

# EXHIBIT 42

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

**In re:**

**BERNARD L. MADOFF  
INVESTMENT SECURITIES  
LLC,**

**Debtor,**

**IRVING H. PICARD, Trustee for  
the Liquidation of Bernard L.  
Madoff Investment Securities LLC,**

**Plaintiff,**

**v.**

**SAUL B. KATZ, et al.,**

**Defendants.**

**Adv. Pro. No. 08-01789 (BRL)**

**SIPA LIQUIDATION**

**(Substantively Consolidated)  
Adv. Pro. No. 10-5287 (BRL)**

**11-CV-03605 (JSR) (HBP)**

**Bruce Dubinsky Deposition Binder**

**Tabs 81-137**

**Part III of III**

ATTORNEY WORK PRODUCT  
PRIVILEGED & CONFIDENTIAL

**DUFF & PHELPS**

**EXHIBIT**

*D-25C*  
*1-17-12*

<b>FORM X-17A-5</b>	<h1>FOCUS REPORT</h1> <p>(Financial and Operational Combined Uniform Single Report)</p> <h2>Part II Quarterly 17a-5(a)</h2> <p>INFORMATION REQUIRED OF BROKERS AND DEALERS PURSUANT TO RULE 17</p>
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COVER

Select a filing method:

Basic  Alternate  [0011]

Name of Broker Dealer: BERNARD L. MADOFF INVESTMENT S [0013] SEC File Number: 8- 8132 [0014]

Address of Principal Place of Business: 885 THIRD AVENUE [0020]

NEW YORK NY 10022 Firm ID: 2625 [0015]  
 [0021] [0022] [0023]

For Period Beginning 10/01/2006 And Ending 12/31/2006  
 [0024] [0025]

Name and telephone number of person to contact in regard to this report:

Name: ENRICA COTELLESA-PITZ Phone: 212-230-2424  
 [0030] [0031]

Name(s) of subsidiaries or affiliates consolidated in this report:

Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 [0032] [0033]

Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 [0034] [0035]

Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 [0036] [0037]

Name: \_\_\_\_\_ Phone: \_\_\_\_\_  
 [0038] [0039]

Does respondent carry its own customer accounts? Yes  [0040] No  [0041]

Check here if respondent is filing an audited report  [0042]

**ASSETS**

Consolidated <sup>Ⓢ</sup> [0198] Unconsolidated <sup>Ⓢ</sup> [0199]

	Allowable	Non-Allowable	Total
1. Cash	4,882,332 [0200]		4,882,332 [0750]
2. Cash segregated in compliance with federal and other regulations	1,994,995 [0210]		1,994,995 [0760]
3. Receivable from brokers or dealers and clearing organizations:			
A. Failed to deliver:			
1. Includable in "Formula for Reserve Requirements"	[0220]		
2. Other	1,125,956 [0230]		1,125,956 [0770]
B. Securities borrowed:			
1. Includable in "Formula for Reserve Requirements"	[0240]		
2. Other	453,381,787 [0250]		453,381,787 [0780]
C. Omnibus accounts:			
1. Includable in "Formula for Reserve Requirements"	[0260]		
2. Other	[0270]		0 [0790]
D. Clearing Organizations:			
1. Includable in "Formula for Reserve Requirements"	[0280]		
2. Other	11,097,027 [0290]		11,097,027 [0800]
E. Other	20,824,611 [0300]	[0550]	20,824,611 [0810]
4. Receivables from customers:			
A. Securities accounts:			