

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

RAJAT T. GUPTA,

Plaintiff

v.

SECURITIES AND EXCHANGE  
COMMISSION,

Defendant.

11 cv 1900 (JSR)

FILED COURT  
2011 MAR 28 PM 3:59  
S.D. of N.Y.

MAR 28 2011

MOTION TO ADMIT COUNSEL PRO HAC VICE

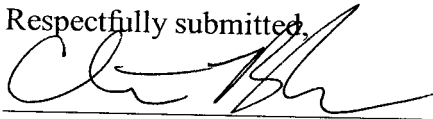
PURSUANT TO RULE 1.3(c) of the Local Rules of the United States District Courts for the Southern and Eastern Districts of New York, I, CHRISTOPHER BRUCKMANN, a member of good standing of the bar of this Court hereby move for an Order allowing the admission pro hac vice of:

RICHARD M. HUMES  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, D.C. 20549-9612  
202-551-5140 (telephone)  
202772-9263 (facsimile)  
[Humesr@sec.gov](mailto:Humesr@sec.gov)

RICHARD M. HUMES is a member in good standing of the Bar of the District of Columbia. There is no pending disciplinary proceedings against Richard M. Humes in any State or Federal Court.

March 25, 2011  
Washington, D.C.

Respectfully submitted,



Christopher Bruckmann  
SDNY Bar No. CB7317  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, D.C. 20549-9612  
202-551-5104 (telephone)  
202-772-9263 (facsimile)



**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

RAJAT T. GUPTA,	)				
	)				
	Plaintiff	)			
	)				
v.	)			11 cv 1900 (JSR)	
	)				
SECURITIES AND EXCHANGE	)				
COMMISSION,	)				
	)				
	Defendant.	)			
	)				

**AFFIDAVIT OF CHRISTOPHER BRUCKMANN IN SUPPORT OF  
MOTION TO ADMIT COUNSEL PRO HAC VICE**

Washington, D.C .            Ss:

CHRISTOPHER BRUCKMANN, being duly sworn, hereby deposes and says as follows;

1. I am counsel for the Securities and Exchange Commission in the above-captioned case. I am familiar with the proceedings in this case. I make this statement based on my personal knowledge of the facts set forth herein and in support of the Securities and Exchange motion to admit Richard M. Humes as counsel pro hac vice to represent the Securities and Exchange Commission in this matter.
2. I am a member in good standing of the bar of the state of the state bar of New York. I am also admitted to the bar of the United States District Court for the Southern District of New York (CB-7317 ), and am in good standing with this Court.
3. I have known Richard M. Humes since 2006.
4. Richard M. Humes is an attorney for the Securities and Exchange Commission in Washington, D.C.
5. I have found Richard M. Humes to be a skilled attorney and a person of high integrity. He is experienced in Federal practice and is familiar with the Federal Rules of Civil Procedure.
6. Accordingly, I am pleased to move for the admission of Richard M. Humes, pro hac vice.

7. I submit a proposed order granting the admission of Richard M. Humes, pro hac vice.

WHEREFORE, it is respectfully requested that the motion to admit Richard M. Humes, pro hac vice, to represent the Securities and Exchange Commission in the above-captioned matter, be granted.

March 25, 2011  
Washington, D.C.  
Notarized

Respectfully Submitted,



Christopher Bruckmann  
SDNY No. CB-7317

District of Columbia  
Subscribed and sworn to before me, in my presence,  
this 25th day of March, 2011  
by Christopher Bruckmann  
Cheryl J. Randolph Notary Public  
My Commission Expires: \_\_\_\_\_

**CHERYL J. RANDOLPH**  
**NOTARY PUBLIC**  
District of Columbia  
My Commission Expires Jun. 30, 2015



District of Columbia Court of Appeals  
Committee on Admissions  
430 F Street, N.W. — Room 123  
Washington, D. C. 20001  
202 / 879-2710

I, JULIO A. CASTILLO, Clerk of the District of Columbia  
Court of Appeals, do hereby certify that

RICHARD M. HUMES

was on the 4<sup>TH</sup> day of JUNE, 1979

duly qualified and admitted as an attorney and counselor and  
entitled to practice before this Court and is, on the date  
indicated below, an active member in good standing of this Bar.

In Testimony Whereof, I have  
hereunto subscribed my name  
and affixed the seal of this  
Court at the City of  
Washington, D.C., on March  
24, 2011.

JULIO A. CASTILLO  
Clerk of the Court

By: *Sandra A. Janc*  
Deputy Clerk

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

RAJAT T. GUPTA,	)	
	)	
Plaintiff	)	
	)	11 cv 1900 (JSR)
v.	)	
	)	
SECURITIES AND EXCHANGE	)	
COMMISSION,	)	
	)	
Defendant.	)	
	)	

**ORDER FOR ADMISSION PRO HAC VICE ON WRITTEN MOTION**

Upon the motion of Christopher Bruckmann, attorney for the Securities and Exchange Commission, and Christopher Bruckmann's affidavit in support:

IT IS HEREBY ORDERED that:

RICHARD M. HUMES  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, D.C. 20549-9612  
202-551-5149 (telephone)  
202-772-9263 (facsimile)  
[Humesr@sec.gov](mailto:Humesr@sec.gov)

Is admitted to practice pro hac vice as counsel for the Securities and Exchange Commission in the above-captioned case in the United States District Court for the Southern District of New York. All attorneys appearing before the Court are subject to the Local Rules of this Court, including the Rules governing discipline of attorneys. If this action is assigned to the Electronic Case Filing (ECF) system, counsel shall immediately apply for an ECF password at [nysd.uscourts.gov](http://nysd.uscourts.gov). Counsel shall forward the pro hac vice fee to the Clerk of the Court.

March \_\_\_\_\_, 2011  
New York, New York

\_\_\_\_\_  
United States District/ Magistrate Judge

**CERTIFICATE OF SERVICE**

I certify that on March 25, 2011 I caused the Motion to Admit Counsel Pro Hac Vice, with supporting affidavit and proposed order to be served on all parties in this case, by UPS overnight delivery, to the address listed below.

Rajat K. Gupta  
c/o Alan Roy Friedman  
Kramer Levin Naftalis & Frankel, LLP  
1177 Avenue of the Americas  
New York, NY 10036

A handwritten signature in black ink, appearing to read 'C. Bruckmann', written over a horizontal line.

Christopher Bruckmann