his idea?
- $^{7}$  A Yes.
- <sup>8</sup> Q Did your father ever tell you about any
- 9 discussions that he had with Stan Lee concerning The
- 10 Fantastic Four?
- A Any specific discussions, not that I can
- 12 recall.
- Q Did your father ever discuss with you any
- synopsis that Stan Lee had given to your father?
- A No, he never discussed that with me and as
- I said previously, I never saw him work on a
- synopsis.
- 18 (Neal Kirby Exhibit 3, a document, Bates
- Nos. MARVEL0014587 to MARVEL0014588, marked
- for identification, as of this date.)
- MR. FLEISCHER: Would you mark that as
- three, please.
- MR. TOBEROFF: I just want to clarify, any
- document that you produce in this action will
- have Bates stamps and if it is a document like

- $^{
  m l}$  work on The Fantastic Four?
- A Again, I can only say what I said before.
- I had never seen him work from a synopsis, he never
- 4 had a synopsis or a story on his drawing table or
- 5 anywhere near his drawing table that I could see when
- he was working, and in none of our discussions did he
- ever mention to me working off of any kind of
- 8 synopsis by Stan Lee.
- 9 Q Apart from those observations you made as a
- young man or teenager, do you have any reason to
- doubt the veracity of Stan Lee's testimony to the
- effect that this is a synopsis he created and gave to
- your father for the purposes of assisting his work as
- the artist on The Fantastic Four?
- <sup>15</sup> A Do I have reason to disbelieve him?
- <sup>16</sup> O Yes.
- 17 A Yes, I do have reason to disbelieve him.
- Q And what is the basis for your disbelief?
- 19 A The basis for my disbelieve is that I
- believe Stan Lee, and I'm trying not to be
- mean-spirited here at all or anything like that, who
- was brought up to be respected by my elders and at my
- age it is nice to call someone else an elder, but I
- believe Stan Lee is -- he is basically a self-
- 25 promoter so I believe, you know, I believe he is

- <sup>1</sup> narcissistic and I believe he is a self-promoter and
- I believe he will do whatever needs to be done to
- 3 carry on the myth that he was the creator of
- <sup>4</sup> everything at Marvel.
- Did your father ever tell you that he
- 6 created the names of The Fantastic Four characters?
- <sup>7</sup> A They came up in discussion, yes, that my
- father was joining them, this is so is so, this is so
- <sup>9</sup> and so.
- Q Do you know if those were the names he gave
- to the characters or the names that Stan Lee had
- given to the characters or someone else had given to
- the characters?
- MR. TOBEROFF: Compound.
- 15 A It was my understanding from our discussion
- that he had given the names to the characters.
- 17 Q He had told you that he had given the names
- 18 to The Fantastic Four characters?
- <sup>19</sup> A I believe so, yes.
- Q Did he tell you that when the initial issue
- of Fantastic Four was on the drawing board or some
- 22 other time?
- A If I recall, it was -- it was -- I don't
- recall honestly if it was while he was still drawing
- it or if it was before the actual published book

- $^{1}$  And then the next opportunity for a war book being
- $^2$  the Combat book which was just really a compilation
- of stories.
- 4 Q Now do you -- and the basis for your
- 5 statement that your father created the name Sergeant
- <sup>6</sup> Fury or Nick Fury, whichever is appropriate --
- $^7$  A Sergeant Nick Fury.
- ${ t Q} { t Sergeant Nick Fury is what?}$
- $^{9}$  A That he told me.
- 10 Q Any other information concerning the name
- of that character that you have?
- 12 A Not that I can recall.
- Q And am I correct that you have no knowledge
- with regard to conversations that occurred between
- your father and Stan Lee concerning Sergeant Nick
- Fury prior to the introduction of that character? Is
- 17 that correct?
- 18 A It is correct in saying that my father
- 19 didn't -- in my discussions with my father that did
- not come up.
- Q And you weren't present at any
- conversations as you have indicated between your
- father and Stan Lee.
- A That would be correct.
- Q Do you recall who was the assigned writer

- $^{1}$  to the Thor comic book at the time of its first
- <sup>2</sup> issue?
- $^3$  A No, I don't recall that.
- <sup>4</sup> Q Do you know what contribution the assigned
- writer of Thor made to that character?
- <sup>6</sup> A I don't recall right now. No, I don't
- 7 recall what that might have been.
- 8 O Do you recall what discussions took place
- <sup>9</sup> between Stan Lee and your father prior to your father
- beginning work on the Thor comic book?
- 11 A I have no knowledge of what their
- discussions might have been. Same thing. My
- discussions with my father were about basically
- creating, you know, a book around Norse mythology.
- Do you know if Stan Lee asked your father
- to create a book based on Norse mythology?
- 17 A I have no recollection or knowledge of
- $^{18}$  that.
- Q Was it your understanding your father would
- begin working on a book without any discussion with
- Stan before doing so?
- A I would say it was my understanding if my
- father had an idea for a book or a character to
- create he could bring it up and get a yea or nay.
- Q Was it your understanding that he would

- begin working; that is, drawing panels prior to
- getting a go ahead from Marvel or Stan Lee?
- A I don't believe -- that is not my
- 4 understanding. My father didn't do work on spec, he
- $^5$  was getting paid by the page.
- $^{6}$  O Let's talk about Ant-Man for a minute.
- A If I could just interject here, if he was
- 8 to do something on spec like that and do a whole 20-
- 9 page story which would take him hours and hours and
- hours to do and bring that into New York and oh,
- sorry, you know, idea but not now let's wait a couple
- of years, he doesn't get paid for it so that wouldn't
- have been the way my father would operate.
- Q Okay. What information, if any, do you
- have about the circumstances surrounding the creation
- of Ant-Man?
- A I really don't recall that. Ant-Man would
- $^{18}$  have been when I was really young.
- 19 Q You read, as you said, Mr. Lee's deposition
- testimony, correct?
- A Uh-huh.
- 22 O And he described the circumstances of his
- idea about Ant-Man to your father. Do you remember
- reading that testimony?
- A Actually, no, I don't. I did read through

- $^{
  m l}$  going on and him explaining the characters. Usually
- $^2$  he would say something to the effect of this is a new
- story I've come up with, what do you think of this
- and here is where I'm going with this. That's how
- our discussions would go.
- $^{6}$  Q Specifically with regard to the X-Men did
- your father say the concept and basic story of the
- 8 X-Men universe was solely his creation?
- <sup>9</sup> A I do recall him saying again along those
- same lines this is the new characters and story, you
- 11 know, I've come up with.
- 12 Q You read Mr. Lee's testimony concerning the
- creation of X-Men, correct?
- <sup>14</sup> A Yes, I did.
- Q And Mr. Lee testified under oath that the
- concept was his and that he assigned the book to your
- <sup>17</sup> father, correct?
- 18 A Uh-huh.
- Q Do you have any reason to believe that that
- testimony was not correct?
- A Again, as I stated before, my reasons for
- not believing Mr. Lee is that, you know, I have no
- reason not to disbelieve my father and pretty much
- every reason to disbelieve Mr. Lee. I just don't
- believe in his deposition he was telling the truth or

- $^{1}$  maybe he just didn't recall the truth properly. I
- $^2$  will try to be somewhat respectful.
- Q Are there -- apart from your own
- 4 recollections of what your father told you that you
- have testified about with respect to X-Men, are you
- 6 aware of any evidence to corroborate your belief that
- <sup>7</sup> the X-Men story was a creation solely of your
- 8 father's?
- <sup>9</sup> A I am not aware if you are referring to like
- some kind of written evidence or -- I'm not quite
- sure exactly what you are referring to.
- 12 Q Any -- any evidence, whether it is written
- or something you observed.
- A Well, I observed him drawing X-Men, Number
- 1, and talking -- and talking to the -- talking about
- the story with me so, again, that's where it is
- coming from. I have no knowledge, I cannot recall
- anything about there being any other type of written
- 19 evidence that might exist.
- Q Well, if your father had been assigned the
- story by Mr. Lee who had suggest an outline for the
- story or a synopsis, whether verbally or in writing,
- you would have still observed your father drawing the
- first issue of X-Men, correct?
- MR. TOBEROFF: Argumentative.

- $^{
  m l}$  Galactus, he is going to be a planet eater, planet
- destroyer, went into the concept of the Silver Surfer
- of being his scout or herald, as he called it.
- <sup>4</sup> Q Did he indicate in front of anyone else
- that he had created the names Galactus and the Silver
- 6 Surfer?
- <sup>7</sup> A He might have.
- 8 O Do you have a recollection of him having
- 9 done so?
- 10 A I don't recall him saying that in front of
- me and someone else.
- 12 Q Are you aware of your father ever giving an
- interview in which he claimed credit for naming the
- 14 Silver Surfer?
- A He may have. Again, I have no recollection
- of one in specific.
- Q Are you familiar with a character called
- 18 Rawhide Kid?
- <sup>19</sup> A Yes, I am.
- 20 And do you have any information concerning
- the circumstances under which that character was
- 22 created?
- A Rawhide Kid I don't. I don't recall. I
- believe the Rawhide Kid was one of the first things
- that he did when he went -- at that time with Marvel.

- MR. TOBEROFF: You can answer that as long
- as your answer does not implicate the substance
- of conversations with me.
- <sup>4</sup> A My role would have been in helping to
- identify the characters that I was aware of.
- O Rawhide Kid is a character that is the
- <sup>7</sup> subject of the notice, correct?
- <sup>8</sup> A I believe recall all 45 them off the top of
- <sup>9</sup> my head.
- 10 Q What information did you have about the
- creation of Rawhide Kid that was pertinent to the
- <sup>12</sup> notices?
- 13 A I don't recall at this time.
- 14 Q The notices pertain to characters that
- appeared in publications that were made between 1958
- <sup>16</sup> and 1963, correct?
- <sup>17</sup> A I believe so.
- Q And can you tell me what characters which
- are the subject of those notices you and your sisters
- contend were created solely by your father as opposed
- to co-creations?
- A As opposed to co-creations.
- MR. TOBEROFF: Calls for a legal
- conclusion.
- A You know, again, I could only go on the

- A No, I don't.
- Q Do you have any information concerning the
- 3 circumstances of the creation of The Incredible Hulk?
- <sup>4</sup> A That honestly I don't recall. I recall my
- father again working on the first issue and obviously
- subsequent issues and going over the storyline with
- me about how he becomes the Hulk and so on, Bruce
- 8 Banner and all that. I cannot recall right now
- 9 discussions about creation, creation of that
- 10 character.
- 11 Q Are you aware of any documents that would
- assist you in refreshing your recollection?
- A No, I'm not aware of anything that I can
- $^{14}$  think of.
- Do you contend that The Incredible Hulk was
- the sole creation of your father?
- A Again, my personal knowledge is after it
- had been released he had a major part in the creation
- <sup>19</sup> of it.
- Q Would it be correct to say you don't know
- one way or the other as to whether there were others
- who made a significant contribution to The Incredible
- 23 Hulk?
- A I would say my personal knowledge is there
- may have been. I don't know how significant it might

- $^{1}$  have been.
- Q Did you review Stan Lee's testimony
- concerning the concept for The Incredible Hulk
- 4 character?
- <sup>5</sup> A I did read it. I don't recall all of it.
- O Do you have any reason to believe that the
- <sup>7</sup> idea for the character was not a creation of Stan
- 8 Lee's?
- <sup>9</sup> A I could only say, as I said before,
- according to Stan Lee's deposition he created
- everything solely. Again, trying to be somewhat
- respectful, you know, but to say that would seem
- highly unlikely. You know, honestly I just think Mr.
- Lee is again propping up his own ego with whatever he
- sees fit at this point. What's the expression, he
- has the benefit of being the last man standing, so to
- speak.
- Q Do you feel that Mr. Lee's testimony in
- some way diminished the contribution that your father
- made to the various characters that he worked on at
- 21 Marvel?
- 22 A Diminished I think is -- I think diminished
- is the least of it. I think Stan Lee is kind of
- rewriting history but --
- Q You know, with respect to the creation of a

- $^{1}$  you know, met with somebody else saying gee, I have
- $^2$  this good idea for a character, you know, would you
- like to go for it, that he would have done it, you
- 4 know. Definitely I would consider that coming up
- $^{5}$  with an idea and speculation. There's no -- there's
- no guarantee if you are going to come up with an idea
- $^{7}$  that they're going to say yea, nay or otherwise. I'm
- 8 sorry.
- 9 MR. TOBEROFF: Feel free to finish your
- answer.
- 11 A In terms of would he, maybe this was a
- little confusing before, what I was trying to get at.
- In terms of would he sit down and spend
- three days, four days, however long, actually
- doing -- I don't recall how long comic books were at
- the time, I think they were 22 pages, something like
- that, would he sit down and do a 22-page comic book
- and then bring that in to -- bring that in to Stan
- Lee or anyone else and go, "Would you like to buy
- this, " probably not. Because if they said no he is
- out five days worth of work and all those pages. So
- in regards to just to clarify my statement as to, you
- know, as to on spec.
- Q So if I understand what you are saying, you
- believe that he never sat down to draw a story until

- being given an okay by someone on the editorial staff
- <sup>2</sup> at Marvel?
- $^3$  A I'm saying that he wouldn't draw, I don't
- believe that he would draw a brand new out-of-his-
- $^{5}$  head idea story, actual set it pencil to paper,
- 6 without knowing in advance that it would be
- <sup>7</sup> purchased.
- 8 O And do you have any information one way or
- <sup>9</sup> the other as to whether any of the stories that he
- worked on as an artist for Marvel were the result of
- the collaboration on the story idea between your
- 12 father and Stan Lee?
- 13 A I'm sorry, could you just repeat the
- question, please?
- <sup>15</sup> O Sure.
- 16 (Record read)
- 17 A I would have no information that I can
- think of right now for that.
- Q Do you believe that Marvel had the right to
- exercise creative control with respect to the
- contributions your father submitted to Marvel?
- MR. TOBEROFF: Calls for a legal
- conclusion.
- A It was my understanding that they were
- <sup>25</sup> purchasing their artwork. As to what legal rights

- $^{1}$  that entitled them to, I don't have the knowledge to
- $^2$  answer that question.
- Q Well, you have indicated that you think
- 4 that there were pages that your father had brought to
- <sup>5</sup> Marvel that were rejected.
- <sup>6</sup> A Correct.
- <sup>7</sup> Q And I think your testimony was that you
- 8 don't recall whether there were instances in which
- <sup>9</sup> your father brought artwork to Marvel and corrections
- were requested but would you agree that that could
- 11 have happened?
- 12 A That corrections --
- MR. TOBEROFF: Calls for speculation.
- Q That your father brought in work and then
- Marvel may have asked that corrections be made or
- changes be made.
- 17 A The only thing that I can say, I don't know
- $^{18}$  what Marvel may or may not do or what they may or may
- not have requested my father to do. I do know that
- he never mentioned to me in any of our discussions
- look, I'm making a change on a page because so and so
- $^{22}$  asked me to do so.
- Q Given your knowledge of the industry as
- you've suggested you have earlier, isn't it
- commonplace for art directors and editors to make

- 1 changes or request changes by artists and writers in
- connection with the stories?
- $^3$  A My limited knowledge of the industry is,
- you know, that might take place.
- Might I add something that -- oh, I'm
- 6 sorry.
- 7 Q There's no pending question.
- In Paragraph 10, I will read the first
- <sup>9</sup> sentence.
- "Any contributions made by Kirby to the
- Works were done at the expense of the Marvel
- <sup>12</sup> Entities."
- And that allegation, as well as the second
- sentence that is part of Paragraph 10, is denied.
- <sup>15</sup> A Uh-huh.
- Q What is the factual basis for the denial
- that the contributions made by your father to the
- comic books he worked on for Marvel were made at
- 19 Marvel's expense?
- MR. TOBEROFF: Calls for a legal
- conclusion.
- A To the best of my knowledge, as we
- discussed previously, my parents paid for all their
- own supplies, obviously his studio was in the house,
- that was at their expense, and to the best of my

- knowledge they were not reimbursed for those
- <sup>2</sup> expenses.
- Q I thought you testified earlier that you
- 4 had no knowledge one way or the other as to whether
- or not they were reimbursed.
- $^6$  A I can't recall exactly what I said but,
- $^{7}$  however, there would be -- if my mother or if my
- parents were getting reimbursed for their expenses
- <sup>9</sup> there would have been no reason for them to complain
- about them or to even bring that up since it would be
- $^{11}$  a net wash.
- 12 Q Do you have an understanding of the
- earliest date on which any of the 45 termination
- notices becomes effective?
- 15 A If I recall right, it is somewhere around
- <sup>16</sup> 2014, I believe, somewhere in that area. I don't
- 17 recall specifically.
- Q I think you are correct.
- 19 A I don't recall which character.
- 20 And in respect to the characters which are
- the subject of the termination notices, are you aware
- of any limitations on Marvel's rights to exploit the
- copyrights associated with those characters prior to
- the effective date of the termination notices?
- A I can't say that -- you know, I can't say

- $^{1}$  to be worded, that's not my area of expertise.
- 2 Q You were willing to suggest that the credit
- $^3$  that was given to your father on the Hulk film was
- $^4$  inappropriate in some fashion.
- <sup>5</sup> A Yes. Because I would have preferred the
- word "created" in it as I mentioned before.
- <sup>7</sup> Q Are you aware that Wolverine's first
- 8 appearance was in 1974 well after your father had
- 9 stopped work on X-Men?
- MR. TOBEROFF: Assumes facts not in
- evidence.
- A No, I was not aware of that. No. I don't
- 13 recall that.
- Q Did you do any research to determine
- whether any of the characters that were the subject
- of your notices were in fact created by your father
- or co-created by him?
- $^{18}$  A I did some.
- 19 Q What research did you do?
- A Oh, just some with books that I have or a
- little talking with my sister and so on.
- O Which sister?
- <sup>23</sup> A Lisa.
- Q And what books?
- A Oh, just the coffee table history of comic

- 1 kind of books.
- Q Can you be more specific? Are these books
- that you have in your home?
- $^4$  A Yes, they are.
- Q And do you still have them in your home?
- $^{6}$  A I do, yes.
- <sup>7</sup> Q And do you recall specifically what titles
- 8 and what authors?
- <sup>9</sup> A No, I can't at the moment. There's one
- book by Mark Evanier which I guess is the newest
- book. I think it is just titled "Jack Kirby, King of
- 12 Comics," I believe.
- Q Did you ever inquire of Mr. Evanier as to
- whether he had any direct knowledge of the
- 15 circumstances of the creation of the characters that
- your father drew for Marvel?
- A No, I haven't had any conversations with
- 18 Mark Evanier.
- Q Do you know if Mark Evanier was privy to
- any of the meetings or discussions at Marvel between
- your father and Stan Lee?
- A Mark Evanier, as far as I know, would not
- have been around at that time.
- Q Do you know what the basis for Mr.
- Evanier's statements in the book that you relied on

- litigation was commenced?
- $^{2}$  A No.
- I'm mean, I'm sorry, yes, you are correct
- <sup>4</sup> in that.
- <sup>5</sup> Q Are you aware of any significance these
- pages have to the issue of the circumstances of the
- 7 creation of any of the characters depicted in these
- 8 pages?
- <sup>9</sup> A That I honestly cannot say that I'm aware
- of that.
- 11 Q Now a lot of the pages have either captions
- or other handwritten notations other than the ones
- that appear to be actually printed. Do you see that?
- $^{14}$  A Yes, I do.
- 15 Q Let's take an example, K 10. Can you
- identify the handwriting at the foot of the pages
- saying "Hunters say"?
- 18 A That would appear to be my father's.
- 19 Q And do you know what that notation was
- intended to represent or be?
- A My father used to add comments in the
- margins. If sometimes he did not write dialogue
- directly, from what I understand, he would add those
- comments to guide the person adding the dialogue in
- $^{25}$  the balloons.

- Q And do you know if the comments that your
- father would make in the margins were ever used
- verbatim in the final version of the story?
- <sup>4</sup> A That I would really -- I don't know, I
- 5 couldn't tell you.
- Q Was it your understanding that those
- marginal notations that your father put on the
- 8 drawings were subject to the inclusion or not
- <sup>9</sup> inclusion at the discretion of the editor or art
- 10 director?
- A I couldn't say at the time if I had any
- knowledge of that, if that was going it happen or
- not. I do know that, you know, that my father was
- adding to guide the story and sometimes he would do
- the work because I know he mentioned the letterer
- will go over these at a later date, something to that
- effect.
- 18 Q The notations that you are talking about
- were not notations that were intended to be going
- over by the letterer, were they?
- $^{21}$  A Not the ones in the margins, no.
- Q Was it your father's custom, do you know,
- to actually put in captions or balloons on the
- drawings themselves?
- $^{25}$  A I can't say if he did it every time. I